



Nonparaxial Propagation Properties of Specially Correlated Radially Polarized Beams in Free Space

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Abstract: A specially correlated radially polarized (SCRP) beam with unusual physical properties on propagation in the paraxial regime was introduced and generated recently. In this paper, we extend the paraxial propagation of an SCRP beam to the nonparaxial regime. The closed-form 3×3 cross-spectral density matrix of a nonparaxial SCRP beam propagating in free space is derived with the aid of the generalized Rayleigh–Sommerfeld diffraction integral. The statistical properties, such as average intensity, degree of polarization, and spectral degree of coherence, are studied comparatively for the nonparaxial SCRP beam and the partially coherent radially polarized (PCRP) beam with a conventional Gaussian–Schell-model correlation function. It is found that the nonparaxial properties of an SCRP beam are strikingly different from those of a PCRP beam. These nonparaxial properties are closely related to the correlation functions and the beam waist width. Our results may find potential applications in beam shaping and optical trapping in nonparaxial systems.

Keywords: nonparaxial propagation; partially coherent beam; polarization and coherence

1. Introduction

Radially polarized beams have been investigated extensively in the past several decades because of their extraordinary properties and wide applications, such as in super-resolution imaging, optical tweezers, material processing, optical data storage, plasmon excitation, and nanofocusing [1–7]. As a natural extension of a spatially coherent radially polarized beam, the partially coherent radially polarized (PCRP) beam with a conventional Gaussian–Schell-model correlation function was introduced and studied in detail [8]. It was shown that the propagation and focusing properties of a PCRP beam are quite different from those of a fully coherent radially polarized beam. For example, a PCRP beam exhibits a depolarization effect on propagation in free space, although its fully polarized part keeps the radial polarization state. It was also demonstrated that the beam profile of a focused PCRP beam can be shaped by varying its initial spatial coherence length [9]. Further, our experimental results indicated that a PCRP beam is more effective than a linearly polarized partially coherent beam for the mitigation of turbulence-induced degradation [10]. A PCRP beam carrying a vortex or twist phase can commendably resist the coherence-induced degradation of the intensity distribution and the coherence-induced depolarization singularities of the



PCRP beam were revealed in reference [13] and have modulated significantly the statistical properties in interference experiments with a PCRP beam [14].

More recently, various kinds of partially coherent beams with nonconventional spatial correlation functions were introduced and generated [15–22] owing to the development of appropriate conditions for devising genuine correlation functions [23–26]. Such partially coherent beams with engineered correlation functions exhibit many unusual properties during propagation. They can find rich potential applications in laser beam shaping, optical imaging, optical trapping, and free-space optical communications [27–36]. Among them, a typical class of partially coherent vector beams, i.e., special correlated radially polarized (SCRP) beam, was theoretically introduced and experimentally generated in [21]. Different from the PCRP beam which is fully polarized at source and depolarized on propagation, an SCRP beam, a very pure radial polarization state can be generated in the far field (or the focal plane). It was also demonstrated that by tailoring the spatial correlation function, the paraxial propagation properties of an SCRP beam in free space and turbulent atmosphere can be modulated [21,37]. Recently, we discovered that the paraxial SCRP beam exhibits super-strong self-reconstruction of its intensity profile and polarization state upon scattering from an opaque obstacle [38], which is anticipated to be used in image transfer in turbid media.

On the other hand, when a beam has a large divergence angle or a small beam spot that is several orders of its wavelength [39–42], it will be treated as a nonparaxial beam. A beam emitted from a diode laser, microcavity, or focused by a high numerical aperture is usually nonparaxial [39,43,44]. Such nonparaxial beams are commonly encountered in microscopy imaging, beam shaping, optical trapping, and optical data storage [45–48]. Therefore, several approaches have been developed [49–53] to describe the propagation of a laser beam in the nonparaxial regime. Until now, the nonparaxial propagation properties of various laser beams have been studied. It was found that the properties are closely related to the initial beam profile [42,54–56], phase distribution [57–59], polarization state [60–62], and spatial coherence [63–65]. To our knowledge, no results have been reported until now on nonparaxial propagation of partially coherent radially polarized beams with non-conventional correlation functions. In this paper, we extend the paraxial propagation of the SCRP beam to the nonparaxial region and explore the average intensity, the degree of polarization, and the spectral degree of coherence (SDOC) of a nonparaxial SCRP beam in free space. The nonparaxial propagation of a PCRP beam is also studied for comparison.

2. Nonparaxial Propagation Theory of an SCRP Beam

On the basis of the unified theory of coherence and polarization, the second-order correlation of a vector partially coherent beam can be described by a 3×3 electric cross-spectral density (CSD) matrix \overleftrightarrow{W} . In Cartesian coordinate system, the elements of the 3×3 CSD matrix in the source plane z = 0 are given by [66]:

$$\begin{split} & \widetilde{W}(x_{10}, y_{10}, x_{20}, y_{20}, 0) \\ & = \begin{pmatrix} W_{xx}(x_{10}, y_{10}, x_{20}, y_{20}, 0) & W_{xy}(x_{10}, y_{10}, x_{20}, y_{20}, 0) & 0 \\ W_{yx}(x_{10}, y_{10}, x_{20}, y_{20}, 0) & W_{yy}(x_{10}, y_{10}, x_{20}, y_{20}, 0) & 0 \\ 0 & 0 & 0 \end{pmatrix},$$
(1)

where the matrix element $W_{\alpha\beta}(x_{10}, y_{10}, x_{20}, y_{20}, 0) = \langle E^*_{\alpha}(x_{10}, y_{10}, 0) E_{\beta}(x_{20}, y_{20}, 0) \rangle$ denotes the coherence properties of the random electric field components E_{α} and E_{β} along the *x* and *y* directions, respectively. The asterisk denotes the complex conjugate, and the angular brackets denote ensemble average.

For an SCRP beam, the elements of the CSD matrix in the source plane z = 0 take the form of: [21,37]

$$W_{\alpha\alpha}(x_{10}, y_{10}, x_{20}, y_{20}, 0) = \exp\left(-\frac{x_{10}^2 + y_{10}^2 + x_{20}^2 + y_{20}^2}{4\sigma_0^2}\right) \left[1 - \frac{(\alpha_{20} - \alpha_{10})^2}{\delta_0^2}\right] \times \exp\left[-\frac{(x_{10} - x_{20})^2 + (y_{10} - y_{20})^2}{2\delta_0^2}\right], \quad (\alpha, \beta = x, y)$$
(2)

$$W_{xy}(x_{10}, y_{10}, x_{20}, y_{20}, 0) = -\exp\left(-\frac{x_{10}^2 + y_{10}^2 + x_{20}^2 + y_{20}^2}{4\sigma_0^2}\right) \frac{(x_{20} - x_{10})(y_{20} - y_{10})}{\delta_0^2} \times \exp\left[-\frac{(x_{10} - x_{20})^2 + (y_{10} - y_{20})^2}{2\delta_0^2}\right],$$
(3)

$$W_{yx}(x_{10}, y_{10}, x_{20}, y_{20}, 0) = W_{xy}^*(x_{20}, y_{20}, x_{10}, y_{10}, 0),$$
(4)

where σ_0 is the beam waist width, and δ_0 is the correlation width.

On the basis of the vectorial Rayleigh diffraction integral, the nonparaxial propagation of a fully coherent vector beam in the half space z > 0 can be related to its electric field distribution in the plane z = 0 [53]:

$$E_{\alpha}(x,y,z) = -\frac{1}{2\pi} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} E_{\alpha}(x_0,y_0,0) \frac{\partial}{\partial z} \left[\frac{\exp(ikR)}{R} \right] dx_0 dy_0, \quad (\alpha = x,y)$$
(5)

$$E_z(x,y,z) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \left\{ E_x(x_0,y_0,0) \frac{\partial}{\partial x} \left[\frac{\exp(ikR)}{R} \right] + E_y(x_0,y_0,0) \frac{\partial}{\partial y} \left[\frac{\exp(ikR)}{R} \right] \right\} dx_0 dy_0 \quad (6)$$

where $E_{\alpha}(x_0, y_0, 0)$ and $E_{\alpha,z}(x, y, z)$ are components of the electric field vector in the plane z = 0 and z > 0, $R = \sqrt{(x - x_0)^2 + (y - y_0)^2 + z^2}$, respectively, and $k = 2\pi/\lambda$ is the wave number related to the wavelength λ . When $R \gg \lambda$, in Equations (5), (6), the partial derivatives of the function $\exp(ikR)/R$ on the variables α and z are usually approximated as [66]:

$$\frac{\partial}{\partial \alpha} \left[\frac{\exp(ikR)}{R} \right] = \frac{ik \exp(ikR)}{R^2} (\alpha - \alpha_0), \tag{7}$$

$$\frac{\partial}{\partial z} \left[\frac{\exp(ikR)}{R} \right] = \frac{ikz \exp(ikR)}{R^2}.$$
(8)

Now, we extend the nonparaxial propagation theory of coherent vector beams to the partially coherent vector case. The 3×3 CSD matrix of a partially coherent vector beam in the half space z > 0 in a Cartesian coordinate system are given by [66]:

$$\stackrel{\leftrightarrow}{W}(x_1, y_1, x_2, y_2, z) = \begin{pmatrix} W_{xx}(x_1, y_1, x_2, y_2, z) & W_{xy}(x_1, y_1, x_2, y_2, z) & W_{xz}(x_1, y_1, x_2, y_2, z) \\ W_{yx}(x_1, y_1, x_2, y_2, z) & W_{yy}(x_1, y_1, x_2, y_2, z) & W_{yz}(x_1, y_1, x_2, y_2, z) \\ W_{zx}(x_1, y_1, x_2, y_2, z) & W_{zy}(x_1, y_1, x_2, y_2, z) & W_{zz}(x_1, y_1, x_2, y_2, z) \end{pmatrix},$$

$$(9)$$

where $W_{\alpha\beta}(x_1, y_1, x_2, y_2, z) = \langle E_{\alpha}^*(x_1, y_1, z) E_{\beta}(x_2, y_2, z) \rangle$, $(\alpha, \beta = x, y, z)$ denotes the coherence properties of the field components $E_{\alpha}(x_1, y_1, z)$ and $E_{\beta}(x_2, y_2, z)$, which satisfy the Hermitian relation [66]:

$$W_{\alpha\beta}(x_1, y_1, x_2, y_2, z) = W^*_{\beta\alpha}(x_2, y_2, x_1, y_1, z), \ (\alpha, \beta = x, y, z).$$
(10)

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Applying Equations (5)–(8), we can obtain the following generalized vectorial Rayleigh diffraction integrals for treating the propagation of a nonparaxial partially coherent vector beam in the half space z > 0:

$$W_{\alpha\beta}(x_1, y_1, x_2, y_2, z) = \left(\frac{kz}{2\pi}\right)^2 \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \frac{\exp[-ik(R_1 - R_2)]}{R_1^2 R_2^2} W_{\alpha\beta}(x_{10}, y_{10}, x_{20}, y_{20}, 0) \times dx_{10} dy_{10} dx_{20} dy_{20} , \qquad (\alpha, \beta = x, y)$$

$$(11)$$

$$W_{\alpha z}(x_{1}, y_{1}, x_{2}, y_{2}, z) = -\frac{k^{2} z}{4\pi^{2}} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \frac{\exp[-ik(R_{1}-R_{2})]}{R_{1}^{2}R_{2}^{2}} [W_{\alpha x}(x_{10}, y_{10}, x_{20}, y_{20}, 0) \times (x_{2} - x_{20}) + W_{\alpha y}(x_{10}, y_{10}, x_{20}, y_{20}, 0) (y_{2} - y_{20})] \times dx_{10} dy_{10} dx_{20} dy_{20},$$
(12)

$$W_{zz}(x_{1}, y_{1}, x_{2}, y_{2}, z) = -\frac{k^{2}z}{4\pi^{2}} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \frac{\exp[-ik(R_{1}-R_{2})]}{R_{1}^{2}R_{2}^{2}} [W_{xx}(x_{10}, y_{10}, x_{20}, y_{20}, 0) \times (x_{1} - x_{10})(x_{2} - x_{20}) + W_{xy}(x_{10}, y_{10}, x_{20}, y_{20}, 0)(x_{1} - x_{10}) \times (y_{2} - y_{20}) + W_{yx}(x_{10}, y_{10}, x_{20}, y_{20}, 0)(y_{1} - y_{10})(x_{2} - x_{20}) \times W_{yy}(x_{10}, y_{10}, x_{20}, y_{20}, 0)(y_{1} - y_{10})(y_{2} - y_{20})] \times dx_{10}dy_{10}dx_{20}dy_{20},$$
(13)

Under weak nonparaxial approximation, R_i can be written into a series [67,68]:

$$R_j = r_j + \frac{x_{j0}^2 + y_{j0}^2 - 2x_j x_{j0} + -2y_j y_{j0}}{2r_j},$$
(14)

where $r_j \equiv \sqrt{x_j^2 + x_j^2 + z}$, (j = 1, 2) is the position vector on the *z* plane. Recalling the integral formulae with different *n* [69]:

$$\int_{-\infty}^{\infty} x^n \exp\left(-bx^2 + 2cx\right) dx = n! \sqrt{\frac{\pi}{b}} \left(\frac{c}{b}\right)^n \exp\left(\frac{c^2}{b}\right) \sum_{u=0}^{[n/2]} \frac{1}{u!(n-2u)!} \left(\frac{b}{4c^2}\right)^u,$$
 (15)

By substituting Equations (2)–(4) into Equations (11)–(13), replacing R_j in the exponential term of Equations (11)–(13) by Equation (14), and that in the denominator term by r_j , we obtain (after tedious integral calculations and operations over x_{10} , y_{10} , x_{20} , y_{20}) the following expressions for the elements of the CSD matrix of the nonparaxial SCRP field in the half space z > 0:

$$W_{\alpha\alpha}(x_1, y_1, x_2, y_2, z) = z^2 \Delta \left[\frac{O}{2a_3} - C + \frac{k^2 \alpha_2^2}{4N^2 r_2^2 \delta_0^2} + \frac{iCkb_\alpha \alpha_2}{2MNr_2 \delta_0^2} + \frac{Ok^2 b_\alpha^2}{4M^2} \right] \quad , \qquad (\alpha, \beta = x, y), \quad (16)$$

$$W_{xy}(x_1, y_1, x_2, y_2, z) = -\frac{kz^2}{\delta_0^2} \Delta \left[\frac{ix_2}{2Nr_2} - \frac{Cb_x}{2M} \right] \times \left[\frac{iy_2}{2Nr_2} - \frac{Cb_y}{2M} \right],$$
(17)

$$W_{yx}(x_1, y_1, x_2, y_2, z) = W_{xy}^*(x_2, y_2, x_1, y_1, z),$$
(18)

$$W_{\alpha z}(x_{1}, y_{1}, x_{2}, y_{2}, z) = -z\Delta \{A_{\alpha 0} + A_{\alpha 1}Q_{\alpha 1} + A_{\alpha 2}Q_{\alpha 2} + A_{\alpha 3}Q_{\alpha 3} - \left(\frac{ik\alpha_{2}}{2Nr_{2}\delta_{0}^{2}} - \frac{Q_{\alpha 1}}{\delta_{0}^{2}} + \frac{Q_{\alpha 1}}{2N\delta_{0}^{4}}\right) (B_{\beta 0} + B_{\beta 1}Q_{\beta 1} + B_{\beta 2}Q_{\beta 2})\},$$
(19)

$$W_{z\alpha}(x_1, y_1, x_2, y_2, z) = W_{z\alpha}^*(x_2, y_2, x_1, y_1, z),$$
(20)

$$W_{zz}(x_1, y_1, x_2, y_2, z) = \Delta[W_{zxx}(x_1, y_1, x_2, y_2, z) + W_{zxy}(x_1, y_1, x_2, y_2, z) + W_{zyx}(x_1, y_1, x_2, y_2, z) + W_{zyy}(x_1, y_1, x_2, y_2, z)],$$
(21)

where

$$W_{z\alpha\alpha}(x_1, y_1, x_2, y_2, z) = A_{\alpha 0}\alpha_1 + (A_{\alpha 1}\alpha_1 - A_{\alpha 0})Q_{\alpha 1} + (A_{\alpha 2}\alpha_1 - A_{\alpha 1})Q_{\alpha 2} + (A_{\alpha 3}\alpha_1 - A_{\alpha 2})Q_{\alpha 3} - A_{\alpha 3}Q_{\alpha 4},$$
(22)

$$W_{2\alpha\beta}(x_1, y_1, x_2, y_2, z) = \frac{1}{\delta_0^2} \times \left(\frac{C}{2M} - \frac{Ck^2 b_{\alpha}^2}{4M^2} - C_{\alpha 1} - \frac{C_{\alpha 2} k b_{\alpha}}{2M} \right) \\ \times \left(D_{\beta 0} + \frac{D_{\beta 1} k b_{\beta}}{2M} + \frac{D_{\beta 2}}{2M} + \frac{D_{\beta 2} k^2 b_{\beta}^2}{4M^2} \right),$$
(23)

with

$$\begin{split} \Delta &= \frac{k^2}{4MNr_1^2r_2^2} \exp[-ik(r_1 - r_2)]exp\left[\frac{k^2(b_x^2 + b_y^2)}{4M} - \frac{k^2}{4Nr_2^2}(x_2^2 + y_2^2)\right], \\ N &= \frac{1}{4c_0^2} + \frac{1}{2\delta_0^2} - \frac{ik}{2r_2}, \ M &= \frac{1}{4c_0^2} + \frac{1}{2\delta_0^2} + \frac{ik}{2r_1} - \frac{1}{4N\delta_0^4}, \\ O &= \frac{1}{a_2\delta_0^4} - \frac{1}{\delta_0^2} - \frac{1}{4a_2^2\delta_0^6}, \ C &= \frac{1}{2N\delta_0^2} - 1, \ b_\alpha &= \frac{ia_1}{r_1} - \frac{i\alpha_2}{2Nr_2\delta_0^2} \\ Q_{\alpha 1} &= \frac{kb_\alpha}{2M}, \ Q_{\alpha 2} &= \frac{1}{2M} + \frac{k^2b_\alpha^2}{4M^2}, \ Q_{\alpha 3} &= \frac{3kb_\alpha}{4M^2} + \frac{k^3b_\alpha^3}{8M^3}, \ Q_{\alpha 4} &= \frac{3}{4M^2} + \frac{3k^2b_\alpha^2}{4M^3} + \frac{k^4b_\alpha^4}{16M^4} \\ A_{\alpha 0} &= \left(-C + \frac{ik}{2Nr_2} - \frac{3ik}{4N^2\delta_0^2r_2} \right)\alpha_2 + \left(1 + \frac{ik}{2Nr_2} \right) \frac{k^2\alpha_2^3}{4N^2\delta_0^2r_2^2}, \\ A_{\alpha 1} &= \frac{3}{4N^2\delta_0^4} - \frac{3}{2N\delta_0^2} + \left(\frac{i}{2N\delta_0^2} - i + \frac{k}{2Nr_2} - \frac{3k}{8N^2r_2\delta_0^2} \right) \frac{ka_2^2}{Nr_2\delta_0^2}, \\ A_{\alpha 2} &= -\left(1 - \frac{1}{N\delta_0^2} + \frac{1}{4N^2\delta_0^4} \right) \frac{\alpha_2}{\delta_0^2} - \left(\frac{1}{2} - \frac{1}{N\delta_0^2} + \frac{3}{8N^2\delta_0^4} \right) \frac{ik\alpha_2}{Nr_2\delta_0^2}, \\ A_{\alpha 3} &= \frac{1}{2N\delta_0^4} \left(1 - \frac{1}{N\delta_0^2} + \frac{1}{4N^2\delta_0^4} \right), \\ B_{\alpha 0} &= \frac{1}{2a_2} + \left(i - \frac{k}{2a_2r_2} \right) \frac{ka_2^2}{2a_2r_2}, \ B_{\alpha 1} = \left[-C + \left(1 - \frac{1}{N\delta_0^2} \right) \frac{ik}{2Nr_2} \right] \alpha_2, \ B_{\alpha 2} &= \frac{C}{2N\delta_0^2}, \\ C_{\alpha 1} &= -\frac{ik\alpha_1\alpha_2}{2Nr_2}, \ C_{\alpha 2} &= \alpha_1C + \frac{ik\alpha_2}{2Nr_2}, \\ D_{\alpha 0} &= \left(\frac{k}{2Nr_2} - i \right) \frac{k\alpha_2^2}{2Nr_2} - \frac{1}{2N}, \ D_{\alpha 1} = \left[C - \left(1 - \frac{1}{N\delta_0^2} \right) \frac{ik}{2Nr_2} \right] \alpha_2, \ D_{\alpha 2} &= -\frac{C}{2N\delta_0^2}. \end{split}$$

Under the paraxial approximation, i.e., $r_j \approx z + (x_j^2 + y_j^2)/2z$, (j = 1, 2) the longitudinal component of the optical field can be usually neglected. Equations (16)–(18) can be reduced to the propagation formulae for the elements of the 2 × 2 CSD matrix of a paraxial SCRP beam in free space, which are consistent with the Equations (19)–(25) in [21].

The intensity of a nonparaxial partially coherent vector beam in the half space z > 0 is given by [70]:

$$I(x, y, z) = I_x(x, y, z) + I_y(x, y, z) + I_z(x, y, z)$$

= $W_{xx}(x, y, x, y, z) + W_{yy}(x, y, x, y, z) + W_{zz}(x, y, x, y, z)$ (25)

The degree of polarization of a nonparaxial partially coherent vector beam in the half space z > 0 can be defined by the formula [71]:

$$P(x,y,z) = \frac{p_1(x,y,z) - p_2(x,y,z)}{p_1(x,y,z) + p_2(x,y,z) + p_3(x,y,z)},$$
(26)

where $p_1(x, y, z)$, $p_2(x, y, z)$, $p_3(x, y, z)$ are the three eigenvalues of the CSD matrix of a nonparaxial partially coherent vector field and satisfy the relation $p_1(x, y, z) \ge p_2(x, y, z) \ge p_3(x, y, z)$. Another definition of the three-dimensional degree of polarization is claimed in reference [72]. Both definitions of the degree of polarization can be applied to study the polarization properties of a nonparaxial partially coherent vector beam.

The SDOC of a nonparaxial partially coherent vector beam between two arbitrary points (x_1 , y_1 , z) and (x_2 , y_2 , z) in the half space z > 0 is defined by the formula [73]:

$$\mu(x_1, y_1, x_2, y_2, z) = \frac{Tr \overleftrightarrow{W}(x_1, y_1, x_2, y_2, z)}{\sqrt{Tr \overleftrightarrow{W}(x_1, y_1, x_1, y_1, z)} \sqrt{Tr \overleftrightarrow{W}(x_2, y_2, x_2, y_2, z)}},$$
(27)

where *Tr* stands for the trace of the CSD matrix.

By applying Equations (25)–(27), we can conveniently study the statistics properties, such as intensity, degree of polarization, and SDOC of a nonparaxial SCRP beam propagating in free space.

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To comparatively study the nonparaxial propagation of an SCRP beam and that of a PCRP beam, we also derived the cross-spectral density matrix of a nonparaxial PCRP beam, as displayed in the Appendix A.

3. Statistical Properties of a Nonparaxial SCRP Beam

In this section, we will numerically study the statistical properties, such as the intensity, the degree of polarization, and the SDOC of a nonparaxial SCRP by applying the formulae derived in the above section. The statistical properties of a nonparaxial PCRP beam in free space are studied comparatively as well. In the following numerical examples, the propagation distances are normalized to z/z_R , where $z_R = \pi \sigma_0^2 / \lambda$ is the Rayleigh distance.

Figures 1–3 show the normalized intensity distributions I/I_{max} , $(I_x + I_y)/I_{max}$, and I_z/I_{max} and their corresponding cross lines (y = x) of a nonparaxial SCRP beam at different propagation distances for $\sigma_0 = 10\lambda$, λ , and 0.1 λ , respectively. Figures 4–6 show the contour graphs of I_p/I_{pmax} , I/I_{max} , (I_x + I_y/I_{max} , and I_z/I_{max} and the corresponding cross lines (y = x) of a nonparaxial PCRP beam under the same parameter conditions as those in Figures 1–3. The coherence widths of both SCRP and PCRP beams are set to $\delta_0 = \lambda$. For the convenience of comparison, the normalized paraxial intensity distribution I_p/I_{pmax} of SCRP and PCRP beams and their corresponding cross lines (y = x) are also plotted in Figures 1–3 and Figures 4–6, respectively. Several interesting properties can be observed in these plots. First, from the comparison of Figures 1–3, we find that the intensity in the far field (fourth row of each figure) of the nonparaxial SCPR beam is closely determined by the initial beam waist width σ_0 . When σ_0 is large, the far-field intensity is dark hollow (see Figure 1), while when σ_0 is small, the far-field intensity is a quasi-Gaussian distribution (see Figure 3). Very similar conclusions can be drawn for the nonparaxial PCRP beam (see Figures 4-6). Second, the evolution properties of the intensity in the free space for the nonparaxial SCPR beam and the nonparaxial PCRP beam are totally different. From Figure 1, we find that for a nonparaxial SCPR beam, the total intensity changes gradually from a Gaussian shape to a dark hollow profile with the increase of the propagation distance. By contrast, from Figure 4, we find that for a nonparaxial PCRP beam, the total intensity changes gradually from a dark hollow shape to a Gaussian profile with the increase of the propagation distance. Furthermore, we find from Figure 1; Figure 4 that, in the case of $\sigma_0 = 10\lambda$, there is a tiny discrepancy between nonparaxial and paraxial intensity distributions in the near and far fields due to the fact that I_z/I_{max} is extremely small compared to I/I_{max} , or $(I_x + I_y)/I_{max}$ and can be negligible. In this case, the paraxial approximation is valid. However, for a very small value of $\sigma_0 = 0.1\lambda$, as displayed in Figures 3 and 6, the ratio of I_z/I_{max} becomes extremely noticeable and results in an appreciable discrepancy between nonparaxial and paraxial results in both near and far fields. Therefore, nonparaxial propagation formulae should be adopted to describe the propagation of SCRP and PCRP beams with a beam waist width that is much smaller than λ .

Next, we will investigate the effect of the spatial coherence on the propagation properties of both SCRP and PCRP beams. We plot in Figures 7 and 8 the normalized intensity distribution of SCRP and PCRP beams at $z = 10z_R$, with $\sigma_0 = \lambda$ for different values of spatial coherence width δ_0 . The corresponding paraxial results are also plotted together for comparison. One finds that the nonparaxiality of the SCRP and PCRP beams is also closely determined by their spatial coherence widths δ_0 . When $\delta_0 \ge \lambda$, the longitudinal component intensity I_z/I_{max} is negligible compared with I/I_{max} , or $(I_x + I_y)/I_{max}$, thus the paraxial approximation is allowable. However, with a decrease of δ_0 , the ratio of I_z/I_{max} increases quickly, for a very small value of $\sigma_0 = 0.1\lambda$ or 0.5λ , the longitudinal component intensity I_z/I_{max} or 0.5λ , the longitudinal component intensity I_z/I_{max} becomes extremely noticeable, and a notable discrepancy between the nonparaxial and paraxial results appears. A possible explanation is that a very small coherence width leads to a larger divergence angle, thus the beam becomes nonparaxial. In this case, the vectorial nonparaxiality of the SCRP and PCRP beams has to be taken into consideration. One can also find from Figures 7 and 8 that the far-field intensity profiles of the SCRP and PCRP beams are also closely determined by their coherence length δ_0 . With the decrease of δ_0 , the beam profile of the

SCRP field changes from Gaussian shape, to central-dark, flat-topped, and finally hollow beam spot, while the beam profile of the PCRP beam changes from hollow beam spot, to half-dark hollow beam spot, flat-topped, and finally Gaussian beam spot in the far field. Thus, one can shape the intensity distribution of nonparaxial SCRP and PCRP fields by modulating their initial spatial coherence, which is useful in material thermal processing and particle trapping.



Figure 1. Intensity distributions of the paraxial specially correlated radially polarized (SCRP) beam and the nonparaxial SCRP beam at the propagation distances z = 0 (first row), $z = 0.1z_R$ (second row), $z = 0.5z_R$ (third row), $z = 10z_R$ (fourth row) in free space, where z_R is the Rayleigh distance. First column: the total intensity I_p for the paraxial SCRP beam. Second column: the total intensity I for the nonparaxial SCRP beam. Third column: the transverse intensity $I_x + I_y$ for the nonparaxial SCRP beam. Fourth column: the longitudinal intensity I_z for the nonparaxial SCRP beam. Fifth column: the corresponding cross lines (y = x) with $\rho = \sqrt{x^2 + y^2}$. Here, the beam width $\sigma_0 = 10\lambda$, and the intensities are normalized with respect to their maxima.



Figure 2. Intensity distributions of the SCRP beam and the nonparaxial SCRP beam at the propagation distances z = 0(first row), $z = 0.1z_R$ (second row), $z = 0.5z_R$ (third row), $z = 10z_R$ (fourth row) in free space, where z_R is the Rayleigh distance. First column: the total intensity I_p for the paraxial SCRP beam. Second column: the total intensity I for the nonparaxial SCRP beam. Third column: the transverse intensity I_x + I_y for the nonparaxial SCRP beam. Fourth column: the longitudinal intensity I_z for the nonparaxial SCRP beam. Fifth column: the corresponding cross lines (y = x) with $\rho = \sqrt{x^2 + y^2}$. Here, the beam width $\sigma_0 = \lambda$, and the intensities are normalized with respect to their maxima.



Figure 3. Intensity distributions of the SCRP beam and the nonparaxial SCRP beam at the propagation distances z = 0 (first row), $z = 0.1z_R$ (second row), $z = 0.5z_R$ (third row), $z = 10z_R$ (fourth row) in free space, where z_R is the Rayleigh distance. First column: the total intensity I_p for the paraxial SCRP beam. Second column: the total intensity I for the nonparaxial SCRP beam. Third column: the transverse intensity I_x + I_y for the nonparaxial SCRP beam. Fourth column: the longitudinal intensity I_z for the nonparaxial SCRP beam. Fifth column: the corresponding cross lines (y = x) with $\rho = \sqrt{x^2 + y^2}$. Here, the beam width $\sigma_0 = 0.1\lambda$, and the intensities are normalized with respect to their maximums.



Figure 4. Intensity distributions of the paraxial partially coherent radially polarized (PCRP) beam and the nonparaxial PCRP beam at the propagation distances z = 0(first row), $z = 0.1z_R$ (second row), $z = 0.5z_R$ (third row), $z = 10z_R$ (fourth row) in free space, where z_R is the Rayleigh distance. First column: the total intensity I_p for the paraxial SCRP beam. Second column: the total intensity I for the nonparaxial SCRP beam. Third column: the transverse intensity $I_x + I_y$ for the nonparaxial SCRP beam. Fifth column: the corresponding cross lines (y = x) with $\rho = \sqrt{x^2 + y^2}$. Here, the beam width $\sigma_0 = 10\lambda$, and the intensities are normalized with respect to their maximums.



Figure 5. Intensity distributions of the PCRP beam and the nonparaxial PCRP beam at the propagation distances z = 0(first row), $z = 0.1z_R$ (second row), $z = 0.5z_R$ (third row), $z = 10z_R$ (fourth row) in free space, where z_R is the Rayleigh distance. First column: the total intensity I_p for the paraxial SCRP beam. Second column: the total intensity I for the nonparaxial SCRP beam. Third column: the transverse intensity I_x + I_y for the nonparaxial SCRP beam. Fourth column: the longitudinal intensity I_z for the nonparaxial SCRP beam. Fifth column: the corresponding cross lines (y = x) with $\rho = \sqrt{x^2 + y^2}$. Here, the beam width $\sigma_0 = \lambda$, and the intensities are normalized with respect to their maximums.



Figure 6. Intensity distributions of the PCRP beam and the nonparaxial PCRP beam at the propagation distances z = 0 (first row), $z = 0.1z_R$ (second row), $z = 0.5z_R$ (third row), $z = 10z_R$ (fourth row) in free space, where z_R is the Rayleigh distance. First column: the total intensity I_p for the paraxial SCRP beam. Second column: the total intensity I for the nonparaxial SCRP beam. Third column: the transverse intensity I_x + I_y for the nonparaxial SCRP beam. Fourth column: the longitudinal intensity I_z for the nonparaxial SCRP beam. Fifth column: the corresponding cross lines (y = x) with $\rho = \sqrt{x^2 + y^2}$. Here, the beam width $\sigma_0 = 0.1\lambda$, and the intensities are normalized with respect to their maximums.

Now, we can make some conclusions for the evolution of the intensity from Figures 1–8. The nonparaxiality of the SCRP and PCRP beams is closely determined by both their initial beam waist sizes and their coherence widths. When both the beam waist sizes and the coherence widths are larger than the wavelength, the difference between the results calculated by the nonparaxial propagation

formulas and those calculated by the paraxial propagation formulas can be negligible. If either the beam waist size or the coherence width is smaller than the wavelength, a significant difference appears, and nonparaxial propagation formulas are necessary for treating the propagation of SCRP and PCRP beams. Moreover, the modulation of the beam waist widths and the coherence widths on the profiles of the SCRP and PCPR beams in the far field is different.



Figure 7. Normalized intensity distributions (cross line at y = x) I_p/I_{pmax} , I/I_{max} , $(I_x + I_y)/I_{max}$, and I_z/I_{max} , of a SCRP beam at $z = 10z_R$ with $\rho = \sqrt{x^2 + y^2}$ and $\sigma_0 = \lambda$ for different values of the coherence widths. I_p and I denote the total intensity for the paraxial and nonparaxial SCRP beams, respectively. $I_x + I_y$ denotes the transverse intensity, while I_z denotes the longitudinal intensity for the nonparaxial SCRP beam. The coherence widths are marked in the figure.



Figure 8. Normalized intensity (cross line at y = x) I_p/I_{pmax} , I/I_{max} , $(I_x + I_y)/I_{max}$, and I_z/I_{max} of a nonparaxial PCRP beam at $z = 10z_R$ with $\rho = \sqrt{x^2 + y^2}$ and $\sigma_0 = \lambda$ for different values of the coherence widths. I_p and I denote the total intensity for the paraxial and nonparaxial SCRP beam, respectively. $I_x + I_y$ denotes the transverse intensity, while I_z denotes the longitudinal intensity for the nonparaxial SCRP beam. The coherence widths are marked in the figure.

Next, we turn to study the polarization properties of the nonparaxial SCRP beam in free space. We calculate in Figures 9 and 10 the degrees of polarization of nonparaxial SCRP and PCRP beams

at $z = 10z_R$ for different δ_0 , respectively. The beam waist width in Figures 9a and 10a is set to be $\sigma_0 = 10\lambda$, which indicates the paraxial propagation, while, in Figures 9b and 10b is selected as $\sigma_0 = \lambda$, which indicates the nonparaxial propagation. One finds that the degree polarization of both SCRP and PCRP beams, whether for paraxial or nonparaxial propagation, form an "Inverse Gaussian" shape during propagation, i.e., the SCRP and PCRP beams were depolarized, and the degree of polarization of increased as the transverse coordinate increased. The depolarization was attributed to the unneglectable *z* component and its limited spatial coherence length. What is interesting is that the evolution properties of the degree of polarization of the SCRP and PCRP beams are strikingly different, i.e., the degree of polarization of an SCPR beam increases as the initial coherence width decreases, while that of the PCRP beam decreases as the initial coherence width decreases. The difference arises from the different correlation structures (correlation matrix) of the SCRP and PCRP beams. A PCRP beam with a conventional Gaussian correlation structure will become less and less polarized with the increase of the propagation distance or the decrease of the initial coherence [74]. By contrast, a SCRP beam will become more and more polarized with the increase of the propagation distance or the decrease of the initial coherence [74].

reference [21].



Figure 9. Degree of polarization *P* (cross line at y = x) of a nonparaxial SCRP beam at $z = 10z_R$ with $\rho = \sqrt{x^2 + y^2}$ and $\sigma_0 = 10\lambda$ (**a**) and $\sigma_0 = \lambda$ (**b**) for different values of the coherence width δ_0 .



Figure 10. Degree of polarization *P* (cross line at y = x) of a nonparaxial PCRP beam at $z = 10z_R$ with $\rho = \sqrt{x^2 + y^2}$ and $\sigma_0 = 10\lambda$ (**a**) and $\sigma_0 = \lambda$ (**b**) for different values of the coherence width δ_0 .

To learn about the SDOC of nonparaxial SCRP and PCRP beams on propagation, we comparatively calculate the modulus of the SDOC of nonparaxial SCRP and PCRP beams between two transverse points (x, y) and (-x, -y) at several propagation distances z with $\delta_0 = 2\lambda$ for different values of σ_0 in Figures 11 and 12, respectively. The beam waist widths in Figures 11a and 12a are both chosen as $\sigma_0 = 10\lambda$ and indicate the paraxial propagation of the SCRP and PCRP beams, respectively. One sees clearly in Figure 11 that the evolution properties of SDOC of nonparaxial and paraxial SCRP beams are substantially different, i.e., with the increase of z, the distribution of the SDOC gradually

degenerates from the initial non-Gaussian distribution with two sidelobes around the central bright spot into Gaussian distribution in the far field. However, the degeneration speed is higher with the decrease of σ_0 . On the other hand, we see clearly in Figure 12 that the evolution propagation of the SDOC for a PCRP beam is in contrast with that of an SCRP beam, i.e., the SDOC evolves from the initial Gaussian distribution into non-Gaussian distribution with two or four sidelobes around the central bright spot, and the evolution behaviors of the SDOC of nonparaxial and paraxial SCRP beams are different and related to σ_0 . The comparison shows that both the initial beam waist width and the

coherence function play an important role in the evolution properties of the SDOC.



Figure 11. Modulus of the spectral degree of coherence (SDOC) $|\mu(\rho, -\rho, z)|$ (cross line at y = x) of a nonparaxial SCRP beam with $\rho = \sqrt{x^2 + y^2}$ and $\delta_0 = 2\lambda$ at propagation distances z = 0 (first column), $z = z_R$ (second column), $z = 3z_R$ (third column), $z = 10z_R$ (fourth column) for the beam waist size $\sigma_0 = 10\lambda$ (first row), $\sigma_0 = \lambda$ (second row), $\sigma_0 = 0.5\lambda$ (third row).



Figure 12. Modulus of SDOC $|\mu(\rho, -\rho, z)|$ (cross line at y = x) of a nonparaxial PCRP beam with $\rho = \sqrt{x^2 + y^2}$ and $\delta_0 = 2\lambda$ at propagation distances z = 0 (first column), $z = z_R$ (second column), $z = 3z_R$ (third column), $z = 10z_R$ (fourth column) for the beam waist size $\sigma_0 = 10\lambda$ (first row), $\sigma_0 = \lambda$ (second row), $\sigma_0 = 0.5\lambda$ (third row).

4. Conclusions

On the basis of the generalized vectorial Rayleigh–Sommerfeld diffraction integral formulas, analytical expressions for the 3×3 cross-spectral density matrix of an SCRP beam that propagates nonparaxially in free space has been derived. Furthermore, with the help of numerical calculations, the intensity, polarization, and SDOC of an SCRP beam have been illustrated and compared with those of a PCRP beam. It was found that the intensity distribution, degree of polarization, and SDOC of nonparaxial SCPR and PCRP beams determined by their beam waist width and spatial correlation function are substantially different. Therefore, by modulating the initial beam waist width and spatial correlation function, one can modulate the statistical properties of a nonparaxial partially coherent vector field. When we go a step further, the nonparaxial statistical properties can be used to manipulate the optical forces induced by the interaction of the optical fields and the nanoparticles [30,31,45]. Therefore, our findings can have potential use in nanoparticle trapping in nonparaxial systems.

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Appendix A

The elements of the CSD matrix of a PCRP beam in source plane z = 0 reads as [8,38,75]:

$$W_{\alpha\alpha}'(x_{10}, y_{10}, x_{20}, y_{20}, 0) = \frac{\alpha_{10}\alpha_{20}}{4\sigma_0^2} \exp\left(-\frac{\mathbf{r}_{10}^2 + \mathbf{r}_{20}^2}{4\sigma_0^2}\right) \exp\left[-\frac{(\mathbf{r}_{10} - \mathbf{r}_{20})^2}{2\delta_0^2}\right], (\alpha = x, y)$$
(A1)

$$W_{xy}'(x_{10}, y_{10}, x_{20}, y_{20}, 0) = \frac{x_{10}y_{20}}{4\sigma_0^2} \exp\left(-\frac{r_{10}^2 + r_{20}^2}{4\sigma_0^2}\right) \exp\left[-\frac{(r_{10} - r_{20})^2}{2\delta_0^2}\right],\tag{A2}$$

$$W'_{yx}(x_{10}, y_{10}, x_{20}, y_{20}, 0) = W'^*_{xy}(x_{20}, y_{20}, x_{10}, y_{10}, 0),$$
(A3)

Substituting Equations (A1)–(A3) and (14) into Equations (11)–(13), following a similar procedure of the derivation of the beam coherence polarization (BCP) matrix of the SCRP beam, the elements of the CSD matrix of the PCRP beam in free space can be derived as:

$$W'_{\alpha\alpha}(x_1, y_1, x_2, y_2, z) = \frac{z^2 \Delta'}{4MN\delta_0^2} \left(1 + \frac{b_{\alpha}^2}{2M} - \frac{ik\delta_0^2 \alpha_2 b_{\alpha}}{r_2} \right), (\alpha = x, y)$$
(A4)

$$W_{xy}'(x_1, y_1, x_2, y_2, z) = \frac{z^2 \Delta'}{4MN\delta_0^2} \left(\frac{b_x b_y}{2M} - \frac{ik\delta_0^2 y_2 b_x}{r_2}\right),\tag{A5}$$

$$W'_{yx}(x_1, y_1, x_2, y_2, z) = W'^*_{xy}(x_2, y_2, x_1, y_1, z),$$
(A6)

$$W'_{\alpha z}(x_1, y_1, x_2, y_2, z) = -z\Delta' [A'_{\alpha 0}Q_{\alpha 1} + A'_{\alpha 1}Q_{\alpha 2} + A'_{\alpha 2}Q_{\alpha 3} + \frac{kb_{\alpha}}{2M} (A'_{\beta 0} + A'_{\beta 1}Q_{\beta 1} + A'_{\beta 2}Q_{\beta 2})] , (\alpha, \beta = x, y)$$
(A7)

$$W'_{z\alpha}(x_1, y_1, x_2, y_2, z) = W^*_{\alpha z}(x_2, y_2, x_1, y_1, z),$$
(A8)

$$W'_{zz}(x_1, y_1, x_2, y_2, z) = \Delta' \Big[W'_{zxx}(x_1, y_1, x_2, y_2, z) + W'_{zxy}(x_1, y_1, x_2, y_2, z) \\ + W'_{zyx}(x_1, y_1, x_2, y_2, z) + W'_{zyy}(x_1, y_1, x_2, y_2, z) \Big]$$
(A9)

with

$$\begin{aligned} W'_{z\alpha\alpha}(x_1, y_1, x_2, y_2, z) &= A'_{\alpha 0}\alpha_1 \cdot Q_{\alpha 1} + (A'_{\alpha 1}\alpha_1 - A'_{\alpha 0})Q_{\alpha 2} + (A'_{\alpha 2}\alpha_1 - A'_{\alpha 1})Q_{\alpha 3} - A'_{\alpha 2}Q_{\alpha 4} \\ W'_{z\alpha\beta}(x_1, y_1, x_2, y_2, z) &= (\alpha_1 Q_{\alpha 1} - Q_{\alpha 2}) \left(A'_{\beta 0} + A'_{\beta 1}Q_{\beta 1} + A'_{\beta 2}Q_{\beta 2}\right) \\ \Delta' &= \frac{1}{4\sigma_0^2}\Delta , \qquad A'_{\alpha 0} = \frac{k^2\alpha_2^2}{4N^2r_2^2} - \frac{ik\alpha_2^2}{2Nr_2} - \frac{1}{2N} \\ A'_{\alpha 1} &= \frac{\alpha_2}{2N\delta_0^2} + \frac{ik\alpha_2}{2N^2r_2\delta_0^2} , \qquad A'_{\alpha 2} = -\frac{1}{4N^2\delta_0^4} , \end{aligned}$$
(A10)

Under the paraxial condition $r_j \approx z + (x_j^2 + y_j^2)/2z$, (j = 1, 2), Equations (A4)—(A6) can reduce to the propagation formulas for the elements of the 2 × 2 CSD matrix of a paraxial PCRP beam in free space, which are consistent with the result in the Equation (6) in reference [75]. On the basis of the derived formula shown in Equations (A4)–(A9), the statistical properties such as intensity, degree of polarization, and SDOC of the nonparaxial PCRP beam in free space can be determined.

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