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# A New Proof for a Result on the Inclusion Chromatic Index of Subcubic Graphs

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**Abstract:** Let  $G$  be a graph with a minimum degree  $\delta$  of at least two. The inclusion chromatic index of  $G$ , denoted by  $\chi'_C(G)$ , is the minimum number of colors needed to properly color the edges of  $G$  so that the set of colors incident with any vertex is not contained in the set of colors incident to any of its neighbors. We prove that every connected subcubic graph  $G$  with  $\delta(G) \geq 2$  either has an inclusion chromatic index of at most six, or  $G$  is isomorphic to  $K_{2,3}$ , where its inclusion chromatic index is seven.

**Keywords:** inclusion-free edge coloring; subcubic; adjacent-vertex-distinguishing edge coloring

## 1. Introduction

Graph coloring is an abstraction for partitioning a set of binary-related objects into subsets of independent objects; it has many practical applications [1]. The chromatic index and chromatic polynomials are two important parameters in graph theory. There are also many chemical applications to the chromatic index and chromatic polynomials; see ([2–8]). In this paper, we will study an edge coloring: inclusion-free edge coloring. Graphs in this article are assumed to be simple and undirected. Let  $G$  be a graph with minimum degree  $\delta \geq 2$  and let  $\phi$  be a proper edge coloring of  $G$ . For every  $v \in V(G)$ , the *palette* of  $v$  is defined to be

$$S_\phi(v) = \{\phi(e) \mid e \text{ is incident to } v\}.$$

The *inclusion-free edge coloring*, recently introduced by Przybyłło and Kwaśny [9], is a proper edge coloring  $\phi$  of  $G$  such that for every  $uv \in E(G)$ , neither  $S_\phi(u) \subseteq S_\phi(v)$  nor  $S_\phi(v) \subseteq S_\phi(u)$ . The requirement of  $\delta \geq 2$  is necessary since the palette of a degree-1 vertex is always a subset of the palette of its unique neighbor. The minimum number of colors required in an inclusion-free edge coloring of  $G$  is called the *inclusion chromatic index* and is denoted by  $\chi'_C(G)$ .

Actually, the concept of the inclusion-free edge coloring was first introduced by Zhang [10], where it was named as Smarandachely adjacent vertex edge coloring. Then, Gu et al. [11] also investigated the topic and named the coloring as strict neighbor-distinguishing edge coloring. Although their names are different, they were all introduced to strengthen the adjacent-vertex-distinguishing edge coloring, or for short, AVD-edge coloring. An *AVD-edge coloring* of  $G$  is a proper edge coloring  $\phi$  such that for every  $uv \in E(G)$ ,  $S_\phi(u) \neq S_\phi(v)$ ; the minimum number of colors needed in an AVD-edge coloring is called the *AVD chromatic index*, denoted by  $\chi'_a(G)$ . Clearly a graph  $G$  has an AVD-edge coloring if and only if  $G$  contains no isolated edges. Note that for a regular graph  $G$ , the palettes of any two vertices are different if and only if neither is contained in the other; hence,  $\chi'_a(G) = \chi'_C(G)$ .

The AVD-edge coloring has attracted the attention of several groups of graph theorists. It was conjectured by Zhang et al. [12] that  $\chi'_a(G) \leq \Delta + 2$  for any connected graph  $G$  with  $|V(G)| \geq 3$  that is not the cycle  $C_5$ . Balister et al. [13] proved that the conjecture holds for the class of bipartite graphs and for the class of subcubic graphs; they also showed



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that in general,  $\chi'_a(G) \leq \Delta + O(\log \chi(G))$  where  $\chi(G)$  is the chromatic number of  $G$ . More recently, Hatami [14] showed that  $\chi'_a(G) \leq \Delta + 300$ .

Despite the similarity between the two invariant  $\chi'_a(G)$  and  $\chi'_c(G)$ , the upper bound for  $\chi'_c(G)$  seems to be much larger than that of  $\chi'_a(G)$ . Przybyłło and Kwaśny [9] showed that if  $G$  is a complete bipartite graph, then  $\chi'_c(G) = (1 + \frac{1}{\delta-1})\Delta$ , where  $\delta$  is the minimum degree of  $G$ . By using a greedy coloring scheme, they showed that in general  $\chi'_c(G) \leq 3\Delta - 1$  where  $\Delta$  is the maximum degree of  $G$ . They made the following conjecture:

**Conjecture 1.** *Let  $G$  be a connected graph with minimum degree  $\delta \geq 2$  and maximum degree  $\Delta$  that is not isomorphic to  $C_5$ . Then*

$$\chi'_c(G) \leq \lceil (1 + \frac{1}{\delta-1})\Delta \rceil.$$

Using a probabilistic approach, Przybyłło and Kwaśny [9] proved the following upper bound for  $\chi'_c(G)$ , which is not as strong as the conjectured bound in Conjecture 1.

**Theorem 1.** *If  $G$  is a graph with minimum degree  $\delta \geq 2$  and maximum degree  $\Delta$ , then*

$$\chi'_c(G) \leq (1 + \frac{4}{\delta})\Delta + O(\Delta^{\frac{2}{3}} \log^4 \Delta).$$

It turns out that there exists a class of exceptional graphs to Conjecture 1 in the case of  $\delta = 2$ : for  $\Delta \geq 3$ , let  $\hat{K}_{2,\Delta}$  be the graph obtained from the complete bipartite graph  $K_{2,\Delta}$  by subdividing an edge exactly once; see Figure 1. It is easy to check that no two edges of  $\hat{K}_{2,\Delta}$  can receive the same color in an inclusion-free edge coloring; hence,  $\chi'_c(\hat{K}_{2,\Delta}) = 2\Delta + 1$ , which is the number of edges of  $\hat{K}_{2,\Delta}$ .

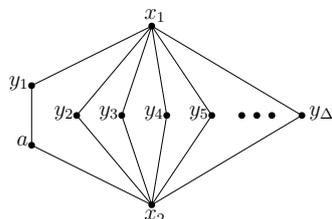


Figure 1. The graph  $\hat{K}_{2,\Delta}$ .

We strongly believe that  $\hat{K}_{2,\Delta}$  may be the only exception to Conjecture 1. So Conjecture 1 needs to be slightly modified by adding the condition that  $G$  is not isomorphic to  $\hat{K}_{2,\Delta}$ . Gu et al. [11] confirmed the modified conjecture for the class of subcubic graphs. A graph  $G$  is *formal* if  $\delta(G) \geq 2$ . They proved the following result:

**Theorem 2.** *Let  $G$  be a connected formal subcubic graph. Then  $\chi'_c(G) \leq 7$ , and moreover,  $\chi'_c(G) = 7$  if and only if  $G$  is isomorphic to the graph  $\hat{K}_{2,3}$ .*

They proved the result by contradiction. Let  $G$  be a counterexample with a minimal number of edges, by establishing a series of auxiliary claims, they showed that  $G$  does not contain a 2-vertex adjacent to two 2-vertices, and any 3-vertex of  $G$  cannot be adjacent to a 2-vertex, that is,  $G$  must be 3-regular, and hence,  $\chi'_c(G) \leq 5$ , a contradiction.

In this paper, we will give a shorter proof of this theorem. We also prove the result by contradiction. First, we establish a lemma for forbidden colors and use it to exclude some structures. We also show that  $G$  does not contain a 2-vertex adjacent to two 2-vertices, i.e.,  $G$  contains no  $k$ -thread with  $k \geq 3$ , and  $G$  does not contain a 3-cycle with one 2-vertex, and a 4-cycle with two non-adjacent 2-vertices. Then, we show that if  $G$  contains a 1-thread or 2-thread, it must be isomorphic to  $\hat{K}_{2,3}$ .

### 2. Proof of the Main Result

Let  $G$  be a connected subcubic graph with  $\delta(G) = 2$ . Suppose that  $\chi'_C(G) \geq 7$ . We pick a graph  $G$  such that  $|V(G)| + |E(G)|$  is as small as possible. By a *good coloring*, we mean an inclusion-free edge coloring using at most six colors. If  $G$  and  $H$  are two graphs with  $|E(H)| + |V(H)| < |E(G)| + |V(G)|$ , we will say that  $H$  is *smaller* than  $G$ . We will show that  $G$  is isomorphic to  $K_{2,3}$ .

Let  $C = \{1, 2, 3, 4, 5, 6\}$  be a set of six colors. Suppose that  $\phi$  is a good coloring of a proper subgraph  $G'$  of  $G$  using colors from  $C$ . Let  $e = uv$  be an edge in  $E(G) \setminus E(G')$ . We denote by  $A_\phi(e)$  the set of colors that are available for  $e$ . To color  $e$ , one cannot use a color from  $S_\phi(u)$ ; moreover, for each neighbor  $v'$  of  $u$  other than  $v$ , if by assigning a color  $\alpha$  to  $e$ , we would have either  $S_\phi(u) \subseteq S_\phi(v')$  or  $S_\phi(v') \subseteq S_\phi(u)$ , then the color  $\alpha$  cannot be used for  $e$ . We call these two types of colors *the forbidden colors of  $e$  by the vertex  $u$* , denoted by  $F_\phi(e, u)$ . It follows that  $A_\phi(e) = C \setminus (F_\phi(e, u) \cup F_\phi(e, v))$ .

For simplicity, we use  $k$ -vertex to denote a vertex with degree  $k$ . Similarly, by  $k$ -neighbor of a vertex  $u$ , we mean a neighbor of  $u$  that has degree  $k$ .

**Lemma 1.** *Suppose that  $G'$  is a proper subgraph of  $G$  with  $\delta(G') = 2$  and that  $\phi$  is a good coloring of  $G'$ . Let  $e = uv$  be an edge in  $E(G) \setminus E(G')$ , where  $u$  is a 2-vertex of  $G'$ . Then*

- $|F_\phi(e, u)| = 2$  if both neighbors of  $u$  in  $G'$  are 3-vertices;
- $|F_\phi(e, u)| = 3$  if exactly one neighbor of  $u$  in  $G'$  is a 3-vertex;
- $|F_\phi(e, u)| \leq 4$  if both neighbors of  $u$  in  $G'$  are 2-vertices.

**Proof.** Let  $v'$  and  $v''$  be the two neighbors of  $u$  in  $G'$ . Since  $\phi$  is a good coloring of  $G'$ ,  $\phi(uv'') \notin S_\phi(v')$ . Therefore, no matter what color we assign to the edge  $uv$ , we will have that  $S_\phi(u) \not\subseteq S_\phi(v')$ . Now if  $v'$  is a 3-vertex of  $G'$ , then the only color in  $S_\phi(v')$  that is forbidden for  $e$  is  $\phi(uv')$ ; while if  $v'$  is a 2-vertex of  $G'$ , then neither color in  $S_\phi(v')$  can be used for  $e$  since we require  $S_\phi(v') \not\subseteq S_\phi(u)$ . By symmetry, the same holds for  $v''$ . So Lemma 1 follows immediately. (Note that we may have that  $|F_\phi(e, u)| = 3$  in the case of  $d_{G'}(v') = d_{G'}(v'') = 2$ : this happens when  $S_\phi(v') \cap S_\phi(v'') \neq \emptyset$ .)  $\square$

Actually, Lemma 1 can be extended to more general situations: let  $G$  be a connected graph with  $\delta(G) \geq 2$ . Suppose that  $G'$  is a proper subgraph of  $G$  with  $\delta(G') \geq 2$  and that  $\phi$  is an inclusion-free edge coloring of  $G'$ . Let  $e = uv$  be an edge in  $E(G) \setminus E(G')$ . Then  $|F_\phi(e, u)| \leq d_u + N_u$ , where  $d_u$  is the degree of  $u$  in  $G'$ , and  $N_u$  is the number of neighbors of  $u$  in  $G'$  with degree no more than  $d_u$ .

For integer  $k \geq 0$ , a  $k$ -thread of  $G$  is a path  $P = v_0v_1v_2 \cdots v_{k+1}$  of length  $k + 1$  such that both  $v_0$  and  $v_{k+1}$  are 3-vertices, and each of  $v_1, v_2, \dots, v_k$  is a 2-vertex. So a 0-thread is an edge that is incident to two 3-vertices. A  $k$ -thread  $P$  is called *separating* if deleting all the internal vertices in  $P$  yields a disconnected subgraph of  $G$ .

**Lemma 2.**  *$G$  contains no separating  $k$ -thread for  $k \geq 0$ .*

**Proof.** Let  $P = v_0v_1v_2 \cdots v_{k+1}$  be a separating  $k$ -thread and let  $G'$  be the subgraph of  $G$  obtained by deleting all the internal vertices in  $P$ . Since  $G'$  is disconnected, we assume that  $G_1$  and  $G_2$  are the two components of  $G'$  with  $v_0 \in V(G_1)$  and  $v_{k+1} \in V(G_2)$ . Since  $G'$  is a proper subgraph of  $G$ ,  $G'$  has a good coloring  $\phi$ . We will extend  $\phi$  to  $G$  by assigning colors to the edges on the thread  $P$ .

First we assume that  $k \geq 1$ . By permuting colors in  $G_1$  if necessary, we may assume that  $S_\phi(v_0) = S_\phi(v_{k+1})$ . Clearly  $v_0$  is a 2-vertex in  $G'$ . By Lemma 1,  $|F_\phi(v_0v_1, v_0)| \leq 4$ , and hence,  $|A_\phi(v_0v_1)| \geq 2$ . By symmetry,  $|A_\phi(v_kv_{k+1})| \geq 2$ . So we may assign distinct colors to  $v_0v_1$  and  $v_kv_{k+1}$ , then color all other edges on the thread one by one in the following order:  $v_1v_2, v_2v_3, \dots, v_{k-1}v_k$ . Note that in each step, the edge to be colored forbids at most five colors, and hence, it has at least one color available. So we obtain a good coloring of  $G$ .

Next assume that  $k = 0$ , i.e.,  $G$  has a cut edge that is incident to two 3-vertices. Then we can permute colors in  $G_1$  so that  $F_\phi(v_0v_1, v_1) \subseteq F_\phi(v_0v_1, v_0)$  if  $|F_\phi(v_0v_1, v_1)| \leq |F_\phi(v_0v_1, v_0)|$  or  $F_\phi(v_0v_1, v_0) \subseteq F_\phi(v_0v_1, v_1)$  otherwise; hence, there are at least two colors available for  $v_0v_1$  and it can be colored.

In each case, we obtain a good coloring of  $G$ , contrary to our assumption. Therefore,  $G$  contains no separating  $k$ -thread for  $k \geq 0$ .  $\square$

For general case, suppose that  $G$  is a connected graph with  $\delta(G) \geq 2$ ,  $P = v_0v_1v_2 \cdots v_{k+1}$  is a separating  $k$ -thread in  $G$ . Let  $G'$  be the graph obtained by deleting all the internal vertices of  $P$ , and  $G_1, G_2$  be the two components of  $G'$ . By the similar proof as Lemma 2, we have  $\chi'_C(G) \leq \max\{\chi'_C(G_1), \chi'_C(G_2), |F_\phi(v_0v_1, v_0)|, |F_\phi(v_kv_{k+1}, v_{k+1})|\} + 3$ . Since  $|F_\phi(e, u)| \leq d_u + N_u \leq 2d_u$ ,  $\chi'_C(G) \leq \max\{\chi'_C(G_1), \chi'_C(G_2), 2d_{v_0}, 2d_{v_{k+1}}\} + 3$ .

**Lemma 3.** *Let  $G'$  be a subgraph of  $G$  with  $\delta(G') \geq 2$ . Suppose that  $P = v_0v_1v_2 \cdots v_{k+1}$  is a  $k$ -thread in  $G'$  with  $k \geq 3$ , then  $G'$  has a good coloring  $\phi$  such that  $\phi(v_0v_1) = \phi(v_kv_{k+1})$ . In particular,  $G$  contains no  $k$ -thread with  $k \geq 3$ .*

**Proof.** First assume that  $v_0$  is not adjacent to  $v_{k+1}$ . Then let  $G''$  be the graph obtained by adding the edge  $v_0v_{k+1}$  to  $G' \setminus \{v_1, v_2, \dots, v_k\}$ . Clearly  $\delta(G'') \geq 2$ . So  $G''$  has a good coloring  $\phi'$ . We can construct a good coloring  $\phi$  of  $G'$  as follows:  $\phi(v_0v_1) = \phi(v_kv_{k+1}) = \phi'(v_0v_{k+1})$ ;  $\phi(e) = \phi'(e)$  for all  $e \in E(G') \cap E(G'')$ . We color the remaining edges in the following order:  $v_1v_2, v_2v_3, \dots, v_{k-1}v_k$ . Since  $k \geq 3$ , at each step, the edge to be colored forbids at most five colors. Therefore, all edges of  $P$  can be colored and we obtain a good coloring  $\phi$  of  $G'$  with  $\phi(v_0v_1) = \phi(v_kv_{k+1})$ .

Next assume that  $v_0$  is adjacent to  $v_{k+1}$ . Let  $G'' = G' \setminus \{v_1, v_2, \dots, v_k\}$  and let  $\phi$  be a good coloring of  $G''$ . Then each of  $A_\phi(v_0v_1)$  and  $A_\phi(v_kv_{k+1})$  has size at least three. Since  $\phi(v_0v_{k+1}) \notin A_\phi(v_0v_1) \cup A_\phi(v_kv_{k+1})$ . We have that  $A_\phi(v_0v_1) \cap A_\phi(v_kv_{k+1}) \neq \emptyset$ . We may pick  $\alpha \in A_\phi(v_0v_1) \cap A_\phi(v_kv_{k+1})$  and assign it to  $v_0v_1$  and  $v_kv_{k+1}$ . Similar as above, the remaining edges of  $P$  can be colored in the order:  $v_1v_2, v_2v_3, \dots, v_{k-1}v_k$ . Therefore,  $G'$  has a good coloring  $\phi$  such that  $\phi(v_0v_1) = \phi(v_kv_{k+1})$ .

In particular, if  $G' = G$ , and  $G$  has a  $k$ -thread with  $k \geq 3$ , then  $G$  has good coloring  $\phi$ , contrary to our assumption. Hence,  $G$  contains no  $k$ -thread with  $k \geq 3$ .  $\square$

Lemma 3 can also be extended to more general situations: let  $G$  be a connected graph with  $\delta(G) \geq 2$ , and  $H$  be a graph obtained from  $G$  by subdividing an edge with at least 3 vertices, then  $\chi'_C(H) \leq \max\{\chi'_C(G), 6\}$ .

**Lemma 4.** *Let  $P$  be a 1- or 2-thread in  $G$ . Then the two 3-vertices on  $P$  are not adjacent to each other.*

**Proof.** Suppose that  $P = uvv$  is a 1-thread in  $G$  where  $u$  is adjacent to  $v$ . Let  $u'$  (resp.  $v'$ ) be the neighbor of  $u$  (resp.  $v$ ) not on  $P$ . Note that  $G' = G \setminus w$  is a subcubic graph with minimum degree 2. By our assumption on  $G$ ,  $G'$  has good coloring  $\phi$ . Since  $d_{G'}(u) = d_{G'}(v) = 2$ ,  $\phi(uv) \notin S_\phi(u')$  and  $\phi(uv) \notin S_\phi(v')$ . It is easy to see that if  $u'$  is a 3-vertex,  $|A_\phi(uw)| \geq 3$  and if  $u'$  is a 2-vertex,  $|A_\phi(uw)| \geq 2$ . By symmetry,  $|A_\phi(vw)| \geq 2$ . So we can assign two distinct colors to  $uw$  and  $vw$  to obtain a good coloring of  $G$ , a contradiction.

The case when  $P$  is a 2-thread can be proved in a similar manner.  $\square$

**Lemma 5.** *Let  $uvxyu$  be a 4-cycle of  $G$ . If  $d_G(u) = d_G(x) = 3$  and  $d_G(v) = d_G(y) = 2$ , then  $G$  is isomorphic to  $\hat{K}_{2,3}$ .*

**Proof.** Let  $u'$  ( resp.  $x'$ ) be the neighbor of  $u$  (resp.  $x$ ) other than  $v$  and  $y$ .

First we assume that  $u' = x'$ . In this case, if  $d_G(u') = 2$ , then  $G \cong K_{2,3}$ , contrary to our assumption that  $\chi'_C(G) \geq 7$ . If  $d_G(u') = 3$ , let  $w$  be the neighbor of  $u'$  other than  $u, x$ , then the edge  $u'w$  lies in a separating  $k$ -thread with  $k \geq 0$ , contrary to Lemma 2. Hence,  $u' \neq x'$ .

Let  $\phi$  be a good coloring of  $G' = G \setminus v$ . Then  $A_\phi(uv) = C \setminus (F_\phi(uv, u) \cup S_\phi(x))$ . So if one of  $u'$  and  $x'$  is a 3-vertex, then by Lemma 1, one of  $uv$  and  $vx$  has at least two colors available and the other one has at least one color available. So they can both be colored. Therefore,  $d_G(x') = d_G(u') = 2$ .

Note that if  $u'$  is adjacent to  $x'$ , then  $G \cong \hat{K}_{2,3}$ . So we may assume that  $u'$  is not adjacent to  $x'$ . Let  $G'$  be the graph obtained by adding the edge  $u'x'$  in  $G \setminus \{u, v, x, y\}$ . Clearly  $\delta(G') \geq 2$ . So  $G'$  has a good coloring  $\phi'$ . Since  $d_{G'}(u') = d_{G'}(x') = 2$ , the edges  $u'u'', u'x', x'x''$  receive different colors, where  $u''$  (resp.  $x''$ ) be the neighbor of  $u'$  (resp.  $x'$ ). We may assume that  $\phi(u'u'') = 1, \phi(u'x') = 2, \phi(x'x'') = 3$ , then we color the edges  $uu', uv, uy, vx, xy, xx'$  as follows:  $\phi(uu') = \phi(xx') = 2, \phi(uv) = 3, \phi(vx) = 4, \phi(xy) = 5, \phi(uy) = 6$ . It is easy to see that this coloring is a good coloring of  $G$ , contrary to our assumption.  $\square$

Recall that Balister et al. [13] showed that a 3-regular graph has an AVD chromatic index of at most 5. Since the inclusion chromatic index is the same as the AVD chromatic index for regular graphs, every 3-regular graph has an inclusion chromatic index of at most 5. Since  $\chi'_C(G) \geq 7$  by our assumption,  $G$  must have at least one 2-vertex. By Lemma 3,  $G$  contains either a 1-thread or a 2-thread. Let  $P$  be a  $k$ -thread with  $k = 1$  or  $2$ , and let  $G'$  be the graph obtained from  $G$  by deleting all internal vertices of  $P$ . By Lemma 2,  $G'$  is connected. Clearly,  $G'$  is a subcubic graph with minimum degree 2 and is smaller than  $G$ . By our assumption on  $G$ ,  $G'$  has a good coloring  $\phi$ . We will extend  $\phi$  to a good coloring of  $G$  by assigning appropriate colors for all edges on the thread  $P$ .

**Lemma 6.** *If  $P$  is a 2-thread in  $G$ , then  $G$  is isomorphic to  $\hat{K}_{2,3}$ .*

**Proof.** Suppose that  $P = uu'v'v$  is a 2-thread where  $d_G(u') = d_G(v') = 2$  and  $d_G(u) = d_G(v) = 3$ . By Lemma 2,  $u \neq v$ , and by Lemma 4,  $u$  is not adjacent to  $v$ . Let  $u_1$  and  $u_2$  be the neighbors of  $u$  other than  $u'$  and let  $v_1$  and  $v_2$  be the neighbors of  $v$  other than  $v'$ .

Note that the edge  $uu'$  can be colored by any color not in  $F_\phi(uu', u)$ . By Lemma 1,  $|A_\phi(uu')| \geq 2$ ; by symmetry,  $|A_\phi(vv')| \geq 2$ . The edge  $u'v'$  can be colored by any color not in  $S_\phi(u) \cup S_\phi(v)$ , so  $|A_\phi(u'v')| \geq 2$ .

Assume that there exists a 3-vertex in  $\{u_1, u_2, v_1, v_2\}$ , say  $u_1$ . Then by Lemma 1, the edge  $uu'$  forbids at most three colors, and hence, the edges on  $P$  can be colored in the order of  $vv', u'v'$ , and  $uu'$ . We obtain a good coloring of  $G$ , a contradiction.

Therefore, we have that  $d_G(u_1) = d_G(u_2) = d_G(v_1) = d_G(v_2) = 2$ . Note that if  $\{u_1, u_2\} = \{v_1, v_2\}$ , then  $G$  is isomorphic to  $\hat{K}_{2,3}$ . So we may assume that  $|\{u_1, u_2\} \cap \{v_1, v_2\}| \leq 1$ .

Case 1:  $|\{u_1, u_2\} \cap \{v_1, v_2\}| = 1$ .

By symmetry, assume that  $u_1 = v_1$ . Then,  $uu'v'vu_1u$  form a 5-cycle, call it  $C_1$ . Let  $G''$  be the graph obtained from  $G \setminus \{u', v', u_1\}$  by identifying  $u$  and  $v$ . Let  $w$  be the new identified vertex, and let  $u'_2$  (resp  $v'_2$ ) be the neighbor of  $u_2$  in  $G''$  other than  $w$ . Clearly,  $G''$  is a subcubic graph with minimum degree 2 and is smaller than  $G$ . So  $G''$  has a good coloring  $\psi'$ . We extend  $\psi'$  to a good coloring  $\psi$  of  $G$  as follows: let  $\psi(uu_2) = \psi'(wu_2)$ ,  $\psi(vv_2) = \psi'(wv_2)$ , and  $\psi(e) = \psi'(e)$  for  $e \in E(G) \cap E(G'')$ . Now we need to assign colors to edges on  $C_1$ : Since  $\psi'$  is a good coloring of  $G''$ , among the four edges  $uu_2, u_2u'_2, vv_2$  and  $v_2v'_2$ , only  $u_2u'_2$  and  $v_2v'_2$  may share a same color. So we may assume that  $\psi(uu_2) = 1, \psi(vv_2) = 2, \psi(u_2u'_2) = 3$ , and  $\psi(v_2v'_2) = 3$  or  $4$ . In both cases, we will set  $\psi(uu') = 2, \psi(vv') = 1, \psi(uu_1) = 4, \psi(vv_1) = 5$ , and  $\psi(u'v') = 6$ . It is easy to check that  $\psi$  is a good coloring of  $G$ , a contradiction.

Case 2:  $N(u) \cap N(v) = \emptyset$ .

For  $x \in \{u, v\}$  and  $i \in \{1, 2\}$ , let  $x'_i$  be the neighbor of  $x_i$  other than  $x$ . By Lemmas 5 and 2,  $u'_1 \neq u'_2$  and  $v'_1 \neq v'_2$ . If  $u'$  is adjacent to  $u'_2$ , then by Lemma 2, one of them must have degree 3, say  $d_G(u'_1) = 3$ . We claim that  $d_G(u'_2) = 3$ , as, otherwise, this can be reduced to Case 1 by choosing the 2-thread  $uu_2u'_2u'_1$  to begin with. By Lemma 3, we may choose

$\phi$  such that  $\phi(u_1u'_1) = \phi(u_2u'_2)$ . Note that the edge  $uu'$  can be assigned any color not in  $S_\phi(u_1) \cup S_\phi(u_2)$ ; so  $|A_\phi(uu')| \geq 3$ . Similarly,  $|A_\phi(u'v')| \geq 2$  and  $|A_\phi(vv')| \geq 2$ . So the edges  $vv'$ ,  $u'v'$ , and  $uu'$  can be colored in that order.  $\square$

**Lemma 7.** *Let  $uwvu_1u$  be a 4-cycle of  $G$  with  $d(u) = d(v) = d(u_1) = 3$  and  $d(w) = 2$ , and let  $u_2$  (resp.  $v_2$ ) be the neighbor of  $u$  (resp.  $v$ ) other than  $w$  and  $u_1$ . If  $d(u_2) = d(v_2) = 2$ , then the graph  $G' = G \setminus w$  has a good coloring  $\phi$  so that  $\phi(uu_2) = \phi(vv_2)$ .*

**Proof.** Let  $u'_1$  be the neighbor of  $u_1$  other than  $u$  and  $v$  and let  $u'_2$  (resp.  $v'_2$ ) be the neighbor of  $u_2$  (resp.  $v_2$ ) other than  $u$  (resp.  $v$ ). By Lemma 2,  $u_2v_2 \notin E(G)$ . Since  $G'$  is a subcubic graph with minimum degree 2 and is smaller than  $G$ ,  $G'$  has a good coloring  $\phi$ . Now we remove the colors on the edges  $u_2u'_2, uu_2, uu_1, vu_1, vv_2$ , and  $v_2v'_2$ . Then  $|A_\phi(uu_2)| \geq 3$ , and  $|A_\phi(vv_2)| \geq 3$ . Note that  $|A_\phi(uu_2) \cup A_\phi(vv_2)| \leq 5$  since  $\phi(u_1u'_1) \notin A_\phi(uu_2) \cup A_\phi(vv_2)$ . So  $A_\phi(uu_2) \cap A_\phi(vv_2) \neq \emptyset$ . Choose a color  $\alpha \in A_\phi(uu_2) \cap A_\phi(vv_2)$  and assign it to edges  $uu_2$  and  $vv_2$ .

If either  $u'_2 = v'_2$  or  $u'_2$  is adjacent to  $v'_2$ , then each of  $u_2u'_2$  and  $v_2v'_2$  has at least two colors available. So we will color them using different colors. Now each of  $uu_1$  and  $vu_1$  has at least two colors available, so they can be colored as well. So we may assume that neither  $u'_2 = v'_2$  nor  $u'_2$  is adjacent to  $v'_2$ , and hence,  $u_2u'_2$  and  $v_2v'_2$  may receive the same color.

Now we have that  $|A_\phi(u_2u'_2)| \geq 1$ ,  $|A_\phi(uu_1)| \geq 3$ , and  $|A_\phi(vu_1)| \geq 3$  and  $|A_\phi(v_2v'_2)| \geq 1$ . We then color  $u_2u'_2$  and  $v_2v'_2$  independently. The edge  $uu_1$  (resp.  $vv_1$ ) may only lose the color assigned to  $u_2u'_2$  (resp.  $v_2v'_2$ ). So both  $uu_1$  and  $vv_1$  still have at least two colors available, and hence, they can be colored.  $\square$

Finally we consider the case that  $P$  is a 1-thread.

**Lemma 8.** *If  $P$  is a 1-thread in  $G$ , then  $G$  is isomorphic to  $\hat{K}_{2,3}$ .*

**Proof.** Let  $P = uvw$ . Then by Lemma 4,  $u$  is not adjacent to  $v$ . Let  $u_1, u_2$  be the neighbors of  $u$  other than  $w$ , and let  $v_1, v_2$  be the neighbors of  $v$  other than  $w$ . We consider the following three cases.

Case 1:  $\{u_1, u_2\} = \{v_1, v_2\}$ .

Assume that  $u_1 = v_1$  and  $u_2 = v_2$ . By Lemma 5, neither  $u_1$  nor  $u_2$  is a 2-vertex. So  $d_G(u_1) = d_G(u_2) = 3$ . By Lemma 1, each of  $uw$  and  $vw$  forbids at most four colors. So they both can be colored.

Case 2:  $|\{u_1, u_2\} \cap \{v_1, v_2\}| = 1$

Suppose that  $u_1 = v_1$  and  $u_2 \neq v_2$ . By Lemma 5,  $d_G(u_1) = 3$ . Note that the edge  $uw$  can be assigned any color not in  $F_\phi(uw, u) \cup S_\phi(v)$  and the edge  $vw$  can be assigned any color not in  $F_\phi(vw, v) \cup S_\phi(u)$ . So if one of  $u_2$  and  $v_2$  is a 3-vertex, then by Lemma 1, one of  $uw$  and  $vw$  has at least two colors available, while the other one has at least one color available. So we can extend  $\phi$  to a good coloring of  $G$ , a contradiction.

Therefore, we may assume that  $d_G(u_2) = d_G(v_2) = 2$ . Let  $u'_1$  be the neighbor of  $u_1$  other than  $u$  and  $v$  and let  $u'_2$  (resp.  $v'_2$ ) be the neighbors of  $u_2$  (resp.  $v_2$ ) other than  $u$  (resp.  $v$ ). By Lemma 7, the graph  $G' = G \setminus w$  has a good coloring  $\phi$  so that  $\phi(uu_2) = \phi(vv_2)$ . It is easy to see that  $|A_\phi(uw)| \geq 2$ , and  $|A_\phi(vw)| \geq 2$ . Therefore, we may extend  $\phi$  to a good coloring of  $G$ , a contradiction.

Case 3:  $\{u_1, u_2\} \cap \{v_1, v_2\} = \emptyset$

Note that  $A_\phi(uw) = C \setminus (F_\phi(uw, u) \cup S_\phi(v))$  and  $A_\phi(vw) = C \setminus (F_\phi(vw, v) \cup S_\phi(u))$ . Therefore, if at least three of  $u_1, u_2, v_1$ , and  $v_2$  are 3-vertices, then by Lemma 1, one of the edges  $uw$  and  $vw$  has at least two colors available, while the other one has at least one color available. So we can extend  $\phi$  to a good coloring of  $G$ .

Therefore, at most, two of the vertices in  $\{u_1, u_2, v_1, v_2\}$  are 3-vertices. For  $i \in \{1, 2\}$  we will use  $u'_i$  (resp.  $v'_i$ ) to denote a neighbor of  $u_i$  (resp.  $v_i$ ) different from  $u$  (resp.  $v$ ). By symmetry, it suffices to consider the following two subcases:

Subcase 3.1: both  $u_1$  and  $u_2$  are 2-vertices.

Since  $G$  contains no 2-thread, each of  $u'_1$  and  $u'_2$  is a 3-vertex. By Lemmas 2 and 5,  $u'_1 \neq u'_2$ . So by Lemma 3, we can choose a good coloring  $\phi$  of  $G \setminus w$  with  $\phi(u_1u'_1) = \phi(u_2u'_2)$ . Then the edge  $uw$  has at least one color available. If the edge  $vw$  has at least two colors available, then  $\phi$  can be extended to a good coloring of  $G$ . Therefore, at least one of  $v_1$  and  $v_2$  is a 2-vertex, say  $v_1$ . Moreover, if  $S_\phi(u) \cap S_\phi(v) \neq \emptyset$ , then one of  $uw$  and  $vw$  has two available colors, while the other one has at least one available color, so  $\phi$  can be extended to a good coloring of  $G$ .

So we may assume that  $\phi(uu_1) = 1, \phi(uu_2) = 2, \phi(vv_1) = 3, \phi(vv_2) = 4$ , and  $\phi(u_1u'_1) = \phi(u_2u'_2) = 5$ . If the color  $5 \notin S_\phi(v_1) \cup S_\phi(v_2)$ , or  $d_G(v_2) = 3$  and  $5 \notin S_\phi(v_1)$ , then we may assign color 5 to  $vw$  and assign color 6 to  $uw$  to obtain a good coloring of  $G$ . So we can assume that  $\phi(v_1v'_1) = 5$ .

Observe that if  $\{3, 4\} \not\subseteq S_\phi(u'_1)$ , say  $3 \notin S_\phi(u'_1)$ , then by changing the color of  $uu_1$  from 1 to 3, we obtain that  $|A_\phi(uw)| \geq 2$  and  $|A_\phi(vw)| \geq 1$ . So we can extend  $\phi$  to a good coloring of  $G$ . So we have that  $S_\phi(u'_1) = \{3, 4, 5\}$ . Similarly  $S_\phi(u'_2) = \{3, 4, 5\}$ .

Next we will show that  $v_2$  must be a 2-vertex. Assume that  $d_G(v_2) = 3$ . Note that the color  $3 \notin S_\phi(v_2)$  since  $\phi$  is a good coloring of  $G'$ . So if  $\{1, 2\} \not\subseteq S_\phi(v'_1)$ , say  $1 \notin S_\phi(v'_1)$ , then we may change the color of  $vv_1$  from 3 to 1, assign color 3 to  $vw$  and color 6 to  $uw$ ; we obtain a good coloring of  $G$ . So  $S_\phi(v'_1) = \{1, 2, 5\}$ . Now we can change the colors of  $uu_1$  and  $vv_1$  both to 6, and let  $\phi(uw) = 1$  and  $\phi(vw) = 3$ , we obtain a good coloring of  $G$ .

Therefore, we know that  $d_G(v_2) = 2$ . Observe that  $v'_2$  is a 3-vertex. If  $S_\phi(v'_2) \neq \{1, 2, 6\}$ , then we can pick a color  $\beta \in \{1, 2, 6\} \setminus S_\phi(v'_2)$  and change the color of  $vv_2$  from 4 to  $\beta$ ; if  $\beta = 6$ , we will also change the color of  $uu_1$  from 1 to 6. Now we have  $S_\phi(u) \cap S_\phi(v) \neq \emptyset$ , so we can extend  $\phi$  to a good coloring of  $G$ .

Therefore, we have that  $S_\phi(v'_2) = \{1, 2, 6\}$ . We construct a good coloring  $\phi'$  of  $G' = G \setminus w$  as follows: for all  $e \in E(G') \setminus \{vv_1, vv_2, v_2v'_2\}$ , let  $\phi'(e) = \phi(e)$ ; for the edge  $v_2v'_2$ , note that  $|A_{\phi'}(v_2v'_2)| \geq 2$ . So we can set  $\phi'(v_2v'_2) \neq \phi(v_2v'_2)$ . Each of  $vv_1$  and  $vv_2$  has at least two colors available, so they can both be colored. In the new coloring  $\phi'$ , since  $|S_{\phi'}(v_2) \setminus S_\phi(v_2)| = 1, S_{\phi'}(v_2) \neq \{1, 2, 6\}$ . Therefore, the coloring  $\phi'$  can be extended to a good coloring of  $G$ .

Subcase 3.2:  $d_G(u_1) = d_G(v_1) = 3, d_G(u_2) = d_G(v_2) = 2$ .

Then  $|A_\phi(uw)| \geq 1$  and  $|A_\phi(vw)| \geq 1$ . If one of  $|A_\phi(uw)|$  and  $|A_\phi(vw)|$  is at least 2, or  $A_\phi(uw) \neq A_\phi(vw)$ , then both  $uw$  and  $vw$  can be colored. So we may assume that  $A_\phi(uw) = A_\phi(vw) = \{6\}$ . Without loss of generality, we may further assume that  $\phi(uu_1) = 1, \phi(uu_2) = 2, \phi(vv_1) = 3, \phi(vv_2) = 4, \phi(u_2u'_2) = \phi(v_2v'_2) = 5$ .

By a similar argument used in Subcase 3.1, we deduce that  $S_\phi(u'_2) = \{3, 4, 5\}$  and  $S_\phi(v'_2) = \{1, 2, 5\}$ . Then we can change the colors of  $uu_2$  and  $vv_2$  both to 6. Now we get a good coloring of  $G$  by assigning color 2 to  $uw$  and color 4 to  $vw$ .  $\square$

This completes our proof for Theorem 2.

### 3. Conclusions

In this paper, we present a slightly different proof of a result proved by Gu et al. [11]. Lemma 1 for forbidden colors is crucial for our proof, and it can be extended to a more general setting. For  $\Delta \geq 4$ , Conjecture 1 is still open. It will be interesting to consider the case  $\Delta = 4$  for our future work.

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