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Austempered Ductile Iron (ADI): Influence of Austempering Temperature on Microstructure, Mechanical and Wear Properties and Energy Consumption

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Abstract: Alloyed Ductile iron, austenitized at 840 °C for 30 min in a special sealed austempering furnace, was austempered for 30 min in molten salt mixture at 4 trial temperatures of 300 °C, 320 °C, 340 °C and 360 °C. Tensile strength, yield strength, percentage elongation and impact energy were evaluated for the as-cast and austempered samples. Microstructures were investigated using microscopy, coupled with analyzing software and a scanning electron microscopy. The specific wear of samples was tested using pin-on-disc wear testing machine. X-ray diffraction was performed to calculate the amount of retained austenite present in the ausferrite matrix. As-cast microstructure consists of ferrite and pearlite, whereas austempered ductile iron (ADI) contains a mixture of acicular ferrite and carbon enriched austenite, called "ausferrite". Hardness and strength decreased, whereas ductility and impact strength improved with an increase in the austempering temperature. XRD analysis revealed that the increase in austempering temperature increased the retained austenite content. A decrease in wear resistance with austempering temperature was observed. Modified Quality Index (MQI) values were envisaged, incorporating tensile strength, elongation and wear resistance. MQI for samples austempered at 340 °C and 360 °C showed a better combination of properties. About an 8% reduction in energy consumption was gained when the heat treatment parameters were optimized.

Keywords: austempered ductile iron (ADI); mechanical properties; impact energy; microstructure; wear

1. Introduction

Austempered ductile cast iron (ADI) has gained more attention due to its exceptionally good blend of low cost, high strength-to-weight ratio, toughness, fatigue strength, and wear resistance, as it contains carbon-stabilized austenite, together with acicular ferrite [1]. Its microstructure could be modified through the change in alloying additions and heat treatment parameters [2]. Alloying is carried out to provide required hardenability [3]. During bainitic reactions, formation of carbides is minimized by the silicon content present in the ductile iron. Allowing carbon deposition into the austenitic matrix results in the formation of ferrite with low carbon solubility [4]. Carbon is deposited in austenite until this phase become stable at room temperature [5]. This is called primary reaction (or stage I) when austenite (γ) changes into carbon-stabilized austenite (γ) and ferrite (α). If the

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primary reaction takes place for more time, then a secondary reaction (stage II) starts, wherein γ_{hc} changes into brittle carbide and ferrite, thus bringing down the properties of castings [6].

In recent years, applications of ADI for heavy section castings have been increasing significantly [7]. An increased casting section size results in a decreased cooling rate and hence increases the difficulty of getting the required microstructures. The nodule count also decreases with larger section thicknesses [8]. Imperfections, like micro shrinkage, voids, graphite deterioration, carbide development and alloying elements segregation also form [9]. Thus, to avoid or reduce the elemental segregation, it is necessary to monitor the casting variables, such as treatment of hot metal, inoculation, tapping and pouring temperature, gating and feeding system. Among these variables, heat treatment parameters of austenitizing time and temperature and austempering time and temperature play a significant part in deciding the final microstructure [10,11].

Austenitizing Temperature: An increase in the austenitizing temperature increases its hardenability at the cost of making phase transformation more complicated, which results in reduced mechanical properties [12]. A decrease in the austenitizing temperature mostly makes ADI with the best properties [13].

Austenitizing Time: This is the least time needed to heat the complete section to the required temperature [14]. The chemistry of the metal, the austenitizing temperature and the nodule count influences the austenitizing time.

Austempering Temperature: To make ADI with low strength and hardness, but high elongation and fracture toughness, a high austempering temperature of 350–400 °C is chosen, as this generates higher amounts of carbon-stabilized austenite (20–40%) [15], whereas, when a low austempering temperature of <350 °C is selected, this results in high strength and wear resistance but low fracture toughness [16].

Austempering Time: If the austempering time is short, the final structure exhibits high hardness but low fracture toughness and ductility. If the austempering time is too long, then it promotes the secondary reaction and results in lower strength, ductility and fracture toughness [17]. At the highest austempering temperature ($400\,^{\circ}$ C), just half an hour is sufficient to produce ausferrite; however more time, (roughly 4 h) is needed to produce the best combination of properties at the lowest austempering temperature ($230\,^{\circ}$ C) [18].

The wear resistance of ADI has been studied by various researchers: With a decrease in austempering temperature, due to the formation of oxide film and high carbon martensitic surface structure, the wear resistance increases [19]. The laser-hardened ADI showed better wear resistance than normal ADI because of strain-induced martensite [20]. While investigating the lubricated sliding wear behavior, it was reported that the wear resistance increases with a decrease in the austenitizing temperature and austempering temperature. Lower bainite and low carbon-retained austenite increases wear resistance [21]. The effect of two-step austempering processes on wear resistance was reported as the formation of a duplex structure, namely, lower bainite and high carbon-stabilized austenite increase the wear resistance [22]. Among quenched and tempered ductile iron, the better wear resistance is because of strain-induced martensitic formation and strain hardening of bainitic ferrite in the latter [23]. In comparison with pearlitic grey irons, superior wear resistance in ADI was found and was reported as being due to its graphite structure [24]. In unalloyed ADI, high wear resistance is due to its high carbon ausferritic structure and strain-induced transformation of austenite into martensite [25] and wear resistance is improved by the formation of fine ausferrite with carbide dispersions [26]. It is also reported that wear resistance increases with increases in hardness and the coefficient of friction, because of work hardening and strain-induced martensitic formation [7,27]. Boron addition leads to a decrease in wear resistance, as it results in a reduction of retained austenite available for martensitic formation [28]. Shot peening shows no significant variation [29]. Eight hundred and fifty grade ADI showed a lower resistance, as compared to 1050 grade ADI [30].

The objectives of this study were to identify the optimum austempering temperature that results in enhanced mechanical properties, such as strength and elongation as well as wear resistance, with

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minimum energy consumption. This work also investigated the changes in nodule count, nodularity and the amount of retained austenite with respect to the austempering temperature.

Though there are few studies carried out regarding austempering temperature and time, relating the energy aspects with process parameters has not been attempted. This article calculated the reduction in power consumption when the austempering temperature was reduced from $360 \text{ to } 300 \,^{\circ}\text{C}$. For the first time, a modified quality index incorporating the wear resistance along with tensile strength and elongation is presented in this study.

These ADI trial investigations were carried out in a foundry, which is one of the largest manufacturers of ductile iron and ADI casting components and suppliers of automotive, railways and earth moving equipment in India.

2. Materials and Methods

2.1. Casting of Ductile Iron

With calculated charging materials, the molten metal was prepared in an induction type electric furnace. Ductile iron, in the form of Y-blocks, with the dimensions shown in the Figure 1a, was cast. The section thickness of the Y-block with 20 mm was chosen based on the casting section thickness. The chemical composition was analyzed using a spark type optical emission spectrometer and is given in Table 1.

C Element Si Mn \mathbf{S} P Mg Cu Cr Mo Ni CE 2.54 0.19 0.001 0.25 3.68 0.038 0.045 0.61 0.03 0.82 4.51 Weight (%)

Table 1. Chemistry of Spheroidal Graphite Iron.

2.2. Austempering of Ductile Iron

The austempering heat treatment cycle is shown in Figure 1b. After initial preheating for 10 min at 450 °C to remove oil/dirt from the sample surface in a preheating furnace, the samples were austenitized at 840 °C for 30 min in a sealed austempering furnace. The austenitizing temperature and time were selected from the preliminary experiments on the basis of the minimum level required to completely austenitize the entire specimen. Then, they were subjected to austempering, an isothermal heat treatment technique, in which samples were quenched in a molten salt bath of sodium and potassium nitrate salts. The transfer of samples from an elevated temperature to the quench tank was done within 5 s, to ensure an ausferritic structure. Austempering was done at 4 different temperatures: 300 °C, 320 °C, 340 °C and 360 °C, to study the effect of the austempering temperature on mechanical properties and microstructural evolution. These temperatures were chosen to avoid the formation of bainite and/or martensite at a lower austempering temperature. After austempering, the samples were fed into a hot water washing machine for 30 min to remove the salt on the surface of the samples.

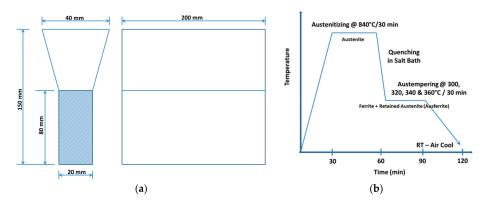


Figure 1. (a) Y-Block Dimensions and (b) Heat Treatment Cycle for ADI.

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2.3. Mechanical Testing and Optical Microscopy

The hardness testing of as-cast and austempered samples was carried out using a Brinell Hardness Tester at a 3000 kg applied load, using a 10 mm diameter steel ball. The tensile testing was carried out in a semi-automated machine. Samples with a 70 mm gauge length (L) and 14 mm gauge diameter (D) were used in the machine. Samples were cut from the lower portion of Y-blocks and tested in the machine. The impact tests were conducted in a Charpy type impact tester. Samples were cut from the Y-blocks; then, the rectangular unnotched samples were tested in the machine, in cold working conditions, in dimensions of 55 mm \times 10 mm, as per the specifications.

Samples for optical microscopic investigation were cut from the head of the tensile test samples. Samples were subjected to rough grinding, polishing, using different grades of emery papers and finally, fine polishing. Then the samples were etched using 2% Nital for a few seconds and microstructural evolutions were investigated using an optical microscopy, coupled with an image analyzer. The images were captured at a magnification of $100\times$ before etching and $400\times$ after etching. In all tests reported in Sections 2.3–2.6, four tests were carried out and the mean value is reported.

2.4. Pin-on-Disc Wear Testing

The wear resistances of samples were evaluated using a pin-on-disc wear testing machine (DUCOM—TR-20LE-CHM-400, Bohemia, NY, USA). The wear disc of 155 mm diameter and 8 mm thickness was fabricated from EN31 grade steel, with a hardness of 60 ± 2 on "C" scale of Rockwell Hardness. The ADI pin samples, with 30 mm length and 6 mm diameter, were tested in the machine. The wear test parameters were chosen as follows: load—50 N, and RPM—500, for a sliding distance of 1 km.

2.5. Scanning Electron Microscopy

For examination under electron microscopy, the samples, after metallographic preparation, were subjected to polishing for 2 min, washed with distilled water and ethanol, followed by 5 min ultrasonic agitation. The samples were stored in a vacuum chamber overnight to remove excess material and dust. Then, the specimens were put in the SEM chamber (SEC—SNE 3200M, SEC Co., Ltd., Suwon, South Korea) and final plasma cleaning was done for 10 min. The secondary electron images were done on etched samples, to investigate the surface morphology, using 30 μ m aperture at 20 kV and a working distance of 8–12 mm.

2.6. X-ray Diffraction Analysis

To quantify the phases present in the alloys, the samples were prepared for X-ray diffraction experiments in an X-Pert PRO PANalytical (Almelo, The Netherlands) goniometer. The samples were cut into small strips of 5 mm thickness and the surface was ground using SiC emery papers, to remove the surface contamination and to ensure flatness. Then, the whole thing was mounted on the specimen holder in the X-ray goniometer, using double sided tape. Specimens were examined with Cu–K α radiation at 30 kV and 10 mA with a step size of 0.02° , 2 s per step, spot size of 5 mm \times 5 mm, start angle of 40° and end angle of 50° . Then, the results were analysed using the direct comparison method, to determine the volume fraction of retained austenite present in the matrix.

3. Results and Discussions

3.1. Microstructure

The as-cast microstructure of ductile iron is shown in Figure 2. The as-cast microstructure mainly consists of pearlite, ferrite and graphite. The nodularity, nodule count and graphite content are also calculated using image analyzer software. Since the amount of pearlite is 64%, it will be designated as

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pearlitic grade of spheroidal graphite iron. As expected, the as-cast structure is like a "bull's eye" in appearance, where dark graphite nodules were encircled by the white ferrite phase.

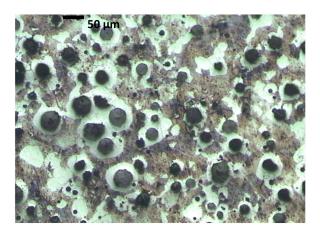


Figure 2. Microstructure of As-Cast Ductile Iron using Optical Microscopy at 100×.

The microstructures, captured at four different austempering temperatures: $300\,^{\circ}$ C, $320\,^{\circ}$ C, $340\,^{\circ}$ C and $360\,^{\circ}$ C are shown in Figure 3a–d, respectively.

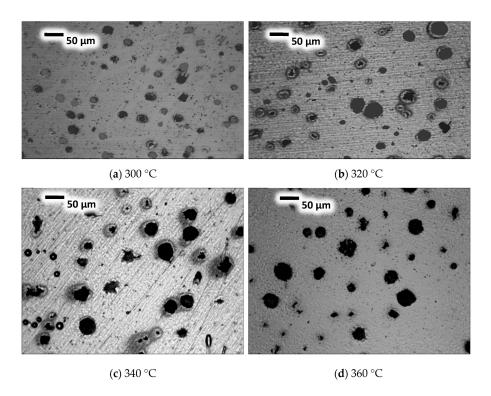


Figure 3. Microstructures at Different Austempering Temperature (**a**) $300 \,^{\circ}$ C, (**b**) $320 \,^{\circ}$ C, (**c**) $340 \,^{\circ}$ C and (**d**) $360 \,^{\circ}$ C using Metallurgical Microscopy at $100 \times$.

The microstructural parameters of ADI, austempered at different temperatures are given below in Figure 4. It is noticed that the nodularity (%) in austempered conditions is almost constant in the as-cast condition and at all austempering temperatures. On the contrary, the nodule count (/mm²) in austempered conditions are drastically reduced, as compared to the as-cast condition, and as austempering temperature increases, the graphite content increases and reaches a peak at 340 °C and then drops.

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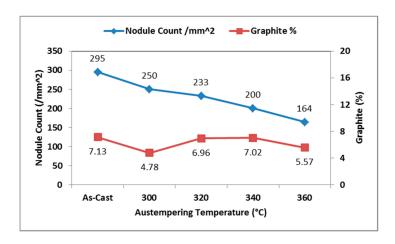


Figure 4. Nodule Count and Graphite Content.

When austempered, the austenite forms at the grain boundary of ferrite and then grows into ferrite by the nucleation and growth processes. Thus, nucleation produces ferritic matrix containing thin layers of carbon-enriched austenite. Further, austenite continues to nucleate at prior ferrite grain boundaries. As austenite becomes enriched and saturated with carbon, difficulty is encountered in the diffusion of carbon ahead of ferrite. Hence the growth of ferrite is arrested. The microstructure of ADI mainly consists of mixture of carbon-stabilized austenite and acicular ferrite. This special product phase of austempering heat treatment is called "Ausferrite". The dark needle-like structures are called acicular ferrite and white regions are called carbon-enriched austenite, as shown in Figure 5. It is also observed that the microstructure becomes coarse with an increase in austempering temperature.

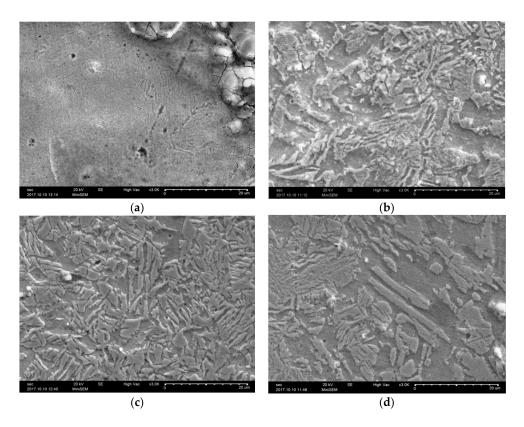


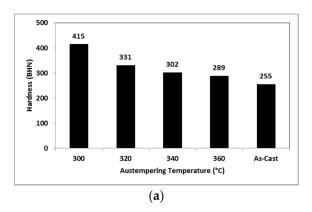
Figure 5. Scanning Electron Microscopic Images of Samples Austempered at Different Temperature. (a) 300 °C; (b) 320 °C; (c) 340 °C; (d) 360 °C.

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3.2. Mechanical Properties

From the micrographs, it is evident that as the austempering temperature increases, from $300\,^{\circ}$ C to $360\,^{\circ}$ C, the microstructure becomes coarser. This could be due to the grain coarsening behavior at higher austempering temperatures and is reflected in the reduction of hardness and strength values, as shown in Figure 6a,b respectively. It was also observed that the increase in austempering temperature increases the retained austenite content, which will directly improve the ductility and impact energy, as shown in Figure 7.

The hardness and strength values are higher at low austempering temperatures, which produces a lower ausferritic microstructure, which is ideal for improvements in hardness, strength, fatigue [31–33] and specific wear. This could be due to lesser development of carbon-enriched austenite. At an elevated austempering temperature, more carbon-stabilized austenite is formed, which results in increased ductility and impact toughness. This is attributed to the increased amount of carbon present in austenite. The increase in the austempering temperature results both in a greater amount of austenite stabilization and carbon enrichment, which is an ideal structure for improving ductility and impact energy.



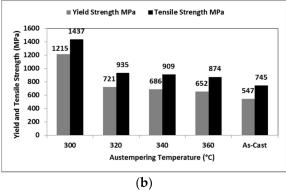


Figure 6. (a) Hardness and (b) Strength.

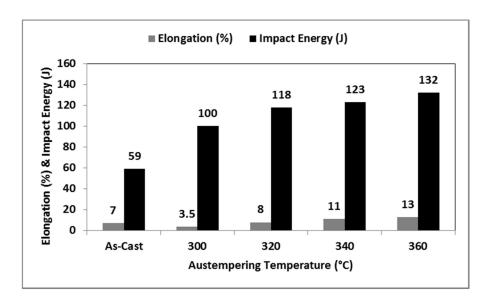


Figure 7. Elongation and Impact Energy.

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3.3. Effect on Retained Austenite

The XRD profiles were analyzed using X-Pert PRO software, (Version 2.2) to find out the peak positions and the intensities of the {111} plane of austenite and {110} plane of ferrite. The ferrite and austenite contents were calculated using the intensities of the above planes and are shown in Figure 8.

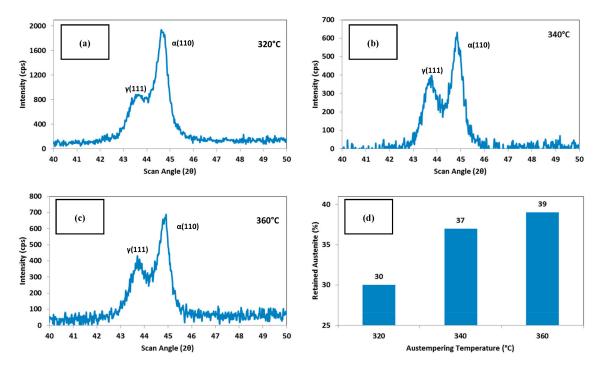


Figure 8. XRD pattern at **(a)** 320 °C, **(b)** 340 °C, **(c)** 360 °C and **(d)** Retained Austenite content.

The correlation between the austenite content and the austempering temperature is shown in Figure 8d. It was observed that the retained austenite increases as the austempering temperature increases. At a lower austempering temperature (320 $^{\circ}$ C), no ausferrite forms—only retained austenite plus lower bainite is present—whereas at higher temperatures, as the ausferrite reaction occurs at the upper bainitic region, the matrix contains reacted austenite only.

3.4. Effect on Specific Wear

The wear resistances (in terms of specific wear) of as-cast and austempered samples at different temperatures are shown in Figure 9f. The pin-on-disc test depicts the wear of a component that would have arisen after traversing a distance of nearly 1 km, and is plotted. The readings were read after a lapse of about 300 s, when a plateau was reached and that value was taken and plotted in Figure 9f. From the figure, it is obvious that the wear increases with an increase in the austempering temperature. At lower austempering temperatures, wear loss is low, due to the fine lower ausferritic structure. At elevated austempering temperatures, wear loss is high, due to the presence of coarse upper ausferrite. The wear loss of as-cast samples is higher than austempered samples, because of the softer ferritic and pearlitic structure. There is a drastic decrease in wear resistance when the austempering temperature is changed from 320 °C to 340 °C, which could be due to the formation of a greater amount of retained austenite—which is a softer phase—at 340 °C when compared with 320 °C, as shown in Figure 8d.

3.5. Quality Index

To determine the performance of any ductile iron, a parameter called the quality index (QI), which is a dimensionless number, was introduced, using both tensile strength and elongation [34]. Since

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ADI is used in wear resistant applications, such as railways and impeller earth moving components, the quality index equation was modified by the current authors to include wear resistance, and is given below:

$$Modified\ Quality\ Index\ (QI) = \ \frac{(UTS\ in\ ksi)^2*(\%\ Elongation\ +\ Normalised\ Wear\ Resistance)}{1000} \tag{1}$$

The modified quality index has been chosen in such a way that it retains the contributions of ultimate tensile strength (UTS) and elongation to the same extent and includes the contribution of wear resistance. The use of the modified quality index will be a valuable tool in the selection of grades for components, to arrive at optimum combinations of properties.

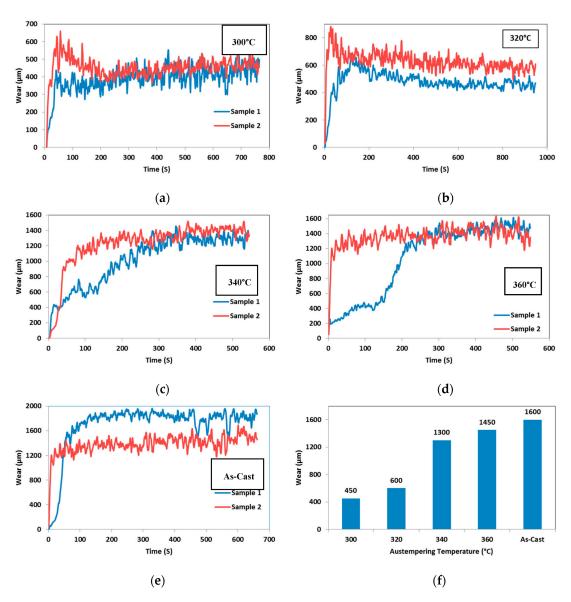


Figure 9. Specific Wear at **(a)** 300 °C, **(b)** 320 °C, **(c)** 340 °C, **(d)** 360 °C, **(e)** As-Cast and **(f)** Plot of wear against austempering temperature.

From the graph in Figure 10, it is obvious that the quality index value changes with an increase in the austempering temperature: the higher the index, the better the strength as well as wear resistance properties. Even though the sample austempered at $300\,^{\circ}\text{C}$ showed a higher quality index, the ductility, impact strength and toughness are very low compared with other conditions.

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The specimen austempered at 340 °C showed a better combination of tensile strength, elongation and wear resistance.

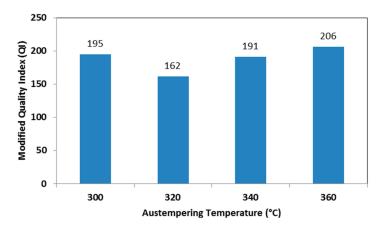


Figure 10. Modified Quality Index.

3.6. Energy Consumption

Since our study has shown that the required properties are achieved at a lower austenitizing temperature and time of 840 °C and 30 min, respectively as well as at a lower austempering temperature and time of 340 °C and 30 min, this gives about an 8% saving in energy consumption as compared to higher austempering times and higher austempering temperatures. This leads to less total energy cost and also less greenhouse gas emissions, thereby promoting green manufacturing. This energy investigation is only for one particular specific component in this industry and a detailed work is planned in the future.

4. Conclusions

- The as-cast structure of nodular iron mainly consists of pearlite and ferrite with the presence of dark graphite nodules encircled by white ferrite phase, appearing like a "bull's eye". Since the pearlite content is higher than the ferrite content, it is considered a pearlitic grade of spheroidal graphite iron. ADI microstructures of all samples austempered at 300–360 °C consist of acicular ferrite and carbon-enriched austenite, called "ausferrite".
- The nodule count of ADI decreases and the nodule size increases with an increase in the austempering temperature.
- Decreases in hardness and strength were found when there was an increase in austempering temperature, from 300 to 360 °C. This could be due to the coarsening of the microstructure at elevated temperatures, which is observed in optical and scanning electron microscopic images.
- With an increase in austempering temperature from 300 to 360 °C, elongation as well as impact toughness gradually increases. This is because an increased amount of austenite is stabilized/enriched due to carbon dissolution at a higher temperature and the same is confirmed by XRD analysis.
- The increase in austempering temperature resulted in increased specific wear. The change in wear is very high between 320 $^{\circ}$ C and 340 $^{\circ}$ C.
- A modified quality index was envisaged, incorporating tensile strength, elongation and wear
 resistance and it will be useful for practicing engineers in selection of components higher the
 index, the better the strength as well as wear resistance properties. The samples austempered at
 340 °C and 360 °C showed better values.
- About an 8% reduction in energy consumption is gained when the heat treatment parameters are optimized.

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Conflicts of Interest: The authors declare no conflict of interest.

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