

Article



### Pre-Operative Adiposity and Synovial Fluid Inflammatory Biomarkers Provide a Predictive Model for Post-Operative Outcomes Following Total Joint Replacement Surgery in Osteoarthritis Patients

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**Abstract:** A proportion of osteoarthritis (OA) patients are unsatisfied with post-operative outcomes following total joint replacement surgery (TJR), with insufficient pain relief or poor functional improvement. Predicting those who will have poor outcomes would be beneficial for patients and clinicians. The aim of this study was to determine the relationship between baseline anthropometric data and the concentration of pre-operative serum and peri-operative synovial fluid (SF) cytokines and 7-month post-operative outcomes in a cohort of knee and hip OA patients. 160 OA patients were recruited who were scheduled for TJR. The concentration of 24 cytokines was measured in blood and SF by multiplex assay. EQ5D index health status was assessed pre-operatively and at 7 months post-operatively. 13% of patients were identified as non-responders based on EQ5D index. Compared to responders, non-responders were of higher body mass index (BMI), had greater waist and hip circumference, and had higher levels of SF leptin but lower levels of SF resistin (p < 0.05). Linear regression analysis found a significant but weak relationship between pre-operative body weight and post-operative response ( $\Delta$ EQ5D index; r = 0.222, p = 0.049). The combination of body weight with SF amphiregulin and SF IL-6 provided an improved predictive model of post-operative response (r = 0.470, p = 0.035).

Keywords: osteoarthritis; pain; health status; cytokines; obesity; inflammation

### 1. Introduction

With an aging population and an increasing incidence of obesity, the number of total joint replacements performed each year is increasing and is projected to continue to increase [1]. The main indication for the procedure is osteoarthritis (OA). In 2018, more than 95,000 hip and 100,000 knee total joint replacement surgeries were performed in England, Wales and Northern Ireland. Unfortunately, based on the quality of life patient questionnaires (EQ5D and Oxford Knee Score), a proportion of patients report dissatisfaction following joint replacement surgery [2–4]. Approximately 10% of hip OA patients and 20% of knee OA patients report having a poor clinical outcome, mainly characterised by a lack of pain relief or poor improvement in function [5].

In attempting to identify prognostic biomarkers of poor post-operative outcome, several studies have examined the association between serum and synovial fluid concentrations of pro-inflammatory cytokines and pain in OA patients [6,7] since it is known that such inflammatory factors are capable of promoting the sensitisation of nociceptors [8–17]. Indeed, it has previously been reported that patient reported pain is associated with distinct patterns of synovitis [18,19]. Further, specific sites of patient-reported pain in knee OA patients are associated with greater synovitis, with synovial tissue that exhibited distinct fibroblast subsets that promoted neuronal growth and survival [20]. Furthermore, high



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**Copyright:** © 2024 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). concentrations of pre-operative synovial fluid TNF $\alpha$  and IL-6 were associated with increased post-operative pain at two-year follow-up [21]. In a separate study, a significant correlation was reported between peak C-reactive protein (CRP) levels and the level of pain and stiffness at 2 days post-operatively [22]. However, to date, no study has performed multiplexing profiling of both peri-operative synovial fluid and pre-operative serum cytokines and analysed their associations with post-operative joint replacement outcomes in patients with knee and hip OA [23]. Therefore, the aim of this study was to investigate firstly pre-operative serum and secondly peri-operative synovial fluid cytokines as potential predictive biomarkers of joint replacement outcome in a cohort of patients with osteoarthritis.

### 2. Materials and Methods

### 2.1. Patients

Following ethical approval (UK National Research Ethics Committee 14/ES/1044), 160 OA patients were recruited to the study who were scheduled to undergo elective total hip replacement surgery (n = 97) or total knee replacement surgery (n = 63) at either the Royal Orthopaedic Hospital, Birmingham, UK, or Russells Hall Hospital, Dudley, UK. Kellgren/Lawrence grade (K/L) grading of pre-operative X-ray radiographs was performed to determine OA severity [24]. Based on radiographic joint assessments, patients who exhibited secondary causes of OA were excluded from the study. Secondary causes of OA in the hip included developmental dysplasia, avascular necrosis, Perthes disease, slipped upper femoral epiphysis, and previous acetabular or femoral neck fractures. Secondary causes of OA in the knee included malalignment due to a previous fracture of the knee, tibia or femur, a history of significant ligament injury, and avascular necrosis. Pre-operatively, anthropometric data (including body mass index (BMI), fat%, waist and hip circumference and the respective waist-to-hip ratio) were recorded. Patients completed EQ5D [25] preoperatively and at 7 months post-operatively. Following completion of questionnaires, preand post-operative data were available for the EQ5D index (EQ5Di) and the five components of EQ5D, namely "mobility", "usual activities", "self-care", "pain/discomfort" and "anxiety/depression". Pre-operatively, blood samples were collected, and peri-operatively, synovial fluids were aspirated from the joint.

### 2.2. Quantification of Serum and Synovial Fluid Cytokines by Multiplex Bead Assay

To determine cytokine and chemokine concentrations in serum and synovial fluids, multiplex technology (Luminex<sup>®</sup> Screening Assay, R&D Systems, Abingdon, UK) was performed. In the case of synovial fluid, samples were treated with 2 mg/mL hyaluronidase as previously described [26]. Multi-plex analysis was performed according to the manufacturer's instructions. In brief, 50 µL of a 1× antibody magnetic bead stock (Adiponectin, Serpin E1, Aggrecan, Amphiregulin, CCL11, CCL2, CCl3, CCL20, Chemerin, CXCL10, Dkk1, Galectin-1, gp130, IL1 $\beta$ , IL10, IL15, IL7, Visfatin, TNF- $\alpha$ , Galectin-3, Galectin-3BP, Lipocalin-2, CCL-4, FABP4, LIF, Leptin, IL6, Resistin and MMP-1, -2, -3, -7, -8, -9, -10, -12, -13) was added to each well of a 96-well plate. 50 µL of standard solution, serum or hyaluronidase-treated synovial fluid was then added to relevant wells and incubated for 2 h. Post-incubation, the plate was washed 3× with wash buffer, and 50 µL of a biotinylated antibody was added to all wells. Following a 1 h incubation, the plate was washed 3× and 50 µL of diluted streptavidin-PE was added to all wells. After a further 30 min incubation in the dark, the plate was washed as before and cytokine concentrations analysed using a Luminex<sup>®</sup> 200<sup>TM</sup> instrument (Luminex<sup>®</sup> Corporation, Austin, TX, USA).

#### 2.3. Statistical Analysis

Data distribution was assessed using Kolmogorov–Smirnov test and was found to not be normally distributed. Chi square tests were used to determine differences in numbers of patients between responders and non-responders in the different categorisation levels L1–L3 for each of the EQ5D components. Mann–Whitney tests were performed to determine significance. Data are presented as medians with an interquartile range (IQR). Linear regression analysis was performed (using SPSS v24 software) to determine the relationships between baseline anthropometric, disease severity characteristics, and cytokine concentrations and the change in the pre-operative and 7-month post-operative EQ5D index ( $\Delta$ EQ5D). Univariate linear regression was performed, followed by multivariate regression to account for potential confounders including joint severity (K/L grade, joint space), BMI, age, waist and hip circumference and body weight. Significance was accepted as *p* < 0.05.

#### 3. Results

## 3.1. Identification of Patients with Poor Post-Operative Outcomes and Analysis of EQ5D Index Components

Based on the pre-operative and post-operative EQ5D index, 87% (n = 139) of patients had positive post-operative outcomes and were classified as 'responders'. The remaining 13% (n = 21) of patients who either had a negative outcome or no improvement were classified as "non-responders" (Figure 1a,b). When examining the outcome of hip and knee joint replacement separately, 89% (n = 86) of hip OA patients had positive responses following hip replacement, and 84% (n = 53) of knee OA patients had positive responses following knee replacement (Figure 1a,b).

For the pain/discomfort EQ5D component, 72% (n = 123) of patients improved following the surgery. When examining outcomes between hip and knee joint replacement separately, 77% (n = 81) of hip OA patients had positive pain/discomfort improvement following hip replacement, and 63% (n = 42) of knee OA patients had positive pain/discomfort improvement following knee replacement (Table 1).



**Figure 1.** Responders and non-responders defined by change in the pre-operative and 7-month post-operative EQ5D index. (a) responder patients, (b) non-responder patients. \*\*\* = significantly different between pre- and post-joint replacement surgery, p < 0.001.

Analysis of individual EQ5D components showed that responders improved significantly (p < 0.001) in each of the EQ5D components, namely mobility, self-care, usual activities, pain/discomfort and anxiety/depression. The greatest improvements were in the mobility EQ5D component, with 100% of hip OA and 100% of knee OA responder patients reporting no problem with their post-operative mobility (Table 1). Notably, the EQ5D pain component showed the least improvement post-operatively, with 15% of hip OA responders and 43% of knee OA responders still reporting "Level 2, some problem". In contrast, those patients identified as non-responders saw no improvement in any of the EQ5D components (Table 1).

**Table 1.** Pre- and post-operative EQ5D index. The EQ5D index was assessed against five different components: mobility, self-care, usual activities, pain/discomfort and anxiety/depression, with each component scored as either L1 (no problem), L2 (some problem) or L3 (severe problem). Data is represented as % and number of patients (% (n)) for each component and each level of EQ5D. Dark green, mid-dark and light green-coloured cells represent >75%, >50% and greater than 25% improvement between pre- and post-operative patient numbers. The Chi square test compared the post-operative % of patients at each level for each of the five EQ5D components, with ‡ representing *p* < 0.001 significantly different between responders and non-responders.

Non- Responders	Mob	oility	Self-	Care	Usual A	ctivities	Pain/Dis	scomfort	Anxiety/D	epression
All	Pre	Post	Pre	Post	Pre	Post	Pre	Post	Pre	Post
L1	19%(14)	18%(13)	80%(91)	75%(86)	17%(12)	13%(9)	4%(2)	4%(2)	80%(95)	77%(92)
L2	81%(60)	82%(61)	20%(23)	24%(27)	80%(57)	83%(59)	89%(41)	89%(41)	19%(23)	22%(26)
L3	0%(0)	0%(0)	0%(0)	1%(1)	3%(2)	4%(3)	7(3)	7(3)	1%(1)	1%(1)
Hips	Pre	Post	Pre	Post	Pre	Post	Pre	Post	Pre	Post
L1	23%(9)	23%(9)	75%(45)	70%(42)	23%(9)	21%(8)	10%(2)	10%(2)	79%(54)	79%(53)
L2	78%(31)	78%(31)	25%(15)	30%(18)	74%(29)	74%(29)	76%(16)	76%(16)	19%(13)	21%(14)
L3	0%(0)	0%(0)	0%(0)	0%(0)	3%(1)	5%(2)	14%(3)	14%(3)	2%(1)	2%(1)
Knees	Pre	Post	Pre	Post	Pre	Post	Pre	Post	Pre	Post
L1	15%(5)	12%(4)	85%(46)	82%(44)	9%(3)	3%(1)	0%(0)	0%(0)	80%(41)	77%(39)
L2	85%(29)	88%(30)	15%(8)	17%(9)	88%(28)	94%(30)	100%(25)	100%(25)	20%(10)	24%(12)
L3	0%(0)	0%(0)	0%(0)	2%(1)	3%(1)	3%(1)	0%(0)	0%(0)	0%(0)	0%(0)
Responders	Mob	oility	Self-Care		Usual Activities		Pain/Dis	comfort	Anxiety/Depression	
All	Pre	Post ‡	Pre	Post ‡	Pre	Post ‡	Pre	Post ‡	Pre	Post ‡
L1	0%(0)	100%(91)	0%(0)	96%(48)	0%(0)	90%(86)	0%(0)	76%(93)	0%(0)	92%(44)
L2	100%(91)	0%(0)	96%(48)	4%(2)	87%(83)	10%(100	54%(66)	24%(30)	88(42)	8%(4)
L3	0%(0)	0%(0)	4%(2)	0%(0)	14%(13)	0%(0)	46%(57)	0%(0)	13%(6)	0%(0)
Hips	Pre	Post ‡	Pre	Post ‡	Pre	Post ‡	Pre	Post ‡	Pre	Post ‡
L1	0%(0)	100%(59)	0%(0)	95%(37)	0%(0)	89%(55)	0%(0)	85%(69)	0%(0)	91%(30)
L2	100%(59)	0%(0)	95%(37)	5%(2)	86%(53)	11%(7)	61%(49)	15%(12)	85%(28)	9%(3)
L3	0%(0)	0%(0)	5%(2)	0%(0)	15%(9)	0%(0)	40%(32)	0%(0)	15%(5)	0%(0)
Knees	Pre	Post ‡	Pre	Post ‡	Pre	Post ‡	Pre	Post ‡	Pre	Post ‡
L1	0%(0)	100%(32)	0%(0)	100%(11)	0%(0)	91%(31)	0%(0)	57%(24)	0%(0)	93%(14)
L2	100%(32)	0%(0)	100%(11)	0%(0)	88%(30)	9%(3)	41%(17)	43%(18)	93%(14)	7%(1)
L3	0%(0)	0%(0)	0%(0)	0%(0)	12%(4)	0%(0)	60%(25)	0%(0)	7%(1)	0%(0)

## 3.2. EQ5D Non-Responders Exhibited Greater Pre-Operative Adiposity and Differential Concentrations of Peri-Operative Synovial Fluid Resistin and Leptin

The baseline pre-operative characteristics, including anthropometric data, disease severity and serum/synovial fluid cytokines, were compared between responder and non-responder patients. Compared to responder patients, non-responder patients had significantly greater BMI (p < 0.05), waist circumference (p < 0.05), and hip circumference (p < 0.05), but not waist-to-hip ratio. However, there was no difference in either K/L grade or joint space between responder and non-responder patients, suggesting that OA joint grade severity at the time of surgery was not a factor in post-operative outcomes (Table 2).

In total, we determined the concentration of 24 cytokines/adipokines in peri-operative synovial fluid and pre-operative serum, which have previously been reported to be associated with the inflammatory phenotype of OA [27–29]. There was no significant difference in the concentration of any of the 24 cytokines in the serum between responders and non-responder patients. However, comparison of peri-operative synovial fluid cytokine concentrations revealed that non-responders had significantly lower levels of resistin (0.97 ng/mL vs. 2.96 ng/mL, p < 0.05) but significantly greater levels of leptin (54.5 ng/mL vs. 16.3 ng/mL; p < 0.05), compared to responders (Table 2).

	All P	atients	Resp	onders	Non-Responders		
Age (years)	70 (62,75)		70 (6	52, 75)	72.5 (63.5, 76.5)		
Height (cm)	166 (160, 175)		167 (1	60, 175)	163 (160, 173)		
Weight (kg)	75.7 (62	7.4, 91.7)	75.3 (6	6, 89.9)	81.4 (73, 93.7)		
BMI $(kg/m^2)$	27.3 (24	4.8, 30.7)	26.8 (24	.6, 30,4) *	29.9 (27.5, 33.6) *		
WC (cm)	96.3 (8	5.3, 107)	96 (84	, 105) *	108 (91, 112) *		
HC (cm)	107 (9	99, 113)	106 (99	9, 112) *	113 (104, 121) *		
WHR	0.91 (0.	.84, 0.96)	0.91 (0.	84, 0.95)	0.92 (0.8	38, 0.98)	
Joint Space (mm)	0 (	0.1)	0 (	0, 1)	0 (0.3.3)		
K/L Grade	4 (	3, 4)	4 (	3, 4)	4 (2.	8,4)	
	Serum	Synovial Fluid	Serum	Synovial Fluid	Serum	Synovial Fluid	
$TNF\alpha (pg/mL)$	5.1 (4.2, 5.6)	5.1 (2.8, 9.5)	5.1 (4.2, 5.6)	5.1 (2.8, 9.5)	5.2 (4, 5.6)	5.1 (1.4, 14.0)	
Visfatin (ng/mL)	2.6 (1.8, 3.3)	0 (0, 32)	2.6 (1.8, 3.3)	0 (0, 36)	2.6 (1.8, 3.3)	0 (0, 0)	
IL10 $(pg/mL)$	4.81 (4.46, 4.99)	17.3 (12.2, 22.6)	4.8 (4.46,4.99)	17.3 (11.5, 21.6)	4.7 (4.46, 5.05)	21.6 (16.1, 31.3)	
IL1B $(pg/mL)$	15.9 (13.7, 17.8)	25.1 (9.6, 31.6)	15.9 (13.3, 17.8)	28.4 (9.0, 31.6)	16.1 (14.5, 18.2)	16.3 (7.2, 36.3)	
DKK1 (ng/mL)	3.3 (2.3, 4.7)	0.50 (0.35, 0.71)	3.3 (2.3, 4.7)	0.48 (0.35, 0.72)	3.6 (2.2, 4.7)	0.53 (0.41, 0.84)	
MIP1 $\alpha$ (ng/mL)	0.42 (0.03, 0.54)	0.34 (0.24, 0.39)	0.42 (0.03, 0.53)	0.34 (0.24, 0.39)	0.46 (0.02, 0.60)	0.43 (0.16, 0.46)	
gal1 (ng/mL)	43.9 (31.1, 58.0)	110 (87, 126)	40.7 (29.9, 57.7)	109 (86, 127)	53.4 (43.2, 67.8)	111 (90, 125)	
Chemerin (ng/mL)	5.6 (3.4, 7.9)	3.0 (2.4, 3.6)	5.6 (3.5, 8.0)	3.0 (2.4, 3.6)	4.8 (2.7, 6.8)	3.3 (3.1, 4.0)	
Eotaxin (pg/mL)	125 (61, 228)	28.9 (9.5, 42.6)	125 (62, 228)	28.9 (9.5, 42.6)	82 (61, 214)	28.9 (9.5, 42.6)	
gp130 (ng/mL)	93 (69, 105)	71.4 (61.6 76.8)	93 (69, 104)	71.4 (61.5, 77.2)	102 (56, 108)	67.6 (58.9, 76.8)	
ip10 (pg/mL)	23.4 (18.4, 32.4)	104.4 (70.7, 151.4	23.9 (18.4, 36.7)	106.3 (70.4, 152.9)	22.8 (17.4, 26.6)	98.9 (73.2, 265.1)	
MCP1 (ng/mL)	0.35 (0.25, 0.46)	0.30 (0.15, 0.61)	0.35 (0.25, 0.47)	0.29 (0.15, 0.64)	0.35 (0.27, 0.41)	0.30 (0.18, 0.42)	
IL7 $(pg/mL)$	2.7 (1.8, 3.9)	4.0 (2.8, 5.2)	2.7 (1.8, 3.4)	4.0 (2.8, 5.2)	2.9 (2.2, 4.4)	4.6 (4.0, 6.3)	
MIP $3\alpha$ (pg/mL)	34.4 (8.8, 59.9)	22.7 (13.1, 42.6)	33.5 (8.8, 54.7)	22.9 (13.8, 43.7)	62.8 (7.1, 71.2)	9.4 (7.3, 42.1)	
Amph (ng/mL)	0.59 (0.59, 0.59)	1.36 (0.55, 1.36)	0.59 (0.48, 0.59)	1.36 (0.55, 1.36)	0.59 (0.59, 0.59)	1.36 (0.78, 1.65)	
IL15 (pg/mL)	4.0 (3.0, 4.7)	31.1 (20.9, 35.2)	4.0 (3.0, 4.9)	31.1 (18.8, 34.9)	3.4 (3.1, 3.8)	34.9 (27.0, 37.3)	
Aggrecan (pg/mL)	163 (116, 217)	0 (0, 114)	163 (116, 217)	0 (0, 114)	116 (116, 204)	0 (0, 244)	
Resistin (ng/mL)	14.5 (11.2, 18.3)	2.90 (1.72, 5.19)	14.5 (11.2, 19.0)	2.96 (1.99, 5.70)	14.9 (8.7, 16.2)	0.97 (0.53, 2.65)*	
Serpin E1 (ng/mL)	135 (100, 167)	15.6 (8.5, 42.7)	137 (105, 167)	16.2 (8.4, 49.4)	113 (19, 165)	11.0 (6.6, 18.3)	
Adpn (µg/mL)	9.9 (6.34, 13.5)	2.7 (2.0, 4.6)	9.9 (6.8, 14.2)	2.8 (2.0, 5.3)	8.5 (1.4, 11.5)	2.5 (1.6, 3.2)	
IL6 (pg/mL)	2.1 (0.0, 2.7)	132 (57, 453)	2.1 (0, 2.8)	175 (64, 577)	0 (0, 2.0)	69 (8, 222)	
Leptin (ng/mL)	13.1 (6.7, 26.1)	17.3 (7.1, 54.6)	12.6 (6.3, 26.1)	16.3 (6.5, 48.1)	23.3 (12.7, 27.6)	54.5 (40.3, 67.9) *	
FABP4 (ng/mL)	18.0 (12.6, 28.2)	16.7 (8.2, 81.4)	17.9 (11.8, 27.2)	16.1 (7.7, 66.0)	18.8 (15.6, 41.0)	60.1 (11.7, 159.6)	
MIP1 $\beta$ (ng/mL)	152 (105, 184)	55.9 (0, 105.3)	150 (101, 187)	55.9 (0, 105.3)	128 (85, 165)	0 (0, 80.6)	

**Table 2.** Baseline patient characteristics between EQ5D index responders and non-responders. All values are shown as medians with IQR. \* = significantly different between responders and non-responders, p < 0.05. Amph = amphiregulin; Adpn = adiponectin.

3.3. The Relationship between Pre-Operative Anthropometric Data and Post-Operative Change in EQ5D

Performing linear regression analysis, we found that neither K/L grade nor joint space at the time of joint surgery was significantly related to  $\Delta$ EQ5D. Furthermore, despite non-responders having on average a significantly higher BMI, waist circumference and hip circumference, we did not observe a significant relationship between these variables and  $\Delta$ EQ5D. However, baseline body weight showed a weak but significant negative relationship to  $\Delta$ EQ5D ( $\beta$  = -0.005, r = 0.22, *p* = 0.049), suggesting that high body weight at baseline explains a proportion of the poor post-operative response (Table 3).

Table 3. The relationship between anthropometric data and  $\Delta EQ5D$  (pre- and 7-month post-operative) \*.

	Linear REGRESSION Coefficient (95% CI) †	r	<i>p</i> -Value
AGE	-0.003 (-0.012, 0.006)	0.065	0.564
Height (cm)	-0.005 (-0.013, 0.003)	0.137	0.228
Weight (kg)	-0.005 (-0.009, -0.00002)	0.222	0.049
BMI	-0.012 (-0.026, 0.003)	0.181	0.108
Waist circumference (cm)	-0.003 (-0.007, 0.0007)	0.193	0.104
Hip circumference (cm)	-0.003 (-0.007, 0.002)	0.145	0.225
WĤR	-0.456 (-1.35, 0.440)	0.121	0.313
Joint Space (mm)	-0.023 (-0.075, 0.029)	0.103	0.376
K and L grade	0.015 (-0.077, 0.106)	0.037	0.751

\* 95% CI = 95% confidence interval. † Change in EQ5D per unit increase in parameter.

# 3.4. The Relationship between Pre-Operative Serum and Synovial Fluid Cytokines and Post-Operative Change in EQ5D

Of the 24 cytokines quantified in the serum, we observed no significant relationship between cytokine concentration and  $\Delta$ EQ5D in either univariate or multivariate analysis (Table 4). Similarly, regression analysis of synovial fluid cytokines revealed no significant relationship between cytokine concentration and  $\Delta$ EQ5D in either univariate or multivariate analysis with confounders (Table 5). However, there was a trend for a positive relationship between synovial fluid concentrations of IL-6 and  $\Delta$ EQ5D (r = 0.288, *p* = 0.07) in univariate analysis, and a trend for a negative relationship between amphiregulin synovial fluid concentration and  $\Delta$ EQ5D in both univariate (r = 0.268, *p* = 0.09) and multivariate analysis (r = 0.619, *p* = 0.07).

**Table 4.** Relationship between pre-operative serum cytokines and  $\Delta$ EQ5D (pre- and 7-month post-operative) \*.

	Linear Regression Coefficient (95% CI) †	r	<i>p</i> -Value	Multiple Linear Regression Coefficient (95% CI) ‡	r	<i>p</i> -Value
TNF-α	-0.006(-0.04, 0.028)	0.046	0.715	-0.004 ( $-0.054$ , $0.046$ )	0.293	0.872
Visfatin	0.001 (-0.006, 0.07)	0.023	0.856	0.001 (-0.007, 0.008)	0.291	0.836
IL-10	-0.0003 ( $-0.005$ , $0.005$ )	0.013	0.92	-0.001 (-0.006, 0.005)	0.291	0.824
IL-1β	0.002 (-0.001, 0.006)	0.174	0.165	0.003 (-0.001, 0.007)	0.346	0.175
DKK1	-0.024 ( $-0.065$ , $0.017$ )	0.147	0.243	-0.031 ( $-0.082$ , $0.021$ )	0.344	0.239
MIP1a	0.042 (-0.223, 0.306)	0.04	0.754	0.014 (-0.307, 0.336)	0.292	0.928
Galectin1	-0.0008 ( $-0.003$ , $0.001$ )	0.097	0.442	-0.0001 (-0.003, 0.002)	0.292	0.932
Chemerin	-0.006 (-0.026, 0.013)	0.079	0.531	-0.007 ( $-0.032$ , $0.018$ )	0.301	0.586
Eotaxin	0.00014 (-0.00028, 0.00055)	0.082	0.519	0.00013(-0.001, 0.001)	0.284	0.968
gp130	-0.0014 ( $-0.004$ , $0.001$ )	0.170	0.175	-0.002(-0.004, 0.001)	0.336	0.230
IP10	0.00046 (-0.003, 0.004)	0.034	0.786	0.001 (-0.003, 0.005)	0.297	0.679
MCP1	0.004 (-0.059, 0.067)	0.017	0.895	0.028 (-0.049, 0.104)	0.308	0.472
IL-7	-0.018 ( $-0.076$ , $0.04$ )	0.079	0.531	-0.007 ( $-0.092$ , $0.078$ )	0.293	0.872
MIP3a	$6.8  imes 10^{-6}$ (-0.00013, 0.00014)	0.013	0.919	$6.02  imes 10^{-6}$ (-0.00016, 0.00014)	0.292	0.936
Amphiregulin	0.091 (-0.340, 0.522)	0.054	0.674	0.240 (-0.301, 0.780)	0.315	0.377
IL-15	0.002 (-0.012, 0.016)	0.034	0.788	0.001 (-0.016, 0.018)	0.290	0.920
Aggrecan	$7.5 \times 10^{-5}$ (-0.00014, 0.00029)	0.088	0.487	0.0004(-0.0001, 0.001)	0.365	0.106
Resistin	0.007 (-0.001, 0.016)	0.219	0.085	0.006 (-0.005, 0.016)	0.329	0.288
Serpin E1	0.0005 (-0.0006, 0.0016)	0.110	0.383	0.001 (-0.001, 0.002)	0.318	0.361
Adiponectin	0.008 (-0.004, 0.020)	0.165	0.193	0.006 (-0.01, 0.022)	0.310	0.467
IL-6	$1.9 imes 10^{-5}$ (-0.001, 0.009)	0.005	0.970	$-8.3  imes 10^{-5}$ (-0.001, 0.001)	0.293	0.881
Leptin	0.00019 (-0.004, 0.004)	0.012	0.925	0.00014 (-0.007, 0.007)	0.279	0.967
FABP4	0.001 (-0.001, 0.004)	0.145	0.252	0.001 (-0.002, 0.004)	0.308	0.494
MIP1β	0.0006 (-0.0004, 0.0016)	0.209	0.102	0.001 (-0.001, 0.002)	0.312	0.430

\* 95% CI = 95% confidence interval. † Change in EQ5D per unit increase in cytokine. ‡ Change in EQ5D per unit increase in cytokines, including age, body mass index, Kellgren/Lawrence grade, joint space, waist and hip circumference, waist-to-hip ratio and body weight in the regression equation.

Exploring this further, we examined using multiple regression whether the combination of body weight with amphiregulin and/or IL-6 peri-operative synovial fluid concentration would provide a better predictor of  $\Delta$ EQ5D (Table 6). Amphiregulin synovial fluid concentration with body weight was a significant predictor for the post-operative change in EQ5D (r = 0.434, *p* = 0.023), with the equation  $\Delta$ EQ5D = -0.108 [Amphiregulin ng/mL] -0.0006 [Body weight kg] + 1.052. Similarly, IL-6 synovial fluid concentration with body weight was also a significant predictor for the post-operative change in EQ5D (r = 0.418, *p* = 0.035), with the equation  $\Delta$ EQ5D =  $7.4 \times 10^{-5}$  [IL-6 pg/mL] -0.006 [body weight kg] + 0.871. Finally, the combination of both amphiregulin and IL-6 synovial fluid concentrations with body weight provided a marginal improvement in the relationship (r = 0.470, *p* = 0.035) with the equation  $\Delta$ EQ5D =  $8.3 \times 10^{-5}$  [IL-6 pg/mL] -0.142 [Amphiregulin ng/mL] -0.005 [Body weight kg] + 0.919.

	Linear Regression Coefficient (95% CI) †	r	<i>p</i> -Value	Multiple Linear Regression Coefficient (95% CI) ‡	r	<i>p</i> -Value
TNF-α	0.001 (-0.018, 0.020)	0.016	0.921	0.008 (-0.022, 0.037)	0.544	0.584
Visfatin	0.001 (-0.001, 0.003)	0.195	0.222	0.001 (-0.001, 0.004)	0.560	0.352
IL-10	-0.0004 ( $-0.010$ , $0.009$ )	0.012	0.940	-0.001 (-0.016, 0.013)	0.537	0.843
IL-1β	0.0005 (-0.006, 0.007)	0.024	0.881	0.002 (-0.006, 0.011)	0.544	0.589
DKK1	-0.073(-0.225, 0.08)	0.153	0.339	-0.120(-0.543, 0.302)	0.545	0.562
MIP1α	-0.284(-0.882, 0.313)	0.152	0.342	-0.633 (-0.155, 0.283)	0.587	0.166
Galectin1	0.0018 (-0.0019, 0.0056)	0.156	0.329	0.0003 (-0.006, 0.005)	0.536	0.906
Chemerin	-0.023 (-0.099, 0.052)	0.100	0.534	0.004 (-0.117, 0.126)	0.536	0.940
Eotaxin	-0.0007(-0.002, 0.001)	0.146	0.362	-0.001 (-0.002, 0.001)	0.545	0.559
gp130	-0.001 (-0.008, 0.006)	0.054	0.738	-0.003 (-0.013, 0.007)	0.545	0.565
IP10	0.00013 (-0.0005, 0.0007)	0.069	0.670	0.000019 (-0.001, 0.001)	0.535	0.960
MCP1	0.026 (-0.052, 0.105)	0.109	0.497	0.06 (-0.03, 0.155)	0.582	0.193
IL-7	-0.033(-0.093, 0.028)	0.172	0.283	-0.031(-0.112, 0.05)	0.533	0.438
MIP3a	0.002 (-0.002, 0.006)	0.164	0.307	0.001 (-0.005, 0.007)	0.541	0.654
Amphiregulin	-0.158(-0.343, 0.026)	0.268	0.09	-0.24 ( $-0.5$ , $0.021$ )	0.619	0.07
IL-15	-0.001 (-0.009, 0.008)	0.020	0.90	-0.003 (-0.016, 0.010)	0.543	0.615
Aggrecan	-0.0005 (-0.0015, 0.0005)	0.169	0.29	-0.0004 ( $-0.002$ , $0.001$ )	0.538	0.773
Resistin	0.0011 (-0.008, 0.010)	0.041	0.801	-0.002 (-0.016, 0.012)	0.538	0.777
Serpin E1	0.0018 (-0.0019, 0.0054)	0.154	0.338	0.001 (-0.006, 0.007)	0.537	0.808
Adiponectin	0.032 (-0.004, 0.069)	0.274	0.083	0.022 (-0.055, 0.098)	0.545	0.560
IL-6	0.0013 (-0.00001, 0.00028)	0.288	0.072	0.0001 (-0.00008, 0.0003)	0.569	0.223
Leptin	0.0002 (-0.0024, 0.0029)	0.028	0.868	0.0002 (-0.006, 0.006)	0.560	0.950
FABP4	0.00004 (-0.00009, 0.00018)	0.104	0.521	-0.001 (-0.003, 0.001)	0.552	0.348
MIP1β	0.00044(-0.0012, 0.0021)	0.089	0.585	-0.001(-0.003, 0.001)	0.566	0.239

**Table 5.** Relationship between pre-operative synovial fluid cytokines and  $\Delta$ EQ5D (pre- and 7-month post-operative) \*.

\* 95% CI = 95% confidence interval. † Change in EQ5D per unit increase in cytokine. ‡ Change in EQ5D per unit increase in cytokines, including age, body mass index, Kellgren/Lawrence grade, joint space, waist and hip circumference, waist-to-hip ratio and body weight in the regression equation.

Table 6. Multiple regression models.

	R	<i>p</i> -Value	Unstandardize Cytokine	d Coeffici BW	ents (B) Constant	Equation
Amph, BW	0.434	0.023	-0.108	-0.0006	1.052	ΔEQ5D = -0.108 [Amph ng/mL] - 0.0006 [BW kg] + 1.053
IL-6, BW	0.418	0.035	$7.4 imes10^{-5}$	-0.006	0.871	$\Delta EQ5D = 7.4 \times 10^{-5} [IL-6 ng/mL] - 0.006 [BW kg] + 0.871$
Amph, IL-6, BW	0.470	0.035	$-0.142 \text{ (Amph)} \\ 8.3 \times 10^{-5} \\ \text{(IL-6)}$	-0.005	0.919	$\Delta EQ5D = -0.142 \text{ [Amph ng/mL]} + 8.3 \times 10^{-5}$ [IL-6 ng/mL] $-0.005 \text{ [BW kg]} + 0.919$

Amph = amphiregulin; BW = body weight.

### 4. Discussion

This is the first study to profile pre-operative and peri-operatively 24 serum and synovial fluid cytokines and to analyse their associations with post-operative outcomes in knee and hip OA patients following joint replacement surgery. Based on the change in the EQ5D index, we identified a population of knee and hip OA patients as non-responders with little to no improvement in any of the five individual EQ5D components, including mobility, self-care, usual activities, pain/discomfort and anxiety/depression.

The comparison between the responder and non-responder groups revealed that on average, the non-responders were of greater adiposity, with a higher BMI and waist and hip circumferences. In line with this observation, non-responders also had significantly higher levels of the obesity-associated adipokine leptin in their joint synovial fluid at the time of joint replacement surgery. Interestingly, we observed no difference in the concentration of leptin in the pre-operative serum between responders and non-responders, suggesting that it is the local effect of adiposity on the joint that is more relevant to post-operative outcomes. Taken together, these observations infer that the obesity phenotype is associated with poor post-operative outcomes. Notably, obesity is a known risk factor for OA [30–33], and recently it was determined via molecular endotyping that obesity impacts the inflammatory synovial fibroblast phenotype of not only load-bearing (e.g., knees and hips) [27,28] but also non-load bearing joints such as the hands [34]. Furthermore, we and others have previously reported that obesity impacts the phenotype of multiple tissues within the synovial joint, including cartilage [35], subchondral bone [36] and skeletal muscle [37,38]. This is in line with a recently published meta-analysis that demonstrated that pre-surgical obesity was associated with worse clinical outcomes of joint replacement procedures [39]. Furthermore, peri-operative levels of synovial fluid leptin were previously reported to significantly correlate with the pre-operative level of pain reported in patients with hip OA [7].

Given the association we observed between higher adiposity and leptin in nonresponders, conversely, we found that synovial fluid levels of the adipokine resistin were lower in non-responders. However, in humans, there is conflicting data on the association of resistin with obesity, with some studies reporting no difference in circulatory levels of resistin between obese and non-obese individuals [40] and resistin levels not changing upon weight loss [41].

In attempting to establish a predictive model for post-operative outcomes, we performed a linear regression analysis of baseline anthropometric and cytokine profiles with a change in the EQ5D index from pre- to post-operation. Firstly, our analysis found no relationship between pre-operative K/L grade or joint space with  $\Delta$ EQ5D, suggesting that disease severity at the time of surgery does not impact the likely outcome. Furthermore, despite finding that peri-operative concentrations of both resistin and leptin were different between responders and non-responders, neither were found to be significantly related to  $\Delta$ EQ5D when undertaking linear regression analysis. However, our finding that body weight was significantly but negatively related to  $\Delta EQ5D$  provides further support for the notion that increased adiposity is a likely predictor of poor post-operative outcomes. Despite not finding a significant relationship between  $\Delta EQ5D$  and either the serum or synovial fluid concentration of any one individual cytokine, the synovial fluid concentrations of amphiregulin and/or IL-6 in combination with body weight provided a model that could explain a proportion of the  $\Delta$ EQ5D response. Synovial fluid concentrations of IL-6 were on average greater in responders and were positively related to  $\Delta$ EQ5D in the predictive model. A substantial body of evidence has implicated IL-6 as a pro-inflammatory mediator, and its expression within the OA joint has been related to both joint severity and OA progression. Mechanistically, IL-6 has been purported to mediate both degradative (e.g., MMPs) and anti-catabolic protective (e.g., tissue inhibitors of metalloproteinases (TIMPs)) mediators, which it has been suggested is due to classic vs. trans-signalling [42]. Our finding here on its role in predicting post-operative outcomes is therefore difficult to interpret, but it could suggest that those individuals whose local joint inflammation is predominantly mediated by aberrant IL-6 signalling are more likely to see improvements post-operatively after joint replacement surgery. Median levels of amphiregulin were not different between responders and non-responders. Nevertheless, in our predictive model, its concentration in per-operative synovial fluid was found to be negatively associated with  $\Delta$ EQ5D. Amphiregulin acts via the epidermal growth factor receptor (EGFR) to induce the catabolic matrix metalloprotease 13 (MMP13) from synovial fibroblasts, a key driver of type II collagen degradation in articular cartilage [43].

Ultimately, the lack of a strong relationship between any one individual cytokine and  $\Delta$ EQ5D suggests that a highly accurate and predictive model is most likely to be developed through the combination of multiple biomarkers, including anthropometric data. Furthermore, our data would suggest that the pre-operative concentration of cytokines in the joint synovial fluid will provide better predictive biomarkers than serum cytokine concentrations.

This study has some limitations. Firstly, the expansion of the panel of 24 cytokines/ adipokines included in our study would provide a more comprehensive cytokine profile related to mediating pain, which could be informative. Secondly, this study represents a relatively small cohort of 160 hip and knee OA patients and therefore requires validation in a larger cohort. However, the observed difference we report in the proportion of positive outcomes between knee and hip joint replacements has been reported previously [44–46] and thus suggests that the dataset is representative of larger dataset studies. Finally, the absence of post-operative levels of cytokines and adipokines means that we cannot determine the impact of post-operative cytokines on outcome.

### 5. Conclusions

In conclusion, this study did not find any statistically significant relationship between  $\Delta$ EQ5D and any individual cytokine in either pre-operative serum or peri-operative synovial fluid. However, a predictive model combining the synovial fluid concentrations of the cytokines amphiregulin and IL-6 with body weight pre-operatively could explain a proportion of the post-operative change in the EQ5D index health status. Further analysis of pre-operative markers and validation across a larger patient cohort is required in order to develop a highly accurate and predictive model that could have utility for both clinicians and their patients.

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