



# Article Design Oriented Model for the Assessment of T-Shaped Beam-Column Joints in Reinforced Concrete Frames

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Abstract: Beam-column joints represent very important elements of reinforced concrete (RC) structures. In fact, beams and columns, at the boundary, generate internal forces acting on concrete core and on reinforcement bars with a very high gradient. To fully understand the seismic performances and the failure modes of T-shaped beam-column joints (external corner-positioned) in RC structures, a simplified analytical model of joint behaviour is proposed and theoretical simulations have been performed. The model is based on the solution of a system of equilibrium equations of cracked joint portions designed to evaluate internal stresses at different values of column shear forces. The main aim of the proposed model is to identify the strength hierarchy. Limit values of different internal stresses allow us to detect the occurrence of different failure modes (namely the failure of the cracked joint, the bond failure of passing through bars, and the flexural/shear failures of columns or beams) associated with column shear forces; the smaller one represents the capacity of the joint. The present work, focusing on T-shaped joints, could represent a useful tool for designers to quantify the performance of new structures or of existing ones. In fact, such a tool allows us to push an initial undesired failure mode to a more appropriate one to be evaluated. Finally, some experimental results of tests available in literature are reported, analysed, and compared to the predictions of the proposed model (by means of a worked example) and of some international codes. The outcomes confirm that failure modes and corresponding joint capacities require an analytical model, like the proposed one, to be accurately predicted.

**Keywords:** analytical modelling; beam-column joints; capacity; failure mode; reinforced concrete; T-shaped

# 1. Introduction

Reinforced concrete has been used for more than a century. The early buildings were surely designed by simply considering vertical loads. During the following decades, different codes have followed, so nowadays it is very common to find buildings designed according to old seismic codes or without considering any seismic provision. These buildings usually present a lack of capacity in beam-column joints. Although joints have a restricted area, they are strongly involved in the transfer of forces, mostly under earthquakes. In particular, they often present low shear strength in the joints, leading to brittle shear failures [1,2]. An inadequate design can lead to a shear failure of beam-column joints and to a consequent collapse of the structure [3], particularly in the case of earthquakes [4–7], even if they are expected to be safe according to classical seismic evaluations that

neglect the failure of the joints. For example, referring to L'Aquila earthquake (2009) [8,9], some observations of damaged structures showed that mid-90s Italian structures or older [10–12] could present structural lack in joints due to the design approach or insufficient reinforcement, especially in cases of lack of transverse reinforcements (or due to the reduction of the reinforcement cross section due to corrosion [13–17], which should be accounted for in the assessments). In addition, under a seismic action, the beam-column joint is subjected to bending moments (clockwise or counter clockwise) and bars are pushed or pulled at the sides of the joint panel. Under such loading, and if concrete strength and quality are low or the column is not wide enough, debonding of steel bars could occur [18,19] and beams could lose their load capacity.

In previous works available in scientific literature, a number of beam-column joint models have been proposed. For instance, rotational hinges have been calibrated [20–22], or macro-element models have been defined [23–25], to simulate failed joint behaviour; in a few cases, finite element (FE) models have been proposed [26,27]; however, FE models are usually complex and require significant resources; consequently, they are usually not preferable for practitioner-oriented analyses. Each model has limitations and advantages, but there is no wide consensus on a preferable modelling technique, as many of them are more oriented to a research approach, requiring a comparably detailed and refined modelling of the frames. To obtain a good balance between simplicity and accuracy, this paper proposes a simplified approach suitable for analyzing frames and detecting beam-column joint failure that does not require particular calibrations for each joint.

#### 2. Research Significance

The aim of the present paper is to investigate the corner-positioned RC beam-column joints in order to study their seismic behaviour and to establish the strength hierarchy in the case of failure of beam-column joints, particularly focusing on corner-positioned joints. It aims to contribute to strength hierarchy principles and good practice in their assessment. Modern design codes are strictly linked to these design principles, because the beam-column joint panels' behaviour influences the ductility of the global structure. Presented theoretical analytical outcomes have been discussed and compared to experimental results.

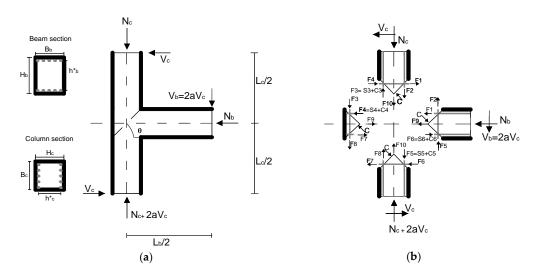
#### 3. Basis of Theoretical Model

Many experimental data, related to external corner-positioned joints and present in the scientific literature, were gathered and analysed. These data are the result of experimental laboratory tests performed on joints with various geometries, subjected to cyclic load, for which the load levels leading to joint's failure have been recorded. The evaluation model used to analyse the joints and failure modes is an improvement on the model proposed by Shiohara [28], partially modified in Bossio et al. [29] to account for internal joints. In the case of external joints, the simplifications due to symmetry are no longer valid; therefore, the verse of the shear (positive or negative) should be accounted for and equations modified accordingly. Several geometries of joints have been considered and the shear force has been applied to columns; the so called column shear, V<sub>c</sub>, values are assumed as the main force parameter in frame analysis, and the related failure modes, involving the joint assemblage, were evaluated for each of them. Starting from the basic configuration (typical of experimental laboratory test), some geometrical and internal reinforcement modifications were considered and column shear, V<sub>c</sub>, values were calculated, so it was possible to detect the relevant failure mode variations, too.

#### 3.1. Mathematical Formulation

The scheme of T-shaped joint was identified by defining the geometric parameters and stresses, as shown in Figures 1 and 2, in the case of negative or positive column shear, respectively. Because of the asymmetry of this kind of joint, two different verses of column shear,  $V_c$ , need to be considered (positive and negative). In fact, depending on the column shear verse, the forces acting on the joint

panel change (Figures 1a and 2a). The internal forces of the joint, acting on each of the four rigid portions cut by typical diagonal cracks in joints, need to be defined. In the case of positive column shear, the tensile forces of longitudinal bars that cross the joint panel are named  $F_1$ ,  $F_2$ ,  $F_7$ , and  $F_8$ , and the compressive forces (assumed as acting at the position of the bars) are named  $F_3$ ,  $F_4$ ,  $F_5$ , and  $F_6$ , while the  $F_9$ ,  $F_{10}$  are the resultant force carried by stirrups and/or eventual external reinforcement, and C is the concrete compressive resultants on half of the diagonal (i.e.,  $0.5H_b/\sin \vartheta$ ) of the joint panel. Particularly,  $F_9$  represents the resultant force exerted by stirrups or horizontally-placed externally bonded reinforcements (EBR), and  $F_{10}$  represents the resultant force exerted by vertically-placed EBR (e.g., made of FRP with fibers aligned in the vertical direction). In order to simplify the calculation procedure, the resulting compressive forces of the diagonal of the joint. Conversely, in the case of negative column shear, the tensile strain forces of longitudinal bars that cross the joint panel are named  $F_3$ ,  $F_4$ ,  $F_5$ , and  $F_6$ , and the compressive forces are named  $F_1$ ,  $F_2$ ,  $F_7$ , and  $F_8$ , while the resultant force absorbed by stirrups and/or externally bonded reinforcements are named forces of longitudinal bars that cross the joint panel are named  $F_3$ ,  $F_4$ ,  $F_5$ , and  $F_6$ , and the compressive forces are named  $F_1$ ,  $F_2$ ,  $F_7$ , and  $F_8$ , while the resultant force absorbed by stirrups and/or externally bonded reinforcements is named  $F_9$ , and  $F_{10}$  and C are the same, as previously defined.



**Figure 1.** T-shaped joint: (**a**) geometry and external forces and (**b**) internal forces, in case of positive column shear.

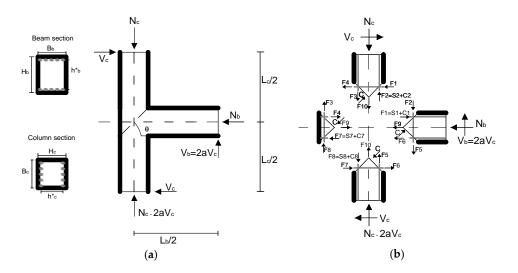


Figure 2. T-shaped joint: (a) geometry and external forces and (b) internal forces, in case of negative column shear.

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Referring to Figure 1, in case of positive column shear, the internal forces are obtained by solving Equation (1):

$$F_i = C_i + S_i \text{ for } i = 3, 4, 5, 6$$
 (1)

while referring to Figure 2, in case of negative column shear, internal forces are obtained by solving Equation (2):

$$F_i = C_i + S_i \text{ for } i = 1, 2, 7, 8$$
 (2)

It is possible to evaluate each internal force by solving a system of equations involving the three equilibrium equations (vertical translation, horizontal translation, and rotation) for each of the four rigid portions of the joint. Of the twelve equations, nine only are independent. If the values for  $F_9$ ,  $F_{10}$ ,  $N_c$ , and  $N_b$  are fixed, and considering Equation (3), it is possible to reduce the unknowns to nine.

$$V_{b} = \frac{2 \cdot L_{c}}{L_{b}} \cdot V_{c} = 2 \cdot a \cdot V_{c}$$
(3)

and aspect ratio is introduced as  $a = L_c/L_b$ .

In the case of positive column shear, by solving the system of Equations (4)–(12), all internal forces are evaluated as a function of  $V_c$ .

$$F_1 + F_4 - C \cdot \sin \vartheta - V_c = 0 \tag{4}$$

$$F_1 - F_6 + F_9 - C \cdot \sin \vartheta + N_b = 0 \tag{5}$$

$$F_6 + F_7 - C \cdot \sin \vartheta - V_c = 0 \tag{6}$$

$$F_3 - F_2 - F_{10} + C \cdot \cos \vartheta - N_c = 0 \tag{7}$$

$$F_2 + F_5 - C \cdot \cos \vartheta - 2 \cdot a \cdot V_c = 0 \tag{8}$$

$$F_8 - F_5 + F_{10} - C \cdot \cos \vartheta + N_c + 2 \cdot a \cdot V_c = 0$$
(9)

$$h_b^* \cdot (F_1 + F_4) + h_c^* \cdot (F_2 + F_3) - \frac{C^2}{B \cdot f_c} - L_c \cdot V_c = 0$$
(10)

$$h_b^* \cdot (F_1 + F_6) + h_c^* \cdot (F_2 + F_5) - \frac{C^2}{B \cdot f_c} - 2 \cdot L_c \cdot V_c = 0$$
(11)

$$h_{b}^{*} \cdot (F_{6} + F_{7}) + h_{c}^{*} \cdot (F_{5} + F_{8}) - \frac{C^{2}}{B \cdot f_{c}} - L_{c} \cdot V_{c} = 0$$
(12)

while in the case of negative column shear, the system to solve is represented by Equations (10)–(18), because rotational equilibriums are the same, while translational equilibriums change.

$$F_1 + F_4 - C \cdot \sin \vartheta - V_c = 0 \tag{13}$$

$$F_1 - F_6 - F_9 + C \cdot \sin \vartheta - N_b = 0 \tag{14}$$

$$F_6 + F_7 - C \cdot \sin \vartheta - V_c = 0 \tag{15}$$

$$F_2 - F_3 - F_{10} + C \cdot \cos \vartheta - N_c = 0 \tag{16}$$

$$F_2 + F_5 - C \cdot \cos \vartheta - 2 \cdot a \cdot V_c = 0 \tag{17}$$

$$F_8 - F_5 - F_{10} + C \cdot \cos \vartheta - N_c + 2 \cdot a \cdot V_c = 0$$
<sup>(18)</sup>

All the symbols introduced in previous equations are depicted in Figures 1 and 2 and in the notation list; it is worth noting that in Equations (10)–(12), the width B of the joint can be equal either to width  $B_b$  of beam or width  $B_c$  of column, or it can even be different. By solving the system of Equations (4)–(12) or (10)–(18), it is possible to correlate each internal force to column shear values, e.g.,

as  $F_1(V_c)$ . Then, the limit values of internal forces generating the internal failure mechanisms of the joint can be defined and, consequently, the corresponding column shear,  $V_c$ , values are strictly related to the activation of each failure mode, according to Table 1. The capacity of the joint is represented by the minimum of the  $V_c$  values.

Column Shear	Failure Mode
V <sub>c1</sub>	Bending for beam
V <sub>c2</sub>	Bending for column
V <sub>c3</sub>	Shear for beam
V <sub>c4</sub>	Shear for column
V <sub>c5</sub>	Joint involving horizontal bars
V <sub>c6</sub>	Joint involving vertical bars (upper column)
$V_{c7}$	Joint involving vertical bars (lower column)
V <sub>c8</sub>	Joint due to maximum bond exceedance
V <sub>c9</sub>	Joint due to medium bond exceedance
V <sub>c10</sub>	Joint due to minimum bond exceedance
V <sub>c11</sub>	Joint due to crushing of concrete strut

**Table 1.** Ultimate column shear, V<sub>c</sub>, for each considered failure mode.

#### 3.2. Failure Modes

Focusing on joint panel, three failure modes were considered: (i) joint failure with tensile yielding or failure of longitudinal horizontal or vertical reinforcements (related to  $V_{c5}$  to  $V_{c7}$  respectively, according to Table 1); (ii) de-bonding of longitudinal reinforcements (related to  $V_{c8}$  to  $V_{c10}$ , according to Table 1); and (iii) failure of concrete strut (related to  $V_{c11}$ , according to Table 1). The former failure mode is related to the conventional failures of reinforcements in tension (breakage, or yielding on safe side). Considering different layers of bars, i, having cross section,  $A_k$ , and characteristic yielding (or eventually ultimate) stress,  $f_{y,k}$ , each  $F_i$ , can be calculated according to Equation (19) and limited to the axial strength,  $F_{max}$ .

$$F_{max,i} = \sum A_k \cdot f_{y,kc} \tag{19}$$

When  $F_{max}$  is reached in a horizontal or vertical reinforcement layer, the corresponding  $V_c$  is the ultimate column shear  $V_{c5}$  or  $V_{c6}$  and  $V_{c7}$  (for upper or lower columns that have a different axial load), respectively.

Referring to a failure mode due to de-bonding of longitudinal reinforcements (related to  $V_{c8}$ ,  $V_{c9}$ , or  $V_{c10}$ ), three different de-bonding conditions were considered according to Model Code 2010 and given concrete compressive strength  $f_c$  [30]:

$$\tau_{\rm max} = 2.5 \cdot \sqrt{f_{\rm c}} \tag{20}$$

$$\tau_{\rm med} = 1.25 \cdot \sqrt{f_{\rm c}} \tag{21}$$

$$\tau_{\min} = 0.3 \cdot \sqrt{f_c} \text{ (e.g., smooth bars)}$$
(22)

The maximum bond force is provided by the bond strength  $\tau_{-}$  multiplied by the lateral surface of the bars only for the length inside the rigid portions cut by diagonal cracks in joints. Three conditions of adhesion can be identified, and corresponding bond strength values are  $\tau_{max}$ ,  $\tau_{med}$ , and  $\tau_{min}$  (but it is worth noting that bond force could reach values up to six times lower in some cases [30]). In the case of positive shear, at the upper layer of bars, the value of bond force is  $F_1 + S_4$ , while, at the bottom side, the bond force is  $F_7 + S_6$ ; in the case of negative shear, at the upper layer of bars, the value of bond force is  $F_6 + S_7$ , where  $S_\_s$  are the steel contributions. The resultant compressive force needs to be split into steel contribution,  $S_\_$ , and concrete contribution,  $C_\_$  (Figure 3a,b shows  $S_4$  and  $S_6$  in the case of positive column shear depicted in

Figure 1b). In particular, as shown in Figure 1b (for  $S_4$  and  $S_6$ ) and Figure 2b (for  $S_1$  and  $S_7$ ), there are four steel contributions that can be calculated, for instance, according to Equations (23)–(26).

$$S_4 = F_7 \cdot \frac{A'_s \cdot (2c - H_b + h_b^*)}{A_s \cdot (H_b + h_b^* - 2c)}$$
(23)

$$S_{6} = F_{1} \cdot \frac{A_{s} \cdot (2c - H_{b} + h_{b}^{*})}{A'_{s} \cdot (H_{b} + h_{b}^{*} - 2c)}$$
(24)

$$S_{1} = F_{6} \cdot \frac{A'_{s} \cdot (2 \cdot c - H_{b} + h_{b}^{*})}{A_{s} \cdot (H_{b} + h_{b}^{*} - 2 \cdot c)}$$
(25)

$$S_7 = F_4 \cdot \frac{A_s \cdot (2 \cdot c - H_b + h_b^*)}{A'_s \cdot (H_b + h_b^* - 2 \cdot c)}$$
(26)

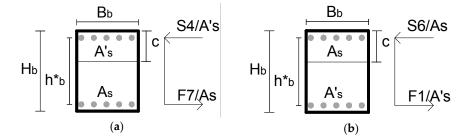


Figure 3. Positive column shear case: evaluation of contribution of (a) upper layer of reinforcement, (b) lower layer of reinforcement.

To calculate the neutral axis depth, c, recalling linearity of strain diagram of cross section (before attainment of debonding), it is possible to offset to zero the static moment, with respect to the neutral axis, considering the homogenized cross section. When maximum bond force is reached, the corresponding V<sub>c</sub> is the ultimate column shear V<sub>c8</sub> to V<sub>c10</sub>, depending on the considered bond condition.

The latter considered failure mode is the crushing of concrete strut (related to  $V_{c11}$ ). It is possible to obtain the maximum value of concrete contact force, C, by limiting its value to the value of compression strength (of concrete  $f_c$ ) in half strut by solving Equation (27):

$$C_{\max} = B \cdot f_c \cdot \frac{H_b}{2 \cdot \sin \vartheta}$$
(27)

If shear friction is assumed along cracks, the concrete contact force, C, can be assumed inclined,  $\theta$ , not as the diagonal crack (i.e.,  $\vartheta \neq \theta$ ), thus requiring a shear friction check, in which deviation from diagonal (i.e.,  $|\vartheta - \theta|$ ) should be limited to a threshold value.

When maximum concrete contact force is reached, the corresponding  $V_c$  is the ultimate column shear  $V_{c11}$ .

Even if present paper focuses on T-shaped joint failure only, the evaluation of the beam and column failure modes is also recommended, according to classical structural analysis approaches (Cosenza et al., 2008) [31]. Considering the failure modes potentially occurring outside the joint that are related to bending moment of beam (ultimate bending moment of beam,  $M_b$ ) or column (ultimate bending moment of column,  $M_c$ , and note that upper and lower columns have different axial loads, and hence strength), and to shear failure of beam (ultimate shear of beam,  $V_b$ ), it is possible to express them in relation to the column shear,  $V_c$ , by solving Equations (28)–(30), respectively.

$$V_{c1} = \frac{M_b \cdot L_b}{\left[ (L_b - H_c) \cdot L_c \right]}$$
(28)

$$V_{c3} = \frac{V_b \cdot L_b}{2 \cdot L_c}$$
(30)

Finally, the column ultimate shear value leading to column shear failure is, obviously, directly equal to the ultimate column shear,  $V_{c4}$ . The minimum value of calculated column shear values corresponds to the failure mode involving the joint panel.

Please note that even if ultimate bending moment of beam is related to reinforcement yielding, like as the condition provided by Equation (19) for the joint failure, the two failure modes are totally different, as flexural failure of beam is the preferred ductile failure of the system, while joint failure is in any case a brittle failure (associated with reinforcement failure, or simply yielding).

## 4. Behaviour of Proposed Model and Validation

Scientific literature presents numerous experimental test results; among them, tests by El-Amoury and Ghobarah, [32], Masi et al. [27], and Russo and Pauletta, [33], performed on different kinds of geometry of T-shaped joint, were selected to evaluate stress values leading to failure of the system. By using the proposed theoretical model for each geometry and each considered failure modes of the joints, the values of column shear,  $V_c$ , were calculated (and named  $V_{c1}$  to  $V_{c11}$  for each considered failure mode, according to Table 1).

Differently from internal joints (Bossio et al. [29]), T-shaped joints are lacking in symmetry simplifications, and positive sign of shear needs to be distinguished from negative one. In order to explain proposed model application, a worked example is provided in the Appendix A and some model predictions were compared to experimental tests. Actual failure mode is represented by the minimum value of column shear load and is limited by bond conditions. The main idea is to create an interesting tool to prevent undesired failure modes (brittle shear failure of the joint, beams, or columns) or to push the failure mode to a more desired ductile beam flexural failure (in case of retrofit or design review). In fact, according to "capacity design" approach, once the desired failure mode is decided, it is possible to use external strengthening (on existing structures), or the design can be calibrated to let ultimate column shear of undesired failure modes exceed the ultimate column shear of the desired failure modes.

Geometrical dimensions and other features of considered specimens are reported in Table 2. An overview of predictions of experiments provided by the proposed model is in Table 3 (and a worked example for T1 test [27] prediction for positive shear is in the Appendix A). All considered specimens present an experimental failure mode due to joint failure, with yielding of longitudinal bars both considering positive or negative shear (Table 3).

	Con	crete	Comercete	Section	Comercia	te Cover -			Beam	L					Colu	mn				Stir	rups	
	Charct	eristics	Concrete	section	Concre	te Cover -	Uppe	er Side		Low	er Side		Upp	er Side		Low	er Side		Bean	ı	Colum	ın
Specimen ID Number	Nc	fc	Beam	Column	Beam	Column	Bar Diameter	A' <sub>s,b</sub>	$\mathbf{f}_{\mathbf{y}}$	Bar Diameter	A <sub>s,b</sub>	fy	Bar Diameter	A's,c	$\mathbf{f}_{\mathbf{y}}$	Bar Diameter	A <sub>s,c</sub>	fy	Legs, Diameter	$\mathbf{f}_{\mathbf{y}}$	Legs, Diameter	fy
	kN	MPa	cm <sup>2</sup>	cm <sup>2</sup>	mm	mm	mm	cm <sup>2</sup>	MPa	mm	cm <sup>2</sup>	MPa	mm	cm <sup>2</sup>	MPa	mm	cm <sup>2</sup>	MPa	N°, mm	MPa	N°, mm	MPa
							E	l-Amour	y and C	Ghobarah (2	2002) [3	2]										
T0	600	30.6	25  imes 40	25  imes 40	40	40	20	12.56	425	20	12.56	425	20	9.42	425	20	9.42	425	2, 10	425	2, 15	425
								М	lasi et a	1. (2009) [ <mark>2</mark> 7	]											
T1	290	17.9	$30 \times 50$	30  imes 30	30	30	12	2.26	478	12	2.26	478	14	3.08	478	14	2.08	478	2, 8	478	2, 8	478
								Russo	and Pa	uletta (2012	.) [ <mark>33</mark> ]											
12_6	90	22.16	30  imes 24	$30 \times 30$	2.7	2.7	12	2.26	315.4	12	2.26	315.4	12	2.26	315.4	12	2.26	315.4	2,6	315.4	2,6	315.4
12_8	90	22.16	30  imes 24	30  imes 30	2.9	2.9	12	2.26	315.4	12	2.26	315.4	12	2.26	315.4	12	2.26	315.4	2,8	315.4	2,8	315.4

**Table 2.** Geometrical and mechanical properties of specimens of experimental programs.

			Experi	mental Prog	ram			
		Positive She	ar			Negative Sho	ear	
Column Shear at Failure	El-Amoury and Ghobarah (2002) [32]	Masi et al. (2009) [27]		d Pauletta :) [33]	El-Amoury and Ghobarah (2002) [32]	Masi et al. (2009) [27]	Russo and (2012	d Pauletta 2) [33]
	T0	T1	12_6	12_8	Т0	T1	12_6	12_8
Vc1 [kN]	65.71	17.75	9.11	9.11	65.71	17.75	9.11	9.11
Vc2 [kN]	172.76	56.76	35.59	35.26	172.76	56.76	35.59	35.26
Vc3 [kN]	175.65	156.78	24.32	42.82	175.65	156.78	24.32	42.82
Vc4 [kN]	270.24	145.89	73.00	72.46	270.24	145.89	73.00	72.46
Vc5 [kN]	59.07	13.59	8.52	8.33	59.07	13.59	8.52	8.33
Vc6 [kN]	125.75	86.40	25.09	24.54	125.75	86.40	25.09	24.54
Vc7 [kN]	137.08	100.45	30.40	29.57	114.68	74.68	21.28	20.88
Vc8 [kN]	110.93	25.62	24.44	23.37	110.93	25.62	24.44	23.37
Vc9 [kN]	60.49	13.00	12.40	11.86	60.49	13.00	12.40	11.86
Vc10 [kN]	15.28	3.15	3.01	2.87	15.28	3.15	3.01	2.87
Vc11 [kN]	160.93	160.93	102.04	102.04	160.93	160.93	102.04	102.04

Table 3. Theoretical prediction of shear capacity of considered specimens related to failure modes.

Bold numbers indicate failure considering maximum bond exceedance. Italic numbers indicates failure considering minimum bond exceedance.

## 5. Simulation of Research by El-Amoury and Ghobarah

The joint is predicted to suffer a joint failure with yielding of horizontal longitudinal bars with a value of  $V_{c5}$  equal to 59.07 kN both in case of positive or negative shear, close to experimental test's value [32], which was 58.5 kN (+1% with respect to experimental value). It is worth noting that the desired failure mode of flexural bending of beams is quite close in terms of ultimate column shear (i.e.,  $V_{c1}$ ). This means that a slight improvement of the joint capacity (e.g., by means of two additional stirrups  $V_{c5}$  increases up to 66 kN) would let it move from undesired brittle joint failure to a desirable ductile flexural failure of beams. In fact,  $V_{c1}$  would be still 65.7 kN, higher than new value of  $V_{c5}$ , and hence the lowest column shear controls the failure mode.

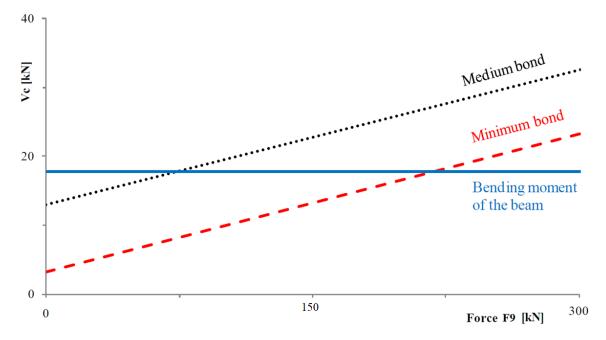
#### 6. Simulation of Research by Masi et al.

In this case, the failure mode is represented again by the joint failure involving horizontal longitudinal bars. The value of  $V_{c5}$  is 13.59 kN, considering that the yielding of bars (and 16.7 kN considering the tensile failure of the bars), both in case of positive or negative shear -24% (-7% considering the rupture of the bars), is lower than experimental value of 18.0 kN [27]. In this case,  $V_{c1}$  is equal to 17.75 kN, both in positive or negative shear for all cases; hence, an improvement of the joint design would require that  $V_{c1}$  is the lowest ultimate column shear. In Table 4, some predictions are provided if two or four stirrups are added in the joint panel.

**Table 4.** Comparison of experimental results [27] and simplified model failure predictions to validate simplified proposed model.

Failure Mode	No Retrofit	No Retrofit	2 Stirrups	2 Stirrups	4 Stirrups	4 Stirrups
Tanuic Moue	Pos. Shear	Neg. Shear	Pos. Shear	Neg. Shear	Pos. Shear	Neg. Shear
Vc1 [kN]	17.75	17.75	17.75	17.75	17.75	17.75
Vc2 [kN]	56.76	56.76	56.76	56.76	56.76	56.76
Vc3 [kN]	156.78	156.78	156.78	156.78	156.78	156.78
Vc4 [kN]	145.89	145.89	145.89	145.89	145.89	145.89
Vc5 [kN]	13.59	13.59	19.51	19.51	25.35	25.35
Vc6 [kN]	86.40	86.40	86.40	86.40	86.40	86.40
Vc7 [kN]	100.45	74.68	100.45	74.68	100.45	74.68
Vc8 [kN]	25.62	25.62	31.77	31.77	37.82	37.82
Vc9 [kN]	13.00	13.00	19.34	19.34	25.59	25.59
Vc10 [kN]	3.15	3.15	9.64	9.64	16.03	16.03
Vc11 [kN]	160.93	160.93	160.93	160.93	160.93	160.93

In the case of minimum bond, the failure mode never moves from minimum bond ( $V_{c10}$  is the smallest ultimate column shear) to other failure modes (apart from adding five stirrups of diameter D8 with two legs, but this is not shown in Table 4). In the case of medium bond, the force that F<sub>9</sub> has to provide is equal to 72 kN, reachable by applying two stirrups of diameter D8 with two legs. Figure 4 shows the results obtained for both cases.



**Figure 4.** Envelope of minimum column shear  $V_c$  when varying horizontal force  $F_9$  (e.g., provided by stirrups) for experimental test by Masi et al. [27].

#### 7. Simulation of Research by Russo and Pauletta

Considering experimental test results in Russo and Pauletta [33], the failure modes of considered specimens (12\_6 and 12\_8) were represented by the joint failure with yielding of horizontal longitudinal bars with values of 6.8 kN and 8.18 kN, respectively. For the specimen 12\_6, the theoretical value of  $V_{c5}$  is 7.52 kN (+10% with respect to experimental value), while for the specimen 12\_8, the value of  $V_{c5}$  is 8.33 kN (+2% with respect to experimental value).

#### 8. Parametric Analysis

The proposed model was used to understand the effect of geometric variations in comparison to reference condition in terms of column shear and failure modes. The failure mode and the related values of column shear (both in the case of positive or negative sign of shear) related to eleven considered cases were shown in Table 5. Analyzed reference joint had a beam of dimensions 30 cm  $\times$  50 cm (increased in a case to 30 cm  $\times$  70 cm), while the column always had the dimensions 30 cm  $\times$  30 cm. The column height was 340 cm, reinforced by using four D16 longitudinal ribbed bars; considered beam had a length of 165 cm, reinforced asymmetrically, by using five D16 and tree D16 longitudinal ribbed bars, for upper and lower sides, respectively. Both column and beam were transversally reinforced by using D8 stirrups (two legs) at a distance of 20 cm each other. Concrete cover was 26 mm. Figure 5 shows the geometry of the considered beam-column joint. In order to evaluate the behavior of proposed simplified model, a parametric analysis was performed to highlight peculiarities of the joint. Main parameters were: axial load on column, N<sub>c</sub>; concrete strength, f<sub>c</sub>; number and spacing of stirrups in the joint; longitudinal reinforcement ratio in beams and columns; beam height, and hence joint panel dimensions. Table 5 shows main geometrical characteristics, material properties, and failure modes in the case of positive or negative shear, related to considered configurations 1 to 11. Table 6 provides an

insight into the values of ultimate column shear, V<sub>c</sub>, in the case of positive or negative shear, leading to each considered failure mode, related to considered configurations 1 to 11.

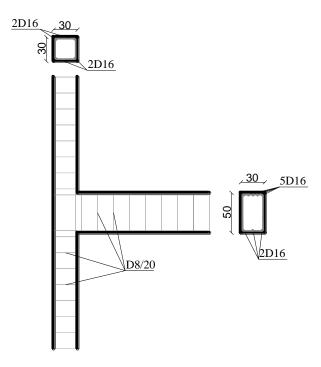


Figure 5. Geometrical characteristic of considered beam-column joint for parametric analysis.

Tables 5 and 6 show a significant effect of column axial load, mainly because the bending failure of the column is strictly related to it; once the column failure is prevented, failure of the joint involves horizontal longitudinal bars (and this failure depends on shear sign, e.g., IDs 2 to 5). Adding stirrups (i.e., IDs 6 to 8) to the joint panel improves its capacity, and impacts on joint capacity if joint fails; however, even if joint failure is prevented by enough reinforcement (i.e., IDs 7 and 8), the bending failure of the column or beam depends only on their longitudinal reinforcement and column shear sign. Obviously, bending moment failure of beam is the preferred mode. A higher beam (i.e., ID 9) increases the dimensions of the joint, and the beam capacity too, hence the global capacity increases, but it could push the failure in the column. Friction at joint cracks impacts on the capacity (i.e., IDs 10–11 vs. ID 2) and should be considered as a benefit for the joint behavior; hence, maximum capacity could be considered, but neglecting such friction provides safe side results.

ID Number	N <sub>c</sub>	f <sub>c</sub>	Stirrups	FRP	Beam Dimension	Failure Mode (Pos. Column Shear)	Failure Mode (Neg. Column Shear)
_	kN	MPa	-	-	cm <sup>2</sup>	_	kN
1	0	20	_	-	$(50 \times 30)$	Vc2	37.1
2	315	20	_	-	$(50 \times 30)$	Vc5	57.5
3	630	20	_	-	$(50 \times 30)$	Vc5	57.5
4	315	40	-	-	$(50 \times 30)$	Vc5	59.5
5	315	60	_	-	$(50 \times 30)$	Vc5	60.1
6	315	20	2D8	-	$(50 \times 30)$	Vc2	62.2
7	315	20	4D8	-	$(50 \times 30)$	Vc2	62.2
8	315	20	8D8	-	$(50 \times 30)$	Vc2	62.2
9	315	20	_	-	$(70 \times 30)$	Vc2	66.8
$10^{1}$	315	20	_	-	$(50 \times 30)$	Vc2	62.2
11 <sup>2</sup>	315	20	-	-	$(50 \times 30)$	Vc8	32.6

**Table 5.** Variability of considered parameters: failure modes and column shear capacity (both in case of positive or negative shear) of considered joint configurations.

Failure due to: V<sub>c2</sub>—Bending for column, V<sub>c5</sub>—Joint with yielding of horizontal bars, V<sub>c8</sub>—Joint due to maximum bond exceedance. <sup>1</sup>  $\vartheta$  = -20°, <sup>2</sup>  $\vartheta$  = +20°.

Column Shear a	at Failure	Shear Sign	V <sub>c1</sub> (kN)	V <sub>c2</sub> (kN)	V <sub>c3</sub> (kN)	V <sub>c4</sub> (kN)	V <sub>c5</sub> (kN)	V <sub>c6</sub> (kN)	V <sub>c7</sub> (kN)	V <sub>c8</sub> (kN)	V <sub>c9</sub> (kN)	V <sub>c10</sub> (kN)	V <sub>c11</sub> (kN)
	1	Pos.	75.98	37.14	135.21	161.06	57.48	58.48	77.28	75.34	40.00	9.99	145.68
	1	Neg.	46.09	37.14	135.21	161.06	35.46	58.48	46.27	48.62	25.08	6.15	145.68
	2	Pos.	75.98	62.18	135.21	161.06	57.48	93.70	114.64	75.34	40.00	9.99	145.68
	2	Neg.	46.09	62.18	135.21	161.06	35.46	93.70	76.97	48.62	25.08	6.15	145.68
	3	Pos.	75.98	79.46	135.21	161.06	57.48	120.52	136.73	75.34	40.00	9.99	145.68
	5	Neg.	46.09	79.46	135.21	161.06	35.46	120.52	103.34	48.62	25.08	6.15	145.68
	4	Pos.	76.99	65.21	135.21	161.06	59.48	103.95	139.14	110.50	57.46	14.17	291.36
	т	Neg.	46.72	65.21	135.21	161.06	36.13	103.95	81.79	70.05	35.77	8.71	291.36
	5	Pos.	77.70	66.88	135.21	161.06	60.10	107.21	147.97	137.37	70.85	17.38	437.04
	0	Neg.	47.29	66.88	135.21	161.06	36.35	107.21	83.27	86.47	43.97	10.68	437.04
Configuration	6	Pos.	75.98	62.18	135.21	161.06	62.76	93.70	114.64	81.11	46.69	17.28	145.68
ID Number	0	Neg.	46.09	62.18	135.21	161.06	41.10	93.70	76.97	54.68	31.59	12.97	145.68
	7	Pos.	75.98	62.18	135.21	161.06	67.93	93.70	114.64	86.70	53.24	24.45	145.68
		Neg.	46.09	62.18	135.21	161.06	46.65	93.70	76.97	60.62	37.99	19.68	145.68
	8	Pos.	75.98	62.18	135.21	161.06	77.98	93.70	114.64	97.29	65.84	38.35	145.68
		Neg.	46.09	62.18	135.21	161.06	57.49	93.70	76.97	72.09	50.42	32.78	145.68
	9	Pos.	109.79	66.79	192.27	161.06	80.32	164.51	242.60	109.83	57.07	14.06	145.68
		Neg.	66.38	66.79	192.27	161.06	49.06	164.51	116.51	69.82	35.64	8.68	145.68
	10	Pos.	75.98	<b>62.18</b>	135.21	161.06	63.64	64.11	74.91	125.00	85.96	23.38	145.68
		Neg.	46.09	62.18	135.21	161.06	39.58	64.11	55.48	100.54	56.26	14.35	145.68
	11	Pos.	75.98	62.18	135.21	161.06	51.64	131.88	131.88	32.55	11.77	2.86	145.68
	11	Neg.	46.09	62.18	135.21	161.06	31.91	131.88	117.37	82.06	44.42	11.20	145.68

Failure due to:  $V_{c1}$ —Bending for beam,  $V_{c2}$ —Bending for column,  $V_{c3}$ —Shear for beam,  $V_{c4}$ —Shear for column,  $V_{c5}$ —Joint with yielding of horizontal bars,  $V_{c6}$ —Joint with yielding of vertical upper bars,  $V_{c7}$ —Joint with yielding of vertical lower bars,  $V_{c8}$ —Joint due to maximum bond exceedance,  $V_{c9}$ —Joint due to medium bond exceedance,  $V_{c10}$ —Joint due to minimum bond exceedance,  $V_{c11}$ —Joint due to crushing of concrete strut. Bold numbers indicate failure considering maximum bond. Italic numbers indicate failure considering minimum bond.

#### 9. Comparison between International Codes and Proposed Model

Joints have been analyzed experimentally and numerically in the past; however, there is still no general agreement in the research community about the assessment of joint behaviour and resistant mechanism, frequently resulting in different design details and amounts of shear reinforcement in the joints provided by international codes and guidelines to assess the column-beam joint behaviour. Particularly, in present paper, the American standard ACI 352, (2002) [34], the Japanese code AIJ, (1999) [35], the European Code, EC8, (2005) [36], and the Italian code (NTC2008 D.M. 14/01/2008—[37]) were considered and their evaluations were compared to the proposed simplified model. Geometrical

parameters and material characteristics of each previously considered experimental test were used, and results corroborate the idea that such topic is still an open issue. The comparison should be in terms of columns shear, leading to failure of the beam-column joints. However, referring to Figure 6, the value of the so-called joint shear, V<sub>ij</sub>, can be calculated according to Equation (31), as it is the simple parameter commonly adopted in guidelines to evaluate joint capacity.

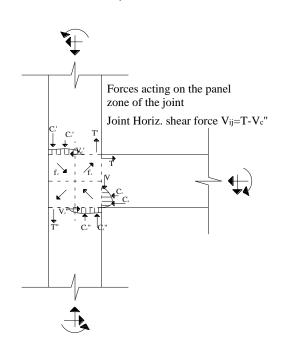
In the case of yielded steel bars, tension forces T are calculated by multiplying the bars' cross section by the yield stress. Prediction models of joint shear capacity proposed by the ACI 352:2002 [34] and the AIJ:1999 [35] are based on the assumption that the failure of joint occurs in correspondence to the maximum value of joint shear. According to the American code [34], the maximum available joint shear value, V<sub>j,av</sub>, is calculated according to the Equation (32):

$$V_{j,av} = 0.083 \cdot \gamma \cdot \sqrt{f'_c} \cdot A_j \tag{32}$$

where the factor  $\gamma$  considers the confinement effect due to the elements converging into the joint,  $f'_c$  is concrete compressive strength, and  $A_j$  is the horizontal section of the joint. The evaluation of  $\gamma$  depends on two considerations: (i) joints can dissipate the energy by means of inelastic strains (Type 1) or by plastic strains (Type 2); and (ii) the code defines many joint categories, according to the external confinement. In this case, this kind of joint corresponds to Type 2 and category A.3 (not previously mentioned cases), so the value of  $\gamma$  is equal to 12. According to the Japanese code [35], the maximum available joint shear value,  $V_{j,av}$ , is calculated according to the Equation (33):

$$V_{j,av} = k \cdot \phi \cdot 0.8 \cdot f \prime_c^{0.7} \cdot A_j \tag{33}$$

where the factor k considers the number of resisting elements converging into the joint in the direction of the stress, the factor  $\phi$  considers the confinement effect due to the beam, while other symbols have the same meaning as before. In this case, k = 0.7 and  $\phi$  = 0.85 were assumed.



 $V_{ij} = T - V_c \tag{31}$ 

Figure 6. Joint shear evaluation in an external beam-column joint.

According to the European code [36] and Italian code [37], to check the joint design, the Equation (34) should be satisfied:

$$V_{j,bd} \le \eta \cdot f_{cd} \cdot b_j \cdot h_{jc} \cdot \sqrt{1 - \frac{\nu_d}{\eta}}$$
(34)

where  $\eta$  is equal to

$$\eta = \alpha_j \cdot \left( 1 - \frac{f_{ck}}{250} \right) \tag{35}$$

where  $f_{ck}$  is the characteristic compressive strength of concrete in (MPa) and  $\alpha_j = 0.48$ ,  $f_{cd}$  is the design compressive strength of concrete,  $b_j$  is the width of the joint, equal to the MIN[MAX (B<sub>c</sub>; B<sub>b</sub>); MIN (B<sub>c</sub> + H<sub>c</sub>/2; B<sub>b</sub> + H<sub>c</sub>/2)], where Bc is the width of the column, Bb is the width of the beam, H<sub>c</sub> is the height of the column, h<sub>jc</sub> is the distance between the most external layers of reinforcements into the column, and  $\nu_d$  is the axial load ratio in the column above the joint, accounting for the axial capacity of the concrete only. In addition, European Code [36] and Italian Code [37] prescribe a minimum value of transverse reinforcement according to Equation (36) in order to avoid diagonal cracking of the joint due to the attainment in diagonal of design tensile strength of concrete, f<sub>ctd</sub>.

$$\frac{A_{sh} \cdot f_{ywd}}{b_{j} \cdot h_{jw}} \ge \frac{\left(\frac{V_{jbd}}{b_{j} \cdot h_{jc}}\right)^{2}}{f_{ctd} + \nu_{d} \cdot f_{cd}} - f_{ctd}$$
(36)

where  $A_{sh}$  is the whole section of the stirrups,  $f_{ywd}$  is the design yielding stress of stirrups,  $b_j$  is the width of the joint, and  $h_{jw}$  is the distance between upper and lower layers of reinforcement into the beam. Furthermore, Italian Code [37] provides two expressions to perform checks in compression and tension for existing nodes, which allows the calculation of the maximum values of diagonal compression and tensile stresses inside the concrete, which must be lower than percentages of the concrete compressive strength  $f_c$ . Equations (37) and (38) are used to calculate the values of maximum diagonal compressive stress  $\sigma_{nc}$  and the maximum diagonal tension stress  $\sigma_{nt}$ , respectively.

$$\sigma_{\rm nc} = \frac{N_c}{2 \cdot A_g} + \sqrt{\left(\frac{N_c}{2 \cdot A_g}\right)^2 + \left(\frac{V_n}{A_g}\right)^2} \le 0.5 \cdot f_c \tag{37}$$

$$\sigma_{\rm nt} = \left| \frac{N_c}{2 \cdot A_g} + \sqrt{\left(\frac{N_c}{2 \cdot A_g}\right)^2 + \left(\frac{V_n}{A_g}\right)^2} \right| \le 0.3 \cdot \sqrt{f_c}$$
(38)

where  $N_c$  is the axial load on the upper column,  $A_g$  is the horizontal section of the joint,  $V_n$  is the joint shear defined as  $V_{ij}$  in Equation (31), and  $f_c$  is the concrete compressive strength (in MPa in (38)).

#### Joint Shear Failure

As a first step, the value of column shear,  $V_c$ , has been expressed as joint shear, and it was compared to the predictions by codes and guidelines in Table 7. As shown there, proposed model is comparable to experimental outcomes, but it would be interesting to understand the differences in terms of failure predictions with the codes.

	Experimental Joints							
	Joint Shear (kN)	Limit Joint Shear (kN)	Joint Shear (kN)	Joint Shear (kN)	Limit Joint Shear (kN)			
	Model	ACI	AIJ	EC8	Experimental			
El-Amoury and Ghobarah (2002) [32] TO	474.73	550.96	521.93	793.31	475.30			
Masi et al. (2009) [27] T1	91.36	378.72	322.10	462.26	90.07			
Russo and Pauletta (2012) [33]	63.78	421.98	374.76	702.67	64.50			

Table 7. Comparison between proposed model, codes, and experimental results.

Figure 7 shows the comparison between the joint shear for experimental tests, codes, and the proposed model. The European code [36] presents the higher values of joint shear limit for each considered case, while proposed model presents the lower ones.

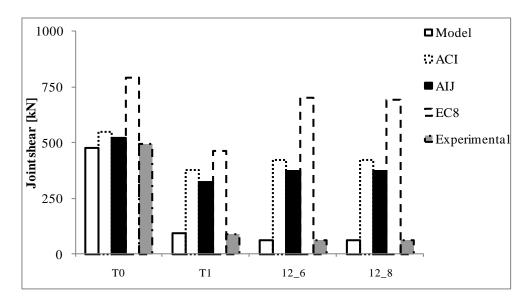


Figure 7. Comparison of theoretical predictions for the experimental tests, in terms of joint shear.

All the considered codes overestimate the experimental outcomes in terms of joint shear; hence, they could seem not on safe side, but according to Equation (31) they provide, conversely, extremely reduced and over conservative ultimate column shear values related to joint failure. This apparent contradiction can be explained, since it has been shown in many research papers [4,5,11] that the so-called "joint shear" is an inappropriate index for the evaluation of vulnerability of beam-column joints due to joint shear failure, despite the fact it is usually assumed to be the main, simple parameter controlling this failure mode. In fact, the joint shear stress increases even after apparent beginning of joint shear failure, as well as after deterioration of column shear. The reason of the degradation in column shear is due also to the anchorage capacity of longitudinal reinforcement in the beams passing through the joint panel [4,5]. When the anchorage stress attains its capacity, the internal moment lever arms reduce, followed by a deterioration of flexural strength (and variation of forces T, too, hardly quantifiable by design equations in codes). All these phenomena acting together lead to a global reduction of the beam-column joint performances [11].

#### 10. Conclusions

Present paper provides a theoretical yet simplified formulation accounting for failure mechanisms of beam-column joints. The possible different failure modes of beam-column joints are various, but some of them can be considered preferable in respect to others. As is known, a ductile-type failure is preferable to a brittle-type failure; therefore, a design can be performed considering the strength hierarchy. This principle allows for the identification of a better way to design a new joint or eventually retrofit an existing one (e.g., adopting traditional or innovative solutions like as FRP, FRCM, or even newer technologies [38–44]) to achieve the desired behaviour and failure mode.

The proposed formulation requires the solution of a system of nine equations: the column shear force  $V_c$  is the driving parameter, and the equations allow us to correlate each internal stress to the corresponding  $V_c$ , and each considered failure mode is associated with a threshold value of internal stresses yielding to the evaluation of  $V_{c1}$  to  $V_{c11}$ . The minimum value of  $V_{c_{-}}$  is the capacity of the beam-column joint and provides the associated failure mode, too.

In relation to the type and the amount of reinforcement used, for instance, it is possible to switch from a brittle failure to a ductile failure. According to the strength hierarchy, this can be obtained by letting the  $V_c$  associated with a ductile failure of the flexural beam failure (e.g., the flexural beam failure associated with  $V_{c1}$ ) be the lowest  $V_c$  compared to other brittle failures (e.g., a joint failure associated with  $V_{c5}$  to  $V_{c11}$ ). However, it also appeared clearly that significant errors at the original design phase or very low bond in the smooth bars could jeopardize the success of retrofit interventions for existing joints, requiring excessive amounts of strengthening.

The proposed theoretical model was compared to experimental tests and to international code provisions. The proposed model showed its advantages, as it accurately indicated the failure mode and capacity, dissimilarly from the codes. The reason for this is believed to be that the codes consider, implicitly, only a few potential failure modes. Often this led to poor correlations, when considering the codes, which were over-conservative, thus enforcing the need to develop effective, yet simplified, models, considering not only joint shear but the whole joint assembly behaviour, as in the case of the proposed model.

**Author Contributions:** A. Prota and G. Manfredi conceived and designed the research; G.P. Lignola performed the analyses; A. Bossio and F. Fabbrocino analyzed the data; A. Bossio, F. Fabbrocino, G.P. Lignola wrote the paper.

Conflicts of Interest: The authors declare no conflict of interest.

#### Nomenclature

a	Length of column/length of beam ratio
Ag	Area of horizontal section of the joint
Aj	Area of horizontal section of the joint
A <sub>k</sub>	Area of reinforcements
As	Area of lower layer of reinforcements
A's	Area of upper layer of reinforcements
A′ <sub>s,b</sub>	Area of upper layer of reinforcements (beam)
A <sub>sh</sub>	Whole area of the stirrups
В	Width of the joint
B <sub>b</sub>	Width of the beam
Bc	Width of the column
b <sub>j</sub>	Width of the joint
C	Compressive resultant force of half concrete strut
C1 C8	Compressed concrete contribution evaluated from corresponding compressive resultant forces
C1, , C8	$F_1, \ldots, F_8$
с	Depth of neutral axis
C <sub>MAX</sub>	Strength of half concrete strut
F_	Generic resultant force (e.g., $F_1$ or $F_2$ or $F_3$ )
ггг	Resultant force carried in tension for positive column shear or in compression for negative
F <sub>1</sub> , F <sub>2</sub> , F <sub>7</sub> , F <sub>8</sub>	column shear
E E E E	Resultant force carried in compression for positive column shear or in tension for negative
$F_3, F_4, F_5, F_6$	column shear

Б	Possiliant forms systed by stimums or barizantally placed systemally handed winformants
F9	Resultant force exerted by stirrups or horizontally-placed externally bonded reinforcements
F <sub>10</sub>	Resultant force exerted by vertically-placed externally bonded reinforcements
f <sub>c</sub>	Concrete compressive strength
f <sub>cd</sub>	Design compressive strength of concrete
f <sub>ck</sub>	Characteristic compressive strength of concrete
f <sub>ctd</sub>	Design tensile strength of concrete Limit value for resultant force
F <sub>MAX</sub>	
fy f	Steel yielding strength Characteristic violding (or eventually ultimate) stress of steel
f <sub>y,kc</sub>	Characteristic yielding (or eventually ultimate) stress of steel Design yielding stress of stirrups
f <sub>ywd</sub> h <sub>b</sub> *	Distance between reinforcement layers (in beam)
н <sub>ь</sub> Н <sub>ь</sub>	Height of cross section of beam
$h_c^*$	Distance between reinforcement layers (in column)
H <sub>c</sub>	Height of cross section of column
h <sub>jc</sub>	Distance between the most external layers of reinforcements into the column
h <sub>jw</sub>	Distance between upper and lower layers of reinforcement into the beam
туw	Factor in Japanese code [36] considering the number of resisting elements converging into the
k	joint in the direction of the stress
L <sub>b</sub>	Length of beam
L <sub>c</sub>	Lenght of column
M <sub>b</sub>	Ultimate bending moment of beam
M <sub>c</sub>	Ultimate bending moment of column
N <sub>b</sub>	Beam axial load
N <sub>c</sub>	Column axial load
S_	Generic compressed steel contribution (e.g., $S_1$ or $S_4$ or )
$S_{1}, S_{4}, S_{6}, S_{7}$	Compressed steel contribution evaluated from compressive resultant forces $F_1$ , $F_4$ , $F_6$ and $F_7$
T	Tension forces
V <sub>b</sub>	Beam shear
V <sub>c</sub>	Column shear
V <sub>c1</sub>	Column shear value associated to Bending failure for beam
V <sub>c2</sub>	Column shear value associated to Bending failure for column
V <sub>c3</sub>	Column shear value associated to Shear failure for beam
V <sub>c4</sub>	Column shear value associated to Shear failure for column
V <sub>c5</sub>	Column shear value associated to Joint failure involving horizontal bars
V <sub>c6</sub>	Column shear value associated to Joint failure involving vertical bars (upper column)
V <sub>c7</sub>	Column shear value associated to Joint failure involving vertical bars (lower column)
V <sub>c8</sub>	Column shear value associated to Joint failure due to maximum bond exceedance
V <sub>c9</sub>	Column shear value associated to Joint failure due to medium bond exceedance
V <sub>c10</sub>	Column shear value associated to Joint failure due to minimum bond exceedance
V <sub>c11</sub>	Column shear value associated to Joint failure due to crushing of concrete strut
V <sub>ij</sub>	Joint shear
V <sub>j,av</sub>	Maximum available joint shear value
V <sub>j,bd</sub>	Design joint shear of beam
$\alpha_{j}$	Paramater defined in European Code [36] and Italian Code [37]
γ	Parameter in ACI 352:2002 [35] that considers the confinement effect due to the elements
	converging into the joint
η	Paramater defined in European Code [36] and Italian Code [37]
θ	Inclination of concrete strut
θ	Inclination of diagonal crack in joint panel
ν <sub>d</sub>	Axial load ratio in the column above the joint
σ <sub>nc</sub>	Maximum diagonal compressive stress
σ <sub>nt</sub>	Maximum diagonal tension stress

τ_	Bond strength
$\tau_{max}$	Maximum bond strength
$\tau_{medD}$	Average bond strength
$ au_{min}$	Minimum bond strength
φ	Factor in Japanese code [35] considering the confinement effect due to the beam

# Appendix A

When analyzing the experimental test T1 by Masi et al. (2009) [27] (positive column shear), a numerical worked example is proposed in this section. The system of Equations (4)–(12) is solved by using as input the material characteristics and geometrical parameters reported in Table 2.

$$F_{1} = 5.01 \cdot 10^{-27} \cdot \left( 2.29 \cdot 10^{32} - 4.16 \cdot 10^{12} \cdot \sqrt{3.03 \cdot 10^{39} - 2.17 \cdot 10^{34} \cdot V_{c}} + 7.45 \cdot 10^{26} \cdot V_{c} \right)$$
(A1)

$$F_{2} = 9.37 \cdot 10^{-26} \cdot \left( 5.82 \cdot 10^{30} - 1.34 \cdot 10^{11} \cdot \sqrt{3.03 \cdot 10^{39} - 2.17 \cdot 10^{34} \cdot V_{c}} + 3.46 \cdot 10^{24} \cdot V_{c} \right)$$
(A2)

$$F_3 = 0.11 \cdot \left( 1.34 \cdot 10^6 + 3 \cdot V_c \right)$$
(A3)

$$\mathbf{F}_4 = -2.73 \cdot \mathbf{V}_c \tag{A4}$$

$$F_5 = 0.11 \cdot \left( 1.34 \cdot 10^6 + 9 \cdot V_c \right) \tag{A5}$$

$$F_6 = 3.73 \cdot V_c \tag{A6}$$

$$F_7 = 2.45 \cdot 10^{-25} \cdot \left( 4.68 \cdot 10^{30} - 8.50 \cdot 10^{10} \sqrt{3.03 \cdot 10^{39} - 2.17 \cdot 10^{34} \cdot V_c} - 1.11 \cdot 10^{25} \cdot V_c \right)$$
(A7)

$$F_8 = 9.37 \cdot 10^{-26} \cdot (5.82 \cdot 10^{30} - 1.34 \cdot 10^{11} \sqrt{3.03 \cdot 10^{39} - 2.17 \cdot 10^{34} \cdot V_c} - 3.46 \cdot 10^{24} \cdot V_c)$$
(A8)

$$C = 2.74 \cdot 10^{-16} \cdot (4.89 \cdot 10^{21} - 88.72\sqrt{3.03 \cdot 10^{39} - 2.17 \cdot 10^{34} \cdot V_c})$$
(A9)

Equations (A1)–(A9), solution of the system, allows us to calculate the evolution of forces in the joint as function of column shear,  $V_c$ ; hence, it is possible to evaluate directly the values of each  $V_cs$ . In particular, looking at the joint failure, column shear corresponding to the yielding failure of bars in tension into the beam or columns can be evaluated by equating  $F_1$  (Equation (A1)) to minimum yielding force of beam reinforcement (Equation (A10)); and  $F_2$  (Equation (A2)) or  $F_8$  (Equation (A8)) to minimum yielding force of (upper or lower) column reinforcement (Equation (A11)), respectively. Equations (A12) and (A13) allow us to evaluate the limits to assess the column shear corresponding to the ultimate failure of bars in tension into the beam or column.

Such yielding/ultimate forces of bars are calculated in advance. The minimum force in tensile reinforcement is simply evaluated by accounting for 4D12 ( $A_{sb} = 226 \text{ mm}^2$ ) in the beam and 4D14 ( $A_{sc} = 308 \text{ mm}^2$ ) in the column, so that yielding/ultimate forces are:

$$F_{\max,1}^{y} = A_{s}^{beam} \cdot f_{y} = 226 \cdot 478 = 108,028 \text{ N}$$
(A10)

$$F_{max,2}^{y} = F_{max,8}^{y} = A_{s}^{column} \cdot f_{y} = 308 \cdot 478 = 147,224 \text{ N}$$
(A11)

$$F_{max,1}^{u} = A_{s}^{beam} \cdot f_{u} = 226 \cdot 590 = 133,340 \text{ N}$$
(A12)

$$F_{max,2}^{u} = F_{max,8}^{u} = A_{s}^{column} \cdot f_{u} = 308 \cdot 590 = 181,720 \text{ N}$$
(A13)

Hence, the column shear corresponding to the failure due to the yielding of bars in beam,  $V_{c5}$ , is equal to 13.59 kN (16.71 kN in case of ultimate failure of bars). The column shear corresponding to the failure due to the yielding of bars in upper column,  $V_{c6}$ , is equal to 86.40 kN (94.12 kN in case of ultimate failure of bars), while corresponding column shear values involving bars in tension in the lower column,  $V_{c7}$ , is equal to 100.45 kN and 107.99 kN, respectively.

To evaluate the column shear leading to the joint failure due to reinforcement debonding, the bond capacity should be calculated. The bond capacity can be calculated as F<sub>bond</sub>:

$$F_1 + S_4 = F_{bond} = n \cdot \pi \cdot \Phi \cdot L_{eb} \cdot \tau \tag{A14}$$

where n is the number of longitudinal bars,  $\Phi$  is bar diameter, L<sub>eb</sub> is the effective bond length, and  $\tau$  is the uniform bond stress capacity. Three conditions of bond have been considered: the case of maximum, medium, and minimum bond capacities, namely  $\tau_{max} = 10.56$  MPa,  $\tau_{med} = 5.28$  MPa, and  $\tau_{min} = 1.27$  MPa, calculated according model Code 1990 (see Section 3.2). Hence F<sub>bond,max</sub>, F<sub>bond,med</sub>, and F<sub>bond,min</sub> are evaluated substituting the values of  $\tau_{max}$ ,  $\tau_{med}$ , and  $\tau_{min}$  into the Equation (A14):

$$F_{\text{bond.max}} = 8 \cdot \pi \cdot 12 \cdot 240 \cdot 10.56 = 763.96 \text{ kN}$$
(A15a)

$$F_{bond.med} = 8 \cdot \pi \cdot 12 \cdot 240 \cdot 5.28 = 381.98 \text{ kN}$$
(A15b)

$$F_{\text{bond,min}} = 8 \cdot \pi \cdot 12 \cdot 240 \cdot 1.27 = 91.88 \text{ kN}$$
(A15c)

To evaluate bond demand  $F_1 + S_4$  as a function of column shear  $V_c$ , the bar force in compression,  $S_4$ , is extracted from the global compression force  $F_4$ , as previously described. The whole section is assumed in the elastic range, hence it is possible to assess the neutral axis, c, by considering the static moment of the cracked section equal to zero. Compression force  $S_4$  is evaluated according to Equation (23) as:

$$S_4 = 2.22 \cdot 10^{-26} \cdot \left( 4.68 \cdot 10^{30} - 8.50 \cdot 10^{10} \cdot \sqrt{3.03 \cdot 10^{39} - 2.17 \cdot 10^{34} \cdot V_c} - 1.11 \cdot 10^{25} \cdot V_c \right)$$
(A16)

where neutral axis, c, is equal to 66.5 mm. In this way, when equating the bond demand to the bond capacity, previously evaluated in the three bond conditions, it is possible to assess the column shears  $V_{c8}$ ,  $V_{c9}$ , and  $V_{c10}$ , respectively, as reported in Table 4 for the relevant simulation case.

Similarly,  $V_{c11}$ , corresponding to joint failure due to crushing of concrete strut, is evaluated by equating C to  $C_{max} = 1562$  kN in this case (see Equation (27)).

It is now possible to evaluate the failure modes due to bending and shear failures of beam and columns. According to technical theory of flexure and shear capacity at ultimate state of RC beams and columns (e.g., Cosenza et al. [31]), the relevant values can be expressed as functions of the column shear (see Equations (28)–(30)). In the present case, relevant capacities are: beam flexural,  $M_b = 49.80$  kNm, column flexural,  $M_c = 70.95$  kNm, beam shear,  $V_b = 203.16$  kN and column shear, and  $V_c = 145.89$  kN, which is directly equal to  $V_{c4}$ . These values yield to column shears  $V_{c1}$  to  $V_{c4}$ , respectively (see Table 3, where  $L_b = 4.63$  m and  $L_c = 3.00$  m).

To identify the actual failure mode, the lowest column shear identifies the failure, and in this case (in good bond conditions) it is  $V_{c5}$ , hence a joint failure mode with ultimate strength of bars in tension in the beam ( $V_c = 16.7$  kN, as reported in Table 3, slightly underestimating the experimental capacity, that is 18 kN, with the same reported joint failure mode).

As a concluding remark, to design a retrofit intervention or to improve the original design, failure mode should be moved to beam flexural failure; hence, the joint should be improved to prevent a joint failure for a  $V_c$  smaller than  $V_{c1} = 17.75$  kN (see Table 4). In any cases, if we have a clear summary of the column shears activating different failure modes for a beam column joint, then it is possible to design a proper and effective retrofit intervention, too, according to capacity design approach.

By considering 4 stirrups (2 legs D8 and total steel area 498 mm<sup>2</sup>) in the joint, a force  $F_9 = 192.22$  kN needs to be considered to solve the system of Equations (4)–(12); hence, the solution is:

$$F_{1} = 4.01 \cdot 10^{-30} \cdot \left( 2.63 \cdot 10^{35} - 5.21 \cdot 10^{15} \cdot \sqrt{3.03 \cdot 10^{39} - 2.17 \cdot 10^{34} \cdot V_{c}} + 9.31 \cdot 10^{29} \cdot V_{c} \right)$$
(A17)

$$F_{2} = 9.37 \cdot 10^{-26} \cdot \left( 5.82 \cdot 10^{30} - 1.34 \cdot 10^{11} \cdot \sqrt{3.03 \cdot 10^{39} - 2.17 \cdot 10^{34} \cdot V_{c}} + 3.46 \cdot 10^{24} \cdot V_{c} \right)$$
(A18)

$$F_3 = 0.11 \cdot \left( 1.34 \cdot 10^6 + 3 \cdot V_c \right) \tag{A19}$$

$$F_4 = 9.82 \cdot 10^{-9} \cdot \left(9.80 \cdot 10^{12} - 2.78 \cdot 10^8 \cdot V_c\right)$$
(A20)

$$F_5 = 0.11 \cdot \left( 1.34 \cdot 10^6 + 9 \cdot V_c \right) \tag{A21}$$

$$F_6 = 9.82 \cdot 10^{-9} \cdot \left(9.80 \cdot 10^{12} + 3.80 \cdot 10^8 \cdot V_c\right)$$
(A22)

$$F_7 = 4.01 \cdot 10^{-30} \cdot \left( 2.63 \cdot 10^{35} - 5.21 \cdot 10^{15} \sqrt{3.03 \cdot 10^{39} - 2.17 \cdot 10^{34} \cdot V_c} - 6.82 \cdot 10^{29} \cdot V_c \right)$$
(A23)

$$F_8 = 9.37 \cdot 10^{-26} \cdot (5.82 \cdot 10^{30} - 1.34 \cdot 10^{11} \sqrt{3.03 \cdot 10^{39} - 2.17 \cdot 10^{34} \cdot V_c} - 3.46 \cdot 10^{24} \cdot V_c)$$
(A24)

$$C = 2.74 \cdot 10^{-16} \cdot (4.89 \cdot 10^{21} - 88.72\sqrt{3.03 \cdot 10^{39} - 2.17 \cdot 10^{34} \cdot V_c})$$
(A25)

The values of yielding/ultimate forces of bars do not change, and so the values of  $F_{bondmax}$ ,  $F_{bondmin}$ , and c, instead of the value of compression force  $S_{4}$ , equal to:

$$S_4 = 3.63 \cdot 10^{-31} \cdot (2.63 \cdot 10^{35} - 5.21 \cdot 10^{15} \cdot \sqrt{3.03 \cdot 10^{39} - 2.17 \cdot 10^{34} \cdot V_c} - 6.82 \cdot 10^{29} \cdot V_c)$$
(A26)

In this way, it is possible to update the values of the eleven  $V_cs$  according to the addition of stirrups in the joint (i.e., increment of  $F_9$  as reported for instance in Figure 4 for  $V_{c9}$  and  $V_{c10}$ ). It is worth nothing that not all the solutions (A1)–(A9) change in (A17)–(A26), as not all the  $V_cs$  are sensitive to  $F_9$  (see Table 4).  $F_9$  (i.e., the amount of joint reinforcement) is enough when  $V_{c1}$  is the smallest  $V_c$ , as discussed in Section 6.

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