An Improved Model for the Steric-Entropic Effect on the Retention of Rod-like Particles in Field-Flow Fractionation: Discussion of Aspect Ratio-Based Separation

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Abstract: We developed an improved model for predicting the steric-entropic effect on the separation behaviors of rod-like particles in flow field-flow fractionation. Our new model incorporates the “pole-vault” rotation of a rod-like particle near a wall under shear flow into the original model developed by Beckett and Giddings which considered only Brownian rotation. We investigated the effect of the aspect ratio on the retention ratios and the cross-sectional concentration distribution in the separation of rods in field-flow fractionation (FFF). Our analyses involved comparing the results predicted using the original model and those from the new model under various rod geometries and flow conditions. We found that the new model can show the aspect ratio-enhanced elution trend in certain flow conditions for the assumption of non-constant cloud thickness (ratio between the cross flow rate and the rod diffusivity). We also deduced that the flow conditions allowing for the aspect ratio-enhanced elution are related to the interplay among the axial flow rate, cloud thickness, and rod geometry. The new model can be viewed as a prototype to qualitatively show the aspect ratio-enhanced trend since its quantitative agreement with the experimental data must be improved for our future work.

Keywords: field-flow fractionation; steric-entropic mode; rod-like particle; shape-based particle separation
1. Introduction

Field-flow fractionation (FFF) is a particle separation technique which utilizes a parabolic channel flow and a cross-force field. As particles are flowing in the channel flow, the cross force pushes them towards the bottom of the channel (“accumulation wall”). However, the particles form a concentration distribution in the cross-sectional direction near the wall according to their physical properties in response to the force field. Thus, particle mixtures with different physical properties result in different concentration distributions and subsequently a different elution time due to the parabolic channel flow. In other words, particles whose concentrations are distributed farther away from the wall tend to elute faster. Therefore, correctly modeling the concentration distribution by incorporating the response of a particle of interest to a particular force field is a key to predicting the separation behaviors of particles in FFF. Based on the types of responsive forces, separation mechanisms in FFF are classified as “normal mode” for particle diffusivity, “steric mode” for excluded volume effect, and “lift-hyperlayer mode” for shear-induced migration [1].

Shape-based particle separation using FFF has gained attention due to the rapid development in the applications of micro/nanorods, in which shape-specific physico-chemical properties are important. Examples can be found in biomedical applications of gold nanorods (GNR) [2] and polystyrene (PS) rods [3,4], where the aspect ratio (the ratio between the length of the long principal axis and the diameter of a rod-like particle: $Ar = L/d$) characterizes the property utilized. Our interest in this work is focused on the dynamics of rod-like particles in flow-FFF (Fl-FFF), in which the cross-force field is the flow field. For the sake of clarity and convenience, FFF, throughout the rest of this study, will be referred to as Fl-FFF.

The majority of theories about FFF has been developed based on the assumption that particles are spherical in shape. Studies on the shape effect of non-spherical particles on FFF separation have been limited. Beckett and Giddings proposed a separation mechanism called the “steric-entropic mode,” which considers the shape effects of rod and disk-like particles on the cross-sectional concentration distribution very near the accumulation wall [5]. The numerical simulation performed by Phelan and Bauer compared the normal and steric modes of rod-like particles and spherical particles [6]. Alfi and Park developed a model that can predict the concentration distribution of rod-like particles by considering the average orientation correction for the normal mode as well as the shear-induced rod migration for the lift-hyperlayer mode [7].

Experimental studies on rod-like particle separation have been performed on single-walled carbon nanotubes (SWNT) and GNRs. Chun and coworkers separated SWNTs according to their lengths. The lengths of SWNTs were estimated by comparing the elution times of polystyrene spherical particles of known sizes and light-scattering data [8]. The separation of GNRs has been performed for various sizes and shapes using asymmetric flow FFF (AF4), which is a commercialized variation of Fl-FFF [9–11]. Although experimental results have shown many examples of separation by sizes and shapes, theoretical explanation of those experimental observations has yet to be elucidated. One noteworthy experimental observation is the $Ar$-based separation by Gigault and coworkers [9]: The results of GNR elution times showed that higher $Ar$ rods elute faster. However, this $Ar$-enhanced elution trend cannot be explained by the normal mode, whether based on typical FFF theory or the recent model for rod-like particles [7], which will be demonstrated and discussed in later sections. Although Gigault and coworkers discussed
the Ar-enhanced elution by referring to the steric-entropic mode, no quantitative or qualitative analysis using the concentration distribution of the steric-entropic mode has yet been performed [9].

Based on the theory of the steric-entropic mode, the orientation distribution of a non-spherical particle is restricted by the excluded volume effect caused by the interplay between the particle configuration and the accumulation wall, which results in entropy loss and subsequent faster elution (Figure 1). The equation for the derived cross-sectional concentration distribution, \( c(x) \), for rod-like particles is given as

\[
c(x) = c_0 \exp \left( \frac{x}{l_c} \right) \frac{x}{2L}.
\]  

(1)

Here, \( c_0 \) is the particle concentration at the wall (\( x = 0 \)) and \( l_c \) is the cloud thickness which can be expressed as \( l_c = D_\perp / U_x \) for a rod with a translational diffusivity in the perpendicular direction to its long axis, \( D_\perp \), under the cross-flow field of flow rate \( U_x \). Equation (1) predicts that increasing values of \( L/2l_c \) will lift \( c(x) \) away from a wall for the condition of \( L > 2l_c > d \), where \( l_c \) is assumed to be constant (see Figure 2 of [5]). In other words, it suggests that rods with longer \( L \) should show the Ar-enhanced elution, as discussed in [9].

![Figure 1](image.png)

**Figure 1.** (A) A schematic demonstration of the restricted orientation configuration (shaded region on the sphere) of a Brownian rod with length \( L \) and its center-of-mass position at \( x \). (B) the same demonstration in the \( z \)-direction view (redrawn from [5]).

In this study, we investigated the Ar-dependent separation trend by applying the steric-entropic mode to the model equation for \( c(x) \) developed by [7]. It is important to note here that we released the constant \( l_c \) assumption by actually evaluating it for each \( D_\perp / U_x \). The results from our analysis did not find the Ar-enhanced elution under any flow conditions. Therefore, we propose an improved mechanism for the steric-entropic mode that identifies some cases reproducing the Ar-enhanced elution trend. This study is organized as follows: Section 2 describes the mathematical models and numerical calculations used; Section 3 demonstrates the results of each model and discusses the Ar-dependent trend by comparing those results to each other; Section 4 summarizes the findings and future works.

**2. Model Description**

In this study, we analyze the separation behaviors of rod-like particles in FFF by comparing the distributions of \( c(x) \) and the retention ratios, \( R \), predicted by each model. \( R \), which has a physical meaning
of the dimensionless elution time of a solute relative to that of a carrier liquid, can be obtained using the formula:

$$R = \left( \frac{\langle c(x)v(x) \rangle}{\langle c(x) \rangle \langle v(x) \rangle} \right)$$

(2)

Here, <...> indicates the cross-sectional average. The velocity profile, \(v(x)\), (y-direction as axial flow direction) of the parabolic channel flow of its thickness, \(w\), is known as:

$$v(x) = 6\langle v(x) \rangle \left[ \left( \frac{x}{w} \right) - \left( \frac{x}{w} \right)^2 \right]$$

(3)

subsequently, <\(v(x)\)> or <\(v\)>, the cross-sectional average of \(v(x)\), indicates the axial flow rate.

Note here that we have assumed a Newtonian incompressible fluid in the Stokes flow region as a condition of the carrier liquid, as well as a rectangular FFF channel with sufficiently large breadth (neglecting the effect in the z-direction), and, finally, rod-like particles as the slender-body model \((Ar > 5)\) [12]. The following subsections describe how to get \(c(x)\) using each model under the aforementioned assumptions.

2.1. Concentration Profile of Rod-like Particles

A model to predict \(c(x)\) for rod-like particles in FFF was derived [7], which is given as:

$$c(x) = c_0 \exp \left[ \int_{d/2}^{x} \frac{U_x/D_\perp + M(\bar{x})}{1 + \langle (p_\perp^2) \rangle} d\bar{x} \right] S(x)$$

(4)

Here, \(\bar{x}\) is a dummy variable for the integration. The integration range \((d/2 < x < w-d/2)\) is modified by the rod radius to incorporate the steric mode. The lift-hyperlayer mode is incorporated by \(M(x)\) which represents shear-induced migration [13–15]. The correction of \(D_\perp\) for the cross-sectional direction (x-direction) is made by \(<p_\perp^2>\), which represents the orientation average of the square of the x-component of the orientation unit vector, \(p\). The steric-entropic correction, \(S(x)\), is added for this study. More details of this term will be derived and explained in the next sections.

Note here that the terms \(M(x)\) and \(<p_\perp^2>\) are both functions of the Peclet number, \(Pe_s\), which is defined as the ratio between shear rate, \(\dot{\gamma}\), and \(D_\perp\):

$$Pe_s = \frac{\dot{\gamma}L^2}{D_\perp}$$

(5)

Since \(\dot{\gamma}\) is a function of \(x\) for parabolic flow, \(M(x)\) and \(<p_\perp^2>\) are eventually dependent on the \(x\) position, evaluations of which are summarized in the Appendix. More details are described in [13]. Considering the slender-body expression [12] for \(D_\perp\) and the parabolic flow profile (3), (5) can be also written as:

$$Pe_s = \frac{4\pi\mu\dot{\gamma}L^3}{k_BT\ln(2Ar)}$$

(6)

Here, \(k_BT\) is the thermal energy and \(\mu\) is the solvent viscosity. According to the slender-body approximation, the rotational diffusivity is \(D_R = D_\perp/12L^2\) [12–15]. Therefore, (5) can be rewritten in terms of the rotational Peclet number, \(Pe_R\):
\[ Pe_s = 12 Pe_r \tag{7} \]

It is also noteworthy that (4) can be reduced to the typical exponentially decaying function of \( c(x) \) for spherical particles in the normal mode if the diffusivity of the spherical particles is \( D_\perp \) and all the corrections are neglected (\( d/2 \to 0 \): no excluded volume effect, \( M(x) \to 0 \): no migration, \( \langle \langle p_x^2 \rangle \rangle \to 0 \): perfectly aligned in the axial flow direction, \( S(x) \to 1 \) for no steric-entropic effect):

\[ c(x) = c_0 \exp \left[ - \int_0^x \frac{U_x}{D_\perp} \, dx \right] = c_0 \exp \left( - \frac{x \frac{U_x}{D_\perp}} \right) \tag{8} \]

2.2. The Original Model for the Steric-Entropic Mode

Beckett and Giddings derived (1) by applying \( S(x) \) to (8). As demonstrated in Figure 1A, their original form of \( S(x) \) was derived by considering the configuration of a rod with Brownian rotation restricted by a wall. Considering that the orientation distribution of a Brownian rod in a free space is like the surface of a sphere with its radius equal to \( L \), the orientation distribution restricted by the rod-wall excluded volume effect can be obtained by the area swiped by the tip of a rod with its center-of-mass position at \( x \). \( S(x) \) is the ratio of the area where the rod rotation is allowed to the spherical surface area, of which the final form is:

\[ S(x) = \frac{2x}{L} \equiv S_0(x) \tag{9} \]

In this study, we apply (9) to (4) to investigate the effect of the steric-entropic mode by the original model on the Ar-dependent separation behavior as discussed in [9]. Note here that the definition of \( S_0(x) \) will be used in the new model in the next section.

2.3. Improved Model for the Steric-Entropic Mode

We introduce a new model for evaluating \( S(x) \) by considering the “pole-vault” rotation of a rod. It has been known that a non-Brownian rod under a shear flow rotates following a trajectory called the Jeffery orbit [16]. However, it also has been observed that a non-Brownian rod near a wall rotates in a different way, called a “pole-vault” rotation, which is accompanied by a center-of-mass change, as shown in Figure 2 [17–19].

![Flow with Shear](image)

**Figure 2.** Schematic demonstration of the pole-vault rotation of a rod-like particle near a wall under a shear flow.
As shown in Figure 3, if the pole-vault rotation is considered, the allowed orientation distribution of a rod will be different from that shown for the original steric-entropic mode. The restricted area is where the rod tips can rotate like kayak paddling. The corresponding $S(x)$ can be derived as the ratio of the rotation-allowed area to the spherical surface area in the previous section:

\begin{equation}
S(x) = 1 - \sqrt{1 - \left(\frac{2x}{L}\right)^2} \equiv S_\infty(x) \tag{10}
\end{equation}

Here, we define the original $S(x)$ from equation (9) as $S_0(x)$, which represents the correction for the allowable orientation distribution for pure Brownian rotation ($Pe_r = 0$). Note here that the form of (10) is coincidently identical to that of the original steric-entropic mode for a disk [5]. The newly derived $S(x)$ in (10) is defined as $S_\infty(x)$, which is the correction for non-Brownian rotation ($Pe_r = \infty$). However, flow conditions for Brownian rods in FFF are typically neither $Pe_r = 0$ nor $Pe_r = \infty$. Therefore, the actual $S(x)$ assuming a flow condition at a position $x$, $Pe_r(x)$ using (6) and (7), must be obtained. We assume that $S(x)$ is a linear combination of both $S_0(x)$ and $S_\infty(x)$ according to $Pe_r(x)$. Additionally, the contributions of $S_0(x)$ and $S_\infty(x)$ to $S(x)$ are assumed to be equal at $Pe_r = 1$ where the shear rate and Brownian rotation are equally affecting the rod orientation. This is valid as long as the flow regime is located in the Stokes region. Additionally, there is no need to derive the actual orientation distribution but rather the correction factor, which is related to the relative area of the allowable distribution. Therefore, considering the local flow condition, $Pe_r(x)$, $S(x)$ can be evaluated as follows:

\begin{equation}
S(x) = (1 - 0.5Pe_r)S_0(x) + 0.5Pe_rS_\infty(x), \text{ if } Pe_r < 1 \tag{11}
\end{equation}

\begin{equation}
S(x) = \frac{0.5}{Pe_r}S_0(x) + \left(1 - \frac{0.5}{Pe_r}\right)S_\infty(x), \text{ if } Pe_r > 1 \tag{12}
\end{equation}

Equation (11) or (12) is used to evaluate (4) to investigate the $Ar$-dependent elution behavior in terms of our new model. The expression for $Pe_r(x)$ can be derived by using the derivative of (3) in $x$, (6) and (7):
\[
Pe_r(x) = \langle v(x) \rangle \left[ \left( \frac{1}{w} \right) - \left( \frac{2x}{w^2} \right) \right] \frac{2\pi \mu L^3}{k_B T \ln(2Ar)}
\]  

(13)

2.4. Numerical Calculation

Equation (4) is evaluated for three different cases of \( S(x) \): \( S(x) = 1 \) where the steric-entropic mode is not considered, \( S(x) = S_0(x) \) where the original model of the steric-entropic mode is considered, and \( S(x) \) as in (11) or (12) with a new model considering the pole-vault rotation. The resulting \( c(x) \) from (4) is used to evaluate \( R \) using (2). The integrations in each calculation are performed numerically using the trapezoidal method with the integration steps less than 0.01d, which gives convergent results.

The geometries of rod-like particles for the investigation of the \( Ar \)-dependent elution are chosen as constant-volume rods: An arbitrary set of \( L \) and \( d \) of a cylindrical rod with \( Ar = 5 \) is chosen. The geometries of rods with higher \( Ar \) are generated on the basis of the same volume as that of a rod with \( Ar = 5 \) (increasing \( L \) and decreasing \( d \) to maintain the volume). The \( Ar \)-increment is made up to \( Ar = 50 \). Note here that the choice of the minimum \( Ar = 5 \) is because the model in this work was derived based on the slender-body model [12]. The extension of our model to rods with \( Ar < 5 \) will be performed as our future work. It is also worth noting that we replicated the methodology used for the constant-volume rods as described above to investigate the constant-diameter rods (i.e., increasing \( L \) for a constant \( d \)). The resulting trend was the same as that from the investigation on the constant-volume rods (data not shown).

Flow conditions of \( U_x \) and \( \langle v \rangle \) are chosen so that most of the rods in the \( Ar \) range (5–50) should be under each desired mode: The normal mode condition corresponds to \( lc > 0.5L \) and \( Pe_r(x = 0.5L) < 100 \), while the steric-entropic mode is for \( L > 2lc > d \) and \( Pe_r(x = 0.5L) < 100 \). Note here that \( Pe_r < 100 \) has been chosen to avoid the hyper-layer mode [7]. In each analysis, three representative flow conditions were shown for demonstration. The solvent properties (density, viscosity, and temperature) are chosen as those of water at room temperature (\( \rho = 1000 \) kg/m\(^3\), \( \mu = 0.001 \) Pa.s, \( T = 293 \) K).

3. Results and Discussion

3.1. Normal Mode

We investigated the \( Ar \)-dependence of separation behavior in the normal mode by evaluating \( R \) as a function of \( Ar \) using (2) and (4) with \( S(x) \approx 1 \). Figure 4 shows the predicted trend for \( Ar \) versus \( R \) for particles with three different volumes under the same flow condition were predicted. The predicted results show that \( R \) decreases with increasing \( Ar \) (“\( Ar \)-reduced elution”) for particles with the same volume. This trend can be explained using (6): Although the term, \( \ln(2Ar) \), in the denominator increases with increasing \( Ar \), the increase of \( L^3 \) in the numerator exceeds that in the denominator. Therefore, the subsequent increase of \( Pe_r \) results in the reduction of \( R \) with increasing \( Ar \). Comparing the results of \( R \) among different volumes of particles, \( R \) decreases with increasing volume for the same \( Ar \), which is expected by the classical FFF theory in the normal mode (slower elution time for larger volumes).

Although the correction of each \( D_\perp \) by the local orientation effect [7] was included in (4), the \( Ar \)-enhanced elution was not predicted for the same volume rods in the normal mode. However, the \( Ar \)-enhanced elution in the normal mode can be found in a mixture of particles when the volumes of the rods are chosen so that they decrease with increasing \( Ar \), as shown in Figure 4 (e.g., a particle mixture
of rods with the volume of $3.93 \times 10^{-21} m^3$ and $Ar = 20$; $1.66 \times 10^{-21} m^3$ and $Ar = 30$; and $0.490 \times 10^{-21} m^3$ and $Ar = 50$).

![Graph showing the prediction of R as a function of Ar in the normal mode with S(x) = 1 for the rods with three different volumes (Rod 1V: $4.90 \times 10^{-22} m^3$, Rod 2V: $1.66 \times 10^{-21} m^3$, Rod 3V: $3.93 \times 10^{-21} m^3$) under a flow condition of $<v> = 5 \times 10^{-3} m/s$ and $U_x = 4 \times 10^{-7} m/s$.]

**Figure 4.** Prediction of $R$ as a function of $Ar$ in the normal mode with $S(x) = 1$ for the rods with three different volumes (Rod 1V: $4.90 \times 10^{-22} m^3$, Rod 2V: $1.66 \times 10^{-21} m^3$, Rod 3V: $3.93 \times 10^{-21} m^3$) under a flow condition of $<v> = 5 \times 10^{-3} m/s$ and $U_x = 4 \times 10^{-7} m/s$.

### 3.2. Original Steric-Entropic Mode with Nonconstant Cloud Thickness

The effect of the original steric-entropic mode, derived in [5], on separation behavior was investigated by evaluating (2) using (4) with (9). For the constant-volume scenario, a volume of $3.93 \times 10^{-21} m^3$ ($Ar = 5$, $L = 500$ nm and $d = 100$ nm) was chosen. Three different flow conditions in the steric-entropic mode ($L > 2l_c > d$ and $Pe_r < 100$) were chosen for the demonstration (summarized as Flow 1V, 2V, and 3V in Table 1). The results in Figure 5 show the $Ar$-reduced elution trend, which is different from both the experimental observation [9] and the prediction [5]. We also note here that we could not find the $Ar$-enhanced trend under any flow conditions in the steric-entropic mode ($L > 2l_c > d$ and $Pe_r < 100$).

#### Table 1. Summary of the flow conditions used in the calculations for the steric-entropic mode investigation. The ranges for $Pe_r$ and $2l_c/L$ are for rods in $5 \leq Ar \leq 50$.

<table>
<thead>
<tr>
<th>Flow Condition Name</th>
<th>$U_x$ ($\times 10^{-6}$ m/s)</th>
<th>$&lt;v&gt;$ ($\times 10^{-4}$ m/s)</th>
<th>$Pe_r$</th>
<th>$2l_c/L$</th>
<th>Steric-entropic mode range</th>
</tr>
</thead>
<tbody>
<tr>
<td>Flow 1V</td>
<td>1.5</td>
<td>1.0</td>
<td>0.0241–1.20</td>
<td>3.45–0.367</td>
<td>$Ar &gt; 17.5$</td>
</tr>
<tr>
<td>Flow 2V</td>
<td>2.0</td>
<td>2.0</td>
<td>0.0487–2.39</td>
<td>2.96–0.275</td>
<td>$Ar &gt; 15.0$</td>
</tr>
<tr>
<td>Flow 3V</td>
<td>4.0</td>
<td>4.0</td>
<td>0.0722–3.59</td>
<td>1.48–0.138</td>
<td>$Ar &gt; 7.5$</td>
</tr>
</tbody>
</table>
Figure 5. Prediction of $R$ as a function of $Ar$ in the original steric-entropic mode with $S(x) = S_0(x)$ and nonconstant $l_c$ for rods with a constant volume of $3.23 \times 10^{-21}$ m$^3$ under various flow conditions (see Table 1).

These trends can be explained by comparing $c(x)$ for the rods of each $Ar$. We chose the case of Flow 2V for the demonstration. In Figure 6, each $c(x)$ was evaluated for the rods with three different values of $Ar$ (20, 40, and 50) and plotted as a function $x/l_c$, the distance from the wall relative to $l_c$ (as in the original steric-entropic mode prediction). As $Ar$ increases, $c(x)$ is distributed farther away from the accumulation wall ($x = 0$). This trend is the same as the prediction by the original steric-entropic mode although the $Ar$-enhanced elution is not shown here. This can be explained by the released assumption of constant $l_c$: Since the values of $l_c$ are changed, the actual distribution is different from what is shown as a function of $x/l_c$. This indicates that the results in Figure 6 should be plotted as a function of a different variable to properly display the trend. We re-scaled the results in Figure 6 with $x/w$ and plotted them in Figure 7. Each $c(x)$ appears to be similar to each other. However, if the $x$-positions of the maximum $c(x)$ are compared, each distribution is squeezed towards the accumulation wall with increasing $Ar$, which results in the $Ar$-reduced trend predicted in Figure 5.

As indicated in the legend of Figure 6, the values of $l_c$ are very small compared to $w = 350$ μm, which can be assumed to be constant in the original steric-entropic mode. Yet when comparing the values of the $l_c$ of rods with different $Ar$ under the same flow condition, the ratio between the $l_c$ of the rods corresponds to the ratio of the $D_\perp$ of each individual rod. Furthermore, $D_\perp$ affects the $c(x)$ in a wider $x$-range whereas the steric-entropic mode can only affect the $c(x)$ in shorter $x$-ranges (very near the wall: $x \sim 0.5L$). In other words, although the steric-entropic term $S(x)$ lifts the distribution $c(x)$ away from the wall with increasing $Ar$, the values of $l_c$ actually decrease due to the reduction of $D_\perp$. As a result, the overall $c(x)$ is pushed down towards the wall (slower elution). Therefore, for the explanation of the $Ar$-enhanced elution using the steric-entropic mode, we propose an improved model in the next section.
Figure 6. Prediction of $c(x)$ as a function of $x/l_c$, a distance from the bottom wall normalized with $l_c$, for rods with a volume of $3.23 \times 10^{-21} \text{ m}^3$ and different values of $Ar$ in the original steric-entropic mode with $S(x) = S_0(x)$ and nonconstant $l_c$ under Flow 2V.

Figure 7. Prediction of $c(x)$ as a function of $x/w$, a distance from the bottom wall normalized with $w$, for rods with a volume of $3.23 \times 10^{-21} \text{ m}^3$ and different values of $Ar$ in the original steric-entropic mode with $S(x) = S_0(x)$ and nonconstant $l_c$ under Flow 2V.
3.3. Improved Steric-Entropic Mode

The effect of the improved steric-entropic mode, derived in Section 2.3, on the separation behavior was investigated by evaluating (2) using (4), (11) and (12). The geometries of rods and the flow conditions for this investigation were chosen as the same conditions used in the analysis for the original steric-entropic mode in Section 3.2. The results for the rods with different Ar and constant volume (under the same flow conditions as in Figure 5) are shown in Figure 8. For Flow 1V and 2V, R decreases with increasing Ar at lower values of Ar while the Ar-enhanced elution trend emerges at higher values of Ar; however, Flow 3V resulted in the Ar-enhanced elution trend only at a certain Ar range.

This Ar-enhanced elution trend can be explained by comparing different distributions of \( c(x) \) for the rods with various values of Ar in the Ar-enhanced elution range. Figure 9 shows the distributions of \( c(x) \) for the constant-volume rods with Ar = 20, 40 and 50. Although \( c(x) \) is plotted as a function of \( x/w \) (constant \( l_c \) assumption was not made), it is clearly shown that the distributions of \( c(x) \) are lifted away from the wall with increasing Ar. The Ar-enhanced elution trend predicted by the improved steric-entropic mode suggests that this model can be a starting model, which can be developed further to fully explain the experimentally observed Ar-enhanced elution [9]. More discussion on the flow and rod geometry conditions for the Ar-enhanced elution trend will be made in the next section.

![Figure 8](image-url)  
**Figure 8.** Prediction of \( R \) as a function of Ar in the new steric-entropic mode with (11) and (12) and nonconstant \( l_c \) for rods with a constant volume of \( 3.23 \times 10^{-21} \) m\(^3\) under various flow conditions (see Table 1).
3.4. Identification of the Conditions for the Ar-Enhanced Elution

The Ar-enhanced elution trend, predicted by our model for the improved steric-entropic mode, only happens under specific flow conditions. We analyzed the predicted results in Figures 6 and 7 as an attempt to identify those flow conditions. We conjectured that the interplay between $Pe_r$ and $2l_c/L$ controls the Ar-dependent elution: There is a transition between the rod-wall interactions by Brownian rotation and the pole-vault rotation around $Pe_r = 1$. Additionally, $l_c/2L$ is related to the competition between $U_x$ and $D_{\perp}$; it is expected that the Ar-enhanced elution trend happens when the pole-vault rotation lifts the rod distribution away from the wall under the conditions where $U_x$ is not strong enough to push this lifted distribution down towards the wall again. Our analysis found that the Ar-enhanced elution trend happens when $Pe_r$ is approximately between the range of 0.8–2 and $2l_c/L$ is approximately between the range of 0.6–0.3. These ranges can be interpreted as the condition when the rod-wall interaction transition ($Pe_r = 1$) happens under the $l_c$ of approximately 0.25$L$ (the steric-entropic mode is not overly suppressed by $U_x$).

3.5. Comparison with the Experimental Observation

Figure 10A shows the experimentally observed elution data of GNRs with $Ar = 1.4, 3.1, 3.4$ and $4.4$ ($L = 34$ nm, $77$ nm, $38$ nm, and $44$ nm, respectively) under a flow condition of $U_x = 4 \times 10^{-4}$ m/s and $<v> = 4 \times 10^{-4}$ m/s (converted from 8 mL/min and 0.5 mL/min considering the geometry of AF4 used in [9]). If those experimental conditions are used in the prediction of $R$ using the new model, the results do not agree with the experimental data. The Ar-enhanced elution trend can be found for the rods with the same values of $Ar$ in a different set of flow conditions and rod geometries, as shown in Figure 10B.
However, the values of $R$ and the slope of $R/Ar$ show a reduction in an order of magnitude. The flow condition for the $Ar$-enhanced elution ($Pe_r \sim 1$ and $l_c \sim 0.25L$), identified by our new model, does not correspond to the experimental data. Note here that our work focused on improving the original steric-entropic model to show the $Ar$-enhanced elution trend. We are currently working on further development of this model for the enhanced quantitative agreement with the experimental data. The current quantitative discrepancy between the new model’s prediction and the experimental data can be attributed to the following point: The superposition assumed in (11) and (12) may be applied differently. In that case, the flow conditions for the $Ar$-enhanced elution (identified as $Pe_r \sim 1$ and $l_c \sim 0.25L$) will be different. Our model is derived based on the constant $<v>$ in Fl-FFF whereas $<v>$ in AF4 channel changes in the axial direction. The effect of the axial position-dependent $Pe_r$ is not known. Our model also should be extended for rods with $Ar < 5$ because the slender-body approximation [12] was used in the model derivation. Furthermore, the particle-wall interaction due to the surface charge effect [20] is also going to be considered. Brownian dynamics simulations as well as verification through experiments will be performed for the future development.

![Figure 10](image)

**Figure 10.** Retention ratios as a function of $Ar$: (A) Experimental data [9] of GNRs with $Ar = 1.4, 3.1, 3.4$ and $4.4$ ($L = 34$ nm, 77 nm, 38 nm, and 44 nm, respectively) eluted under $U_\xi = 4 \times 10^{-4}$ m/s and $<v> = 4 \times 10^{-4}$ m/s in AF4 channel and (B) the new model prediction of the rods with $Ar = 1.4, 3.1, 3.4$ and $4.4$ ($L = 340$ nm, 770 nm, 380 nm, and 440 nm, respectively) eluted under $U_\xi = 1.2 \times 10^{-5}$ m/s and $<v> = 8 \times 10^{-3}$ m/s in Fl-FFF.

4. Conclusions

We have shown that our new model for the steric-entropic mode can be used to predict the $Ar$-enhanced elution trend. Our new model improved the mechanism for the steric-entropic mode [5] by means of incorporating the pole-vault rotation of rod-like particles. In the normal mode, we have shown that the $Ar$-enhanced elution can be possible for the case where the rods have a larger $Ar$ and larger volume. Our investigation of the original steric-entropic mode showed that the $Ar$-enhanced elution trend is not shown when the constant $l_c$ assumption is released. Predictions of the separation behaviors by our new model showed that the $Ar$-enhanced elution trend is possible for certain flow conditions: Transition
in the rod-wall interaction mechanisms (Brownian rotation and pole-vault rotation) happens while \( l_c \) is about a quarter size of a rod length \( (Pe_r \sim 1 \text{ and } l_c \sim 0.25L, \text{ based on the current model}).

Although the new model can be viewed as a prototype to qualitatively show the \( Ar \)-enhanced trend, its quantitative agreement with the experimental data must be improved. The extension to low \( Ar \) rods, consideration of the surface charge effect, simulation of the actual AF4 channel, as well as confirmation by Brownian dynamics simulations and experiments are presently being performed.

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**Author Contributions**

Joontaek Park derived the new model and wrote the text. Anand Mittal participated in numerical calculations, data analysis, manuscript revision, and discussion.

**Conflicts of Interest**

The authors declare no conflict of interest.

**Appendix**

The terms \( M(x) \) and \( \langle\langle p_x^2 \rangle\rangle \) in (4) consist of the ensemble average of orientation moments, the products of the orientation vector components of a rod-like particle. The correction for \( D_\perp \) requires only \( \langle\langle p_x^2 \rangle\rangle \) while \( M(x) \) needs \( \langle\langle p_x^2 \rangle\rangle \), \( \langle\langle p_x p_y \rangle\rangle \) and \( \langle\langle p_x^3 p_y \rangle\rangle \) for calculation, as shown by [7,13–15]:

\[
M(x) = \frac{L}{128 \ln(2A)} \left[ \frac{1}{x^2} - \frac{1}{(x-w)^2} \right] [12Pe_r(\langle\langle p_x p_y \rangle\rangle - 3 \langle\langle p_y p_x^3 \rangle\rangle) + 72(1 - 3 \langle\langle p_x^2 \rangle\rangle)] - \frac{\partial \langle\langle p_x^2 \rangle\rangle}{\partial x}
\]  

(14)

Those orientation moments were evaluated by taking the average of the samples from Brownian dynamics simulation. The simulation of a single Brownian rod in an unbound medium was performed under various \( Pe_r \) (in the range of \( 4 \times 10^{-3} - 4 \times 10^4 \)) using the equation of motion and the method described in [13]. Calculated orientations during the simulation were sampled to obtain the ensemble average values. The results are shown in Figure 11. Error bars are smaller than the symbol size. However, performing the integration of (4) requires the complete relation between each orientation moment and \( Pe_r \). Analytical expressions were derived for the ranges of \( Pe_r < 1 \) and \( Pe_r > 100 \) [13]. The expression for the range of \( 1 < Pe_r < 100 \) can be obtained by the regression of the data points obtained from the Brownian dynamics simulation (Dividing the range into \( 1 < Pe_r < 10 \) and \( 10 < Pe_r < 100 \) is recommended). It is also possible that values between the data points can be evaluated by linear interpolation between them. The results from either method did not show any significant differences.
Figure 11. Ensemble averages of orientation moments, $\langle p_x^2 \rangle$, $\langle p_x p_y \rangle$ and $\langle p_x^3 p_y \rangle$ of a Brownian rod under shear flow as functions of $Pe_r$. (Redrawn from [13] by permission)

References


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