

# Supplementary Materials: A Critical Evaluation on the Role of Aerodynamic and Canopy–Surface Conductance Parameterization in SEB and SVAT Models for Simulating Evapotranspiration: A Case Study in the Upper Biebrza National Park Wetland in Poland

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Description of the SEB and SVAT models

## 1.1. STIC1.2

STIC1.2 is the most recent version of the original STIC formulation [1–4] and it is a one-dimensional physically-based SEB model that treats the vegetation-substrate complex as a single unit (Figure 1 in [4]). The main features of STIC1.2 are the integration of infrared temperature ( $T_s$ ) into the Penman-Monteith (PM) equation and finding analytical solutions of the surface and aerodynamic conductances. The intrinsic link between the PM model and  $T_s$  presumably exists due to the dependence of the aerodynamic conductance ( $g_A$ ) on  $T_R$  through free convection and due to the dependence of canopy (surface) conductance ( $g_s$ ) on soil moisture and  $g_A$ . A framework was conceptualized where ‘state equations’ (Equations 2 to 5 below) for the conductances were developed in such a way that there is a direct feedback of  $T_s$  on  $g_A$  and  $g_s$  through an aggregated surface moisture availability ( $M$ ). Detail can be found in [1–3,5] and [4]. The expression of  $\lambda E$  in the PM equation is,

$$\lambda E = \frac{s\phi + \rho_A c_p g_A D_A}{s + \gamma \left(1 + \frac{g_A}{g_s}\right)} \quad (1)$$

where  $\rho_A$  is the air density ( $\text{kg m}^{-3}$ ),  $c_p$  is the specific heat of air ( $\text{J kg}^{-1} \text{K}^{-1}$ ),  $\gamma$  is the psychrometric constant ( $\text{hPa K}^{-1}$ ),  $s$  is the slope of the saturation vapour pressure versus  $T_A$  ( $\text{hPa K}^{-1}$ ),  $D_A$  is the saturation deficit of the air ( $\text{hPa}$ ) at the reference level, and  $\phi$  is the net available energy (i.e.,  $R_N - G$ ). The units for all the surface fluxes and conductances are  $\text{W m}^{-2}$  and  $\text{m s}^{-1}$ , respectively.

In Equation 1, the two biophysical conductances ( $g_A$  and  $g_s$ ) are unknown, and the STIC1.2 methodology is based on finding analytical solutions for the two unknown conductances to directly estimate  $\lambda E$  [1,2,4,5]. The need for such analytical estimation of these conductances is motivated by the fact that  $g_A$  and  $g_s$  can neither be measured at the canopy or ecosystem scales, and there is not a universally-agreed model of  $g_A$  and  $g_s$  that currently exists [4,6,7]. By integrating  $T_s$  with standard SEB theory and vegetation biophysical principles, STIC1.2 formulates multiple state equations (Equations 2–5 below) that eliminates the need of empirical parameterization for  $g_A$ ,  $g_s$ , and  $T_0$ . The state equations for the conductances and  $T_0$  were expressed as a function of those variables that are available through direct measurements. The state equations of STIC1.2 are provided below and their detailed descriptions are available in [1–3].

$$g_A = \frac{\phi}{\rho_A c_p \left[ (T_0 - T_A) + \left( \frac{e_0 - e_A}{\gamma} \right) \right]} \quad (2)$$

$$g_s = g_A \frac{(e_0 - e_A)}{(e_0^* - e_0)} \quad (3)$$

$$T_0 = T_A + \left( \frac{e_0 - e_A}{\gamma} \right) \left( \frac{1 - \Lambda}{\Lambda} \right) \quad (4)$$

$$\Lambda = \frac{2\alpha s}{2s + 2\gamma + \gamma \frac{g_A}{g_s} (1 + M)} \quad (5)$$

Here  $\alpha$  is the Priestley-Taylor coefficient (unitless) [8]. In Equation 5,  $\alpha$  appeared due to using the Advection-Aridity (AA) hypothesis [9] for deriving the state equation of  $\Lambda$  [3,10]. However, instead of optimising it as a 'fixed parameter',  $\alpha$  is dynamically estimated by constraining it as a function of  $M$ , conductances, source/sink height vapour pressure, and temperature [3]. The derivation of the equation for  $\alpha$  is described in [3] and [4].

Given the values of  $M$ ,  $R_N$ ,  $G$ ,  $T_A$ , and  $R_H$  or  $e_A$ , the four state equations (Equations 2–5) can be solved simultaneously to derive analytical solutions for the four unobserved state variables and to simultaneously produce a 'closure' of the PM model that is independent of empirical parameterizations for both  $g_A$  and  $g_s$  [1–4]. However, the analytical solutions to the four state equations contain three accompanying unknowns;  $e_0$ ,  $e_0^*$ , and  $\alpha$ , and as a result there are four equations with seven unknowns. Consequently, an iterative solution was found to determine the three unknown variables [3–5]. STIC1.2 consists of a feedback loop describing the relationship between  $T_R$  and  $\lambda E$ , coupled with canopy-atmosphere components relating  $\lambda E$  to  $T_0$  and  $e_0$  [3]. Upon finding analytical solution of  $g_A$  and  $g_s$ , both variables are returned into Equation 1 to directly estimate  $\lambda E$ . Estimation of aggregated moisture availability ( $M$ ) is described in detail in [3,5] and [4].

## 1.2. SEBS

The detailed equations of SEBS model are described in [11] (also [4,12,13]). To summarize, SEBS formulation uses the Bulk Atmospheric Similarity Theory for planetary boundary layer scaling, and the Monin-Obukhov atmospheric surface layer similarity for surface layer scaling for the estimation of surface fluxes from thermal remote sensing data, and empirical sub-models for estimating the roughness lengths for momentum and heat ( $z_{0M}$  and  $z_{0H}$ ) [11,14] for estimating the sensible heat flux ( $H$ ). To estimate  $H$ , SEBS solves the similarity relationships for the profile wind speed ( $u$ ) and the mean difference between potential temperatures ( $\Delta\theta$ ; K) at the surface and reference height ( $z$ ). The latent heat flux is estimated as a residual component of surface energy balance.

$$u = \frac{u_*}{k} \left[ \ln \left( \frac{z - d_0}{z_{0M}} \right) - \Psi_M \left( \frac{z - d_0}{L} \right) + \Psi_M \left( \frac{z_{0M}}{L} \right) \right] \quad (6)$$

$$\Delta\theta = \frac{H}{ku_* \rho c_p} \left[ \ln \left( \frac{z - d_0}{z_{0H}} \right) - \Psi_H \left( \frac{z - d_0}{L} \right) + \Psi_H \left( \frac{z_{0H}}{L} \right) \right] \quad (7)$$

$$L = - \frac{\rho_A c_p u_*^3 T_{VP}}{kgH} \quad (8)$$

Here  $L$  is the Monin-Obukhov length (m),  $T_{VP}$  is virtual potential temperature (K) near the surface [9],  $k$  is the Von Karman Constant (0.41),  $u_*$  is the friction velocity ( $m s^{-1}$ ), and  $g$  is the acceleration due to gravity ( $9.8 m s^{-2}$ ).  $\Psi_M$  and  $\Psi_H$  are the stability corrections for momentum and heat transport, respectively.

One of the key characteristics of the SEBS model is the use of a semi-empirical adjustment factor ( $kB^{-1}$ ) to compensate for the differences between the scalar roughness lengths for momentum and heat transfers ( $z_{0M}$  and  $z_{0H}$ ) [14]. Such compensation is needed to tackle the difference between the radiometric and aerodynamic temperature that arises due to the different scalar roughness lengths of momentum and heat.

$$z_{0H} = z_{0M} / \exp(kB^{-1}) \quad (9)$$

Estimation of  $kB^{-1}$  is detailed in [15]. For every half-hourly temporal resolution, energy balance at driest limit ( $\lambda E = 0$  or  $H = \phi$ ) and wettest limit (potential ET,  $E_p$ , rate based on Penman equation) is used in SEBS to estimate relative evaporation ( $\Lambda_r$ , the ratio of actual to the maximum evaporation rates) to further compute  $\Lambda$  [11].

$$\Lambda_r = 1 - \frac{H - H_{wet}}{H_{dry} - H_{wet}} \quad (10)$$

$$\Lambda = \frac{\Lambda_r \times \lambda E_{\text{wet}}}{R_N - G} \quad (11)$$

where  $H_{\text{wet}}$  and  $H_{\text{dry}}$  are  $H$  under the wet and dry limiting conditions, respectively.  $\lambda E_{\text{wet}}$  is the  $\lambda E$  at the wet limit.

### 1.3. SCOPE model

Soil Canopy Observations, Photochemistry and Energy fluxes (SCOPE1.7) [16] is a multi-layer SVAT model designed with the intention to link top of canopy (TOC) observations of radiance with the land surface processes. SCOPE model has 4 modules all working in synergy. (1) A semi-analytical radiative transfer module (RTM<sub>o</sub>) for incoming radiation, based on [17]. This calculates the TOC outgoing radiation spectrum (0.4 to 50  $\mu\text{m}$ ), as well as the net radiation ( $R_N$ ) and absorbed photosynthetically active radiation (PAR) per surface element. (2) A numerical radiative transfer module (RTM<sub>t</sub>) for thermal radiation generated internally by soil and vegetation, based on [17]. This calculates the TOC outgoing thermal radiation and net radiation per surface element, but for heterogeneous leaf and soil temperatures. (3) A surface energy balance (SEB) module for  $\lambda E$ ,  $H$  and  $G$  per surface element, as well as photosynthesis, chlorophyll fluorescence and skin temperature at the leaf level. (4) A radiative transfer module (RTM<sub>f</sub>) for the chlorophyll fluorescence based on the Fluspect-B model [18], which calculates chlorophyll reflectance and transmittance spectra.

In SCOPE1.7, canopy is presumed to have a homogeneous structure, and is 1-D only. For the computation, SCOPE1.7 model defines 60 elementary layers, with a maximum LAI of 0.1 each, so that numerical approximations to the radiative transfer equations are still acceptable up to a total canopy LAI of 6. The elements of the model are defined as; 60 elements for shaded leaves (corresponding to the 60 leaf layers), while  $60 \times 13 \times 36$  elements (60 leaf layers, 13 leaf inclinations angles and 36 leaf azimuth angles) for sunlit leaves. The soil is divided into two shaded and a sunlit fraction. The canopy architecture is as described in SAIL models [19,20].

Iteration between the RTM<sub>t</sub> and the surface energy balance module is carried out to match the input of the RTM<sub>o</sub> with the output of the energy balance model (skin temperatures), while the input of the energy balance model with the output of the RTM<sub>o</sub> (net radiation). In SCOPE1.7 the feedback of chlorophyll fluorescence to the surface energy balance is neglected. The RTM<sub>o</sub> role is to predict the TOC radiance spectrum in the observation direction, and to predict the distribution of irradiance and net radiation over surface elements (leaves and the soil). The latter is input for the surface energy balance module. The role of the surface energy balance module is to calculate the net radiation (i.e., the turbulent energy fluxes and photosynthesis) and surface temperature.

In SCOPE1.7,  $R_N$  is calculated per element with the energy balance model then partitioned into the respective terms of the energy budget Equation 1. First  $G_0$  is computed based on [21]:

$$G_0 = \frac{\Gamma}{\sqrt{2\omega\Delta t}} (T_s(t + \Delta t) - T_s(t) + \omega\Delta t [T_s(t) - \bar{T}_s]) \quad (12)$$

where  $\omega$  (radians  $\text{s}^{-1}$ ) is the frequency of the diurnal cycle,  $\Gamma$  ( $\text{J K}^{-1} \text{m}^{-2} \text{s}^{-1/2}$ ) the thermal inertia of the soil, and  $T_s$  average annual temperature. The  $\lambda E$  is computed based on the bulk transfer Equation 13

$$\lambda E = \lambda \frac{q_s(T_s) - q_a}{r_a - r_s} \quad (13)$$

$q_s$  and  $q_a$  ( $\text{kg m}^{-3}$ ) are the humidity of stomata or soil pores and above canopy respectively,  $r_c$  and  $r_a$  ( $\text{m s}^{-2}$ ) are stomatal (or soil surface resistance) and aerodynamic resistance respectively. For the  $r_a$ , the formulation by [22] is used. A two-source model was used with separate resistances for soil and canopy. Aerodynamic resistance in SCOPE1.7 is computed for 4 layers:

A) Inertial layer sublayer

$$r_a^1 = \frac{1}{K_{u^*}} \left[ \ln \left( \frac{z-d_0}{z_{OH}-d_0} \right) \right] - \varphi_{h_{c,v}}(z_{OH}) + \varphi_{h_{c,v}}^*(z_{OH}) \quad (14)$$

B) Roughness sublayer

$$r_a^R = \frac{Z_{OH} - h_c}{K_{u*}(Z_{OH} - d_0)} - \varphi_{h_c,v}(Z_{OH}) + \varphi_{h_c,v}^*(Z_{OH}) \quad (15)$$

C) Above the canopy at level ( $Z_{OM} + d_0$ )

$$r_a^c = \frac{h_c \sinh_c(n)}{nK_v(h_c)} \left[ \ln \left( \frac{e^n - 1}{e^n + 1} \right) - \ln \left( \frac{e^{n(Z_{OM} + \frac{d_0}{h_c}) - 1}}{e^{n(Z_{OM} + \frac{d_0}{h_c}) + 1}} \right) \right] \quad (16)$$

D) Boundary layer resistance of leaves

$$r_c^c = \frac{70}{L} \sqrt{\frac{\omega_l}{u_{z_{OM}}}} \quad (17)$$

E) Within canopy resistance

$$r_w^c = \frac{h_c \sinh_c(n)}{nK_v(h_c)} \left[ \ln \left( \frac{e^{n(Z_{OM} + \frac{d_0}{h_c}) - 1}}{e^{n(Z_{OM} + \frac{d_0}{h_c}) + 1}} \right) - \ln \left( \frac{e^{n \cdot 0.001}}{\frac{h_c - 1}{h_c + 1}} \right) \right] \quad (18)$$

where  $k$  is Von Karman's constant,  $u^*$  ( $m s^{-1}$ ) is the friction velocity,  $Z_{OH}$  (m) is the reference height of the roughness sublayer,  $d_0$  (m) is the zero-plane displacement height, and  $\psi_{h,v}$  a stability correction function,  $h_c$  (m) is the vegetation height,  $Z_{OM}$  (m) is the roughness length for momentum,  $n$  is wind extinction coefficient,  $\omega_l$  (m) is leaf width,  $u_{z_{OM}}$  is wind speed at ( $Z_{OM} + d_0$ ). In the within-canopy resistance formulation, 0.01 represents roughness length of soil. In the case of soil and surface resistance, a priori values are used where  $r_b^s = 150 m s^{-1}$  and  $r_s^s = 150 m s^{-1}$  respectively. Surface resistance values could be a function of soil moisture. The  $u^*$  is computed by Equation 19.

$$u_* = K_u h_c \left[ \ln \left( \frac{h_c - d_0}{Z_{OM}} \right) - \ln \varphi_m \left( \frac{h_c - d_0}{L} \right) \right] \quad (19)$$

$L$  is Monin-Obukhov length (m). For the detailed formulation, refer to [16].

**Table A1.** Table of symbols, their description and units used in the study.

Symbol	Description
$\lambda$	Latent heat of vaporization of water ( $J kg^{-1}$ )
$\lambda E$	Evapotranspiration (evaporation + transpiration) as latent heat flux ( $W m^{-2}$ )
$\lambda E_{wet}$	$\lambda E$ at wet limits ( $W m^{-2}$ )
$H$	Sensible heat flux ( $W m^{-2}$ )
$H_{wet}$	$H$ at wet limits ( $W m^{-2}$ )
$H_{dry}$	$H$ at dry limits ( $W m^{-2}$ )
$R_N$	Net radiation ( $W m^{-2}$ )
$G$	Ground heat flux ( $W m^{-2}$ )
$\varphi$	Net available energy ( $W m^{-2}$ )
$T_A$	Air temperature ( $^{\circ}C$ )
$T_C$	Vegetation temperature ( $^{\circ}C$ )
$T_{Soil}$	Soil temperature ( $^{\circ}C$ )
$T_D$	Dew-point temperature ( $^{\circ}C$ )
$T_S$	Radiometric surface temperature ( $^{\circ}C$ )
$R_H$	Relative humidity (%)
$E_p$	Potential evapotranspiration ( $W m^{-2}$ )
$P$	Precipitation ( $mm d^{-1}$ )
$e_A$	Atmospheric vapor pressure at the level of $T_A$ measurement (hPa)
$D_A$	Atmospheric vapor pressure deficit at the level of $T_A$ measurement (hPa)
$u$	Wind speed ( $m s^{-1}$ )

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$u^*$	Friction velocity ( $\text{m s}^{-1}$ )
$T_{SD}$	Dew-point temperature at the source/sink height ( $^{\circ}\text{C}$ )
$T_{OD}$	Dew point temperature at evaporating front ( $^{\circ}\text{C}$ )
$T_0$	Aerodynamic temperature or source/sink height temperature ( $^{\circ}\text{C}$ )
$e_s$	“Effective” vapor pressure of evaporating front near the surface (hPa)
$e^*s$	Saturation vapor pressure of the surface (hPa)
$e^*0$	Saturation vapor pressure at the source/sink height (hPa)
$e_0$	Atmospheric vapor pressure at the source/sink height (hPa)
$D_0$	Atmospheric vapor pressure deficit at the source/sink height (hPa)
$g^A$	Aerodynamic conductance ( $\text{m s}^{-1}$ )
$g^S$	Stomatal/surface conductance ( $\text{m s}^{-1}$ )
$Sc$	Schmidt Number (-)
$Pr$	Prandtl Number (-)
$M$	Surface moisture availability (0–1)
$s$	Slope of saturation vapor pressure vs. temperature curve ( $\text{hPa K}^{-1}$ )
$s_1$	Slope of the saturation vapor pressure and temperature between ( $T_{SD} - T_D$ ) vs. ( $e_0 - e_A$ ) (approximated at $T_D$ ) ( $\text{hPa K}^{-1}$ )
$s_2$	Slope of the saturation vapor pressure and temperature between ( $T_S - T_D$ ) vs. ( $e^*s - e_A$ ), estimated according to Mallick et al. (2015) ( $\text{hPa K}^{-1}$ )
$s_3$	Slope of the saturation vapor pressure and temperature between ( $T_S - T_{SD}$ ) vs. ( $e^*s - e_s$ ) (approximated at $T_s$ ) ( $\text{hPa K}^{-1}$ )
$q_s, q_a$	Absolute humidity of the surface and the air ( $\text{kg m}^{-3}$ )
$r_a$	Aerodynamic resistance at inertial sublayer ( $r^l$ ), roughness height ( $r^H$ ) at the canopy ( $r^c$ ) ( $\text{m s}^{-1}$ )
$r_b$	Boundary layer resistance for soil ( $r^s$ ) or for leaves ( $r^c$ ) ( $\text{m s}^{-1}$ )
$r_s$	Resistance at soil surface ( $\text{m s}^{-1}$ )
$m$	Ball-Berry stomatal conductance parameter (-)
$r_{wc}$	Within canopy layer resistance ( $\text{m s}^{-1}$ )
$u^*$	Friction velocity ( $\text{m s}^{-1}$ )
$u_{ZOM}$	Windspeed at $Z_{OM} + d_0$ ( $\text{m s}^{-1}$ )
$L$	Monin-Obukhov length (m)
$\psi$	Stability correction function (-)
$\psi_m$	Stability correction function for momentum transfer (-)
$\psi_H$	Stability correction function for heat transfer (-)
$\Gamma$	thermal inertia of the soil ( $\text{J K}^{-1} \text{m}^{-2} \text{s}^{-1/2}$ )
$\omega$	Frequency of the diurnal cycle $\text{rad s}^{-1}$
$z$	Reference height (m)
$z_{0M}$	Effective source–sink height of momentum (m)
$z_{0H}$	Roughness length (m)
$d_0$	Displacement height (m)
$\gamma$	Psychrometric constant ( $\text{hPa K}^{-1}$ )
$c_d$	Drag coefficient (-)
$c_p$	Heat capacity of the air ( $\text{J kg}^{-1} \text{K}^{-1}$ )
$h_c$	Vegetation height m
$K_{h,v}$	Eddy diffusivity ( $\text{m}^2 \text{s}^{-1}$ )
$K_r$	von Kármán constant (0.4)
$k$	von Kármán constant (0.4)
$n$	Wind extinction coefficient
$\Lambda$	Evaporative fraction (-)

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$\Lambda_r$	Relative evaporative fraction (-)
$\beta$	Bowen ratio (-)
$\alpha$	Priestley–Taylor parameter (-)
Pr	Prandtl number (-)
LAI	Leaf area Index
NDVI	Normalized difference vegetation index (-)
LIDFa	Leaf distribution function parameter a controlling average leaf slope (-)
LIDFb	Leaf distribution function parameter b controlling distribution bimodality (-)
lw	Leaf width (m)
VZA	Viewing zenith angle (Degree)
RAA	Relative azimuth angle (Degree)
SZA	Sun zenith angle (Degree)
$\theta$	Surface (0–5 cm) soil moisture ( $\text{m}^3 \text{m}^{-3}$ )
$T_{VP}$	Virtual potential temperature near the surface(K)
$V_{cmax}$	Maximum carboxylation rate ( $\mu\text{molm}^{-2} \text{s}^{-1}$ )

Table A2. Input variables and parameters of the SCOPE1.7 model.

Parameter	Symbol	Spring/Summer	Units	Source
<b>1.PROSPECT</b>				
Chlorophyll AB content	Cab	1.5/40	$\mu\text{g cm}^{-2}$	Fitted
Carotenoid content.	Cca	0/8.7	$\mu\text{g cm}^{-2}$	Fitted
Dry matter content	Cdm	0.005/0.001	$\text{g cm}^{-2}$	Fitted
Leaf water equivalent layer	Cw	0.0001/0.022	cm	Fitted
Senescent material fraction	Cs	0.6/0	fraction	Fitted
Leaf thickness parameters	N	1.5/1.5	(-)	Fitted
BSM model parameter 'lat'	BSMlat	18.9		apriori
BSM model parameter 'long'	BSMlong	43.32		apriori
<b>2.LEAF BIOCHEMICAL</b>				
Maximum carboxylation capacity	$V_{cmo}$	6.5/14	$\mu\text{mol m}^{-2} \text{s}^{-1}$	[23]
Ball-Berry stomatal conductance	m	12/8		Fitted
Photochemical pathway: 0 = C3, 1 = C4	Type	0		apriori
Extinction coefficient for $V_{cmax}$	kV	0.6396		apriori
Respiration = $Rd_{param} * V_{cmax}$	$Rd_{param}$	0.015		apriori
Temp response.	Tparam	0.2		apriori
<b>3.MAGNANI MODEL</b>				
<b>4.FLOURESCENCE</b>				
<b>5.SOIL</b>				
Soil resistance for evaporation	rss	800/1200	$\text{s m}^{-1}$	apriori
	rs_therma			apriori
Soil reflectance thermal range	l	0.06		
specific heat capacity of the soil	cs	3.65E + 03	$\text{J K}^{-1}$	[24]
specific mass of the soil	rhos	1.10E + 03	$\text{kg m}^{-3}$	[24]
Heat conductivity of the soil	lambdas	0.5	$\text{J m}^{-1} \text{K}^{-1}$	[24]
Volumetric soil moisture content	SMC	0.4/0.6		
<b>6.CANOPY</b>				
Leaf area index	LAI	1.6/3.2	$\text{m}^2 \text{m}^{-2}$	Fitted
Vegetation height	hc	0.3/0.6	m	Measured
Leaf inclination	LIDFa	-0.35		apriori
Variation in leaf inclination	LIDFb	-0.15		apriori

Leaf width	leaf width	0.03	m	apriori
<b>7.METEO</b>		EC tower		Measured
<b>8.AERODYNAMIC</b>				
Roughness length for momentum	Z <sub>0H</sub>	0.13*hc	m	Computed
Displacement height	d <sub>0</sub>	0.67*hc	m	Computed
leaf drag coefficient	Cd	0.3		apriori
leaf boundary resistance	rb	10	s m <sup>-1</sup>	apriori
Drag coefficient [25]	CR	0.35		apriori
fitting parameter [25]	CD1	20.6		apriori
Roughness layer correction [25]	Psicor	0.2		apriori
Drag coefficient for soil [25]	CSSOIL	0.01		apriori
Soil boundary layer resistance	rbs	10	s m <sup>-1</sup>	apriori
Within canopy layer resistance	rwc	0	s m <sup>-1</sup>	
<b>Geometry</b>				
solar zenith angle	VZA		Degree	Computed
Observation zenith angle	VZA		Degree	Computed
Azimuth angle	RAA		Degree	Computed

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