



Article

Mapping Foliar C, N, and P Concentrations in An Ecological Restoration Area with Mixed Plant Communities Based on LiDAR and Hyperspectral Data

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Abstract: Interactions between carbon (C), nitrogen (N), and phosphorus (P), the vital indicators of ecological restoration, play an important role in signaling the health of ecosystems. Rapidly and accurately mapping foliar C, N, and P is essential for interpreting community structure, nutrient limitation, and primary production during ecosystem recovery. However, research on how to rapidly map C, N, and P in restored areas with mixed plant communities is limited. This study employed laser imaging, detection, and ranging (LiDAR) and hyperspectral data to extract spectral, textural, and height features of vegetation as well as vegetation indices and structural parameters. Causal band, multiple linear regression, and random forest models were developed and tested in a restored area in northern China. Important parameters were identified including (1), for C, red-edge bands, canopy height, and vegetation structure; for N, textural features, height percentile of 40–95%, and vegetation structure; for P, spectral features, height percentile of 80%, and 1 m foliage height diversity. (2) R^2 was used to compare the accuracy of the three models as follows: R^2 values for C were 0.07, 0.42, and 0.56, for N they were 0.20, 0.48, and 0.53, and for P they were 0.32, 0.39, and 0.44; the random forest model demonstrated the highest accuracy. (3) The accuracy of the concentration estimates could be ranked as $C > N > P$. (4) The inclusion of LiDAR features significantly improved the accuracy of the C concentration estimation, with increases of 22.20% and 47.30% in the multiple linear regression and random forest models, respectively, although the inclusion of LiDAR features did not notably enhance the accuracy of the N and P concentration estimates. Therefore, LiDAR and hyperspectral data can be used to effectively map C, N, and P concentrations in a mixed plant community in a restored area, revealing their heterogeneity in terms of species and spatial distribution. Future efforts should involve the use of hyperspectral data with additional bands and a more detailed classification of plant communities. The application of this information will be useful for analyzing C, N, and P limitations, and for planning for the maintenance of restored plant communities.

Keywords: ecological monitoring; LiDAR; hyperspectral image; foliar C, N, and P concentrations; ecological restoration



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1. Introduction

Intense levels of human activity, such as mining, have caused extensive vegetation removal or degradation in many areas [1,2]. In recent years, important ecological restoration efforts have gained popularity; for instance, the United Nations recently declared 2021–2030 as the decade for ecosystem restoration [3]. Vegetation, as a vital component in ecological restoration, plays a crucial role by contributing significantly to the structure and function of ecosystems [4,5]. To ensure the stability and resilience of a restored ecosystem,

land managers usually employ a variety of species during revegetation with the goal of creating a mixed-species plant community. However, the restoration of vegetation on degraded land is often limited by nutrient deficiency, which hinders the process of ecological restoration [6]. Therefore, monitoring the nutrient levels and functions of an ecosystem has become important to ensure that these characteristics develop according to the expected recovery trajectory [7,8].

For a restored plant community, carbon (C), nitrogen (N), and phosphorus (P) constitute fundamental building blocks required for plant growth and development. Carbon is the most important element with the highest concentration in leaf dry matter, while N and P are essential nutrients for building plant structures [9–11]; these three elements serve as important indicators of ecological health. Their availability and stoichiometric ratios not only indicate the nature of conditions related to plant growth and community composition [12,13] but also reveal the nutrient dynamics and limitations of plants under changing climatic conditions [14–16]. Nutrient limitations, specifically in the form of C, N, and P limitations, can impede the absorption and utilization of nutrients by plants, affecting their growth rates, metabolic processes, and the decomposition of litter [17,18]. This, in turn, influences the overall health of a restored ecosystem. Therefore, for the assessment of ecosystem health, and to ensure the success of restoration efforts, it is imperative to conduct rapid monitoring of foliar C, N, and P concentrations in a mixed plant community within an ecological restoration area.

Recent monitoring and assessment of restoration efforts have focused more on vegetation coverage or greenness rather than on the chemical or biological indicators of vegetative conditions [19,20]. In particular, limited research is available on the rapid monitoring of C, N, and P in mixed plant communities within ecological restoration areas. Traditional extensive surveys of foliar concentrations of C, N, and P in the canopy are time-consuming and labor-intensive, making them impractical for the large-scale mapping of C, N, and P and applications employed in post-restoration management. In the recent two decades, remote sensing has been adopted as an efficient alternative monitoring method, providing a crucial means for the large-scale monitoring of plant growth and quantification of the biochemical properties of vegetation [21–23]. Unmanned Aerial Vehicle (UAV)-based laser imaging, detection, and ranging (LiDAR) and hyperspectral remote sensing are two advanced remote sensing technologies, each possessing unique advantages in acquiring surface information [24]. Specifically, LiDAR is characterized by a high level of accuracy and an ability to penetrate the canopy, providing high-resolution three-dimensional structural information. This technique enables the accurate and effective monitoring of plant communities in spatial dimensions [25]. Hyperspectral remote sensing offers rich spectral information, exhibiting high sensitivity to vegetation health and types, which is valuable for monitoring vegetation health and conducting biochemical composition studies [26]. It has been found from recent research progress that the integration of LiDAR and hyperspectral data has a strong capacity to enable the classification of vegetation and assessment of structural and morphology parameters, such as biomass, diversity, and canopy coverage [27,28]. In addition, the two technological methods have been successfully applied to assess biochemical parameters such as N and P. However, those application cases mainly focus on individual species such as *phoenix trees* [29], *rice* [30], *apple trees* [31], *Phragmites communis* [32], and *maize* [33]. Studies that discuss the feasibility of mapping the foliar C, N, and P of restored ecosystems with mixed plant communities are lacking. Considering that the combination of LiDAR and hyperspectral data may provide information about the chemical signal of different plants with various morphologies, this synergistic approach may contribute to a better understanding of spatial heterogeneity in C, N, and P among different plant communities and their responses to ecological restoration.

Therefore, the present study is an attempt to examine the possibility of using LiDAR and hyperspectral data in the spatial mapping of the C, N, and P concentrations in a mixed plant community of an ecological restoration area. The main research goals include the following: (1) to establish the optimal model for estimating foliar C, N, and P concentrations;

(2) to investigate how the LiDAR and hyperspectral data could contribute to the estimation accuracy; and (3) to discuss the ability of LiDAR and hyperspectral data to reveal the heterogeneity of foliar C, N, and P across plant species and spatial regions. The research aims to serve as a reference for identifying C, N, and P nutrient limitations and assessing the health of an ecosystem.

2. Materials and Methods

2.1. Study Area

The study area is the Shendong Mining Area, which is close to Ordos City, Inner Mongolia, China (Figure 1). The average annual temperature and precipitation of the area are about 8.5 °C and 300–400 mm, respectively. The average elevation of the area is around 1250 m, with significant terrain fluctuations; the region has a typical arid and semi-arid plateau continental climate. The study area has an east–west length of approximately 2 km and a north–south width of about 300 m, covering an area of approximately 0.6 km². Situated in the transitional zone between grassland and forest steppe, the original vegetation types include deciduous trees, shrubs, sandy-soil vegetation, and grassland.

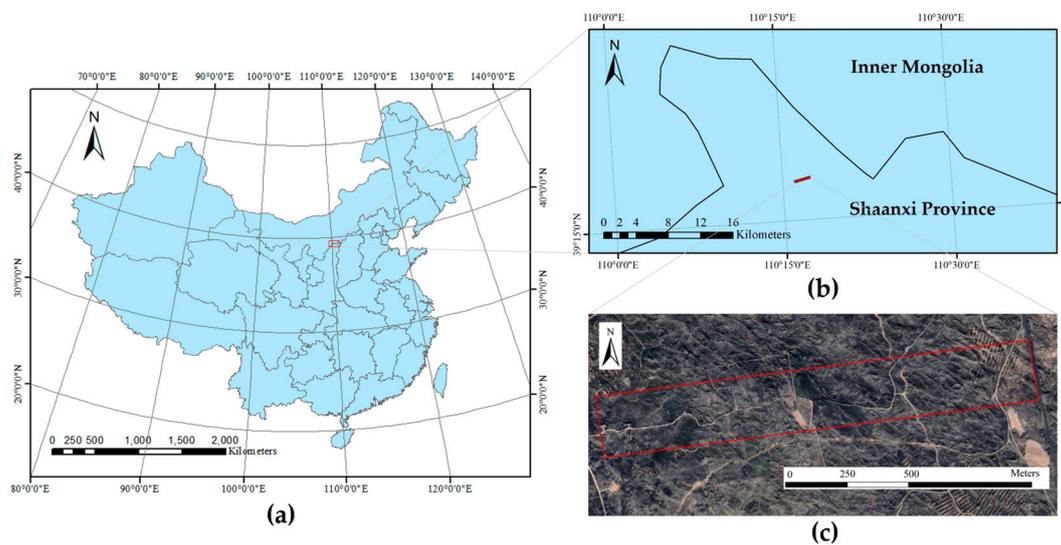


Figure 1. Geographical situation of the study area (a,b) in Shaanxi Province, north China; (c) orthophoto view of the study area.

Intensive coal mining in the study area has negatively impacted the original vegetation of the site. Consequently, ecological restoration measures, primarily focused on soil and water conservation as well as revegetation, were undertaken after the completion of mining activities in 2010. The central part of the restored ecological zone includes roads with the restored vegetation types on the roadsides mainly consisting of *Populus* spp. (mixed poplar), *Camphor pine*, *Wild cherry*, *Prunus sibirica*, *Prunus humilis*, *Salix* spp., *Sea buckthorn*, *Caragana* spp., *Amorpha fruticosa*, *Artemisia oleifera*, and *Medicago sativa*. Among these, *Populus* spp. and *Pinus sylvestris* have been planted with a certain degree of regularity across the landscape. *Sea buckthorn* has been extensively planted as an economically important species, primarily concentrated in the central part of the study area.

2.2. Data

2.2.1. Remote Sensing Data

Two types of remote sensing data, i.e., LiDAR data (LiAir 220) and hyperspectral images, were acquired from the DJI M600 (Dajiang Baiwang Technology Co., Ltd., Shenzhen, Guangdong, China) on 25 July 2022. July is the growing season for plants in the study area. Investigating the growth status of vegetation during this season can reflect the success of the ecological restoration. LiDAR data were acquired by a LiAir 220 UAV LiDAR system.

A total of three flight routes were planned. The flight height was 90 m, the speed was 5 m/s, the horizontal field of view angle was 360°, the vertical field of view angle was greater than 20°, and the average point cloud density was 130 points/m². The elevation of the study area was 1200–1296 m, and the number of echoes was two. The LiDAR 360 6.0 software was acquired from GreenValley International (Berkeley, CA, USA) and was used for preprocessing. The preprocessing included creating an aerial strip mosaic, point cloud registration, strip redundancy removal, noise removal, point cloud feature extraction, and point cloud classification [26]. First, mosaic the LiDAR data and then register to minimize the spatial position differences between the points. Then, remove the redundant point cloud data of the overlapping part of the strip and point cloud noise, and then divide the point cloud into ground and non-ground points. Finally, extract the point cloud data features. Before extracting the LiDAR features, it was necessary to normalize the LiDAR data by subtracting the elevation value Z of each point in the LiDAR point cloud data. Normalization can remove the influence of terrain fluctuations on the elevation value of the point cloud data.

Hyperspectral data were acquired by an S185 hyperspectral sensor (Cubert GmbH, Ulm, Germany). For the hyperspectral data, eight flight routes were set up to ensure full coverage of the area. The drone had a flight altitude of 140 m, a spatial resolution of 0.19 m, and a lateral overlap rate of 57%, flying a total of four sorties. The spectral range obtained during each flight was 398–1002 nm, with a total of 112 bands. During the data collection, sensor calibration was carried out using a standard diffuse reflection board, followed by atmospheric correction, geometric correction, band splicing and cropping, and image fusion. Next, ENVI 5.0 software (Research Systems, Inc., Boulder, CO, USA) was employed for hyperspectral image preprocessing mainly including radiometric calibration, atmospheric correction, geometric correction, noise and dimensionality reduction, aerial strip mosaicking, clipping, and band fusion [34].

2.2.2. Field Data

While acquiring remote sensing data, 60 sample plots were established for collecting plant community data. Within each sample plot, three 10 m × 10 m quadrats were created for trees, 5 m × 5 m quadrats for shrubs, and 1 m × 1 m quadrats for grasses. The spacing between quadrats was greater than 50 m. In addition, a 500 g sample of canopy leaves was collected from the canopy of the plant community in each quadrat. In total, 166 samples of plant leaves were collected.

Fresh leaf samples were first cleaned with distilled water to remove dust, sediments, and other debris. After rinsing with deionized water, they were rinsed with 18.2 MΩ ultrapure water. Leaf samples were dried in a baking oven at 105 °C for 15–20 min and then dried at 80 °C for 10 h until a constant weight was reached. The dried leaf samples were ground and passed through a 100-mesh screen. Next, a 6 g sample of the leaf samples was ground prior to determining the C, N, and P concentrations. The potassium dichromate oxidation, Semi-Micro-Kjeldahl, and Mo-Sb colorimetric methods were used to determine the C, N, and P concentrations in the leaves, respectively [16]. Table 1 shows the maximum, minimum, mean, and standard deviation of foliar C, N, and P of the collected samples.

Table 1. Foliar carbon (C), nitrogen (N), and phosphorus (P) concentrations based on sample data.

	Min	Max	Mean	Standard Deviation
C (%)	34.46	52.28	45.80	2.36
N (%)	1.09	4.06	2.62	0.87
P (g/kg)	0.63	3.08	1.73	0.49

Figure 2 shows the C, N, and P concentrations of eight dominant plant communities including the *Pinus sylvestris*, *Populus* spp., *Salix* spp., *Sea buckthorn*, *Artemisia oleifera*, *Amorpha fruticosa*, *Caragana* spp., and *Herbaceous* spp. communities. No significant difference in C concentration was observed among the eight dominant plant types. Among

them, *Pinus sylvestris* exhibited the highest C concentration, while the *Populus* spp. and *Herbaceous* spp. communities showed slightly lower C concentrations. Considerable variations were observed for N and P. *Amorpha fruticosa* and *Caragana* spp. demonstrated relatively high N concentrations, while *Pinus sylvestris*, *Populus* spp., and *Herbaceous* spp. had lower N concentrations. *Artemisia oleifera* showed the highest P concentration, while *Pinus sylvestris* and *Herbaceous* spp. had lower P concentrations.

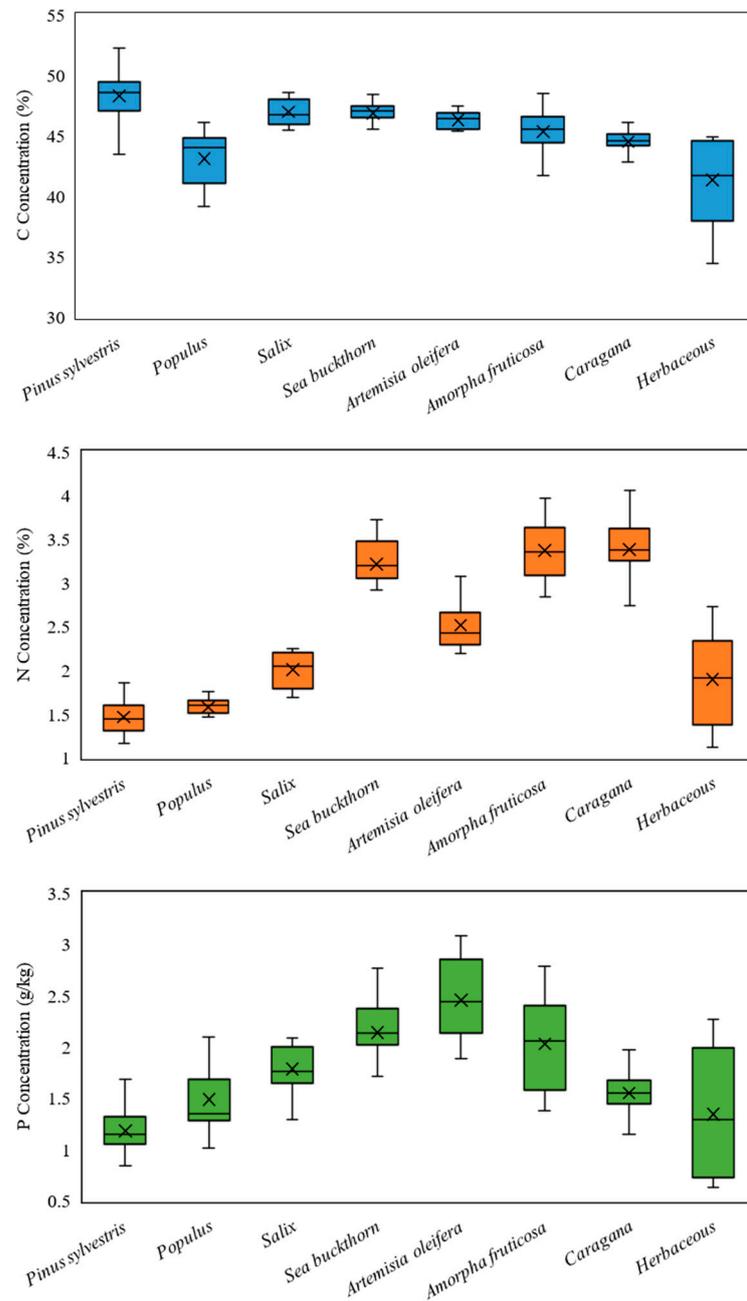


Figure 2. Foliar carbon (C), nitrogen (N), and phosphorus (P) concentrations of eight dominant plant communities in the study area.

2.3. Methods

2.3.1. Technical Process

Figure 3 illustrates the technical roadmap for foliar C, N, and P mapping by using UAV-based LiDAR and hyperspectral data, combined with ground sampling data. The Boruta algorithm was used to select features and construct three models including causal

bands (namely, causal absorption features (nm) related to foliar biochemicals including C, N, and P, multiple linear regression, and random forest. The model with the highest accuracy was used to map the foliar C, N, and P in the study area.

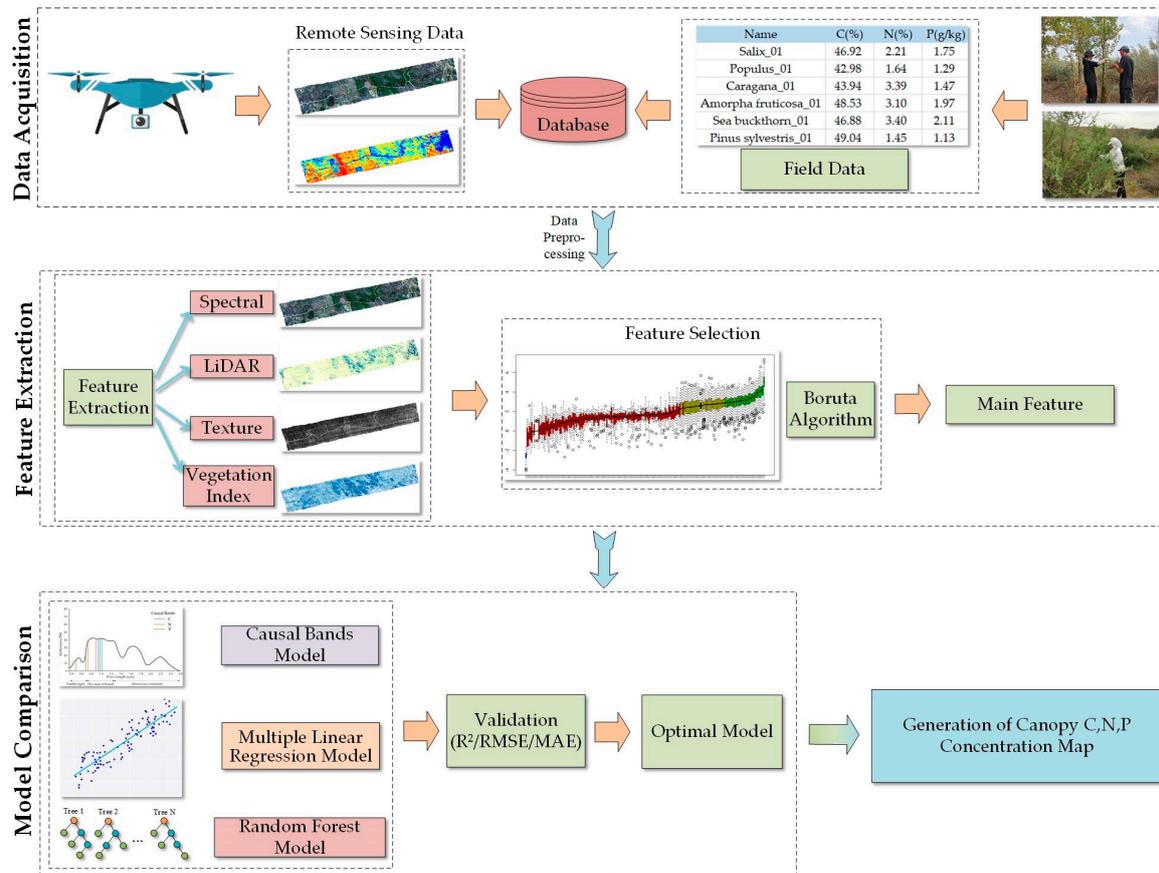


Figure 3. Technical roadmap for foliar C, N, and P concentrations mapping. Note: LiDAR, laser imaging, detection, and ranging; MAE, mean absolute error; RMSE, root mean squared error; R², coefficient of determination.

2.3.2. Extraction of Features

LiDAR can acquire the morphological characteristics of plant communities, and hyperspectral can reflect the spectral characteristics of different plant community types. The morphological point cloud filtering method [35] was used to distinguish the ground information in the LiDAR data and extract the variables highly correlated with the plant community. In this study, a total of 112 spectral features, 15 textural features, 33 vegetation indices, 34 height features, and seven vegetation structure parameters were extracted as parameters for model construction.

1. Spectrum

Given that the spectral reflectance of different plant leaves varies, 112 bands of hyperspectral data were employed as parameters for model construction. In this study, all 112 bands were used, with a wavelength range of 398–1002 nm and a band width of 5.2 nm.

2. Texture

Mean, variance, entropy, data range, and skewness were extracted as textural features. Table 2 presents the calculation formulas for these features. Using ENVI's Minimum Noise Fraction to process hyperspectral images, the first two converted bands contained more than 99% of the image information. In addition, green, red, and near-infrared bands are composed into a pseudo-color image synthesis band. These three bands were individually

used to extract the five textural features in Table 2. In total, 15 textural features were extracted. The formula for calculating the pseudo-color image is as follows [26]:

$$B_{pseudo-color} = (B_{Green} + B_{Red} + B_{NIR}) / 3 \quad (1)$$

Table 2. Calculation formulas of texture features.

No.	Texture Feature	Formula
1	Mean	$\sum_{i=0}^{N-1} \sum_{j=0}^{N-1} iP(i, j)$
2	Variance	$\sum_{i=0}^{N-1} \sum_{j=0}^{N-1} (i - u)^2 P(i, j)$
3	Entropy	$\sum_{i=0}^{N-1} \sum_{j=0}^{N-1} P(i, j) \log P(i, j)$
4	Data range	$P(i, j)_{\max} - P(i, j)_{\min}$
5	Skewness	$\frac{1}{N} \sum_{i=0}^{N-1} \sum_{j=0}^{N-1} \left(\frac{P(i, j) - u}{\sigma} \right)^3$

3. Vegetation indices

Remote sensing-based vegetation indices can be used to qualitatively evaluate vegetation coverage and growth. Therefore, the 33 vegetation indices listed in Table 3 were selected for model construction.

Table 3. Calculation formulas of vegetation indices.

No.	Indices	Formula
1	CI _{green} [36]	$(R_{780} / R_{550}) - 1$
2	CI _{red_edge} [36]	$(R_{780} / R_{710}) - 1$
3	DD [37]	$(R_{750} - R_{722}) / (R_{700} - R_{670})$
4	DVI [38]	$R_{800} - R_{670}$
5	EVI [39]	$[0.5 \times (R_{800} - R_{638})] / (R_{800} + 2.5 \times R_{800} - 6.0 \times R_{472} + 7.5)$
6	GM [36]	R_{750} / R_{702}
7	GNDVI [36]	$(R_{780} - R_{552}) / (R_{780} + R_{552})$
8	LCI [40]	$(R_{850} - R_{710}) / (R_{850} + R_{682})$
9	MCARI [41]	$[(R_{720} - R_{680}) - 0.2 \times (R_{720} - R_{550})] \times (R_{720} / R_{680})$
10	mND ₇₀₅ [42]	$(R_{750} - R_{705}) / (R_{750} + R_{705} - 2 \times R_{445})$
11	MSAVI [43]	$0.5 \times (2 \times R_{800} + 1 - \sqrt{(2 \times R_{800} + 1)^2 - 8 \times (R_{800} - R_{680})})$
12	mSR ₇₀₅ [37]	$(R_{750} - R_{445}) / (R_{705} - R_{445})$
13	MTVI1 [44]	$1.2 \times [1.2 \times (R_{802} - R_{550}) - 2.5 \times (R_{670} - R_{550})]$
14	NDI [36]	$(R_{834} - R_{662}) / (R_{834} + R_{662})$
15	NDVI [45]	$(R_{450} - R_{680}) / (R_{450} + R_{680})$
16	NPCI [46]	$(R_{450} - R_{680}) / (R_{450} + R_{680})$
17	PBI [47]	R_{810} / R_{562}
18	PRI [48]	$(R_{531} - R_{570}) / (R_{531} + R_{570})$
19	PSND _a [49]	$(R_{802} - R_{682}) / (R_{802} + R_{682})$
20	PSND _b [49]	$(R_{802} - R_{634}) / (R_{802} + R_{634})$
21	PVR [50]	$(R_{550} - R_{650}) / (R_{550} + R_{650})$
22	RVI [51]	R_{800} / R_{670}
23	RVSI [52]	$(R_{714} - R_{752}) / 2 - R_{733}$
24	R ₆₈₀ [53]	Reflectance at 680 nm
25	R ₈₀₀ [54]	Reflectance at 800 nm
26	SAVI [55]	$(R_{800} - R_{670}) / [1.5 \times (R_{800} + R_{670} + 0.5)]$

Table 3. *Cont.*

No.	Indices	Formula
27	SPI [56]	$(R_{802} - R_{450}) / (R_{802} + R_{682})$
28	SRPI [46]	R_{430} / R_{680}
29	TVI [57]	$0.5 \times [120 \times (R_{750} - R_{550}) - 200 \times (R_{670} - R_{550})]$
30	VARI [58]	$(R_{730} - R_{662}) / (R_{730} + R_{662})$
31	VOG _a [59]	R_{742} / R_{722}
32	VOG ₂ [59]	$(R_{742} - R_{746}) / (R_{714} + R_{722})$
33	WI [60]	R_{900} / R_{970}

Note, R_{680} in the table indicates the spectral reflectance at 680 nm; other parameters are analogized. Chlorophyll index—green (CI_{green}); chlorophyll index—red edge (CI_{red_edge}); double difference index (DD); difference vegetation index (DVI); enhanced vegetation index (EVI); Gitelson and Merzlyak index (GM); green normalized difference vegetation index (GNDVI); land cover index (LCI); modified chlorophyll absorption in reflectance index (MCARI); modified normalized difference (mND₇₀₅); modified simple ratio (mSR₇₀₅); modified soil-adjusted vegetation index (MSAVI); modified triangular vegetation index (MTVI1); normalized vegetation index (NDI); normalized difference vegetation index (NDVI); normalized pigment chlorophyll index (NPCI); plant biochemical index (PBI); photochemical reflectance index (PRI); pigment specific normalized difference of chlorophyll a (PSND_a); pigment specific normalized difference of chlorophyll b (PSND_b); perpendicular vegetation reflectance (PVR); ratio vegetation index (RVI); red edge vegetation stress index (RVSI); the range of leaf reflectance at 680 nm (R_{680}); the range of leaf reflectance at 800 nm (R_{800}); soil-adjusted vegetation index (SAVI); standardized precipitation index (SPI); simple ratio pigment index (SRPI); triangle vegetation index (TVI); visual atmospheric resistant index (VARI); Vogelmann red edge index 1 (VOG_a); Vogelmann red edge index 2 (VOG₂); water index (WI).

4. Height and vegetation structure parameters

The airborne LiDAR system can obtain a dense point cloud, from which the height and vegetation structure parameters can be extracted. In this study, 34 height features and seven vegetation structure parameters were selected, as shown in Table 4.

Table 4. Variable symbols of laser imaging, detection, and ranging (LiDAR) features.

No.	LiDAR Features	Variable Symbols
1	Maximum height	H_{max}
2	Minimum height	H_{min}
3	Average height	H_{mean}
4	Height kurtosis	H_{kurt}
5	Median	H_{median_z}
6	Height skewness	H_{skew}
7	Height standard deviation	H_{std}
8	Height variance	H_{var}
9	Canopy relief ratio	H_{crr}
10	Canopy density metrics	$d_0, d_1, d_2, d_3, d_4, d_5, d_6, d_7, d_8, d_9$ $HP_{1st}, HP_{5th}, HP_{10th}, HP_{20th}, HP_{25th}, HP_{30th},$ $HP_{40th}, HP_{50th}, HP_{60th}, HP_{70th}, HP_{75th}, HP_{80th},$ $HP_{90th}, HP_{95th}, HP_{99th}$
11	Height percentile	
12	Canopy height	CHM
13	Canopy cover	CC
14	Gap fraction	GF
15	Leaf area index	LAI
16	Foliage height diversity	FHD-1m, FHD-2m, FHD-3m

Note, that the calculation of the features above can be found in our previous research [26].

2.3.3. Selection of Features

Feature filtering refers to reducing the dimensionality of high-dimensional feature datasets by removing some invalid features, selecting the most suitable features for modeling, and constructing an optimal feature dataset. In general, feature filtering is required when a feature dataset is too large; this process not only reduces the computation time but also prevents the model from overfitting. In this study, the Boruta algorithm was used for feature selection [61]. This algorithm is designed as a wrapper around a random forest

classification algorithm. It iteratively removes the features that are proven by a statistical test to be less relevant than random probes.

The Boruta algorithm consists of the following steps [61]:

1. Build random forest: construct a random forest model using the original dataset. This typically involves multiple decision trees, each trained on a random subset.
2. Calculate the importance of the original features: for each feature, compute its relative importance using the random forest model. This is achieved by measuring the contribution of the feature to the model's predictive accuracy.
3. Create shadow features: for each original feature, generate a corresponding "shadow" feature. A shadow feature is created by randomly permuting the values of the original feature.
4. Build an extended random forest: build another random forest model using a dataset that includes both the original and shadow features.
5. Compute the importance of the shadow features: calculate the relative importance of each shadow feature in the extended random forest.
6. Compare the importance of the original and shadow features: for each original feature, compare its actual importance with the average importance of its shadow features. If the original feature's importance is higher than the average importance of its shadow features, retain the feature; otherwise, label it as unimportant.
7. Repeat steps 4–6: iterate through steps 4–6 until the stopping criteria are met, such as reaching a specified number of features or marking all features as important.
8. Final feature selection: retain the features labeled as important for modeling or further analysis.

2.3.4. Estimation Model

This study involved the construction of three models based on different feature variable combinations, including causal band features, hyperspectral features, and hyperspectral + LiDAR features.

1. Causal band model

Components in vegetation related to C, such as starch, cellulose, proteins, and lignin, exhibit absorption features in the infrared bands [62,63]. Components associated with N in vegetation, such as chlorophyll and proteins, exhibit absorption features in the visible and near-infrared bands [64–66]. The range of wavelengths associated with P in vegetation was inconsistent in this study, possibly due to the low P concentration observed in leaves (less than 1% of dry leaf mass) and the indistinct P absorption features [67]. Some studies suggest that the wavelengths suitable for detecting P may be in the visible and near-infrared bands.

A regression model was established based on the foliar C, N, and P causal bands obtained by reviewing the literature and was compared with the multiple linear regression and random forest models. In this study, the causal absorption features (nm) associated with foliar C mainly occurred at 970 and 990 nm [68], while those associated with foliar N mainly occurred at 510, 700–750, and 910 nm [68]; in addition, those features associated with foliar P mainly occurred at 400–900 nm [69,70].

2. Multiple linear regression algorithm

A multiple linear regression model with multiple explanatory variables that explained the linear relationship between the explanatory variable and other multiple explanatory variables was used. Suppose given an example described by d attributes $x = (x_1; x_2; \dots; x_d)$, where x_i is the value of x on the i th attribute; then, the multiple linear regression model can be expressed as follows:

$$f(x) = \omega_1 x_1 + \omega_2 x_2 + \dots + \omega_d x_d + b. \quad (2)$$

It is generally written in vector form as follows:

$$f(\mathbf{x}) = \boldsymbol{\omega}^T \mathbf{x} + b, \quad (3)$$

where $\boldsymbol{\omega} = (\omega_1; \omega_2; \dots; \omega_d)$ and $\mathbf{x} = (x_1; x_2; \dots; x_d)$.

3. Random forest algorithm

A random forest algorithm is a collection of multiple decision trees [71]. A random forest combines the predictions of multiple decision trees to build a more robust model, so it is not easily overfitting by itself, but it does not provide the same interpretability as decision trees. This study employs a 10-fold cross-validation as an independent validation to assess the accuracy of the random forest model. The random forest algorithm can be summarized in four steps:

1. n samples randomly drawn from the training set are put back.
2. Create a decision tree from a dataset consisting of these n samples.
 - a. At each node: randomly select d features without putting them back.
 - b. Use maximized learning gain or other methods to split nodes based on these features.
3. Repeat steps 1–2 several (k) times.
4. Finally, take the average value according to the estimation results of these decision trees as the final estimation results.

2.3.5. Validation

To test the performance of the estimation models, the sample data were randomly split into two subsets, with 80% for training and 20% for validation. One-to-one relationships between the measured and estimated foliar C, N, and P concentrations were fitted; then, the R^2 , root mean squared error (RMSE), and mean absolute error (MAE) were calculated. RMSE and MAE have the same unit for the C, N, and P concentrations. The R^2 values report how well the model explains the variation of the dependent variable. The RMSE values report the degree of difference between the measured and estimated values. MAE is the average of the absolute error between the measured and estimated values. The calculation formulas of R^2 , RMSE, and MAE are, respectively, as follows:

$$R^2 = \frac{\sum_{i=1}^n (y_i - y'_i)^2}{\sqrt{\sum_{i=1}^n (\bar{y} - y_i)^2}} \quad (4)$$

$$RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^n (y'_i - y_i)^2} \quad (5)$$

$$MAE = \frac{1}{n} \sum_{i=1}^n |y'_i - y_i| \quad (6)$$

where n is the number of samples, i is the sample serial number, \bar{y} is the average of the measurements of C, N, and P concentrations in the plant community canopy, and y_i and y'_i are the measured and estimated C, N, and P concentration of the plant community canopy, respectively.

3. Results

3.1. Selected Feature

The feature selection results are shown in Figure 4. The blue box plot corresponds to the minimum, mean, and maximum Z scores (importance) of the shadow features. The red, yellow, and green box plots represent the Z scores for the rejected, tentative, and confirmed features, respectively. In this study, important variables (green box) were picked out as the input variables for modeling.

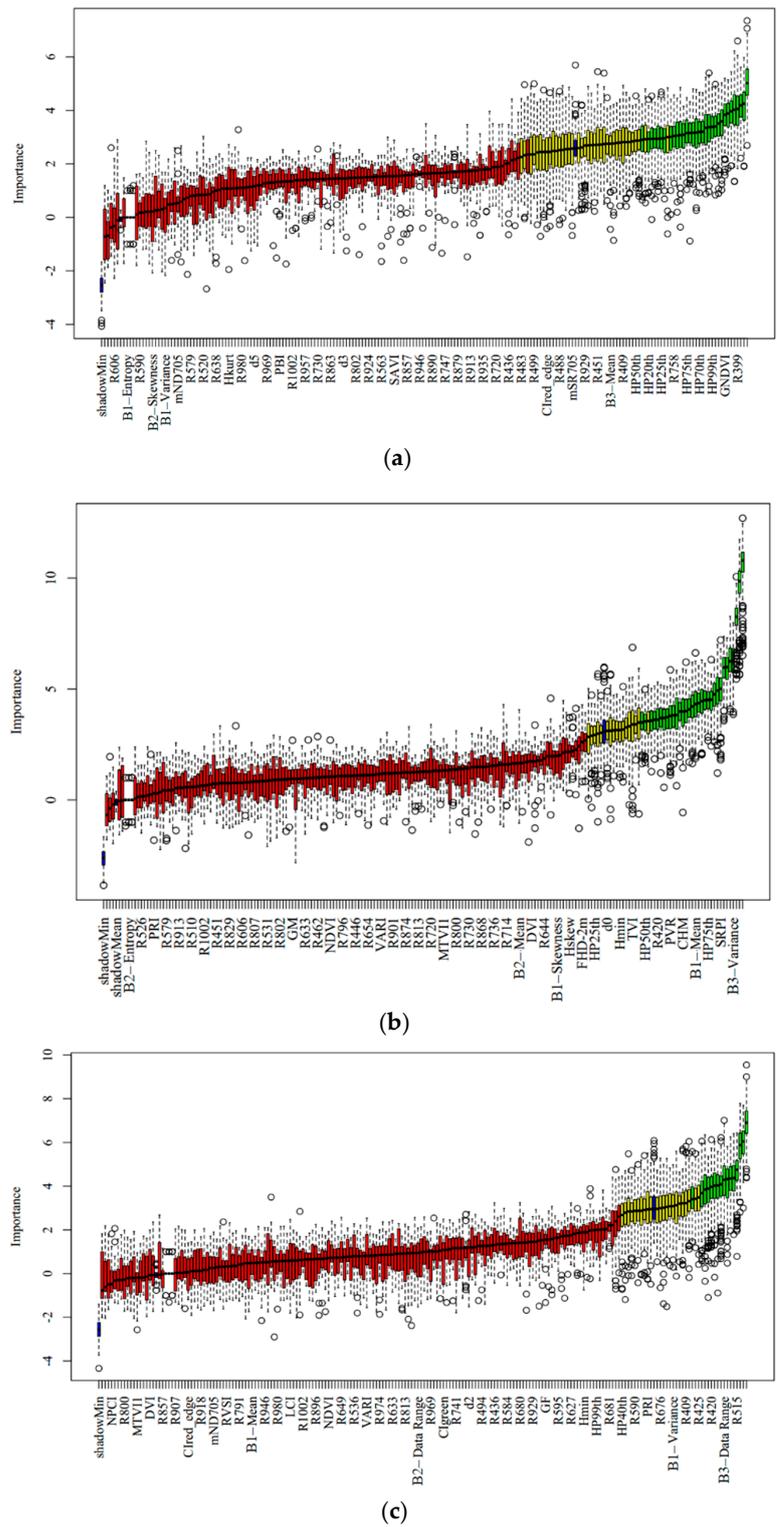


Figure 4. Selection results of the features of (a) C, (b) N, and (c) P. All features were ranked according to the Z score calculated by the Boruta algorithm. The red, yellow, and green box plots represent the Z scores for the rejected, tentative, and confirmed features, respectively. For C, red-edge bands, height variables, and vegetation structure parameters were identified as comparatively important. For N, textural features, height percentiles of 40–95%, and vegetation structure parameters were deemed significant. For P, spectral features, a height percentile of 80%, and 1 m foliage height diversity were considered crucial.

3.2. Accuracy of the Estimation Model

The causal bands, multiple linear regression, and random forest models were built using these selected feature combinations. Specifically, the causal band model takes as input the spectral bands causally related to foliar C, N, and P. The multiple linear regression model takes as input the combination of the hyperspectral features and hyperspectral + LiDAR features, while the random forest model takes the same input combination as the multiple linear regression model.

Table 5 presents the R^2 and RMSE for different models and feature combinations. For C, the accuracy of the three models ranged from 0.07 to 0.56, with the RMSE ranging from 1.19% to 9.49%. Among them, the multiple linear regression and random forest models outperformed the causal bands model. Additionally, combinations with LiDAR features outperformed those with hyperspectral features. The best performance was observed in the random forest model with hyperspectral + LiDAR, where R^2 reached 0.56. For N, the accuracy of the models ranged from 0.20 to 0.53, with the RMSE ranging from 0.57% to 0.92%. Similar to C, the multiple linear regression and random forest models outperformed the causal band model. The difference in R^2 between the models with hyperspectral + LiDAR and hyperspectral features was 0.00. The best performance was observed in the random forest model with hyperspectral features, reaching an R^2 of 0.53. For P, the accuracy of the models ranged from 0.32 to 0.44, with the RMSE ranging from 0.40 g/kg to 0.52 g/kg. The multiple linear regression and random forest models outperformed the causal band model; the difference in R^2 between the models with hyperspectral + LiDAR and hyperspectral features was only 0.01. The best performance was observed in the random forest model with hyperspectral + LiDAR, achieving an R^2 of 0.44.

Table 5. Accuracy of the estimation models.

	Model	Feature Combination	R^2	RMSE	MAE
C	Causal bands	(970, 990 nm)	0.07	5.16	3.64
	Multiple linear regression model	Hyperspectral features	0.34	4.69	3.11
		Hyperspectral + LiDAR	0.42	2.24	2.13
	Random forest model	Hyperspectral features	0.38	3.47	2.74
		Hyperspectral + LiDAR	0.56	1.19	1.00
	N	Causal bands	(510, 700–750, 910 nm)	0.20	0.92
Multiple linear regression model		Hyperspectral features	0.46	0.87	0.52
		Hyperspectral + LiDAR	0.48	0.85	0.48
Random forest model		Hyperspectral features	0.53	0.57	0.46
		Hyperspectral + LiDAR	0.53	0.57	0.46
P		Causal bands	(400–900 nm)	0.32	0.52
	Multiple linear regression model	Hyperspectral features	0.37	0.51	0.36
		Hyperspectral + LiDAR	0.39	0.50	0.36
	Random forest model	Hyperspectral features	0.43	0.40	0.31
		Hyperspectral + LiDAR	0.44	0.40	0.31

In comparison, the causal band model exhibited the best accuracy in estimating the foliar P concentration (Figure 5), the multiple linear regression model performed best in estimating the foliar N concentration (Figure 6), and the random forest model excelled in estimating the foliar C concentration (Figure 7).

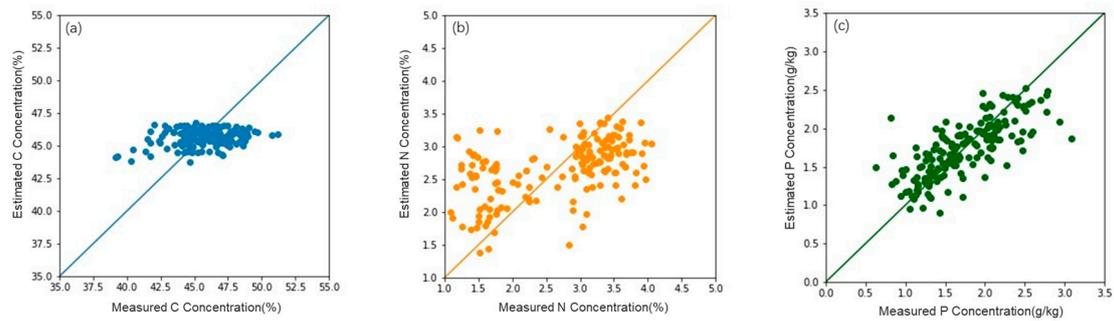


Figure 5. Accuracy of estimation model using causal bands for (a) C, (b) N, and (c) P.

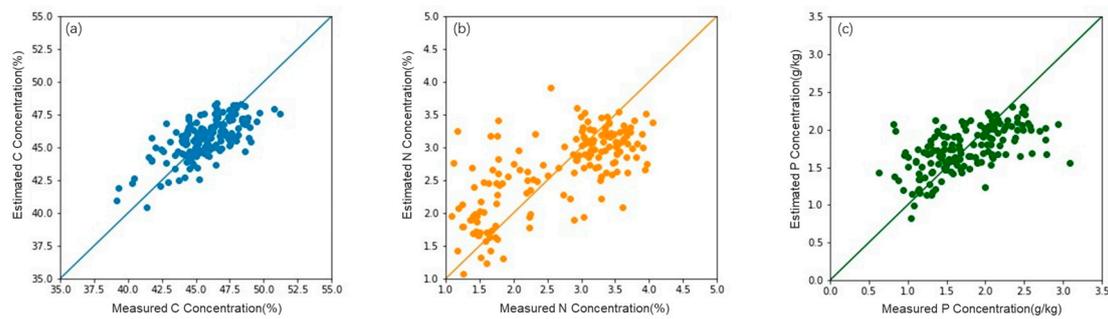


Figure 6. Accuracy of estimation model of multiple linear regression for (a) C, (b) N, and (c) P.

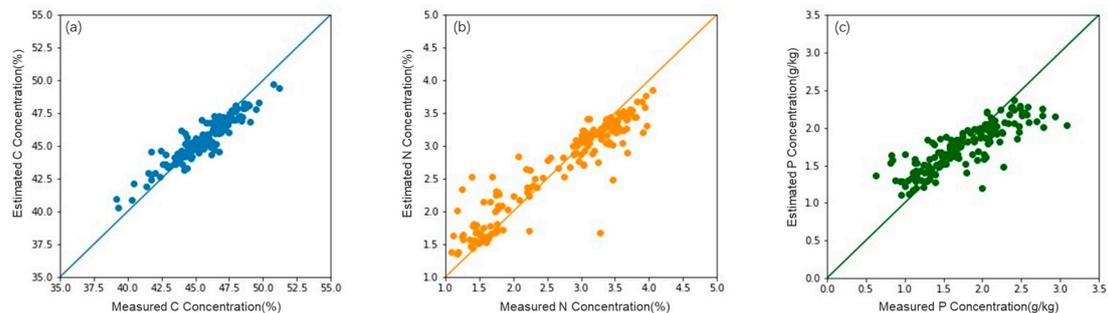


Figure 7. Accuracy of estimation model of random forest for (a) C, (b) N, and (c) P.

3.3. Map of Foliar C, N, and P Concentrations

Foliar C, N, and P concentration maps were made to visualize the spatial distribution of these three nutrients. These maps were generated by applying random forest models to the images of the feature variables corresponding to the C, N, and P concentrations. Figure 8a–c illustrates the foliar C, N, and P concentrations in the study area, respectively. The spatial distribution of C, N, and P concentrations in each plant community was significantly different, which could effectively reflect the differences in C, N, and P concentrations among different plant communities.

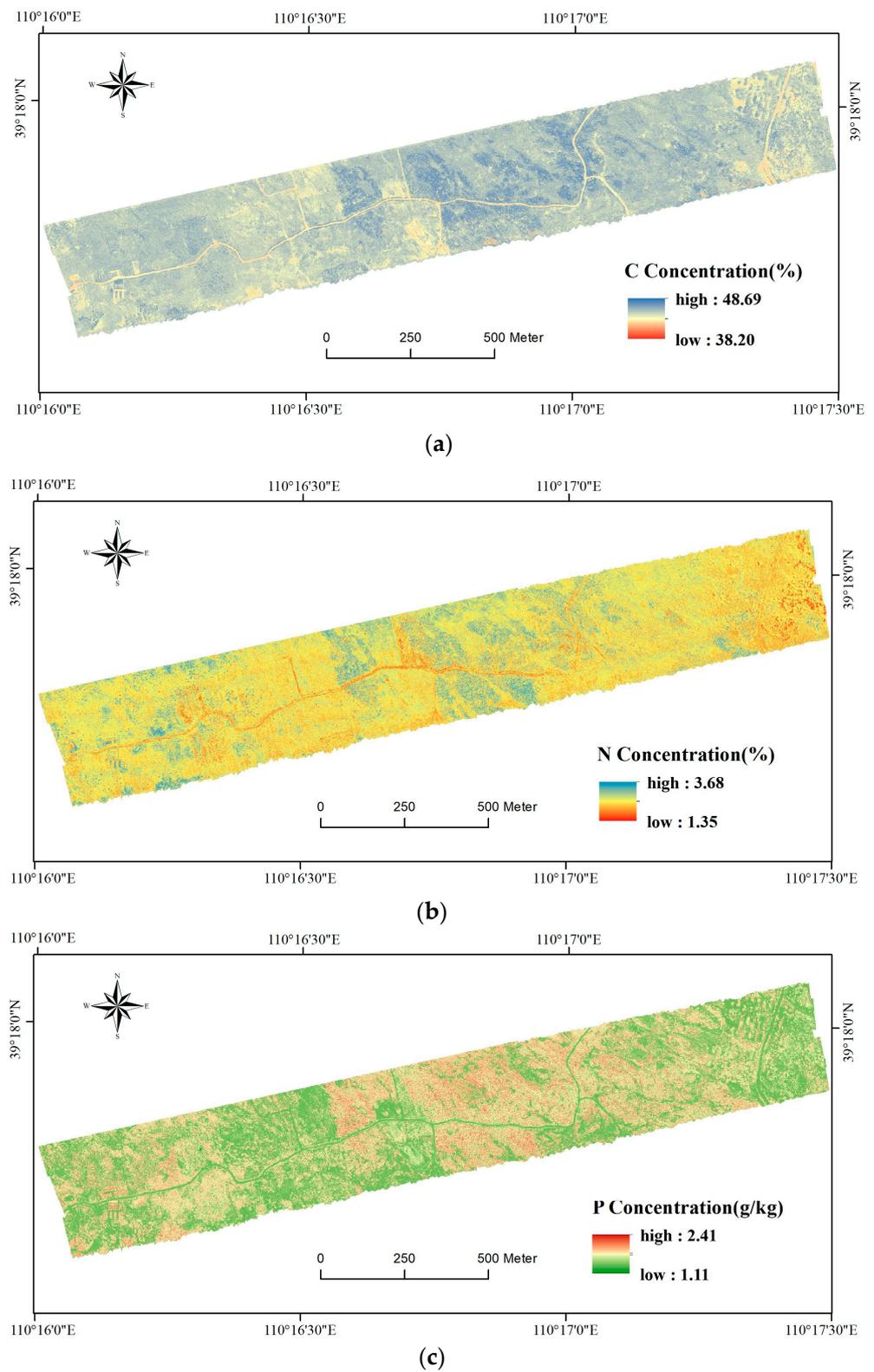


Figure 8. Study site maps produced using the random forest model for foliar: (a) C, (b) N, and (c) P concentrations.

The maximum C value, 48.70%, was observed in the *Pinus sylvestris* community, while the minimum, 38.20%, was found in the *Herbaceous* community. The average C concentration was 45.17%, with a median of 45.20%. The majority of C values were concentrated within the range of 42.20–48.00%. The high-value areas of C concentration were mainly found in the *Sea buckthorn* and *Pinus sylvestris* communities in the middle of the study area, and the low-value areas were mainly found in the *Populus* spp. and *Herbaceous* communities in the study area.

The maximum N value, 3.69%, was observed in the *Caragana* spp. community, while the minimum value, 1.35%, was found in the *Herbaceous* community. The average N concentration was 2.56%, with a median of 2.54%. The majority of values were concentrated within the range of 1.50–3.50%. The high-value areas of N concentration were mainly found in the *Sea buckthorn* community in the middle of the study area and scattered *Populus* spp. and *Caragana* spp. communities, while the low-value areas were mainly found in the *Pinus sylvestris* community near the main road and the *Populus* spp. community in the east.

The maximum P value, 2.42 g/kg, was observed in the *Artemisia oleifera* community, while the minimum value, 1.12 g/kg, was found in the *Herbaceous* community. The average P concentration was 1.65 g/kg, with a median of 1.63 g/kg. The majority of values were concentrated within the range of 1.30–2.20 g/kg. The high-value area of P concentration was mainly found in the *Artemisia oleifera* community in the middle of the study area, and the low-value areas were mainly found in the *Pinus sylvestris*, *Populus* spp., and *Herbaceous* communities in the study area.

4. Discussion

4.1. The Role of Features in the Model

Table 6 presents the hyperspectral and LiDAR features involved in constructing the models to measure the foliar C, N, and P concentrations. A total of 32 features were used in estimating both the C and N concentrations, including nine hyperspectral features and 23 LiDAR features for C along with 14 hyperspectral features and 18 LiDAR features for N. In the case of the P concentration estimation, 16 features were considered, consisting of 12 hyperspectral features and four LiDAR features.

For C, red-edge bands, height variables, and vegetation structure parameters were identified as comparatively important. For N, textural features, height percentiles of 40–95%, and vegetation structure parameters were deemed significant. As for P, spectral features, a height percentile of 80%, and a 1 m foliage height diversity were considered crucial. Among these, the 80% height percentile, height standard deviation, height variance, and 1 m leaf height diversity were identified as common features contributing to the construction of C, N, and P concentration estimation models, indicating their importance in estimating C, N, and P concentrations. Additionally, during the construction of the C concentration estimation model, the incorporation of LiDAR features significantly enhanced the predictive accuracy, suggesting that the C concentration is sensitive to plant height and can differentiate plant communities based on height. However, when constructing the N and P concentration estimation models, the inclusion of LiDAR features did not notably improve predictive accuracy, indicating that the sensitivity of N and P concentrations to plant height is limited; in addition, enhancing the accuracy of the N and P estimates did not rely heavily on the LiDAR features.

Table 6. The hyperspectral and LiDAR features employed for estimating C, N, and P concentrations.

Hyperspectral Features	C	N	P	LiDAR Features	C	N	P
R_{399}	✓			d_6	✓		
R_{404}	✓	✓		d_8		✓	
R_{409}		✓		d_9	✓		
R_{415}		✓	✓	H_{max}	✓		
R_{420}		✓	✓	H_{mean}	✓	✓	
R_{451}			✓	H_{median_z}	✓	✓	
R_{462}			✓	HP_{1st}	✓		
R_{473}			✓	HP_{10th}		✓	
R_{483}			✓	HP_{20th}	✓		
R_{504}			✓	HP_{25th}	✓		
R_{510}			✓	HP_{30th}	✓		
R_{515}			✓	HP_{40th}	✓	✓	
R_{758}	✓			HP_{50th}		✓	
R_{763}	✓			HP_{60th}	✓	✓	
EVI	✓	✓		HP_{70th}	✓	✓	
GNDVI	✓			HP_{75th}	✓	✓	
MTVI1	✓			HP_{80th}	✓	✓	✓
NPCI		✓		HP_{90th}	✓	✓	
PVR		✓		HP_{95th}	✓	✓	
R_{680}	✓			HP_{99th}	✓		
SRPI		✓		H_{std}	✓		✓
WI		✓	✓	H_{var}	✓		✓
B_1 -Data Range		✓		CC	✓		
B_1 -Mean	✓	✓		CHM	✓	✓	
B_1 -Variance		✓		FHD-1m	✓	✓	✓
B_3 -Data Range		✓	✓	FHD-2m	✓		
B_3 -Variance		✓	✓	FHD-3m		✓	
/	/	/	/	GF		✓	

4.2. Implications of the Foliar C, N, and P Map

Considering the fact that the restoration of vegetation on degraded land is often limited by nutrient deficiency, C, N, and P maps can be used to examine nutrient limitation and storage in an area, so as to provide information supporting the post-restoration management of vegetation.

The C:N ratio reflects the vegetation's ability to assimilate carbon (C) in relation to nitrogen (N) and its efficiency in utilizing nitrogen; this ratio also indicates the carbon sequestration capacity of the plant [72]. A high C:N ratio implies a relatively higher content of C and a lower content of N in the leaves. This may occur in environments with limited N supply, or when plants grow under conditions rich in C but deficient in N. Plants with a high C:N ratio exhibit high N use efficiency but grow slowly because they require more time to acquire sufficient N to support growth [73]. However, a low C:N ratio signifies a relatively low C content and a higher N content in the leaves. This typically indicates that the studied plants have an ample N supply, possibly due to being in an N-rich environment or receiving N fertilizer. Plants with a low C:N ratio have rapid decomposition traits and

faster growth, with a relatively lower demand for C [73]. Plants with a moderate C:N ratio have a balanced content of C and N in their leaves. This usually suggests that plants are in a relatively balanced state in terms of C and N supply, are capable of adapting to various environmental conditions, and thrive under suitable conditions.

In the present study, the C:N ratios were calculated (Figure 9a). This figure shows that the *Pinus sylvestris* and *Populus* spp. communities had higher C:N ratios. This suggests that *Pinus sylvestris* and *Populus* spp. have relatively high N use efficiencies and stronger C sequestration capabilities, whereas *Sea buckthorn*, *Artemisia oleifera*, *Amorpha fruticosa*, and *Caragana* spp. have lower N use efficiencies and weaker carbon sequestration capabilities. The C:N ratios for the *Salix* spp. and *Herbaceous* plant communities were relatively balanced.

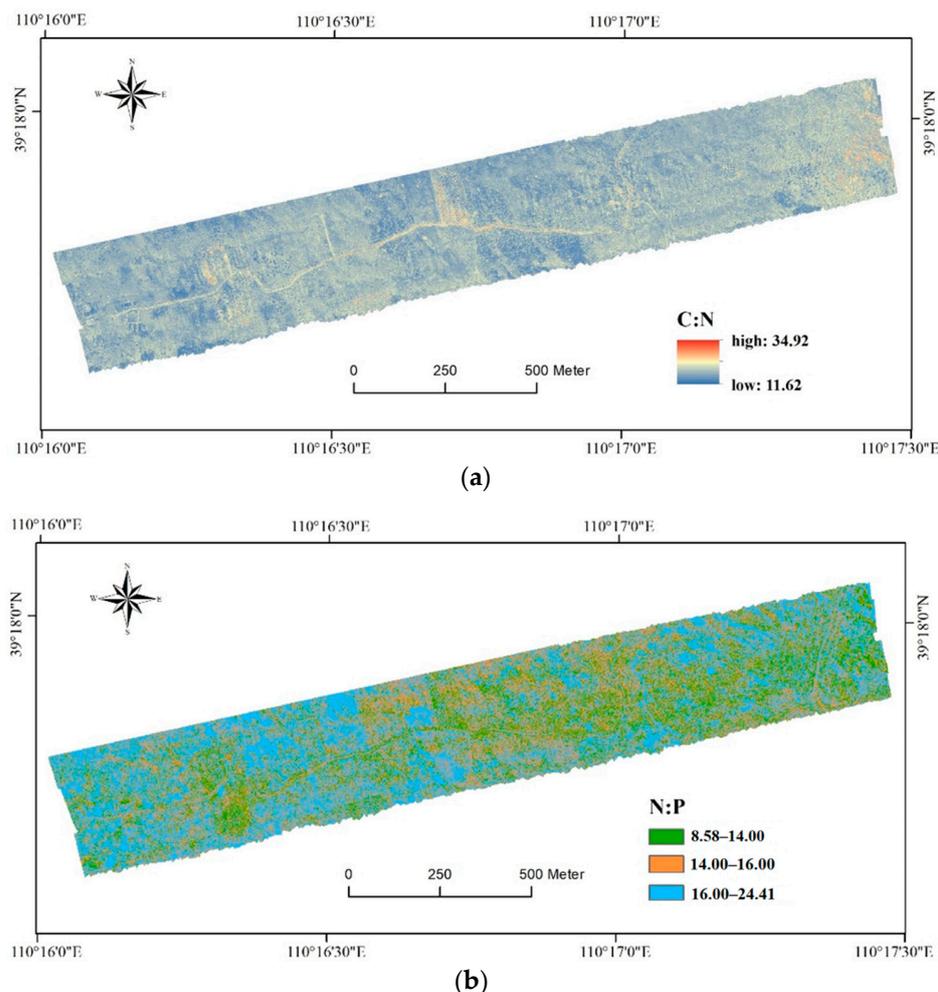


Figure 9. Maps of the foliar (a) C:N and (b) N:P ratios in the study area.

The N:P ratio reflects the availability of N and P in plants; the availability of N and P is a critical factor that can limit plant growth [74]. Therefore, analyzing the N:P ratio in plants can provide insights into the growth and nutrient limitations of plants. Typically, when the N:P ratio is between 14 and 16, plants are not limited by N or P or are co-limited by both. In contrast, when the N:P ratio is less than 14, plants are primarily limited by N, while when the N:P ratio is greater than 16, plants are mainly limited by P [75]. The N:P ratios for different plant canopies in the study area were generated from the N and P concentration maps (Figure 9b).

The blue region (46.62%) in Figure 9b primarily represents communities of *Amorpha fruticosa*, *Sea buckthorn*, and *Caragana* spp., with an N:P ratio greater than 16, indicating that these three plant communities were limited by P. The green region (20.06%) in the same figure mainly represents *Pinus sylvestris*, *Artemisia oleifera*, and *Salix* spp. com-

munities, with an N:P ratio of less than 14, indicating that these three plant communities were limited by N. The orange region (30.32%) in that figure mainly represents *Populus* spp. and *herbaceous* communities, with an N:P ratio between 14 and 16, suggesting that these two plant communities may either not have been limited by N or P or they may have been co-limited by both.

4.3. Limitations and Future Work

This study has some limitations that need to be noted. First, the plant communities in the study area are mixed. Although LiDAR provides high accuracy, the richness of plant community types results in lower estimation accuracy when compared with conditions in communities with vegetation types composed of a single species such as *wheat* or *corn* [75,76]. Second, the limited spectral bands (398–1002 nm) in the hyperspectral data allow for only a partial inversion of C, N, and P concentrations, because sensitivity to these elements is lacking in certain bands (1000–2000 nm). Third, seasonal or monthly variations may affect the effectiveness of the developed approach. We suggest assessing the C, N, and P concentrations during the maturity period of vegetation growth. Moreover, the C, N, and P concentrations of different vegetation types should be conducted in the same observing time.

The developed approach is more suitable for semi-arid regions with less diverse vegetation. It can also be used in farmland, plantations, and natural forests to quickly assess foliar C, N, and P concentrations for fertilization management and ecosystem health assessment. For implementing the developed approach, the recommended procedures include, first, acquiring LiDAR and hyperspectral data by UAV, extracting the sensitive features using LiDAR 360 V6.0 software and ENVI 5.0 software, as shown in Table 6, training random forest models to generate maps of C, N, and P concentrations, and then validating the results. If the accuracy cannot be accepted, change the sensitive features and re-train the models, and, if accepted, the maps could be applied to show the status of foliar C, N, and P.

The technology employed in this study demonstrates significant potential, offering a synergistic approach for estimating foliar C, N, and P concentrations in plant communities and revealing their responses to ecological changes. Moreover, the monitoring results can be applied to ecological restoration management, ecosystem health assessment, and plant stress identification. The research shows substantial spatial heterogeneity, significantly reducing estimation accuracy, particularly in forests restored by humans that are often under C, N, and P limitations. The maps of C, N, and P could be used to explain the driving factors, such as soil, terrain, and vegetation, of restoration success. Considering that plant community types have a significant impact on LiDAR and hyperspectral features, in the future, it can be considered to classify mixed plant communities first, and then establish estimation models for C, N, and P concentration for each plant community type separately, reducing inter-class differences in data and achieving the goal of improving evaluation accuracy. In addition, this study only used shallow features from remote sensing images, such as plant height and vegetation index information. In the future, deep network models can be used to extract deep features, such as abstract semantic information from remotely sensed images and LiDAR point cloud data, to improve evaluation accuracy and efficiency. Expanding monitoring capabilities using satellite data to acquire C, N, and P information for ecological assessments or vegetation health monitoring is crucial for overcoming the limitations of traditional monitoring based on vegetation indices such as coverage and greenness.

5. Conclusions

This study used LiDAR and hyperspectral data to extract spectral features, textural features, vegetation indices, height features, and vegetation structural parameters for eight dominant plant communities in the Shendong Mining Area in China. Three models, namely,

causal bands, multiple linear regression, and random forest models, were developed and tested in an ecological restoration area in northern China.

The key findings and conclusions of this research suggest that LiDAR and hyperspectral data can be used to effectively monitor the C, N, and P concentrations in a mixed plant community zone within an ecological restoration area. The random forest model demonstrated the best performance, with the optimal feature combination being hyperspectral + LiDAR data. This study revealed that C, N, and P concentrations effectively reflect differences among various regions and communities, indicating the stress levels on plants often caused by a lack of these elements. This approach offers a rapid and cost-effective means of acquiring related data, providing valuable information for the management and successful assessment of an ecological restoration area.

Based on the experience of this study, it is necessary to utilize hyperspectral data with a broader range of bands and perform classification for plant communities in this type of study. An extended spectral range can provide richer information for analyzing the spatial heterogeneity of foliar C, N, and P concentrations and their stress on vegetation growth after restoration. Plant classification may reduce the spatial and spectral uncertainties introduced by plant community heterogeneity, offering the potential to enhance the accuracy of predicting plant C, N, and P concentrations. Using this information for the analysis of C, N, and P limitations and ecosystem health assessment will contribute to a more precise understanding of ecosystem restoration.

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