



Communication Structural Elucidation of the Triethylammonium Betaine of Squaric Acid⁺

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- [†] Dedicated to Professor Franz Bracher on the occasion of his 65th birthday.

Abstract: Betaines of squaric acid have gained research interest because of their structural and spectral properties. We elucidated the crystal and molecular structure of the triethylammonium betaine of squaric acid (1) by X-ray crystallography, IR, and NMR spectroscopy augmented by Hirshfeld surface analysis and DFT calculations. The crystal structure determination using Hirshfeld atom refinement reveals that the resonance hybrid structure with partial enolate character of the two lateral squaric acid C=O groups describes **1** best. The solid-state supramolecular structure features weak intermolecular C–H···O hydrogen bonds. The number of C=O bands in the IR spectrum in the solid-state is consistent with local C_{2v} symmetry of the squaric acid residue in **1**. The ¹³C NMR signals of this group in solution were assigned based on 2D NMR experiments and computational prediction using the Gauge-Independent Atom Orbital (GIAO) method. The present study provides the first structural characterization of a betaine of squaric acid containing a four-coordinate nitrogen atom directly attached to the four-membered ring.

Keywords: squaric acid; betaine; crystal structure; Hirshfeld surface analysis; IR spectroscopy; NMR spectroscopy; DFT calculation; hydrogen bonding

1. Introduction

The name betaine originates from the naturally occurring compound *N*,*N*,*N*-trimethyla mmonioacetate and has been expanded to similar zwitterionic molecules having separate positively and negatively charged functional groups that cannot be described without formal charges [1]. The positively charged group that bears no hydrogen atom is usually an onium moiety, and the negatively charged group may be a carboxylate, sulfonate, or phenolate group, for example. In the 1980s, Schmidt et al. were the first to describe a variety of betaines of squaric acid [2–4]. In particular, pyridinium betaines of squaric attracted research interest because of their spectral properties and potential applications as non-linear optical materials [5–7].

In the course of our studies of squaramides as antimycobacterial drug candidates [8], we unintentionally isolated the triethylammonium betain of squaric acid, the title compound (1). Scheme 1 shows three resonance structures (I–III) and the hybrid structure IV for the compound. In I and III, the squarate moiety exhibits formal enolate character, whereas resonance structure II with adjacent formal charges represents an *N*-ylide rather than a betaine.

Herein, we report a combined crystallographic, IR and NMR spectroscopic and computational study on the triethylammonium betaine of squaric acid. To the best of our knowledge and based on a search of the Cambridge Structural Database (CSD) via WebCSD



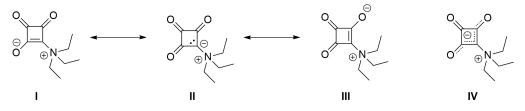
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Copyright: © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). in August 2023 [9], **1**, originally reported in the literature nearly 30 years ago [2], is the first structurally characterized betaine of squaric acid containing a four-coordinate nitrogen atom attached directly to the four-membered ring.



Scheme 1. Resonance structures (I, II, and III) and hybrid structure (IV) of 1.

2. Results and Discussion

2.1. Structural Description of 1 in the Solid-State

Compound 1 was obtained when squaric acid was treated with trifluoromethanesulfonic anhydride and triethylamine. It forms pale yellow-greenish crystals (Figure S1). The structure was unambiguously confirmed by X-ray crystallography. The compound crystallized in the monoclinic centrosymmetric space group $P2_1/n$. Figure 1 shows the molecular structure of 1 in the crystal and a structure overlay diagram with the DFToptimized molecular structure (B3LYP/G def2-TZVPP). Table 1 compares selected bond lengths and angles. The structure overlay reveals that the molecular structure in the crystal is close to the DFT-calculated minimum energy structure of the isolated molecule. The root mean square deviation (RMSD) is 0.1444 Å for all atoms. As can be seen in Figure 1b, the difference between the two structures is essentially a ca. 10° rotation of the triethylammonium group about the C1–N1 bond, which most likely results from packing effects in the crystal. The eight-membered C_4NO_3 fragment is almost planar (RMSD: 0.035 Å). A deformation density plot illustrates the bent bond feature of the four-membered carbon ring (Figure S2), indicating strain, as previously described for the squarate ion [10]. In the crystal, the C2–O1 and C4–O3 bond lengths are equal within 3σ , whereas the C3–O2 bond is significantly shorter than the C2–O1 and C4–O3 bonds by ca. 0.02 Å. Within the four-membered ring, the C1–C2 and C1–C4 and the C2–C3 and C3–C4 bond lengths each are likewise equal within 3σ , but the average length of the C1–C2 and C1–C4 bonds is significantly longer by ca. 0.10 Å than the average of the C2–C3 and C3–C4 bond lengths. These geometric parameters indicate that the resonance hybrid structure IV in Scheme 1 is the predominant form rather than the *N*-ylide structure II. This is consistent with previous structure reports of betaines of squaric acid containing a three-coordinate nitrogen atom [11–17] and the triphenylphosphonium betaine (CSD refcode: PIDDEL) [18], the only previously reported structurally characterized betaine of squaric acid featuring a four-coordinate group 15 atom. The CSD entry FEFLEI as an exception exhibits slightly different lateral C–O bond lengths, obviously resulting from intramolecular hydrogen bonding [19]. The C1–N1 bond in 1 is longer by ca. 0.05 A than the average $C-N(sp^2)$ bond length in betaines of squaric acid with a three-coordinate nitrogen atom [11–17,19], but as expected markedly shorter by ca. 0.30 Å than the corresponding C-P bond in PIDDEL. The corresponding C–N distances in betaines of squaric acid in which the formal positive charge resides elsewhere in the molecule instead of the nitrogen atom bonded to the four-membered ring are significantly shorter than the C1-N1 bond length in 1 by ca. 0.12 Å, reflecting the squaramide nature of these structures [20-23]. The C4–C1–C2 bond angle in 1 is comparable to the average of the corresponding bond angle of 96.2° of the aforementioned previously reported betaines [11–17,19]. In PIDDEL, the corresponding angle is markedly smaller at 94.6 $(1)^{\circ}$ [18].

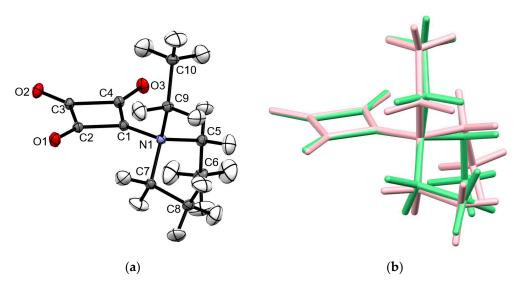


Figure 1. (a) Molecular structure of **1** in the crystal. Displacement ellipsoids are drawn at the 50% probability level. (b) Structure overlay plot of the C_4O_3 entities of the molecular structure in the crystal (green) and the DFT-optimized structure of the free molecule (pink).

	X-ray	DFT
C1-C2	1.4351(4)	1.430
C1-C4	1.4364(4)	1.435
C2-C3	1.5383(4)	1.559
C3-C4	1.5380(5)	1.566
C1-N1	1.4521(4)	1.461
C2-O1	1.2236(4)	1.220
C3–O2	1.2035(4)	1.193
C4-O3	1.2249(4)	1.217
C4-C1-C2	96.14(3)	97.80
N1-C1-C2	130.27(3)	127.68
N1-C1-C4	133.30(3)	134.33
C3-C2-C1	87.93(2)	87.58
O1-C2-C1	137.52(3)	135.51
O1-C2-C3	134.49(3)	136.90
C4-C3-C2	87.96(2)	87.45
O2-C3-C2	136.12(3)	136.59
O2-C3-C4	135.82(3)	136.94
C3-C4-C1	87.89(2)	87.14
O3-C4-C1	138.00(3)	137.18
O3-C4-C3	134.06(3)	135.67

Table 1. Selected bond lengths and angles for $1 (\text{\AA}, \circ)$.

According to Kitajgorodskij, the space group P_{21}/n is available for the densest packing of molecules of arbitrary shape. In the crystal, the molecules of **1** are found densely packed, as indicated by a high packing index of 78.0% [24]. Dipole–dipole interactions between the zwitterionic molecules can be considered the dominant intermolecular interaction in the solid state. Figure 2 depicts a section of the crystal structure and the molecular electrostatic potential mapped on the Hirshfeld surface. It can be seen that the positive charge is distributed over the triethylammonium group, whereas the negative charge is mainly located on the C₃O₃ entity. The distance between the mean planes through the four-membered rings stacked about a center of symmetry is 3.20 Å and the corresponding centroid-centroid distance is long at 4.15 Å. The solid-state supramolecular structure of **1** features short C–H…O contacts between an ethyl group and a carbonyl group with partial enolate character (Figure 3), which are indicative of weak hydrogen bonds (Table 2) [25]. It is reasonable to assume that the opposite partial charges on the triethylammonium group and the C_3O_3 entity facilitate weak intermolecular hydrogen bonding in this case. The strands of molecules so formed extend along a 2_1 screw axis parallel to the crystallographic *b*-axis direction. A Hirshfeld surface analysis and the corresponding 2D fingerprint plot reveal the dominance of the short O···H contacts, resulting from the accepting bifurcated intermolecular C–H···O hydrogen bonding (Figure 4).

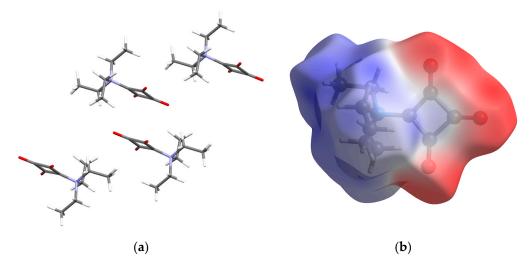


Figure 2. (a) Section of the crystal structure of 1, viewed along the [011] direction. (b) Molecular electrostatic potential of 1 mapped on the Hirshfeld surface over the range -0.1 au (red), through zero (white) to 0.1 au (blue). Color scheme for the atoms: C, gray; H, white; N, blue; O, red.

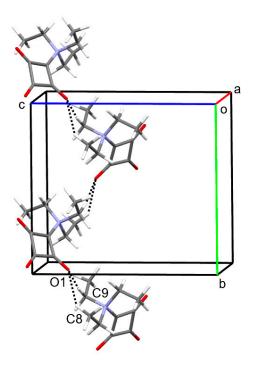


Figure 3. Part of the crystal structure of 1, viewed approximately along the *a*-axis direction, showing $C-H\cdots O$ hydrogen-bonded chains extending along a 2_1 screw axis parallel to the *b*-axis direction. Color scheme: C, gray; H, white; N, blue; O, red.

$D-\mathrm{H}\cdots A^{1}$	<i>d</i> (<i>D</i> -H)	<i>d</i> (H··· <i>A</i>)	$d(D \cdots A)$	<(DHA)
$C8-H8c\cdotsO1^{i}$	1.080(4)	2.368(5)	3.2624(4)	139.1(4)
$C9-H9b\cdotsO1^{i}$	1.080(4)	2.328(4)	3.3996(4)	171.2(4)

Table 2. Intermolecular hydrogen bond geometry for $1 (Å, \circ)$.

¹ Symmetry code: (i) $\frac{1}{2} - x$, -1/2 + y, 3/2 - z.

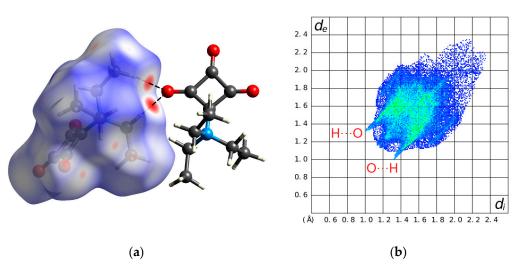


Figure 4. (a) Hirshfeld surface mapped with d_{norm} (red areas indicate short contacts) and (b) the corresponding 2D fingerprint plot for 1. Color scheme for the atoms: C, gray; H, white; N, blue; O, red.

2.2. FT-IR Spectroscopy

An FT-IR spectrum of **1** was measured in the range of 4000–400 cm⁻¹ (Figure S3). The IR bands arising from vibrations of the carbonyl groups are of particular interest. Assuming local C_{2v} point group symmetry for the C₄O₃ fragment, three C=O bands (2A₁ + B₂) are expected. Indeed, the experimental IR spectrum exhibits three intense bands in the respective region (Table 3). These agree with those reported by Schmidt et al., albeit without detailed assignments [2]. Thus, we made tentative assignments based on DFT calculations (B3LYP/G def2-TZVPP) and the literature [6,13,26,27]. The band at 1789 cm⁻¹ is assigned to the vibrational mode that can be predominantly described as C=O stretching vibration parallel to the local C_2 axis. The band observed at 1735 cm⁻¹ is ascribed to the mode that can be described essentially as stretching vibration of the two lateral C=O groups symmetric to the C_2 axis, whereas the strongest band in the spectrum (1614 cm⁻¹) belongs to the corresponding stretching mode antisymmetric with respect to the C_2 axis.

Table 3. Selected experimental (ATR) and theoretical (DFT) IR bands (cm^{-1}) of **1**.

ATR	DFT ¹	Assignment ²	Symmetry
1789	1778	C3–O2 stretch	A_1
1735	1750	C2–O1 and C4–O3 symmetric stretch	A_1
1614	1633	C2–O1 and C4–O3 asymmetric stretch	B ₂

¹ Scaled by 0.96 (empirical fit, see ref. [28]). ² Atom labels are the same as in Figure 2a.

2.3. NMR Spectroscopy

Compound 1 was also studied by NMR spectroscopy. The ¹H NMR spectrum in methanol- d_4 at room temperature (Figure S4) exhibits one set of signals for the ethyl groups, consistent with C_3 symmetry of the triethylammonium group in solution. The ali-phatic region of the ¹³C NMR spectrum (Figure S5) shows two signals corresponding to the methyl (8.4 ppm) and methylene groups (55.4 ppm). Consistent with local C_{2v} symmetry, three ¹³C NMR signals arise from the C₄O₃ unit (Figure 5 and Table 4). For the related 2-imidazol

[1,2-a]pyridine of squaric acid, similar ¹³C NMR shifts have been reported for these carbon atoms but assignments have not been made [14]. We assign the signal with the largest downfield shift (211.0 ppm) to C3. The signal at 169.1 ppm can be attributed to C1 based on a cross peak from the methylene protons in the 2D ¹H,¹³C HMBC NMR spectrum (Figure S7). The remaining signal at 193.4 ppm is assigned to the chemically equivalent nuclei of C2 and C4 based on their relative integral compared to C1 and C3. The shift of the signal assigned to C3 is also close to that reported for cyclobutanone (208.6 ppm), whereas that attributed to C2, C4 is similar to that reported for cyclobutane-1,3-dione (197.9 ppm) [29]. The NMR shifts for 1 predicted computationally using the Gauge-Independent Atom Orbital (GIAO) method corroborate the assignments made.

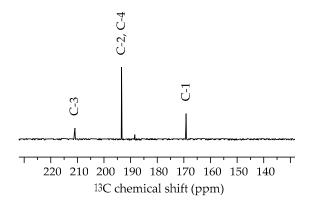


Figure 5. Part of the ¹³C NMR spectrum of **1** in methanol- d_4 at room temperature. The full spectrum can be found in the Supplementary Materials (Figure S5).

Table 4. Experimental and predicted ¹³C NMR shifts (ppm) for the C₄O₃ fragment in 1.

δ (Methanol- d_4)	GIAO ¹	Assignment ²
211.0	222.7	C3
193.4	200.9, 196.9	C2, C4
169.1	174.5	C1

¹ Gauge-Independent Atom Orbital (GIAO) method. ² Atom labels are the same as in Figure 2a.

3. Materials and Methods

3.1. General

All chemicals were of reagent-grade quality and used as received. Dichloromethane was stored over molecular sieves (4 Å). The IR spectrum was recorded on a Bruker Alpha FT-IR spectrometer with a resolution of 4 cm⁻¹, using the ATR technique; 32 scans were accumulated (abbreviations: m = medium, s = strong). NMR spectra were recorded on a Bruker Avance Neo 600 MHz NMR spectrometer equipped with a BBO cryoprobe (abbreviations: t = triplet, q = quartet). Chemical shifts are reported relative to the residual solvent signals of methanol- d_4 ($\delta_{\rm H}$ = 3.31 ppm, $\delta_{\rm C}$ = 49.00 ppm). The ESI mass spectrum was measured on a Q ExactiveTM Plus Orbitrap mass spectrometer (Thermo Scientific, Bremen, Germany).

3.2. Synthesis and Crystallization of 1

Squaric acid (1.14 g, 10 mmol) was suspended in 30 mL of dichloromethane, and triethylamine (2.8 mL, 20 mmol) was added. After ultra-sonication for 2 min., the mixture was degassed with argon-bubbling for 5 min, and subsequently, the mixture was cooled to 0 °C in an ice bath. Trifluoromethanesulfonic anhydride (1.68 mL, 10 mmol) was added dropwise with stirring. After stirring for 12 h and allowing the reaction mixture to warm up to room temperature, a precipitate formed and an additional 2.8 mL of triethylamine (20 mmol) was added. After the mixture had become clear, the solvent was removed under reduced pressure. HPLC analysis suggested that the crude product (3.61 g) consisted of

ca. 45% **1** and large amounts of triethylammonium salts of squaric acid. Approximately 100 mg of the crude product were subjected to reversed-phase preparative HPLC (C18 column, acetonitrile/water gradient), which proved elusive. NMR analysis of the product thus obtained indicated a mixture of **1** and triethylammonium triflate. Crystallization from a solution in dichloromethane upon diffusion of heptane into the solvent afforded few pale yellow-green crystals of **1** after several days at room temperature, which were subjected to single-crystal X-ray diffraction and spectroscopic analyses. IR (ATR) ν 1789 [m, ν (C=O)], 1735 [m, ν_s (C=O)], 1614 [s, ν_{as} (C=O)] cm⁻¹. ¹H NMR (600 MHz, methanol- d_4) δ 3.84 (q, J = 7.2 Hz, 6H, CH₂), 1.35 (t, J = 7.2 Hz, 9H, CH₃) ppm. ¹³C NMR (151 MHz, methanol- d_4) δ 211.0, 193.4, 169.1, 55.4 (CH₂), 8.4 (CH₃) ppm. HRMS (ESI⁺): m/z 220.09433, calcd. for [C₁₀H₁₅NNaO₃]⁺ 220.09441.

3.3. X-ray Crystallography

The crystals were coated with perfluoropolyether PFO-XR75 and mounted using a MiTeGen cryo-loop. The X-ray diffraction data were measured on a Bruker AXS D8 Venture diffractometer, equipped with an Incoatec IµS Diamond microfocus X-ray source, Incoatec multilayer optics, and a CMOS Photon III detector. The APEX 4.1.0 software was used to control the diffractometer [30]. The raw data were processed with the SAINT V8.40B software [31] and corrected for absorption effects with SADABS-2016/2 [32], using the Gaussian method based on indexed crystal faces.

The crystal structure was solved with SHELXT [33] and an initial independent atom model (IAM) refinement was carried out with SHELXL-2019/3 [34]. The structure was subsequently refined using Hirshfeld atom refinement (HAR) with NoSpherA2 [35,36] in Olex2 [37,38] with anisotropic atomic displacement parameters (ADPs) for all atoms. Regarding IAM refinement versus HAR, we should note that the conclusions drawn would be essentially the same in the present case, but HAR has some advantages. In particular, a better bond precision (average s.u. 0.0091 Å for IAM versus 0.0004 Å for HAR) can be mentioned. Furthermore, HAR allows for refinement of anisotropic ADPs for hydrogen atoms and results in C–H bond lengths that are 0.1 Å longer than those obtained by the IAM refinement and closer to those that one would expect using neutron diffraction. Crystal data and refinement details are listed in Table 3. Structure pictures were drawn with Mercury [39]. Hirshfeld surface analysis was carried out with CrystalExplorer [40], and the molecular electrostatic potential was calculated with Tonto [41]. The packing index was calculated with PLATON [42].

Crystal data for 1: $C_{10}H_{15}NO_3$, $M_r = 197.236$, T = 100(2) K, $\lambda = 0.71073$ Å, monoclinic, space group $P2_1/n$, a = 7.4155(1), b = 10.9495(2), c = 11.9060(2) Å, $\beta = 90.101(1)^\circ$, V = 966.72(3) Å³, Z = 4, $\rho_{calc} = 1.355$ g cm⁻³, $\mu_{calc} = 0.100$ mm⁻¹, F(000) = 424.278, crystal size = $0.164 \times 0.096 \times 0.072$ mm, θ range = $2.53 - 33.14^\circ$, 189,165 reflections collected, 3695 reflections unique, $R_{int} = 0.0908$, observed reflections [$I > 2\sigma(I)$] 3236, 0 restraints, 262 parameters, S = 1.1708, R1 [$I > 2\sigma(I)$] = 0.0179, wR2 = 0.0344, $\Delta \rho_{max} = 0.1319$ eÅ⁻³, $\Delta \rho_{min} = -0.1342$ eÅ⁻³.

3.4. Computational Methods

DFT calculations were performed using ORCA (version 5.0) [43] with a B3LYP/G (VWN1) hybrid functional (20% HF exchange) [44–46], using a def2-TZVPP basis set [46] with an auxiliary def2/J basis [47]. Optimization of the structure used the BFGS method from an initial Hessian according to Almoef's model with a very tight self-consistent field convergence threshold [48]. Calculations were made on the free molecule of **1**. The optimized local minimum-energy structures exhibited only positive modes. Cartesian coordinates of the DFT-optimized structure of **1** can be found in the Supplementary Material. Structure pictures were generated with Mercury [39]. The DFT-calculated IR spectrum was evaluated with Avogadro [49]. ¹³C NMR shifts were predicted for the optimized structure of **1** with ORCA (version 5.0), using the default algorithm based on GIAOs [50,51]. The

predicted shifts are reported relative to tetramethylsilane, calculated at the same level of theory.

4. Conclusions

We characterized the structure of **1** in the solid state by X-ray crystallography, using Hirshfeld atom refinement, and IR spectroscopy, augmented by DFT calculations. Geometric parameters of the molecular structure indicate that the resonance hybrid structure is the best representation of the betaine. Within the four-membered ring, the bond angle at C1 attached to the nitrogen atom is ca. 8° larger than the average of the other three bond angles. The observed number of carbonyl bands in the IR solid-state agrees with the local C_{2v} symmetry assumed for the squarate moiety. Apart from close packing, dipole–dipole interactions and weak intermolecular C–H…O hydrogen bonds govern the supramolecular solid-state structure. Based on the HMBC spectrum and prediction by the GIAO method, we assigned ¹³C NMR signals arising from the squarate moiety.

Supplementary Materials: Cartesian coordinates of the DFT-optimized structures of 1; Figure S1: Microscope image of 1; Figure S2: F_{calc} (HAR)- F_{calc} (IAM) deformation density plot of 1; Figure S3: FT-IR spectrum of 1; Figure S4: ¹H NMR spectrum of 1 in methanol- d_4 ; Figure S5: ¹³C NMR spectrum of 1 in methanol- d_4 ; Figure S6: 2D ¹H, ¹³C-*edited*-HSQC NMR spectrum of 1 in methanol- d_4 ; Figure S7: 2D ¹H, ¹³C-HMBC NMR spectrum of 1 in methanol- d_4 ; Figure S8: ESI⁺ mass spectrum of 1 in methanol.

Author Contributions: Conceptualization, R.G. and R.W.S.; methodology, P.R.P., R.G., M.L. and R.W.S.; validation, R.G., M.L. and R.W.S.; formal analysis, R.G., M.L. and R.W.S.; investigation, P.R.P., R.G. and M.L.; resources, R.G., M.L. and P.I.; data curation, R.G., M.L. and R.W.S.; writing—original draft preparation, R.W.S.; writing—review and editing, R.G., M.L., A.R. and P.I.; visualization, R.G., M.L. and R.W.S.; supervision, A.R. and P.I.; project administration, R.W.S. All authors have read and agreed to the published version of the manuscript.

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Data Availability Statement: CCDC 2290391 contains the supplementary crystallographic data for this paper. The data can be obtained free of charge from the Cambridge Crystallographic Data Centre via www.ccdc.cam.ac.uk/structures (accessed on 4 October 2023). Cartesian coordinates for the DFT-calculated structure of 1 can be found in the Supplementary Materials.

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Conflicts of Interest: The authors declare no conflict of interest. The funders had no role in the design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript; or in the decision to publish the results.

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