

## Article

# Towards Understanding Fire Causes in Informal Settlements Based on Inhabitant Risk Perception

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**Abstract:** Informal settlements (ISs) are a high-risk environment in which fires are often seen. In 2019 alone, 5544 IS fires were reported in South Africa. One of the main problems, when investigating an IS fire, is determining the fire cause. In the last 15 years, approximately 40% of the fire causes were classified as ‘undetermined’ in South Africa. Furthermore, the cases where the fire causes have been determined, do not provide the necessary information to comprehend why the fire started. This paper seeks to gain better insight with respect to fire causes by analysing the fire risk perception of IS inhabitants. To this end, a survey that was conducted in 2017, consisting of data from 2178 IS households, that were affected by a large-scale fire, was analysed. The survey consisted of questions relating to the fire risk perception with regards to the settlement in general, to the inhabitants’ own household, and about measures that could reduce fire risk. The analysis suggests that (a) the survey’s risk target had a strong influence on risk perception, (b) the inhabitants’ fire risk perception of their settlement is similar to that of firefighters in previous research, (c) the risk mitigation demands are more focused on decreasing the consequences of the fire than on the occurrence of a fire event, (d) the national fire statistics are not capturing the causes of real fire incidents, and (e) improvements to the documentation process after a fire event could provide critical information for the implementation of prevention measures.

**Keywords:** informal settlements; fire cause; fire risk perception; risk management; disaster; slums



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## 1. Introduction

Informal settlements (ISs) (also known by more derogatory names such as slums, shantytowns, ghettos, etc.), are typically characterised by poverty, poor infrastructure, and high dwelling density [1]. These factors create a high-risk environment in which fires, flooding, and other hazards are often seen [2,3]. Focusing on fire risk, the following socio-economic conditions enhance the probability of having a fire event: hazardous lighting, cooking and heating methods; informal electric supply; and alcohol abuse [2]. Furthermore, there are factors such as poor construction materials, close proximity between the dwellings, poor accessibility (that hinder firefighters’ operations), and lack of water supply, that influence the fire spread rates.

In 2019 alone, 5544 IS fires were reported in South Africa [4]. Fire departments attended to these fires and completed a Fire & Rescue Service Incident Report (FRSIR) for each incident. Even though the fire cause should be stated in the FRSIR, its determination is a complex endeavour, considering the lack of physical evidence left at the scene [5]. Hence, it is not a surprise that in the last 15 years an average of 37% of fire causes were classified as ‘undetermined’ [6]. The remaining 63% of fires, where the fire causes have been determined, generally do not provide the necessary information to comprehend why the fire started.

According to the NFPA 921 [7], fire cause can be defined as “the circumstances, conditions, or agencies that bring together a fuel, ignition source, and oxidizer (such as

air or oxygen) resulting in a fire or a combustion explosion". NFPA 921 [7] also indicates that to determine the fire cause it is necessary to identify the factors (ignition source, first fuel ignited, circumstances) that allow the fire to have occurred. The official fire causes used in the FRSIR, with the data compiled by the Fire Protection Association of Southern Africa (FPASA), are smoking, electrical fault, open flames, cooking, heating, lighting, arson, undetermined, unrest, welding and cutting, or others. It is unknown if the definitions of the official fire causes are only focussed on the ignition source or if it considers the other two factors mentioned in [7], namely the first fuel ignited and circumstances. As an example, consider a fire that starts with a cardboard lining being ignited by flames coming from a paraffin stove that was unattended. The use of unsafe cooking methods, leaving the stove unattended, and placing the stove too close to combustible materials would be the factors that led to the fire. Would this fire cause be categorized as cooking or open flames according to FPASA guidelines? It is likely that this will depend on the person completing the report.

Cicione et al. [8] listed the main fire causes associated with IS fires in South Africa according to (a) fire brigades, (b) IS residents and (c) the statistics provided by the FPASA. The results exhibit a high level of discrepancy from one source to the other. Firefighters perceive the misuse of alcohol, the use of open flames, and arson as the most probable causes of fire. On the other hand, the inhabitants perceive cooking techniques and equipment, children left home alone, and the use of candles as the most probable causes of fire in ISs. These results can be interpreted as different groups of people having different risk perceptions. Risk perception can be defined as the "subjective assessment of the probability of a specified type of accident happening and how concerned we are with the consequences" [9]. Additionally, it is interesting to note that inhabitants' answers include fire cause factors such as ignition sources (open flames, candles), and circumstances (irresponsible behaviour).

With the above-mentioned in mind, it is clear that there is a real need to gain a better understanding with respect to fire causes in an informal settlement. The authors postulate that this can be done by understanding the fire risk perception of IS inhabitants. Risk can be defined as the product of the probability of an event occurring and the consequence of the event [10]. Hence, fire risk considers conditions, situations, or/and behaviour that could affect the probability, and the consequences of the fire event. It is also important to note here that not all individuals will have the same risk perception of a specific risk. There are several factors that can influence individuals' risk perception such as the individual's exposure to the risk [11–13], demographics of the individual, and the risk target [11,12,14,15]. In [14] it is stated that risk target refers "to whom the risk is supposed to pertain". According to Sjöberg [12], risk perception depends not only on the properties of the hazards as social context also plays a significant role.

Pharoah [2] described, in-depth, the fire risk of an IS in Cape Town. The author worked with the Monitoring, Mapping and Analysis of Disaster Incidents in Southern Africa (MANDISA) database that allowed her to develop a better understanding of the fire risk profile. The database compiled data from the disaster management unit and the fire services [2]. Zweig et al. [15] conducted a survey consisting of 852 IS households in the Wallacedene settlement which is located in Cape Town, South Africa. Their aim was to obtain insights with respect to the fire risk in the settlement, taking into consideration the fact that this settlement had just gone through an electrification programme. The impact of experience on the risk perception of wildland fires has been studied by McGee et al. [16]. The authors conducted surveys and 40 people that had experienced a wildfire were interviewed. They concluded that, in general, their risk perception remained the same and for some even decreased after the incident. Vandeventer [11] noted that these types of fire research findings have been inconsistent. Arvai et al. [13] investigated the contribution of several factors to the risk perception of wildland fires. The authors noted that people emphasize the influence of uncontrollable factors such as extreme temperatures and wind. Another important finding in [13] was the fact that wildland fires were perceived as "un-

predictable, not foreseeable, and hence not controllable”, thus, it was perceived that the implementation of prevention measures could not make a difference to the outcome.

This paper seeks to gain a better understanding of the fire causes in ISs, by studying the fire risk perception of IS inhabitants. To this end, the authors analysed the data collected after the Imizamo Yethu (IY) fire that affected approximately 2200 dwellings in 2017 [17]. Thula Thula Hout Bay, a non-profit organisation that actively supports and partners with the local community, especially providing post-disaster relief, conducted surveys of households in the area to determine the level of fire awareness of the inhabitants after the IY incident. The survey considered three questions related to fire risk perception, two directed at the greatest fire risk in IY, and one focused on the measures that could reduce the fire risk. The remainder of this work presents (a) a background to the IY complex, (b) details about the survey, (c) the results, and (d) the discussion of the findings.

## 2. Imizamo Yethu Informal Settlement

As mentioned above, ISs present certain characteristics that make them extremely vulnerable to large conflagrations. These conditions vary from settlement to settlement; therefore, it is important to have a better understanding of the IY informal settlement in particular. In the subsections that follow, the location and demographics, the living conditions, and a summary of some of the fires that had occurred in IY are presented.

### 2.1. Location and Demographics

The Imizamo Yethu informal settlement is located on a mountain slope in the Hout Bay Valley in Cape Town South Africa. The last Census in South Africa was conducted in 2011, which showed that the IY settlement had a population of 15,538 people in an area of 0.571 km<sup>2</sup>, meaning that the settlement density is approximately 27,227 people/km<sup>2</sup> [18]. This is more than 43 times the population density of the rest of Hout Bay and almost three times higher than the city centre of Cape Town. Figure 1 visually illustrates this situation, where on the left is Tierboskloof (an upper-income suburban area) and on the right is IY formal and informal housing [19]. According to [18], in 2011, IY’s population consisted of 55% males and 45% females, of which 91.6% of residents were Black Africans. A large variety of languages could be found in the settlement including English, Zulu, Afrikaans, and Xhosa (the latter being the predominant first language in 60% of the households).



**Figure 1.** Contrast between the density in Tierboskloof (left side) and the formal and informal housing in IY (right side) [20].

### 2.2. Living Conditions

The data used in this section uses information provided by Thula Thula’s survey that is discussed in the section below. The data collected by the organisation made it possible to characterize the living conditions of the households that were surveyed in IY as presented in Table 1.

**Table 1.** Living conditions in the surveyed households ( $n = 2178$ ).

		Living Conditions in IY			
Housing Conditions	Construction materials	Corrugated iron and wood		Brick, corrugated iron and wood	
		98%		2%	
	Floor Area	1–15 m <sup>2</sup>	15–30 m <sup>2</sup>	More than 30 m <sup>2</sup>	
		55%	39%	6%	
	Proximity to adjacent dwellings	Directly attached	Less than 1 m	More than 1 m	
		48%	38%	14%	
Basic Services	Access to running water	In the household	Within 25 m from the household	More than 25 m from the household	
		24%	60%	16%	
	Electricity	Formal	Informal	No electricity	
		41%	31%	28%	
Sources of Energy	Cooking method *	Electric stove	Paraffin stove	Electric/Paraffin stove	Gas bottle
		46%	17%	13%	7%
	Lighting method *	Electricity	Candles/Electricity	Candles	Paraffin lamp
		41%	17%	12%	10%
	Heating method *	No heating	Paraffin stove	Electricity	Coal stove
		55%	22%	10%	9%
Household habits	Cooking after 22:00 h	Never	Few times a year	Few times a month	Few times a week
		67%	12%	10%	11%
	Smokers in the household	Yes		No	
		34%		66%	
	Alcohol consumption in the household	Yes		No	
		53%		47%	

\* Only the top 4 answers are listed, with the remaining answers corresponding to a combination of energy sources or less used energy sources, with individual answers being values of between 1 and 5%.

The household conditions match with the IY informal housing showed in Figure 1 (top-right). Most of the dwellings were constructed with corrugated iron and wood (98%) and had a floor area less than 30 m<sup>2</sup> (94%), while only 14% had a separation of more than 1 m to the closest adjacent dwelling. Access to running water is limited, as 76% of the households stated that they fetched water from a communal tap, which in some cases (16%) was located more than 25 m away from the dwelling. Furthermore, 41% of the households had access to formal electricity, while a concerning 31% had informal electricity (i.e., electricity obtained from neighbours or directly from nearby infrastructure). The access to electricity, formal and informal, in the settlement is reflected in the preferred source of energy, as over 40% of the households used electricity for cooking and lighting purposes. 55% of the households stated that they do not use any source of energy for heating purposes, followed by 22% that use paraffin. This makes sense considering that heaters consume much more electricity than other electric devices. However, the residents mentioned that when the electrical supplies are interrupted (power cuts), people had to use more dangerous sources of energy, such as candles and paraffin. It is unknown if the interruptions in the electrical supply were due to (a) running out of allocated free units, (b) running out of paid for units, (c) load shedding, or (d) problems with the electrical infrastructure. Finally, with respect to household habits, 53% indicated that at least one member of the household drank, 34% stated that at least one of the family members smoked, and 67% of the households never cooked after 22:00 h. Table 1 provides an overview of this data.

### 2.3. Imizamo Yethu Fires

The fire that took place in March 2017, lasted 13 h, destroyed 2194 dwellings, and left approximately 9700 people homeless. This large-scale fire event was analysed in depth by Kahanji, et al. [17], and although it was possible to reconstruct the event by estimating fire lines at different times and fire spread rates, the fire cause could not be established. This is something that usually happens in IS fires due to the rapid fire spread, the lack of physical evidence [5], and inhabitants being hesitant to give information [8,17]. Additionally, in [17] some recommendations were made to reduce the fire risk of the IY settlement. However, three years later (on 6 September 2020), another large fire took place, destroying 263 dwellings and affecting around 1500 inhabitants [21]. Fortunately, there were no fatalities in this fire; however, according to the chairperson of the South African National Civic Organisation (SANCO) in Hout Bay, eight people have died in IY due to fire events since 2017 [21]. One of the affected residents stated that this is the third time that his household has been burnt in a fire (2014, 2017, and 2020). “Every three years, is this bad luck?” he wonders [21]. However, considering the number of fires that take place every year specifically in ISs all over the world, such situations could be expected. According to the Thula Thula survey, when asked ‘Has your household experienced a fire before?’ only 23% of the households replied ‘never’ while 49% said ‘one time’, 18% ‘two times’, 7% ‘three times’ and 3% ‘four or more times’.

For wildland fires, it is expected that after a fire has occurred, it would take at least a number of years before another fire occurs in the same area again (since most of the combustible material has been burnt). This is not applicable in IS fires, where there is a rapid rebuild rate after a fire [5,22]. Table 2 presents some of the fires that have occurred in IY since March 2017. These fires were large enough to appear in newspapers, but it is likely that there are many more that were not covered by the media. It can be seen that only a month after the large fire in March 2017, another fire occurred, affecting families that just finished building their new dwellings [23]. One of the victims stated, “It is difficult to change one’s behaviour, but we always advise members to prepare food before going to shebeens” [23], drawing attention to the fact that cooking while drunk is possibly one of the riskiest actions in ISs. A ‘shebeen’ refers to a local tavern or informal restaurant where alcohol is often consumed.

**Table 2.** Fires in IY since 2017 as reported in the media.

Date	Dwellings Affected	Displaced People	Fatalities	Reference
11/03/2017	2194	±9700	4	[17]
16/04/2017	±100	±400	1	[23]
20/08/2017	20	±60	0	[24]
27/08/2017	52	±130	1	[25]
12/02/2018	95	±350	0	[26]
01/07/2018	+15	No info	2	[27,28]
21/08/2018	No info	±60	0	[29]
26/02/2019	±20	+70	0	[30]
06/01/2020	7	30	0	[31]
06/07/2020	±50	±200	0	[32]
06/09/2020	263	±1500	0	[21]

Figure 2 depicts the aftermath of the first fire mentioned in Table 2. It is possible to observe the high level of destruction, as all the combustible materials have been burnt and only metal sheets are left.



**Figure 2.** Fire aftermath 17 March 2017 (Images used courtesy of Bruce Sutherland, City of Cape Town).

### 3. Survey

This section describes the survey conducted by Thula Thula Hout Bay, which consisted of 2178 households located in the Imizamo Yethu IS. The survey was carried out in 2017, over a period of five months (August to December). The survey's structure, the data processing, and the data's limitations are presented in the sections that follow.

#### 3.1. Survey Structure

The survey consisted of 24 questions, as shown in Table 3. It collected information about (a) the demographics of each household, (b) dwelling characteristics (construction materials, floor area, etc.), (c) fire protection equipment in the household, (d) lifestyle (smoking and drinking habits), (e) fire risk factors in the settlement and in the particular household, (e) measures to reduce the fire risk, and (f) access to basic services (water, electricity). While most of these questions allow for the characterisation of the settlement, and were used in the previous section, only Q6, Q7, and Q8 provide information about risk perception. It is important to note that the responses from the community to risk perception questions were not prompted/structured, which led to people giving feedback that does not fit within traditional categories. However, this also highlights people's understanding of fire risk. Open questions were used in that case allowing the interviewee to name one or more potential fire risk activities, conditions, behaviours, and preventive measures.

**Table 3.** Questions included in Thula Thula survey.

Question	Information	Question	Information
Q1	Family size	Q13	Electricity
Q2	Gender and Age	Q14	Cooking method
Q3	Highest education level in the household	Q15	Lighting method
Q4	Nationality	Q16	Heating method
Q5	Number of Disabled people(s) in household	Q17	How often does it happen that someone cooks late at night (after 10?)
Q6	What do you consider to be the greatest fire risk in IY?	Q18	Is there anyone in the house who drinks?
Q7	What do you consider to be the greatest fire risk in your household?	Q19	Are there any smokers in the house?
Q8	What are the things you would like to do to reduce fire risk?	Q20	Has your household experienced a fire before?
Q9	Type of housing structure	Q21	Fire detector?
Q10	Size (floor area) of house	Q22	Fire blanket or bucket of sand/water?
Q11	Proximity to the neighbouring house	Q23	Fire extinguisher?
Q12	Proximity to running water	Q24	Fire retardant paint on walls?

### 3.2. Data Processing

The answers provided for Q6, Q7, and Q8 (Table 3) were so diverse that they had to be categorized. Table 4 presents the 25 categories that were considered for the fire risk related questions. The categories include unsafe conditions, sources of energy and/or devices, irresponsible and deliberate behaviour. It is possible to see that ‘open flames’ appears more than once in Table 4. This is because some households indicated the specific use of open flames (e.g., open flames while cooking, heating, and/or lighting).

**Table 4.** Categories for the inhabitants’ risk perception answers.

Category	Includes	Category	Includes
Arson	(1) Arguments between people	Irresponsible drinking behaviour	(1) Alcohol abuse
	(2) Jealousy		(2) Cooking when drunk
	(3) Relationship problems		(3) Using candles or paraffin lamps when drunk
	(4) Witchcraft		
Poor accessibility	(1) Small streets	Irresponsible behaviour	(1) Carelessness
	(2) Blocked streets		(2) Being irresponsible
No response—Does not know			
Electrical components	(1) Electric boxes	Irresponsible use of electrical devices	(1) Overloading
	(2) Electric panels		(2) Forget to unplug or switch off appliances
	(3) Plugs		(3) Using illegal or cheap appliances
Electric stove			
Electric supply interruption	(1) Load shedding	Lack of fire awareness	(1) Lack of education about fire, electric usage, dangerous behaviours.
	(2) Having to use hazardous sources of energy such as candles or paraffin when there is no electricity.		
	(3) Running out of free electricity units		

Table 4. Cont.

Category	Includes	Category	Includes
Gas stove		Lack of parental control	(1) Kids left alone with flammable items
Gas/Gas heater/Gas bottle			(2) Kids left alone
			(3) Kids playing with matches
Houses closely built next to each other		No electrical supply	
Informal electric supply	(1) Illegal connection	Nothing	
	(2) Unsafe electrical connections	Open flames *	
	(3) Too many dwellings using one meter box	Overcrowded	
	(4) Unsafe electrical boxes	Smoking	
Informal houses		Unsafe cooking methods	Open flames *, paraffin stove
Irresponsible cooking	(1) Leaving the stove unattended	Unsafe heating methods	Open flames *, paraffin, coal
	(2) Late cooking and falling sleep	Unsafe lighting methods	Candle, paraffin lamp
	(3) Not switching off the electric stove after cooking		

\* Open flames can be considered as a category and subcategory, this will depend on the level of detail provided by the interviewee.

Table 5 presents the 21 categories that were considered for the suggested preventive measures (question 8 in Table 3), in this work. The categories include safer living conditions, safer sources of energy and/or devices, responsible behaviour, education, and training.

Table 5. Categories for the inhabitants' suggested preventive measures.

Category	Includes	Category	Includes
Being Responsible		Proper houses	(1) Better materials (2) Better construction
Better access	(1) More roads (2) Wider roads	Remove flammable items	
No response—Does not know		Safer cooking methods	
Education	(1) Educate about fire risk		Safer lighting
	(2) Educate about electricity usage		Solar panel
	(3) Firefighting training		
Fire detector		More space between houses	
Fire protection devices	(1) Fire extinguisher	Super blocking	
	(2) Fire blanket	Responsible cooking	
	(3) Bucket of water/sand		
Fire retardant paint		Responsible drinking/Reduce alcohol consumption	(1) Eliminate taverns from IY (2) Close taverns earlier (3) Reduce substance consumption
Formal Electricity			

Table 5. Cont.

Category	Includes	Category	Includes
Improve firefighters' operations	(1) More firefighters (2) Faster firefighters' response (3) Improve the relationship between firefighters and the community	Responsible use of electrical appliances/Safe appliances	(1) No overloaded plugs (2) No overloaded electrical boxes (3) Unplug electrical appliances after using them
Nothing		Water supply	(1) Having more hydrants (2) Tap water in every household
Parental control			

3.3. How to Go from Risk Perceptions to Fire Causes?

The survey data, presented above, focusses on the fire risk perception of the inhabitants of IY. However, this work aims to gain more knowledge about the fire cause factors. Figure 3 depicts how the information obtained from the survey can be linked to fire cause factors. The elements that will increase the probability of a fire occurring are essentially the fire cause factors previously described. The consequences will depend on the fire severity. The IS's conditions that affect the fire severity will either allow a fast-spreading fire or affect the firefighting and evacuation activities.

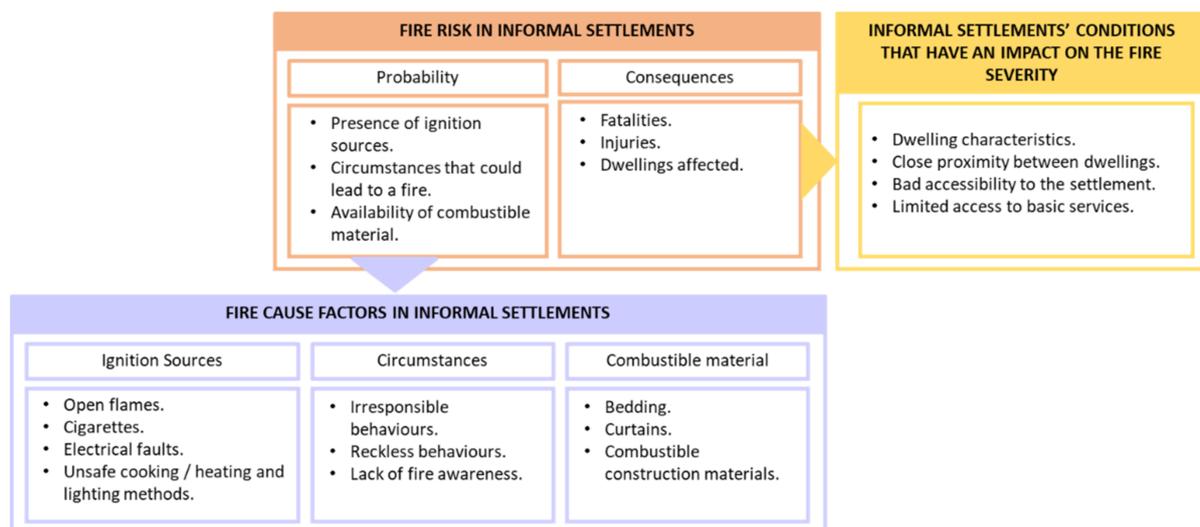


Figure 3. Relation between fire risk perception and fire causes.

The answers provided by the residents, Tables 4 and 5, reflect their concern in three main areas (a) possible ignition sources (smoking, cooking), (b) circumstances that can lead to the fire or that increase the probability of one occurring (irresponsible drinking behaviour, lack of parental control) and (c) factors that will have an impact on fire spread (houses that are closely built to each other, informal houses). It is possible to associate the first and second areas to the official fire causes specified in the FRSIR ('unrest' and 'welding and cutting' were excluded because they were not mentioned by the residents). Table 6 presents the official fire cause associated with the ones obtained from the risk perception of the IY residents (Table 4). Table 6 shows that the possible fire causes observed by the residents provide much more detail. Additionally, the data illustrates that due to the lack of detail, there could be a problem with accurately fitting the fire cause in one of the nine categories. A clear example of this are the categories 'open flames', 'cooking', 'heating', and 'lighting', since open flames are used for cooking, heating, or lighting purposes. The lack of detailed information is one of the main obstacles to developing preventive measures in

ISs. Finally, even though the third area is related to the consequences of a fire, it still offers interesting insights on problematics that are often seen in ISs.

**Table 6.** Official fire cause vs. Fire cause observed by the residents.

Official Fire Cause	Fire Cause Observed by the Residents	
Smoking	Smoking	
Electrical fault	Informal electrical supply	
	Electric components	
	Irresponsible use of electrical devices	
	Electrical supply interruption	
Open flames *	Open flames *	
	Cooking *	
	Heating *	
Cooking *	Irresponsible cooking	
	Unsafe cooking method	
	Gas stove	
	Electric stove	
Heating *	Unsafe heating method	
Lighting *	Unsafe lighting method	
Suspected arson	Arson	
Undetermined		
Others **	Irresponsible drinking behaviour	
	Irresponsible behaviour	
	Lack of fire awareness	
	Lack parental control	

\* These items can be considered as a category and subcategory depending on the level of detail provided by the interviewee. \*\* These factors could be present in any of the other categories.

### 3.4. Limitations

The major limitation of this study is the fact that the survey was not conducted with the aim of gaining information about the fire causes in IS fires. Additionally, factors that could influence the inhabitants' risk perception were not accounted for. For example, it is not only important to ask how many fires a household had previously experienced. It is also necessary to collect additional information. Were they intimately related with the fire? When did the fire occur? Were they at home when the fire took place? Over what period did the fires occur? Understanding the different experiences within the affected settlement could be useful to understand the risk perception of the residents. Finally, the data collection process only considered the IY IS, which makes the findings of this work less robust compared to a scenario where the survey included a variety of settlements with different weather and living conditions, locations, access to basic services, demographics, etc.

## 4. Results

As introduced above, the survey had two questions related to fire risk perception in the IY IS. One was directed at the settlement (general risk) and the other at the household (personal risk). The fact that the survey included two risk targets allow this work to test the "unrealistic optimism" phenomenon [12,33], in which personal risk is underestimated in comparison with the general risk (i.e., people think that the risk that they create is significantly lower than that caused by others in the general community). This section presents (a) the main risks in IY identified by the inhabitants, (b) the main risks in households, and (c) a comparison between the answers for each risk target. An in-depth discussion regarding the inhabitants' risk perceptions is presented in the section that follows.

#### 4.1. Fire Risk Perception in Imizamu Yethu

When asked ‘What do you consider to be the greatest risk in Imizamu Yethu?’ 51% of the households considered that it is ‘Irresponsible drinking behaviour’, followed by ‘Unsafe lighting method’ at 33%, ‘Irresponsible cooking’ at 19%, ‘Unsafe cooking method’ at 17%, and ‘Irresponsible behaviour’ at 15%. Table 7 presents in detail the answers given by the residents.

**Table 7.** Fire risk perception in IY ( $n = 2178$ ).

Fire Risk in Imizamo Yethu	Percentage [%]	Fire Risk in Imizamo Yethu	Percentage [%]
Irresponsible drinking behaviour	51.1	Paraffin	1.8
Unsafe lighting method	33.3	Arson	1.7
Irresponsible cooking	18.9	Unsafe heating method	1.6
Unsafe cooking method	16.9	Informal houses	1.5
Irresponsible behaviour	15.4	Overcrowded	1.3
Informal electric supply	13.0	Open flames	1.0
Houses closely built next to each other	7.1	Electric supply interruption	1.0
Smoking	5.0	Gas stove	0.6
No electrical supply	4.8	Lack of parental control	0.6
Lack of fire awareness	4.7	Poor access	0.3
Irresponsible use electrical devices	2.7	Electric stove	0.3
No response—Does not know	2.1	Nothing	0.2

#### 4.2. Fire Risk in the Household

When asked ‘What do you consider to be the greatest risk in your household?’ 33% of the households considered it to be ‘Unsafe lighting method’, followed by ‘Electric stove’ with 23%, ‘Informal electric supply’ with 22% and ‘Unsafe cooking method’ with 15%. Table 8 presents in detail the answers given by the residents.

**Table 8.** Fire risk perception in the household.

Fire Risk in Your Household	Percentage [%]	Fire Risk in Your Household	Percentage [%]
Unsafe lighting method	32.6	No response—Does not know	1.9
Electric stove	22.5	Paraffin	1.8
Informal electric supply	22.0	Smoking	1.4
Unsafe cooking method	14.7	Irresponsible behaviour	0.9
Gas stove	8.4	Lack parental control	0.6
Electric components	7.0	Houses closely built next to each other	0.6
Nothing	5.8	Irresponsible drinking behaviour	0.6
Irresponsible use of electrical devices	4.5	Informal houses	0.5
Gas/Gas heater/Gas bottle	3.7	Open flames	0.3
Irresponsible cooking	2.8	No electrical supply	0.2
Unsafe heating method	2.4	Overcrowded	0.1

#### 4.3. Measures to Reduce Fire Risk

When asked ‘What are the things you would like to do to reduce fire risk?’ 33% of the households considered that it would be ‘Formal Electricity’, followed by ‘Proper houses’ at 26%, ‘Spaces between houses’ at 18% and ‘Educate’ at 10%. Table 9 presents in detail the answers given by the residents.

**Table 9.** Measures to reduce fire risk in IY.

Measures to Reduce Fire Risk	Percentage [%]	Fire Risk in Your Household	Percentage [%]
Formal Electricity	33.9	Water supply	3.1
Proper house	25.8	Fire protection devices	3
Spaces between houses	18.2	Nothing	2.9
Educate	9.6	Being Responsible	2.8
Better Access	6.8	Safer cooking methods	2.2
Irresponsible use of electrical appliances/Safe appliances	5	Solar panel	1.3
No response—Does not know	4.7	Fire detector	1.1
Super blocking	4	Remove flammable items	0.9
Responsible cooking	3.9	Parental control	0.6
Responsible drinking/Reduce alcohol consumption	3.6	Improve firefighters' operations	0.6
Safer lighting	3.3	Fire retardant paint	0.5

## 5. Discussion

The analysis of the survey data provides insights into the fire risk perception of IS inhabitants and how they relate to fire causes in informal settlements. Additionally, it allows for the identification of shortfalls in the current data. These topics will be discussed in detail in this section.

### 5.1. Risk Perception

This section analyses the risk perception of IY's inhabitants. The inhabitants' risk perception of the rest of the settlement (general risk) will be presented first, followed by the risk perception of their own household (personal risk). Then a comparison is made to be able to appreciate the differences between them. Finally, the suggested preventive measures are discussed.

#### 5.1.1. General Risk—Inhabitants' Risk Perception of Other Residents

The main concerns of the inhabitants are irresponsible behaviour and unsafe sources of energy, with irresponsible drinking behaviour being the leading concern. This matches two of the main fire cause factors (i.e., alcohol related and open flames) mentioned in [8] by firefighters. Although there is no official information about the influence of alcohol consumption on the number of fires, it is known that it has a significant impact on the number of casualties in residential fires [34]. Focusing on Cape Town, South Africa, in 2001 alcohol intoxication was identified in 53% of the adults whose death was caused by burns [35]. Clearly, it would be important to find a way to monitor the influence of alcohol consumption on the number of IS fires.

#### 5.1.2. Personal Risk—Inhabitants' Risk Perception of Their Own Household

Surprisingly 6% of the households indicated that in their dwelling there was no condition, situation, or behaviour that could lead to a fire. One would then assume that those dwellings probably never experienced a fire (or inhabitants were unwilling to provide detailed answers to the surveyors); however, 70% of them experienced at least one fire incident and 16% three or more fires. It could also be the case that in the previous fire events in which the inhabitants were involved, the fire did not start in their households.

It is interesting that 23% of the households perceived 'Electric stoves' as a risky appliance, since electricity is often considered a safe source of energy. A possible explanation for this could be the use of informal electricity, although 64% of the residents that own electric stoves indicated that they had formal electricity supply. Additionally, some residents mentioned that electric appliances were 'illegal' or 'cheap'. In [15], it was mentioned that these appliances, and electrification in general, could create a false sense of security that might generate riskier behaviour, such as unattended cooking.

### 5.1.3. General Risk versus Personal Risk

When analysing the results of the survey it is interesting to note the effect of the risk target on the perception of the risk. Figure 4 presents a comparison between the answers given for the different risk targets. The graph was divided into sections to group irresponsible behaviour and conditions inherent to ISs. When the target was the IY settlement, the answers tended to show a focus on people’s irresponsible behaviour. In contrast, when the target was the household (of people living within the IY settlement) the concern was directed to unsafe sources of energy and devices. This could be related to the risk denial or unrealistic optimism phenomenon. In this case, factors that are beyond their control, (i.e., unsafe lighting and cooking) are over-emphasised and factors within their control (i.e., behaviour such as irresponsible cooking or irresponsible drinking) are underestimated. It has been acknowledged by Sjöberg that the unrealistic optimism phenomenon is more pronounced in lifestyle risks such as alcohol consumption or smoking [36]. Figure 4 clearly depicts that situation, while there are factors that present a similar level of risk perception such as unsafe lighting or cooking methods, or the usage of paraffin, others such as irresponsible drinking behaviour and irresponsible cooking vary significantly. Additionally, in [36] it is stated that general risk perception is more important for policy attitudes.

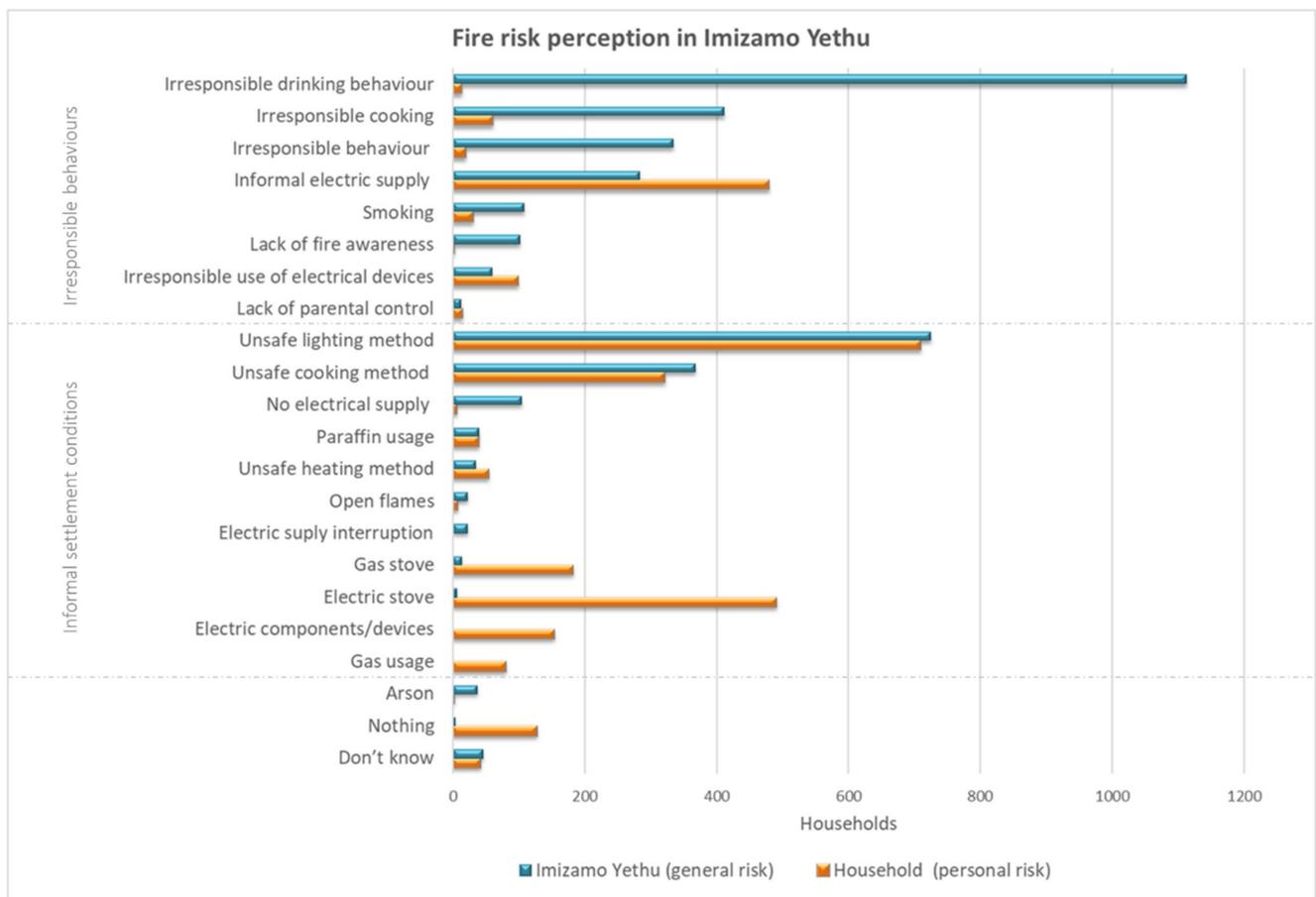


Figure 4. IY inhabitants’ risk perception. General risk vs. personal risk.

### 5.1.4. Measures to Reduce Fire Risk

Sjöberg [37] acknowledged that risk mitigation demands are not always aligned with risk perception. He states that, even though the risk is a combination of probability and consequences, when perceiving a risk, the probability of that event happening is predominant, while for the risk mitigation measures, the consequences play a more significant role. That is shown in the survey where three of the top five measures shown in Table 9

(proper houses, spaces between houses, and better access), were not acknowledged when consulted about the fire risk. Those measures do not have a direct impact on the occurrence of a fire event, but on fire spread. It has been acknowledged that the living conditions in ISs have a great impact on fire spread to adjacent dwellings [1,5,22], which is clearly one of the main consequences that these types of fires produce.

## 5.2. Fire Cause

### 5.2.1. Official Fire Causes versus Fire Cause Factors Observed by the Residents

As mentioned above, the fire cause factors identified by the inhabitants are much more detailed than the official fire causes selected by firefighters (see Table 6). However, the FRSIR allows the firefighter to add details about the fire cause. Furthermore, sometimes firefighters have more details that they do not include in reports (e.g., if they suspect that the fire started as a consequence of a person being under the influence of alcohol or drugs the information is often not included). Table 10 illustrates this situation. The details can be entered in an open text field in the FRSIR. This gives more freedom to the user, but at the same time makes the compilation process harder, which might be one of the reasons why this information is not included in the national statistics. As seen in Table 10, the information that the firefighters collect at the fire scene could be relevant to develop evidence-based interventions.

**Table 10.** Information firefighters collect at the fire scene as recorded in the FRSIR.

Official Fire Cause	Details	Additional Info (Not Documented)
Electrical Fault	Suspected power surge of electrical current to faulty plug socket.	Informal electrical supply.
Cooking	Stove overturning and igniting bed, bedding, etc.	Witnesses indicate the man was under alcohol influence He was cooking on a paraffin stove.

Figure 5 compares the official fire causes in informal settlements as compiled by the FPASA [4] with the inhabitants' risk perception results from this work and with the firefighters' opinions based on a previous survey [8]. For the comparison, 9 of the 11 official fire causes were considered. Two additional categories, (gang-related and irresponsible drinking behaviour), were included, even though they are not shown in the national statistics. While 'gang related' could be considered as 'arson' it is interesting to notice that this aspect was not mentioned by any of the surveyed households. It is possible that in IY in particular, gangs are less of a problem, but in other IS this could be an important factor to bear in mind. The influence of alcohol consumption is also relevant, being one of the main concerns for the IY inhabitants and for the firefighters interviewed in [8]. In recent work, Arce et al. [38] interviewed Costa Rican firefighters to understand IS fires in that country. It is interesting to notice that several of the fire causes mentioned match with the ones observed in this work.

### 5.2.2. Recommendations for Improving the National Data Collection

It is recommended that for fires in IS more information could be added to the FRSIR that would allow one to better understand the details pertaining to the cause of the fire. Efforts should be directed at those categories in which uncertainties are present. In the case of an electrical fault, it is necessary to know the type of electric supply the household had and what type of device or component failed. For open flames, it is important to know what they were being used for (i.e., cooking, lighting, heating). For cooking, heating, and lighting, it is important to know the source of energy and the type of device that was being used. Additionally, it is important to document if the fire originated due to a device or component failing or due to people's irresponsible behaviour.

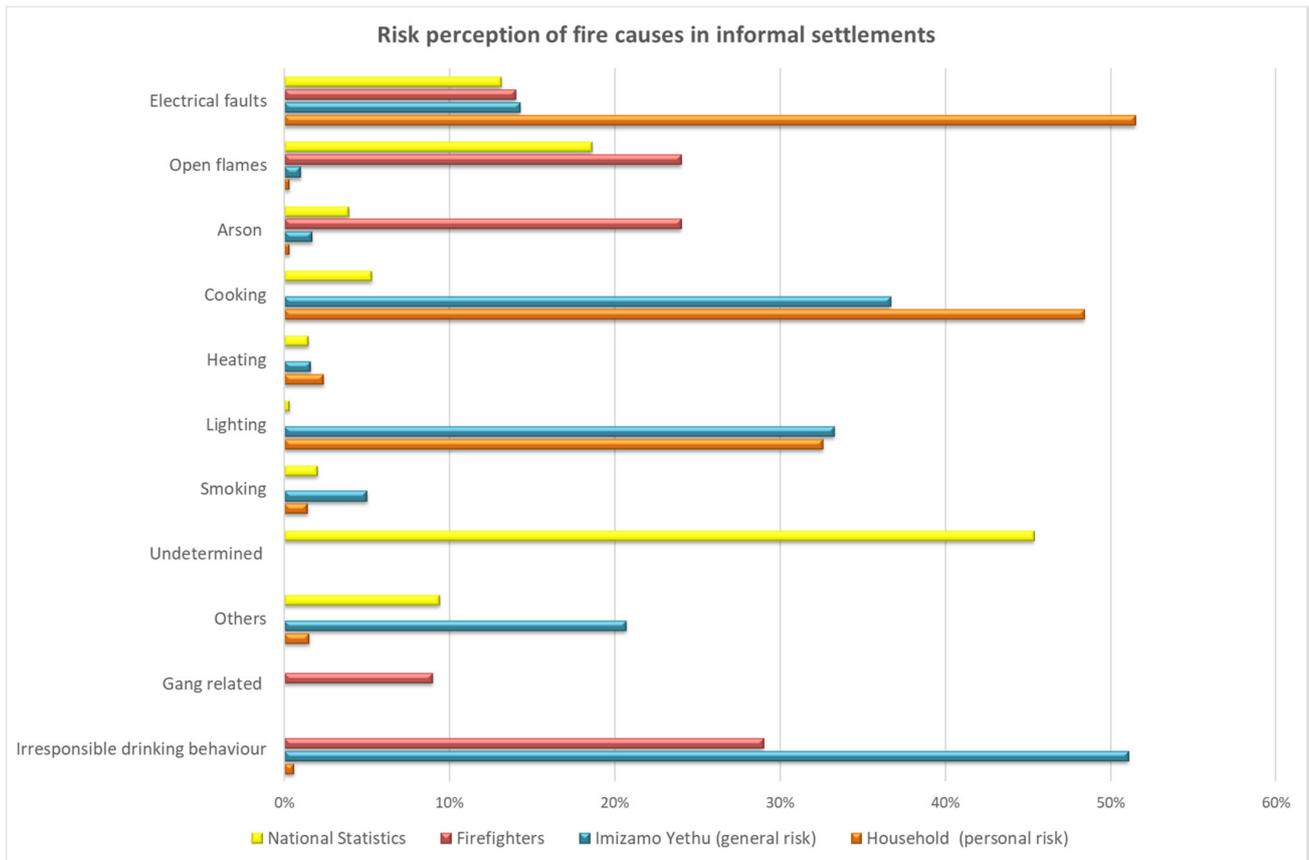


Figure 5. Comparison of fire cause in IS perception.

To improve the FRSIR, it is suggested that it would be possible to take, as an example, the National Fire Incident Reporting System (NFIRS) [39]. The reporting modules can provide guidelines to incorporate valuable information to the FRSIR. Among others, the NFIRS requires information about (a) ignition, (b) cause of ignition, (c) human factors contributing to ignition, (d) equipment involved in ignition, and (e) equipment power source (Table 11 provides more details). It might seem that this information is difficult to obtain in an IS fire due to the lack of physical evidence. However, the firefighters, through witnesses’ testimonies, already have access to part of it (see Table 10).

Table 11. Extract of NFIRS’s Chapter 4-Fire Module (NFIRS-2) [39].

Factor	Details	Factor	Details
Ignition	Area of fire origin	Human factors contributing to ignition	Asleep
	Heat source		Possibly impaired by alcohol or drugs
Cause of ignition	Item first ignited	Equipment involved in ignition	Unattended person
	Type of material first ignited		Physically disabled
	Intentional	Equipment power source +	Multiple persons involved
	Unintentional		Brand, Model, Serial #, Year
	Failure of equipment or heat source		i.e., Electrical, Gas fuels, liquid fuels, solid fuels
	Act of nature		
Cause under investigation			
Cause undetermined after investigation			

## 6. Conclusions

The work has provided novel insights regarding fire causes by understanding the fire risk perception of the IY IS complex inhabitants. By analysing the residents' fire risk perception, it was possible to see the influence that the risk target has on the answers. Depending on the risk target, certain factors are over- or under-estimated (e.g., alcohol abuse, irresponsible behaviour). Interestingly, when focusing on general risk, the inhabitants' perception is similar to that which firefighters had in previous research. However, their perceptions still present high levels of discrepancy with the national statistics. This gives significant reason to believe that the national fire statistics are not capturing the causes of real fire incidents and that the fire cause determination information is severely lacking and potentially highly inaccurate. Some of the factors that could contribute to this situation are (a) the lack of evidence left at the fire scene, (b) the limited time and resources available to the fire department to allow them to conduct a fire investigation, (c) safety concerns in more hostile settlements, and (d) the limited information that is documented after a fire. The latter is the aspect that offers the most opportunities for improvement. It is proposed that additional information be collected during post-fire investigations, including more details about ignition, cause of ignition, human factors contributing to ignition, and equipment involved in the ignition. Additionally, the feedback of inhabitants should not be dismissed as they have intimate knowledge regarding daily happenings in settlements that influence risk and risk drivers. Ultimately, the lack of accurate fire cause data hinders the development of evidence-based interventions. Through improving fire cause knowledge, it is likely that interventions can target the areas that are causing the greatest number of fires and damages due to fires. Fire safety guidelines being developed [40] can be improved as strategies and interventions being proposed can be supported, or negated, by quantitative analyses.

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