

Article

# Smart Antenna for Application in UAVs

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**Abstract:** In the present paper, a smart planar electrically steerable passive array radiator (ESPAR) antenna was developed and tested at the frequency of 1.33 GHz with the main goal to control the main radiation lobe direction, ensuring precise communication between the antenna that is implemented in an unmanned aerial vehicle (UAV) and the base station. A control system was also developed and integrated into the communication system: an antenna coupled to the control system. The control system consists of an Arduino, a digital potentiometer, and an improved algorithm that allows defining the radiation-lobe direction as a function of the UAV flight needs. The ESPAR antenna was tested in an anechoic chamber with the control system coupled to it so that all previously established requirements were validated.

**Keywords:** P-ESPAR antenna; smart antenna; control system; Arduino; UAV communication system

## 1. Introduction

The Ministry of National Defense has the areas associated with the command, operation, and supervision of the Armed Forces (AF) as priorities in its investment and development program [1]. These perspectives are in line with those of other industrialized countries, intending to carry out a transformation in defence that aims to modify the current armed forces, transforming them into forces based on knowledge and sophisticated technological platforms [2].

Operational Theaters (OT) are increasingly demanding, and the AF have realized that we have entered the information and knowledge age, where information plays a decisive role in achieving operational superiority. Operations are increasingly focused on obtaining information in order to anticipate the enemy forces' movements and objectives and gain advantages in decision-making [2].

Therefore, the Armed Forces need fast, flexible forces with good communication systems and battlefield-monitoring capabilities [1]. With this objective, the investigation and development of these means by the Armed Forces intends to maximize their effectiveness. The same applies to unmanned aerial vehicles (UAVs). This technological environment is used in a military context due to its flexibility, capacity for projection and exploration in the field, and, in some situations, it being able to replace conventional aircrafts. As such, UAVs are applied, for example, to missions in environments with chemical or biological contamination and hostile high-risk missions. These missions are called D3—Dull, Dirty, and Dangerous. These devices are also used when the commander believes it necessary, both from a tactical and economic point of view, which makes UAVs an attractive tool in the military context [1].

## 2. State of the Art

### 2.1. UAV Contextualization

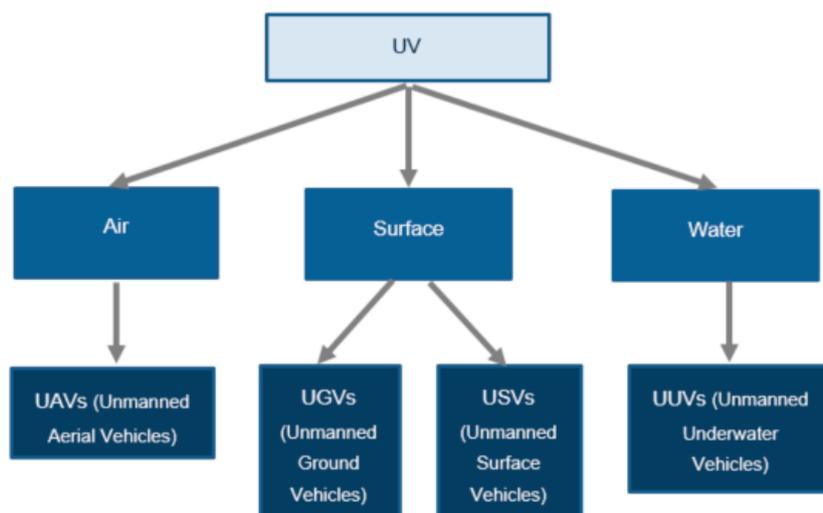
Unmanned vehicles (UVs) are used on all surface types (water, air, and land) [3,4]. The following illustration represents the classification of UVs, divided by action.

The UAVs used for military applications present greater robustness and reliability than a UAV used for civil applications. Factors such as weight, size, volume and input power are important in the construction of a UAV [4].

One of the many applications of UAVs is in Electronic Warfare (EW). Electronic warfare can be defined as the set of actions that use electromagnetic energy to neutralize the opponent's command and control ability, take advantage of the use of the electromagnetic spectrum by the enemy, and ensure the efficient use of electromagnetic emissions of friendly forces [5].

Regarding EW, it has easily found utility in UAVs. The aircrafts allow for an approach to enemy forces and interference in their entire communications network. The objective is to use UAVs to replace traditional vehicles, improving interference efficiency, reducing costs, and increasing the speed and safety of their own forces [5].

With the existence of several applications, it has become necessary to study the communication system of a UAV to increase the range and improve the efficiency of the connection (Figure 1).



**Figure 1.** Unmanned vehicle (UV) classification by field of performance.

### 2.2. Communication Systems

Currently, UAVs have incorporated a communication system capable of providing data to the Base Station (BS), such as location, image, and information of the conditions of the device. The communication between the UAV and the BS must be bidirectional, since it is also necessary to send control information from the Base Station to the UAV [6].

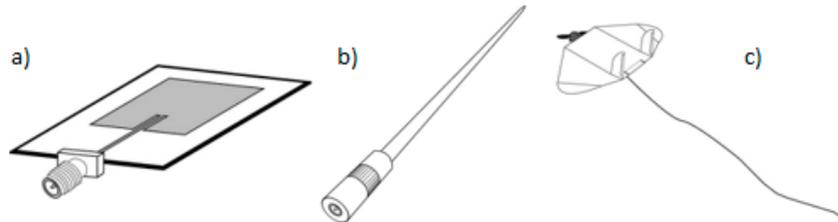
Antennas are passive devices that, in a wireless system, are the forefront of emitters and receivers, presenting three fundamental properties: gain, directivity, and polarization. Given their passive characteristic, antennas redirect the energy they receive from the emitter to a certain direction (directional antennas) or radiate uniformly in all directions (omnidirectional antennas) [7].

In the case of UAVs, antennas are dimensioned according to the needs of the aircraft, and the project is still limited by aerodynamic characteristics. Weight and robustness are fundamental characteristics, mainly in UAVs for military applications.

The antennas normally used for this purpose are planar antennas, whip antennas, and loose-wire antennas. Planar antennas are the most frequently used since they can be used as omnidirectional

or directional antennas, which makes them very flexible. At the aerodynamic level, they do not significantly interfere with the structure.

Whip antennas, despite their low cost, have aerodynamic problems and a low gain; these are classified as omnidirectional antennas. Finally, the loose-wire antenna, which, despite its high gain allowing greater range, sees its use limited by the aerodynamic slope and risk of damage to the UAV [4].

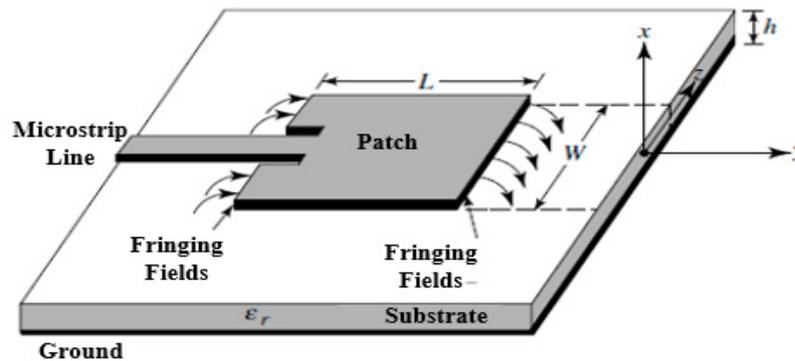


**Figure 2.** (a) Planar antenna [4]; (b) whip-monopole antenna [4]; (c) dragged-wire Antenna [4].

The antenna to be studied is the planar antenna (Figure 2) because it allows for a change in the main propagation lobe of electromagnetic energy and improves the aerodynamic behavior of the aircraft, this being a crucial factor.

Planar antennas are antennas used when antenna design constraints require reduced size and weight, low cost, and high efficiency.

The main parameters that should be considered in this antenna project are: the relative dielectric constant of the substrate ( $\epsilon_r$ ); thickness  $h$  of the substrate and its size; patch configuration; and patch dimensions. These characteristics determine the radiation pattern of the antenna. Figure 3 shows a planar antenna with the above-mentioned characteristics.



**Figure 3.** Specification of a planar antenna [8].

The feeding method used in the antenna design was the line-feed method, given the simplicity and ease of input impedance matching.

One of several types of planar antennas are electrically steerable passive array radiator (ESPAR) antennas. These antennas allow to adapt the direction of radiation, constituted by  $N$  elements, where one element is active and the others passive elements coupled to the active. Mutual coupling is created by changing the spacing between the elements of the ESPAR antenna array and using reactance coupled with the passive elements [9].

The ESPAR antenna uses the mutual coupling to excite the parasitic elements. These elements are charged according to a variable reactance originating from varicap diodes. The variation of the value of the reactance is reflected in the alteration of the main lobe of the radiation diagram [10]. The process of changing the main lobe is called beamforming.

Unlike conventional antennas, the ESPAR antenna does not have individual lines for receiving/transmitting because only one antenna element is connected to the circuit. ESPAR antennas are an

increasingly used solution because it allows beamforming, i.e., switching the main lobe, maximizing the received signal, and minimizing interference [11].

This article presents the construction of an intelligent antenna for a UAV using this technology.

### 3. P-ESPAR Antenna Study

In this article, we present the development of a low-cost prototype of a P-ESPAR antenna with two layers of air and one layer of FR-4. This antenna arises in the context of a previous work, where the design of an antenna with substrate RT Duroid 5870 was carried out by Marques [12].

In this sense, the configuration and simulation of the antenna is presented as well as the conclusions regarding the comparison of the results obtained through the CST Microwave Studio simulator.

The sizing of the P-ESPAR antenna took as mandatory requirements the parameters presented in Table 1.

**Table 1.** Requirements for the planar electrically steerable passive array radiator (P-ESPAR) antenna design.

Frequency	1.330 GHz
Gain	>3 dB
Bandwidth	>8 MHz
Coefficient of reflection $ S_{11} $	$\leq -10$ dB

#### 3.1. P-ESPAR Antenna Configuration

The developed P-ESPAR antenna consists of an aggregate of three elements, the middle element being the active element, and the remaining parasitic elements. The three elements have the same dimensions. The antenna has a resonance frequency of 1.33 GHz.

The choice of substrate was a choice conditioned by economic reasons. Initially, the chosen substrate was the RT Duroid 5870, but given its high cost, the prototype of the antenna was constructed with a substrate composed of two plates of FR-4 and an air layer of a thickness that allows to obtain a structure with the same constant ( $\epsilon_r$ ), its homogeneity, the tangent of the loss angle ( $\tan\delta$ ), and the thickness ( $h$ ). The equivalent model is accepted to have the same characteristics of RT DUROID 5870, but with a different thickness. For this reason, the patches were resized, the antenna simulation work repeated, and, therefore, other coupling diodes were chosen so that the antenna was perfectly adapted to the design requirements.

The antenna was constructed to present the same dielectric constant equivalent of RT Duroid 5870, for which two FR-4 substrates with one layer of air were used between them to approximate the dielectric constant to the Duroid RT 5870 values. Table 2 shows the characteristics of the FR-4 substrate and the Duroid 5870 RT for antenna sizing.

**Table 2.** FR-4 and RT Duroid 5870 substrate specifications [13,14].

FR-4		RT Duroid 5870	
r	4.700	r	$2.330 \pm 0.020$
$\tan\delta$	0.014	$\tan\delta$	$5.000 \times 10^{-5}$
Thickness	1.575 mm	Thickness	1.575 mm

After choosing the substrate, the dimensions of the P-ESPAR antenna patch were calculated.

Then, the values of these dimensions were optimized using a CST Microwave studio software optimization tool. Table 3 shows the values obtained from the sizing and subsequent optimization; it is also possible to observe the value obtained for the resonance frequency in Table 4.

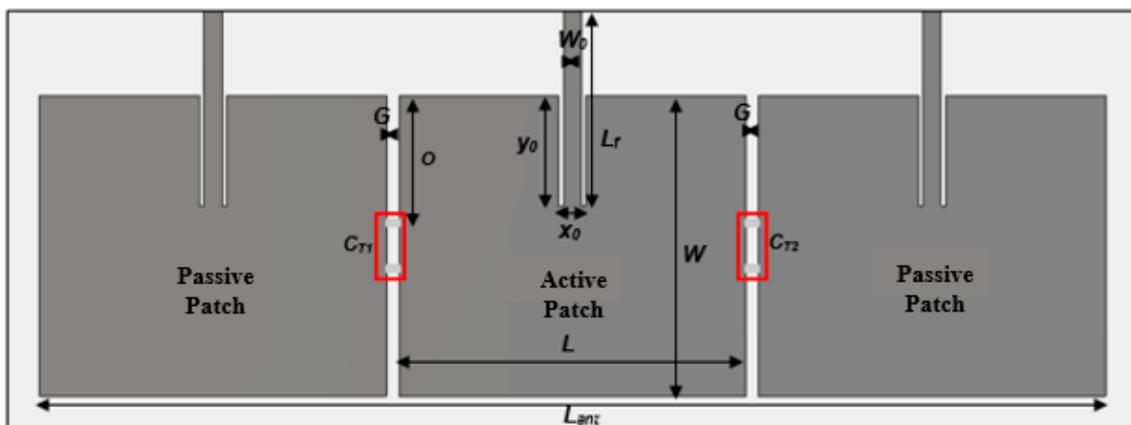
In Figures 4 and 5, it is possible to identify the calculated dimensions with their location on the P-ESPAR antenna.

**Table 3.** Dimensions of the antenna with two substrate FR-4 plates and one layer of air.

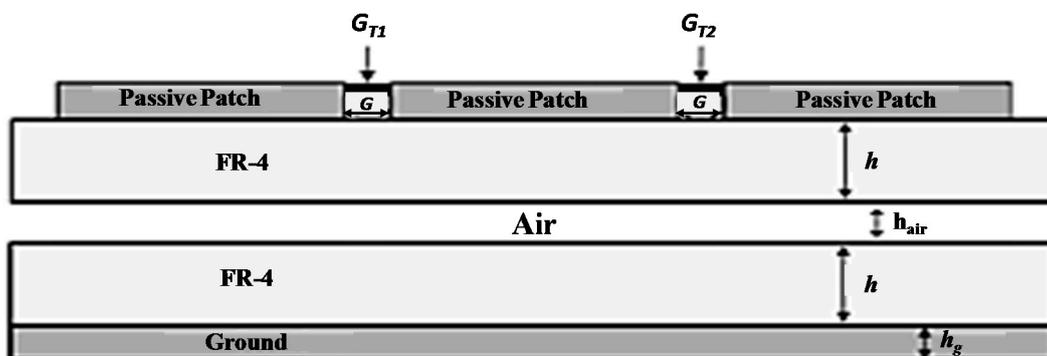
		FR-4	
		Dimension	Optimization
Patch width	$W$	87.400 mm	84.300 mm
Patch length	$L_p$	71.760 mm	65.600 mm
Antenna length	$L_{ant}$	274.900 mm	274.900 mm
Transmission line width	$W_0$	3.500 mm	4.500 mm
Substrate thickness	$H$	1.575 mm	1.575 mm
Ground thickness	$h_g$	0.019 mm	0.019 mm
Air layer thickness	$h_{ar}$	1.090 mm	1.090 mm
Total thickness	$h_{total}$	4.240 mm	4.240 mm
Diode length	$C_d$	3.000 mm	3.000 mm
Position of mutual coupling of the diode	$O$	30.000 mm	30.000 mm
Insert feed point location	$y_0$	22.842 mm	20.000 mm
Resonance resistance	$R_{in}(y = 0)$	105.403 $\Omega$	105.403 $\Omega$
Input resistance for insert feed	$R_{in}(y = y_0)$	50.000 $\Omega$	50.000 $\Omega$

**Table 4.** Frequency of resonance.

Two Layers of FR-4 with One Layer of Air	
$(f_r)_{010}$	1.368 GHz
$(f_{rc})_{010}$	1.330 GHz



**Figure 4.** Structure and dimensions of the P-ESPAR antenna in the frontal perspective [15].



**Figure 5.** Cross-section view of the P-ESPAR antenna.

### 3.2. Equivalent Circuit

The planar antenna, from the point of view of the excitation signal source, can be described by an equivalent circuit, for example, a parallel resistor, inductor and capacitor (RLC) circuit, as shown in Figure 6.

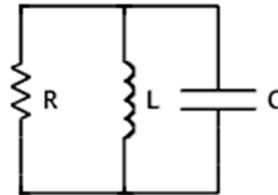


Figure 6. Equivalent circuit of planar antenna [16].

To determine the value of  $R$ ,  $L_c$  e  $C$  is necessary to carry out the study of the equivalent circuit, i.e., it is necessary to study the value of the current ( $\bar{I}$ ), represented through:

$$\bar{I} = \frac{V}{R + j(\omega C - \frac{1}{\omega L_c})} \tag{1}$$

To maximize the antenna current module, it is necessary for the imaginary component of the previous equation to be equal to zero:

$$(\omega C - \frac{1}{\omega L_c}) = 0 \Leftrightarrow \omega = \frac{1}{\sqrt{L_c C}} \tag{2}$$

After analysis of the previous equation, it was noticed that the  $L_c$  and  $C$  were dependent on the resonant frequency of the antenna. In the case of this antenna, the variation of the radiation lobe is dependent on the capacitances of the varicap diodes. These diodes add a capacity to the  $2C_T$ , as shown in Figure 7 [16].

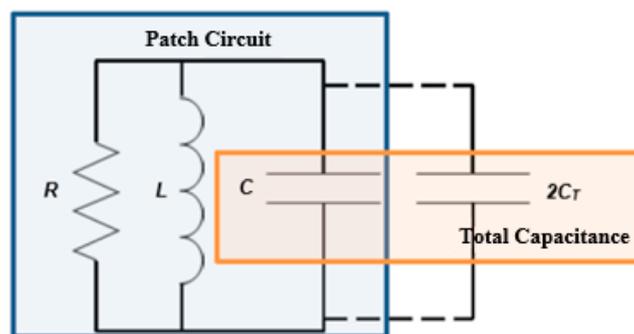


Figure 7. Equivalent circuit of P-ESPAR antenna [16].

Before analysis to the system of equations, it is necessary to realize that  $C_{Teff} \neq C_T$ , that is,  $C_{Teff}$  corresponds to the value of the effective capacity of the diodes in the circuit. This value is directly dependent on the positioning of the diodes in the patch, as represented in the following equation and Figure 8, where variables  $O$  and  $L$  are represented [16]:

$$C_{Teff} = C_T \cos^2(\pi\vartheta), \text{ with } \vartheta = \frac{O}{L} \tag{3}$$

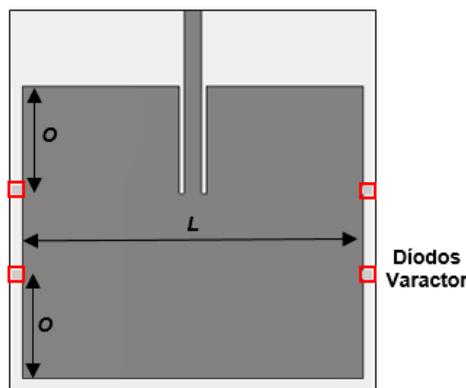


Figure 8. Representation of variables  $O$  and  $L$  in the patch.

In case of the equivalent circuit of the antenna, with the integration of the diodes, it is necessary to change the equivalent capacity, since capacity  $C$  is added to the capacity of the diodes. The following equation represents this change:

$$\left(\omega C - \frac{1}{\omega L_c}\right) = 0 \Leftrightarrow \omega = \frac{1}{\sqrt{L_c(C + 2C_{Teff})}} \tag{4}$$

To determine  $L$  and  $C$ , the CST Microwave studio simulator was used to obtain the resonance-frequency values of the antenna without and with diodes,  $f_0$  and  $f_c$ , respectively (Table 5). The capacity of the diodes considered for simulation purposes was  $C_T = 1$  pF. The following system of equations allows  $L_c$  and  $C$  [16]:

$$\begin{cases} 2\pi f_0 = \frac{1}{\sqrt{L_c C}} \\ 2\pi f_c = \frac{1}{\sqrt{L_c(C + 2C_{Teff})}} \end{cases} \tag{5}$$

Table 5. Results obtained for the calculation of  $L$  and  $C$ .

Two Layers of FR-4 with One Layer of Air	
$f_0$ [GHz]	1.388
$f_c$ [GHz]	1.383
$O$ [mm]	31.000
$C_{Teff}$ [pF]	0.065
$L_c$ [nH]	2.668
$C$ [pF]	4.932

After obtaining the results of  $L_c$  and  $C$  of the RLC circuit, it was necessary to calculate the value of resistor  $R$ . Thus, analysis was made to the circuit, considering that the relation between the complex amplitude of the current in the resistor ( $I_R$ ) and the complex amplitude of the total excitation current ( $I_{exc}$ ), where ( $G = \frac{1}{R}$ ).

$$I_R = G_R V \tag{6}$$

$$I_{exc} = G_T V \tag{7}$$

$$\frac{I_R}{I_{exc}} = \frac{G_R V}{G_T V} \Leftrightarrow \frac{I_R}{I_{exc}} = \frac{G_R}{G_R + j\left(\omega C - \frac{1}{\omega L_c}\right)} \tag{8}$$

Initially, it was established that reflection coefficient  $|S_{11}|$  cannot exceed  $-10$  dB. For this reason, the ratio in dB between the complex amplitude of the current in the resistance and the complex

amplitude of the total current cannot be greater than  $-10$  dB, as represented in the following equation. This equation is solved to obtain resistance value (Table 6):

$$-10 = 20 \log \left| \frac{1}{1 + j\left(\frac{\omega C}{G} - \frac{1}{\omega L_c G}\right)} \right| \tag{9}$$

**Table 6.** Values obtained from the RLC equivalent circuit.

Two Layers of FR-4 with One Layer of Air	
R [kΩ]	2.978
L <sub>c</sub> [nH]	2.668
C [pF]	4.932

To study the coupling, it is necessary to consider the dielectric loss quality factor ( $Q_c$ ), antenna patch quality factor ( $Q_d$ ), metal loss quality factor ( $Q_o$ ), and the quality factor of antenna radiation ( $Q_{rad}$ ), represented by the following equations. Variables  $a$ ,  $b$ ,  $W$ , and  $L$  are represented in Figure 9 [17,18].

$$Q_c = h \sqrt{\pi f \mu \sigma}$$

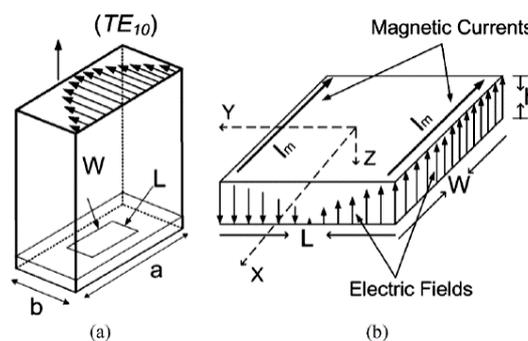
$\mu$  – Vacuum permeability  $\rightarrow 4\pi * 10^{-7}$   
 $\sigma$  – Electrical conductivity of copper  $\rightarrow 5.81 * 10^7$

$$Q_d = \frac{1}{\tan \delta} \tag{10}$$

$$Q_o = \frac{Q_c Q_d}{Q_c + Q_d} \tag{11}$$

$$Q_{rad} = \frac{f_r \pi^3 \epsilon_0}{32h} \frac{WL}{\sin(\frac{\pi W}{2a})} \frac{bZ_w}{a}$$

$\epsilon_0$  – Permittivity of vacuum  $\rightarrow 8.85418782 * 10^{-12}$   
 $Z_w$  – Impedance  $\rightarrow 50\Omega$



**Figure 9.** Variation of the magnetic field in the substrate for the fundamental mode [17].

Analyzing the quality factor of the dielectric, it is perceptible that it depends on the loss tangent ( $\tan \delta'$ ) of the same. In the case of the antenna with the FR-4 substrate, the medium was not homogeneous, that is, there were three media with different values of  $\tan \delta'$ . As such, it was necessary to calculate the value of the equivalent loss tangent ( $\tan \delta'$ ).

To perform the study of the tangent of losses, it was necessary to establish the equivalent circuit for the substrate, as shown in Figure 10. Each substrate corresponded to a parallel RC circuit, whereby the three underlying substrates corresponded to the series of these circuits as represented.

For calculating  $\tan \delta'$ , it is necessary to consider the  $W$ ,  $L$ ,  $h$ , and  $a$  of each substrate.

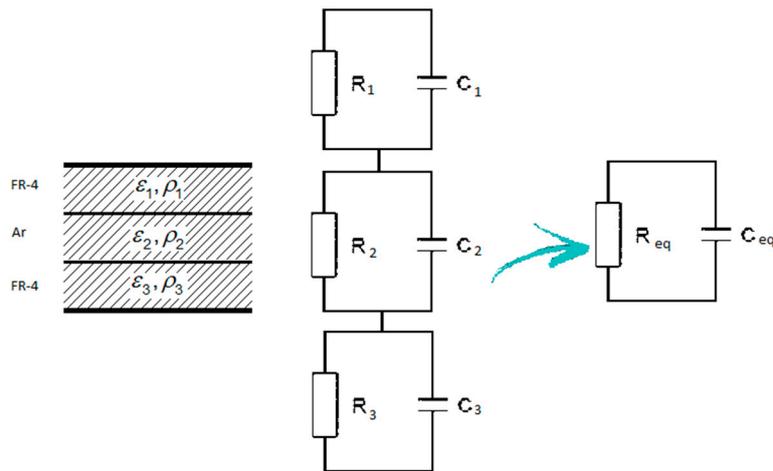


Figure 10. Representation of the equivalent circuit of antenna substrates with FR-4 [18].

The antenna resembles a three-component system, whereby the  $\tan \delta'$  for these circuits is given by the following equation [19,20]:

$$\tan \delta' = \frac{C_1 C_2 \tan \delta_3 + C_1 C_3 \tan \delta_2 + C_2 C_3 \tan \delta_1}{C_1 C_2 + C_2 C_3 + C_1 C_3}, \quad \text{with } C = \epsilon_0 \epsilon_r \frac{WL}{h} \quad (13)$$

After calculating  $\tan \delta'$  (Table 7), all conditions are met to calculate the quality factors and evaluate the antenna coupling. Thus, the coupling conditions are as follows [17]:  $Q_{rad} = Q_0$ , critical coupling;  $Q_{rad} > Q_0$ , undercoupled; and  $Q_{rad} < Q_0$ , overcoupled (Figure 11).

Table 7. Parameters and results.

$\tan \delta'$	0.014	$L_1 = L_2 = L_3$	274.900 mm
$\tan \delta_2$	0.000	$h_1 = h_3$	1.575 mm
$\tan \delta_3$	0.014	$h_2$	1.090 mm
4.700	$C_1 = C_3$	0.725 nF	
1.00059	$C_2$	0.220 nF	
$W_1 = W_2 = W_3$	99.820 mm	$\tan \delta'$	0.00528755

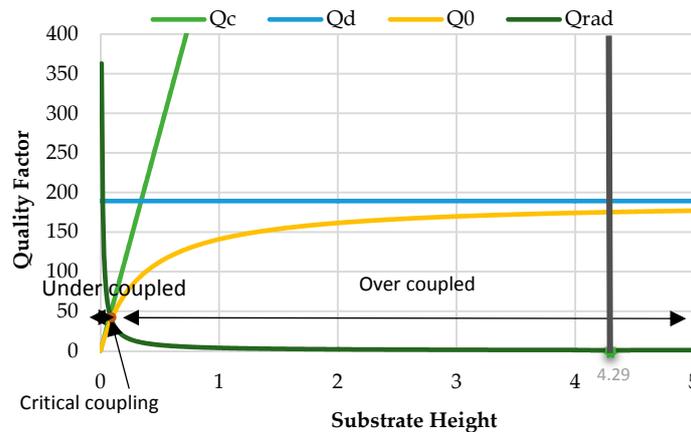


Figure 11. Quality factor as a function of substrate height.

The used diodes were the varicap diodes of Infineon BBY53-03W. These have been selected because they were closest to the antenna capacity.

The characteristics of the varicap diodes are shown in Table 8.

**Table 8.** Characteristics of varicap diodes.

	BBY53-03W		
	Minimum	Values Typical	Maximum
Variable capacity ( $C_T$ ) <sup>1</sup>			
$V_R = 1V$	4.8 pF	5.3 pF	5.8 pF
$V_R = 3V$	1.85 pF	2.4 pF	3.1 pF
Diode Inductance ( $L_S$ )	-	1.8 nH	-
Diode resistance ( $R_S$ )	-	0.47 $\Omega$	-
$V_R = 1V$			

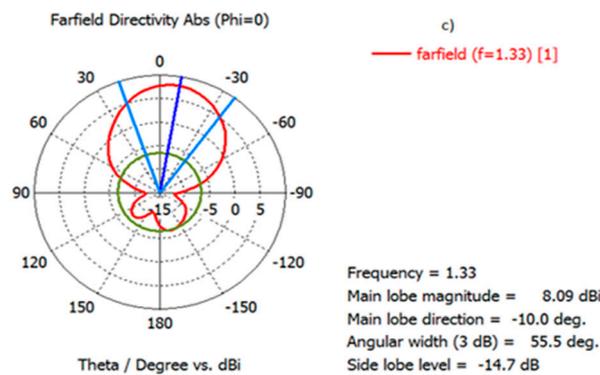
<sup>1</sup> [https://www.infineon.com/dgdl/Infineon-BBY53SERIES-DS-v01\\_01-en.pdf?fileId=db3a304313d846880113de2cd6e2030f](https://www.infineon.com/dgdl/Infineon-BBY53SERIES-DS-v01_01-en.pdf?fileId=db3a304313d846880113de2cd6e2030f).

After completing the entire sizing and configuration process of the P-ESPAR antenna, the simulations were performed through the CST Microwave studio software.

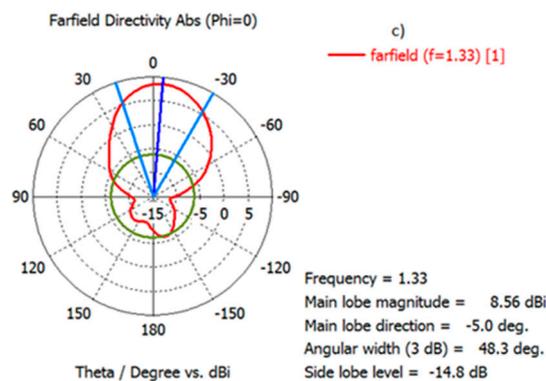
To obtain the different azimuths, the combinations of  $CT_1$  and  $CT_2$  diodes, shown in Table 9, were varied in the simulator (Figures 12–16).

**Table 9.** Results of the P-ESPAR antenna simulation with FR-4 using varicap diodes BBY53-03W.

Direction of Wolf Main [°]	P-ESPAR Antenna Characteristics						
	G (dBi)	HPBW (°)	$f_c$ (GHz)	$ S_{11} $ (dB)	BW (MHz)	$CT_1$ (pF)	$CT_2$ (pF)
-10	8.09	55.5	1.3625	-15.37	15.9	1.1	3.1
-5	8.56	48.3	1.3775	-15.09	23.4	1.5	2.7
0	8.11	59.4	1.3750	-15.95	23.4	2.6	2.6
5	8.55	48.2	1.3800	-15.44	23.2	2.7	1.5
10	8.04	55.7	1.3650	-15.37	16.6	3.1	1.1



**Figure 12.** Results obtained with FR-4 to -10°.



**Figure 13.** Results obtained with FR-4 for -5°.

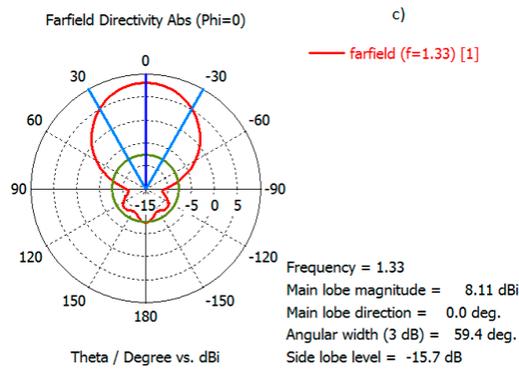


Figure 14. Results obtained with FR-4 for 0°.

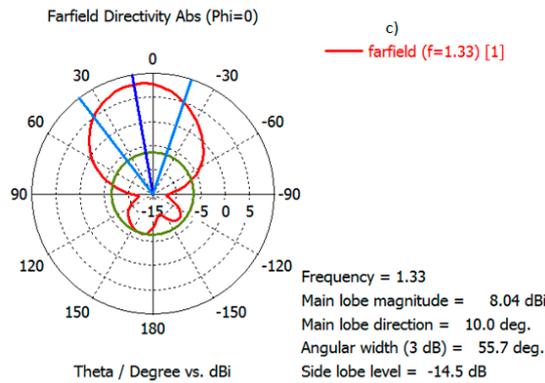


Figure 15. Results obtained with FR-4 for 5°.

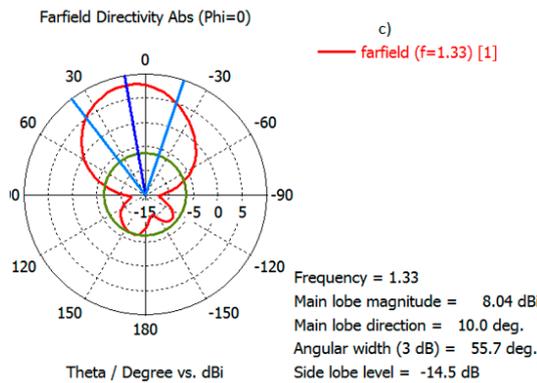


Figure 16. Results obtained with FR-4 to -10°.

After analyzing the results of Table 9, it was possible to conclude that the imposed requirements were all fulfilled and that all the conditions for the construction and tests were gathered.

#### 4. Control System Development

The design of the control system was performed according to the constructed antenna, i.e., in this case, the control system was scaled according to the P-ESPAR antenna with an FR-4 substrate and varicap diodes BBY53-03W.

The change in the direction of the azimuth of the radiation lobe was performed through the variation of the coupling between the elements of the aggregate, that is, through the bias voltages applied on the diodes. This way, a microcontroller and a digital potentiometer are used. The microcontroller is the means of communication between the central processor of the UAV and the digital potentiometer.

#### 4.1. Microcontroller

The microcontroller to be integrated in the circuit has as its main function the processing of the data related to the flight of the UAV and, according to these data, send the voltage values corresponding to the communication needs to reverse bias of the diodes that ensure the value of the necessary capacities to the deflection of the antenna lobe. For the performance of this function, there are numerous microcontrollers so that, as selection factors, the following parameters were considered: user interface; support communication Serial Peripheral Interface (SPI); C programming language; USB connection; and simple and economical.

After analyzing all possibilities, the chosen microcontroller was Arduino Uno. In addition to complying with the requirements presented, it is a microcontroller that has been extensively tested and present in several simulators, which allows to test the expected result in a computational environment.

#### 4.2. Digital Potentiometer

The digital potentiometer receives information from the microcontroller and adjusts the desired voltage at the terminals of the diodes. For this, the digital potentiometer varies the value of its resistance and, being the current constant, output voltage varies. Through the digital communication between the microcontroller and the digital potentiometer, it was possible to control the value of the resistance, which, in turn, controls the voltage value. To select the digital potentiometer, the following factors were considered: two output channels; PDIP package; SPI interface; much sensitivity in varying the resistance value; and  $V_{IN} = 5\text{ V}$ .

After analyzing all possibilities, the selected digital potentiometer was Microship MCP42100. This potentiometer has two channels, 14 pins, 256 levels, and a resistance of 100 k $\Omega$ . It operates with voltages of 2.7–5.5 V, and the communication interface with the Arduino is SPI.

#### 4.3. Control System Circuit

After selecting the microcontroller and the digital potentiometer, it was possible to build the control system. For this, it was necessary to define three ports of the Arduino to establish communication between the microcontroller and the digital controller. The three selected ports were Arduino digital Ports 10, 11, and 13, where Port 10 is the port responsible for the activation and deactivation of the potentiometer, Port 11 is responsible for communicating data relating to the SPI interface, and Port 13 is the port responsible for clock synchronization.

This way, Port 10 is connected to Pin 1, which is the pin responsible for activating or deactivating the digital potentiometer; Port 11 to Pin 3, which is the pin responsible for collecting data; and Port 13 to Pin 2, which is the pin responsible for clock synchronization of the digital potentiometer. The remaining pins were connected to the ground and 5 V from the Arduino as designated in the datasheet.

#### 4.4. Control Algorithm

The P-ESPAR antenna control system was delineated by a set of criteria. These criteria were established by the needs that the communication determines according to the flight route of the UAV. In this way, the algorithm defines, by degree of priority, the variation of the wolf and finally the change of the route of the UAV.

The behavior of the P-ESPAR antenna was one of the main criteria of the algorithm. In a first phase, it was necessary to realize the capacity of the lobe to change the antenna radiation to perceive how necessary it would be to influence the route of the UAV. However, the orientation and inclination of the UAV according to the various axes are also very important factors to be considered by the algorithm of the control system.

The procedures related to the algorithm mechanism are presented in the flowchart in Figure 17, with the several steps described below.

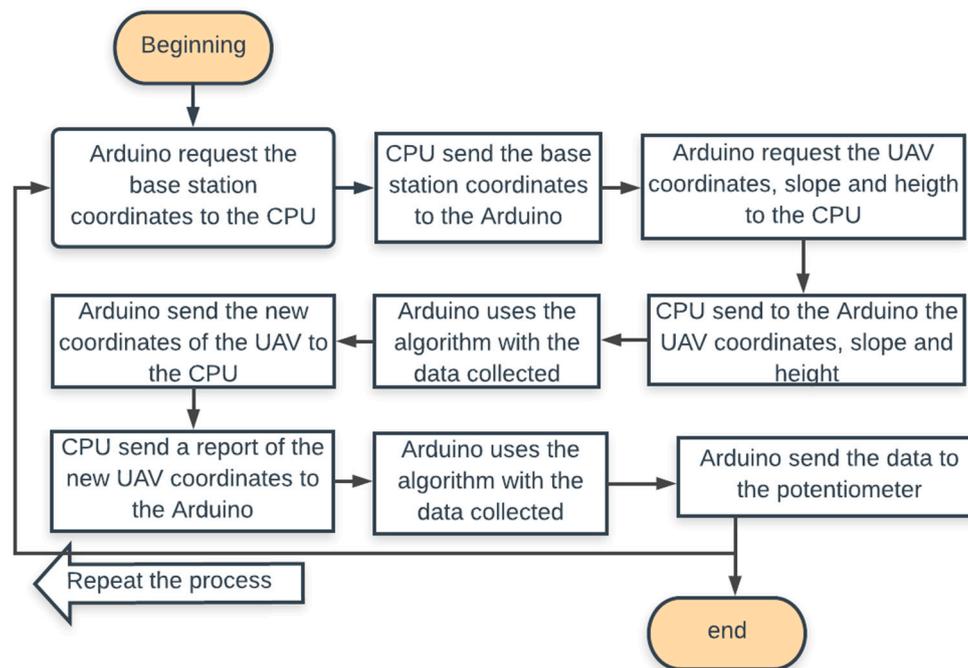


Figure 17. Flowchart of the process.

- (1) Control system communicates with the central processor of the UAV to request the co-ordinates of the base station;
- (2) after receiving the co-ordinates of the base station, the control system again communicates with the central processor of the UAV and requests the current co-ordinates, the inclination, and the height of the UAV;
- (3) after receiving current co-ordinates, the control-system algorithm calculates the distance between the UAV and the base station;
- (4) if the distance is less than or equal to 40 km, the control system selects the slope that least interferes with the route of the UAV and calculates the minimum variations required for its route;
- (5) the control system communicates with the central processor of the UAV, sending information about its orientation and positioning. According to the base station, the UAV must be perfectly perpendicular and parallel to the ground. It also sends information on changing the slope of the UAV;
- (6) after changing the route according to the communication needs, the algorithm checks the combination of capacities relative to the angle of the selected radiation lobe, and the control system communicates with the digital potentiometer to inject to the terminals of the diodes the corresponding voltages;
- (7) after completing the previous steps, the necessary conditions for the transmission of 10 s are fulfilled. At the end of the transmission, we return to Step (2).

## 5. Construction and Implementation of the Smart Antenna

### 5.1. Smart Antenna Construction

The implementation of the communication system was carried out in two phases: antenna construction, and the construction of the control system.

The P-ESPAR antenna was built in the laboratories of the Instituto Superior Técnico. In Figure 18, the top of the antenna is shown and, in Figure 19, its bottom part.

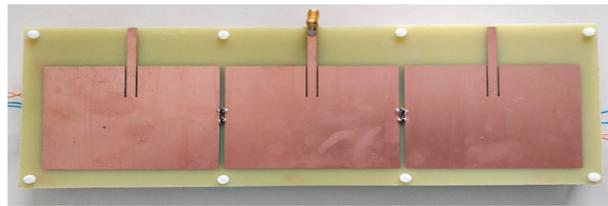


Figure 18. Top view of the P-ESPAR antenna.

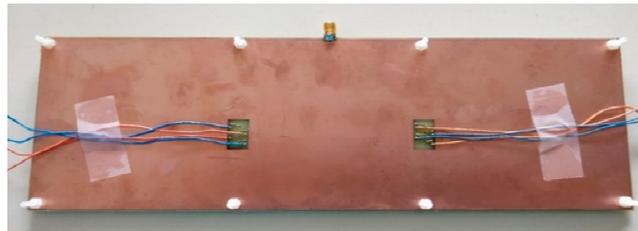


Figure 19. Bottom view of the P-ESPAR antenna.

The circuit was printed in the IST at Taguspark. The circuit uses three cable terminal board ports with three outputs that are used as terminals of the digital potentiometer.

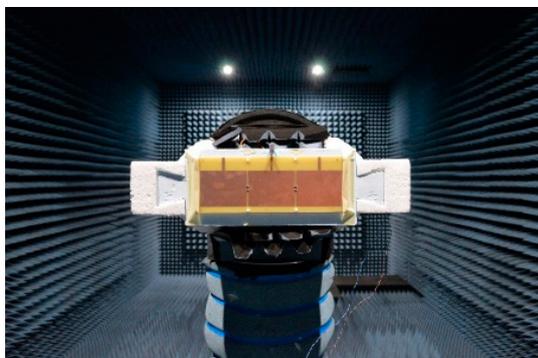
5.2. Experimental Results

The experimental results of the antenna were obtained in the anechoic chamber of the scientific area of the Institute of Telecommunications at IST because technical limitations were the only available conditions to measure the S11 (reflection coefficient of the antenna) and the radiation diagram of the antenna (Figures 20–24).

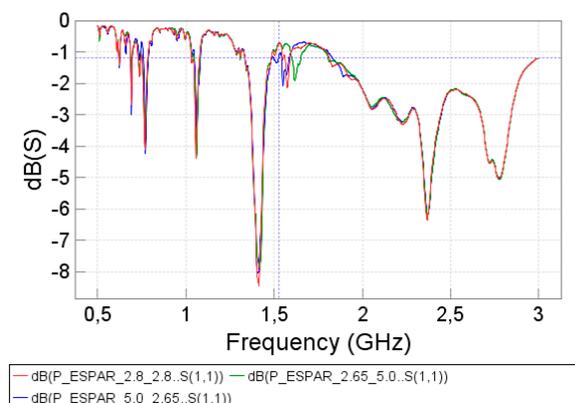
The combinations of the used diodes can be seen in Table 10.

Table 10. CT combinations for different angular variations.

	CT <sub>1</sub>		CT <sub>2</sub>	
	[pF]	[V]	[pF]	[V]
−5°	2.70	2.65	2.00	5.00
0°	2.6	2.80	2.6	2.80
5°	2.00	5.00	2.70	2.65



(a)



(b)

Figure 20. (a) Antenna in the anechoic chamber and (b) respective results of  $|S_{11}|$  with a variation of  $0 \pm 5^\circ$ .

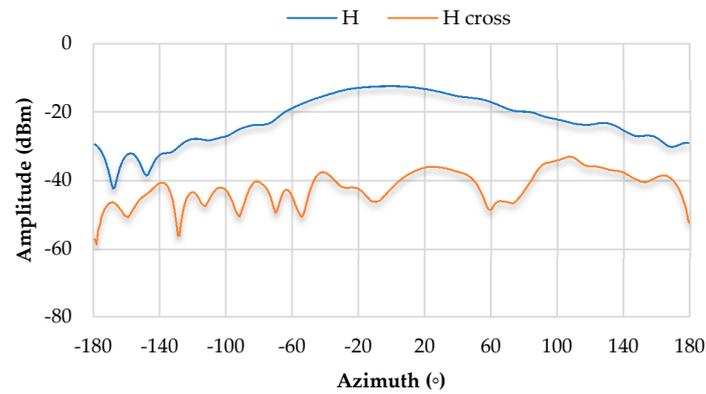


Figure 21. Representation of the radiation diagram for 0° in the H plane.

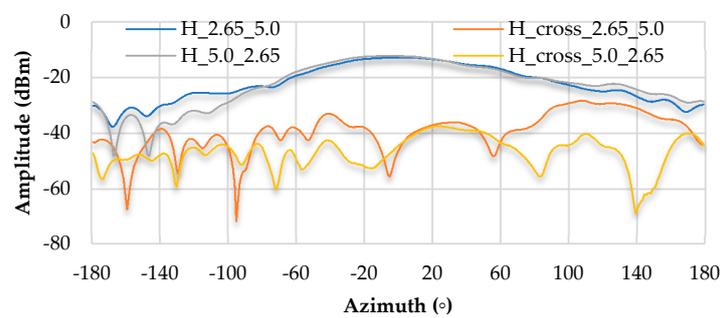


Figure 22. Comparison of the radiation diagram to  $\pm 5^\circ$  in the H plane.

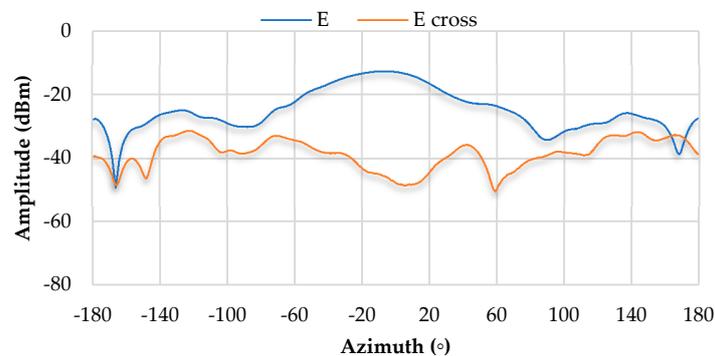


Figure 23. Representation of the radiation diagram for 0° in the E plane.

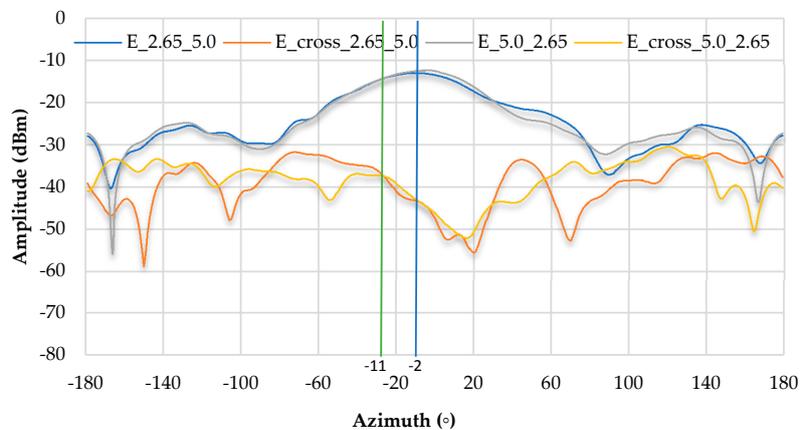


Figure 24. Comparison of the radiation diagram to  $\pm 5^\circ$  in the E plane.

Starting with the analysis of the  $|S_{11}|$  parameter, it was possible to verify that the resonance frequency was very close to the frequency in which antenna design was carried out, that is, the measured value was 1.41 GHz, and the antenna was dimensioned for 1.33 GHz. To correct this small deviation, it would be necessary to study the behavior of the resonance frequency with slight changes of distances  $0$  in the patch.

Regarding the tax requirement of  $|S_{11}| \leq -10$  dB, this was not observed in the results obtained through the network analyzer. In the results obtained through the simulator, the requirement was always fulfilled by a comfortable margin, so it would be necessary to correct the height of the hg air layer and to verify, through the network analyzer, the variations of the parameter  $|S_{11}|$ . The height of the air layer was not constant throughout the antenna since it is impossible to obtain the accuracy imposed by the simulation.

In addition to the hg correction, there was still interference caused by power cables with a length approximately equal to  $\lambda/2$ . During the scanning from 0 to 2 GHz, it was possible to observe the interference caused by the cables.

Analyzing the radiation diagram, it was possible to verify that the radiation lobe of the P-ESPAR antenna was displaced  $-6^\circ$  in relation to the expected azimuth. This variation corresponds to the side on which the power cables were placed, so they could be responsible for this small deviation.

In relation to the azimuthal variation of  $\pm 5^\circ$  with  $0^\circ$  azimuth, extremely positive results were obtained.

Inspecting the results, it was possible to verify that the variation range of the main lobe was between  $-11^\circ$  and  $-2^\circ$ .

These results summarized in Table 11 prove that the measured results go against the simulated ones, this being because there are integrated elements in the antenna that the simulator does not allow to consider. However, it is possible to improve the obtained results with minor changes to the insulation of the feed paths of the P-ESPAR antenna diodes and by reducing the length of the cables of the diode feed system.

**Table 11.** Conclusions drawn from the tests carried out in the anechoic chamber.

		Plane E	Plane H		$ S_{11} $	Polarization	$f_{rc}$
$CT_1$	$CT_2$	Maximum Direction	Maximum Direction	HPBW			
1.9 pF	2.7 pF	$-11^\circ$	$0^\circ$	$360^\circ$	$-8.0$ dB		
2.6 pF	2.6 pF	$-6^\circ$	$0^\circ$	$360^\circ$	$-8.3$ dB	Linear	1.41
2.7 pF	1.9 pF	$-2^\circ$	$0^\circ$	$360^\circ$	$-8.0$ dB	Vertical	GHz

## 6. Conclusions

The Portuguese Air Force (FAP) is the branch of Army Forces with the most knowledge on UAVs in Portugal. The algorithm integrated in the Arduino was based on the orientation methodology used in UAVs by the FAP. The developed algorithm also uses procedures to change the UAV route, taking into account the limit conditions given by experienced officers in these aircraft. The implemented solution changes the route of the UAV for 10 s, whenever line-of-sight communication is not possible, i.e., the control system, in this case controlled by an Arduino, changes the flight path of the UAV, if necessary, during the time of the communication.

After completing and validating the algorithm of the control system, the P-ESPAR antenna and the electronic circuit were built to integrate the control system.

P-ESPAR antenna tests were performed in the anechoic chamber of the Telecommunications Institute at IST. Through the analysis presented in a previous section, it was possible to conclude that the results were not the same as those in the simulator, but rather close. These tests allow to verify the possibility for variation of the radiation lobe, as well as adaptation for the dimensioned frequency.

It was also possible to conclude which factors were detrimental to the measurements, and how to provide the necessary changes.

As previously mentioned, the construction of the antenna with two substrates of FR-4 and an air layer was an economical option that allowed the dimensioning and development of a prototype. The built antenna is not a final version, but an intermediate step, to demonstrate the potential of this solution to the FAP.

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