

Table S1. Themes in MNH referral systems in the three study provinces/districts.

Dimensions	Province 1 (P1), District 1 (D1)	Province 2 (P2), District 2 (D2)	Province 3 (P3), District 3 (D3)
Overall functioning	<ul style="list-style-type: none"> • Perceived to be functioning well, although constrained by structural and emerging challenges, notably <ul style="list-style-type: none"> ○ Lack of District Hospital (DH) beds in parts of metro ○ Staffing setbacks, incl. EMS 	<ul style="list-style-type: none"> • Perceived to be improving although ‘not 100% smooth’ • MNH referral relationships between PHC and DH functioned unevenly across the district 	<ul style="list-style-type: none"> • Perceived to be a major challenge, hampered by <ul style="list-style-type: none"> ○ critical weaknesses in EMS ○ referral pathways not fit for purpose
A referral policy/strategy	<ul style="list-style-type: none"> • Provincial and district policies in place with appropriate forms and documentation, and agreement on referral pathways 	<ul style="list-style-type: none"> • Provincial policy and district standard operating procedures in place, but needed to be (re)negotiated between all key players in each locality to address: <ul style="list-style-type: none"> ○ Appropriateness of referrals and distribution of deliveries between CHCs and DHs ○ Regional Hospital (RH) providing DH services for immediate catchment area ○ Patient movement across the boundaries of the sub-district, districts and neighbouring provinces through Service Level Agreements (SLAs) 	<ul style="list-style-type: none"> • Provincial policy in place, but described as ‘desk top’, unable to address complex realities on the ground, including: <ul style="list-style-type: none"> ○ Resource implications of cross border service utilisation, spanning sub-districts, districts, neighbouring provinces and countries (Swaziland, Mozambique) ○ RH providing DH services for immediate catchment areas ○ No SOPs enabling bypassing when requisite expertise unavailable at the next level in the referral pathway • With support of partners, agreements on referral pathways negotiated in specific sub-districts
Adequately resourced sending and receiving referral facilities	<ul style="list-style-type: none"> • ‘Distorted service delivery platform’ as a legacy of apartheid hospital planning <ul style="list-style-type: none"> ○ In one sub-district of the metro three hospitals providing regional and tertiary services and no DH ○ In another sub-district, a DH having capabilities (neonatal ICU, obstetricians) equivalent to next referral hospital, but inadequate support services e.g. blood bank • Considerable everyday management (through system brokers) and up-and-down swapping of beds/patients across the platform 	<ul style="list-style-type: none"> • Perceived imbalance in maternal deliveries between CHCs and DH <ul style="list-style-type: none"> ○ 24-hour CHCs staffed with midwives, with potential to do more deliveries but lack doctor support ○ DH staffed with doctors, but acute shortage of midwives • Availability of obstetricians at RH, but similar shortage of midwives and limited beds/physical infrastructure • Goal is for a high care neonatal unit in each district hospital able to provide CPAP and presence of motivated/skilled staff, but hampered by availability of essential supplies such as medical air 	<ul style="list-style-type: none"> • Perceived imbalance in maternal deliveries between CHCs and DH (as in P2) <ul style="list-style-type: none"> ○ Successfully negotiated a shift in uncomplicated deliveries towards CHCs in one sub-district ○ DH staffed with doctors, but acute shortage of midwives • Presence of a well-functioning MNH service in one RH, with adequate staffing and recent upgrading of neonatal ICU • DH have capacity to provide neonatal high care (e.g. staff, CPAP), but relatively minor bottlenecks in supplies (e.g. piping for CPAP), equipment (e.g. a theatre bed) hampered \ delivery of services

	<ul style="list-style-type: none"> • Adequate staffing of doctors, but acute shortages in nursing staff, especially midwives at lower levels of the system • Well established midwife obstetric units (MOUs) in the metro, with medical support 	<ul style="list-style-type: none"> • Neonatal ICU in regional hospital, staffed by a paediatrician, but insufficient beds • Appointment of provincial tertiary level specialists with responsibility for supporting lower levels 	<ul style="list-style-type: none"> • RH and TH have overlapping profile of services and competencies, also a legacy of apartheid hospital planning <ul style="list-style-type: none"> ◦ Scope for rationalisation and agreement on bypassing to quaternary services in other provinces
Functioning emergency medical services (EMS)	<ul style="list-style-type: none"> • 420 ambulances, ratio of 1 per 15,000 population [interview data, Nov 2022] • EMS obstetric emergency urban inter-facility transfer under 30 minutes rate: 44% (2019/20) [National Indicator Data Set] • EMS considered largely unproblematic 'within boundaries of the metro' • Sufficient vehicles, but staffing an emerging issue <ul style="list-style-type: none"> ◦ COVID contract posts terminated ◦ National regulations on minimum skill level • Enabled through informal relationships between EMS and managers at sub-district level • At district level EMS functions as 'an island', reporting to the province 	<ul style="list-style-type: none"> • 262 ambulances, ratio of 1 per 23,000 population [news report, May 2023] • EMS obstetric emergency rural inter-facility transfer under 60 minutes rate: 30% (2019/20) • EMS Priority 1 rural obstetric response under 40 minutes rate: 41% (2019/20) [National Indicator Data Set] • EMS considered to be reasonably functional at district level • Have ambulances stationed at facilities (CHC and hospitals) although still need to go via central EMS call centre • Joint manager/EMS WhatsApp groups in emergencies • Would like more obstetric ambulances • Steps at provincial level to upgrade skill levels of EMS, following national regulations 	<ul style="list-style-type: none"> • 72 functioning ambulances, ratio of 1 per 65,000 population [provincial parliamentary report, Feb 2023] • No routine EMS data reported in National Indicator Data Set • EMS source of 'massive trouble', with delays of up to 8 hours for emergency transfers • Critical shortage of and inadequately equipped ambulances • Lack of competencies in triaging and prioritisation at the EMS call centre • No ambulances stationed at health facilities
Communication and collaboration between levels of care	<ul style="list-style-type: none"> • Weak collaboration and communication between PHC and regional hospitals where no DH intermediary • District programme managers and specialists broker relationships and address day-to-day problems between hospitals • Lack of feedback on referred cases • Clinical managers of all hospitals (DH, RH, TH) in metro have a regular forum and address referral and outreach issues • System strengthening through Mphatlalatsane initiatives 	<ul style="list-style-type: none"> • Relationship between PHC system and DH variable between sub-districts • Collaborative relationships between DHs and RH, and between RH and TH improved, through active efforts of district management team • District managers, specialist clinicians in district and province, and partners broker relationships and communication between levels • Lack of feedback on referred cases • Communication hampered by network availability • Multiple WhatsApp groups connecting players, including EMS within district and beyond; accelerated with COVID 	<ul style="list-style-type: none"> • Lack of structured relationships between PHC system and DH managers • Collaborative relationships between one RH and DHs in the referral 'wedge' improved with support and brokerage by partners • Lack of structured relationship ('interdepartmental forum') between managers at RH and TH • District clinical specialist, programme managers and partners broker relationships and support system strengthening, but lack <ul style="list-style-type: none"> ◦ jurisdiction over RH and TH ◦ authority to improve supply chains • Plans to introduce a national m-health referral app, Vula

		<ul style="list-style-type: none">• Provincial level Neonatal Response Team, builds relationships between TH and RH, incl. in referral of individual cases	<ul style="list-style-type: none">• RH established a forum for nursing managers in catchment area, including DH, PHC and EMS• Clinical managers meet regularly in a provincial forum, negotiate sharing of specialised clinical resources across platform
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Table S2. Themes in MNH outreach systems in the three provinces/districts

Dimensions	Province 1 (P1), District 1 (D1)	Province 2 (P2), District 2 (D2)	Province 3 (P3), District 3 (D3)
Model	Combination of: <ul style="list-style-type: none"> • District Clinical Specialist Team (DCST) evolving into regional and provincial level specialists • District and sub-district programme managers • Facility outreach within PHC system (mid-wife obstetric units (MOUs) to clinics) • Weak role of tertiary hospital 	Combination of: <ul style="list-style-type: none"> • Cascade model from regional hospital specialists to district hospital generalists to PHC • Central (provincial) coordination by tertiary level specialists in obstetric and neonatal ‘response teams’ • District and provincial programme managers • Provincial partner for newborn and maternal health 	Combination of: <ul style="list-style-type: none"> • DCST plays major role (5 members)* • District and sub-district programme managers • Regional hospital outreach • Facility clinical managers • District PEPFAR partner supports in-service training
Clinical care and mentorship	<ul style="list-style-type: none"> • DCSTs run specialist outpatient clinics at district hospital, and provide telephonic support • No outreach from tertiary hospital • Advanced midwives in CHCs support PHC clinics 	<ul style="list-style-type: none"> • Regional hospital specialists see ‘cold cases’ at district hospitals • Tertiary level provides telephonic support through provincial response teams • Uneven support for PHC from district hospitals 	<ul style="list-style-type: none"> • Regional hospital obstetricians support MOUs in surrounding sub-district • DCSTs do limited clinical work • Uneven support for PHC from district hospitals
In-service training	<ul style="list-style-type: none"> • Skills development plans at facility and sub-district level developed • Established Regional Training Centre (RTC) • Programme manager and DCST agree training targets with RTCs 	<ul style="list-style-type: none"> • Training infrastructure and support through university partner for newborn health • Virtual and face to face programmes through the response teams • On site skills development and mentoring (surgical and anaesthetic) 	<ul style="list-style-type: none"> • Annual 3-week programme of skills development for community service doctors arranged by DCST • Relies on clinical manager initiative in district hospital • Programme managers organise training for PHC
Audit, M&E	<ul style="list-style-type: none"> • Facility audit tools developed by programme manager • Perinatal mortality meetings conducted within facilities • Confidential enquiries into maternal deaths • Quarterly general clinic assessments (Ideal Clinic programme) • Operational managers meet monthly in each sub-district to review indicators, and quarterly at district level as part of routine performance reviews • Lack of MNCH specific district forum and loss of district programme staff 	<ul style="list-style-type: none"> • Performance Monitoring and Response Forums (PMRF) meet monthly in catchment areas of sub-districts and district, with a focus on MNCH <ul style="list-style-type: none"> ◦ Conduct real-time maternal and neonatal mortality surveillance and appropriate responses • Provincial-level maternal death audits • Provincial Maternal Health Standards launched in 2019 • Facility Assessment Tools (FAST) for neonatal care developed by support partner 	<ul style="list-style-type: none"> • Programme managers and DCST conduct facility audits and district reviews • Joint PHC, district hospital perinatal mortality review meetings • Confidential enquiries into maternal deaths • Vula app provides opportunity for systematic collection of data on referral system • General district quarterly review processes

*paediatric nurse, paediatrician, PHC nurse, O&G Specialist and anaesthetist