



Article Association between Long-Term Exposure to PM_{2.5} and Lung Imaging Phenotype in CODA Cohort

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Abstract: Background and Aims: Ambient particulate matter (PM) is causing respiratory symptoms of individuals at all ages and reducing their lung functions. These individuals could develop chronic pulmonary disease. Recent studies have shown that short-term exposure to PM affects acute exacerbation of respiratory disease. However, evidence about the association between long-term exposure and progression of respiratory diseases remains insufficient. The purpose of this study was to examine the association between long-term exposure of air pollution (PM2.5) and the effect on lung imaging phenotype in dust-exposed Korean adults living near cement factories. Methods: We conducted a cross-sectional analysis on the Chronic Obstructive Pulmonary Disease (COPD) in Dusty Areas (CODA) cohort, which was recruited from 2012 to 2014. Emphysema index and mean wall area were measured using an in-house software program developed by the Korean obstructive lung disease study group based on chest CT scan. A satellite-based model was used to estimate the long-term PM_{2.5} concentration at each participant's address. Results: Of 504 eligible participants, 400 participants were analyzed. Their mean age was 71.7 years. Most participants were men (N = 301, 75.3%). The emphysema index of the whole group was 6.63 \pm 0.70, and the mean wall area was 68.8 ± 5.2 . Image measurement and PM_{2.5} concentration showed no significant difference in the whole group; however, in the group of subjects with normal lung function, there were significant associations between long-term PM2.5 exposure and emphysema index measurement: 1-year ($\beta = 0.758$, p = 0.021), 3-year ($\beta = 0.629$, p = 0.038), and 5-year ($\beta = 0.544$, p = 0.045). There was no significant association between long-term PM_{2.5} exposure and mean wall area measurement: 1-year ($\beta = -0.389$, p = 0.832), 3-year ($\beta = -3.677$, p = 0.170), and 5-year ($\beta = -3.769$, p = 0.124). Conclusions: This study suggests that long-term exposure of PM2.5 may affect the emphysematous change in patients with normal lung functions.

Keywords: air pollution; emphysema index; particulate matter; satellite data

1. Introduction

Particulate matter (PM) is a complicated mixture of chemical components and a widely studied air pollutant [1]. Fine PM can be inhaled and deposited into the lower bronchus and lung parenchyma, thus effecting the respiratory system. Ambient PM can induce an inflammatory reaction by increasing free radical production [2]. Such inflammatory response is known to cause acute exacerbations of respiratory or cardiovascular disease [3].



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Copyright: © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). The Global Burden of Diseases, Injuries, and Risk Factors Study 2016 (GBD 2016) reported that about 7.5% of all deaths were caused by ambient PM pollution in 2016, globally [4].

Ambient PM not only brings respiratory symptoms at all ages [5,6], but also reduces lung function and leads to chronic pulmonary disease, such as chronic obstructive pulmonary disease [7]. In addition to previous studies about exacerbations of respiratory or cardiovascular diseases following short-term air pollution exposure, the effects of long-term air pollution exposure on lung function have also been reported recently. A cross-sectional study [7] has shown that PM concentration not only has associations with decreases in lung function indices, such as forced expiratory volume in one second (FEV_1), forced vital capacity (FVC), and the ratio of forced expiratory volume in one second to forced vital capacity (FEV_1/FVC ratio), but also has a weak correlation with Chronic Obstructive Pulmonary Disease (COPD) prevalence. On the other hand, according to the ESCAPE project (European Studies on Chronic Air Pollution Effects) [8], a significant association has not been observed between ambient PM exposure and COPD prevalence. Although several studies have been published about the association between ambient PM exposure and lung function decline, COPD development, or further lung development, their results have not been consistent, especially for $PM_{2,5}$. Thus, the objective of this study was to examine the association between long-term exposure to air pollution ($PM_{2.5}$) and lung imaging phenotype in dust-exposed Korean adults living near cement factories.

2. Methods and Materials

2.1. Study Design and Participants

A cross-sectional analysis was performed for subjects enrolled in the COPD in Dusty Areas (CODA) cohort from 2012 to 2014 [9–11]. The administrative districts were selected by the National Institute of Environmental Research of Korea and selected for the surveys on the health effects of cement dust on inhabitants, which were based on the distance from cement plants and wind direction, based on the meteorological data among areas surrounding cement plants since 2007. The CODA cohort study was a longitudinal observational study conducted in six cities (Gangneung, Donghae, Samcheok, Yeongwol, Danyang, and Jecheon) of Kangwon and Chungbuk provinces, South Korea, where cement plants were located. The administrative area is approximately 40-80 km² wide. It has more than 20,000 residents. Subjects in this cohort study were composed of participants who had airflow limitations and healthy volunteers who agreed to participate in the study. There were more than 20,000 residents within six cities. Residents of each city who decided to participate in the study were enrolled for three years from 2012 to 2014 in a way that each city was enrolled at a different time. Airflow limitation was confirmed by a post-bronchodilator forced expiratory volume in 1 s over forced vital capacity value of less than 0.7 (FEV₁/FVC < 0.7) in spirometry. We also collected data of the modified Medical Research Council (mMRC) dyspnea scale and patient-reported COPD assessment test (CAT) via a questionnaire to evaluate their respiratory symptoms and health-related quality of life. This study was approved by the Institutional Review Board (IRB) of Kangwon National University Hospital (IRB No. 2012-06-007). All participants provided written informed consent.

2.2. Lung Function and Image Measurements

Spirometry was performed at enrolled time as a baseline measurement using an Easy One Kit (NDD, Zurich, Switzerland). To check bronchodilator reversibility, spirometry was done before bronchodilation and at 15 min after 400 µg of salbutamol inhalation. Chest computed tomography (CT) scans were also taken at full inspiration and expiration using a first-generation dual source CT system (Somatom Definition, Siemens Healthcare, Forchheim, Germany) in enrollment as the baseline measurement. CT images were obtained without injecting a contrast medium. Imaging data were stored in Digital Imaging and Communications in Medicine format, the international standard for interconnecting medical imaging devices on standard networks. We measured emphysema index (EI: the percentage of low attenuation area 950 Hounsfield units (HU, %LAA-950HU)) and

mean wall area percentage ((WA%) of two segmental bronchi) using an in-house software program developed by the Korean obstructive lung disease study group [12].

2.3. PM_{2.5} Concentration Using Satellite Data

To predict daily $PM_{2.5}$ concentration, land-use regression was performed using a moderate resolution imaging spectroradiometer satellite aerosol optical depth with 1 km \times 1 km, 3 km \times 3 km, and 5 km \times 5 km spatial resolutions. These grids (1 km \times 1 km, $3 \text{ km} \times 3 \text{ km}$, and $5 \text{ km} \times 5 \text{ km}$) were determined to show the same trend regarding the long-term exposure of PM_{2.5}. Aerosol optical depth is a quantitative measure of aerosol particles in the atmosphere. Estimates of $PM_{2,5}$ using aerosol optical depth measurements from the satellite were calibrated daily using measurements from ground monitoring. These calibrations resulted in "out-of-sample" 10-fold cross-validated R² (mean "out-of-sample" $R^2 = 0.85$ or 0.87). Annual average PM_{2.5} concentrations were estimated for index year 2012. Based on the index year 2012, annual average $PM_{2.5}$ concentrations were measured (note that "1-year" refers to the average value of PM2.5 concentrations for the previous year at the time of enrollment). By using an index year for each of the study participants, any differences in exposure between study participants would be attributable to different locations of residence and their nearby PM_{2.5} sources and would not be attributable to differences in the year when participants underwent examinations. We checked the geocoded addresses of CODA study participants and observed their long-term exposure to annual average PM_{2.5} concentrations for the previous year at the time of enrollment ("1-year"), for the previous three years at the time of enrollment ("3-year"), and for the previous five years at the time of enrollment ("5-year") from the baseline examination.

2.4. Statistical Analysis

Age, gender, education (<elementary school, elementary school, middle school, \geq high school), smoking status (current smokers, former smokers, never smokers), pack-years of smoking, height, weight, drug history, history of asthma, and average PM 2.5 concentration at survey year were considered as covariates. Continuous variables are presented as mean and standard deviation or median with interquartile range (IQR). Categorical data are presented as number (percentage). Associations between air pollution and image measurements (emphysema index and mean wall area percentage) were examined using a multiple linear regression model after adjusting for potential confounders. All statistical data were analyzed using SAS version 9.4 (SAS Institute Inc., Cary, NC, USA).

3. Results

3.1. Baseline Characteristics of Study Groups

Of 504 eligible participants, 26 who had pneumoconiosis, bronchiectasis, or tuberculous destroyed lung on chest CT scans, 4 who had no questionnaire, and 74 who had no PM_{2.5} data were excluded. Finally, 400 participants were analyzed (Figure 1). Their mean age was 71.7 years (Table 1). Most participants were men (N = 301, 75.3%). Most subjects were ex-smokers or current smokers (N = 264, 66%). The average smoking amount was 18.4 pack-years. Mean FEV₁/FVC was 0.65 ± 0.11 . CAT score was 16.0 and mMRC dyspnea scale score was 1.37. Seventy patients had a previous history of asthma. The emphysema index of the whole group was 6.63 ± 0.70 . The mean wall area was 68.8 ± 5.2 (Table 1). Additionally, baseline characteristics of normal healthy volunteers are shown in Table S5.



Figure 1. Flow diagram of analytical sample selection criteria: Korean CODA (Chronic Obstructive Pulmonary Disease in Dusty Areas) cohort study (2012–2017).

Characteristics	Total (N = 400)		
Sex, male (%)	301 (75.3)		
Age (years)	71.7 ± 7.4		
Patients in each age group (%)			
44–59 years old	27 (6.7)		
60–69 years old	96 (24.0)		
70–79 years old	232 (58.0)		
80–96 years old	45 (11.3)		
Height (cm)	159.7 ± 9.2		
Weight (kg)	60.0 ± 10.5		
BMI (kg/m^2)	23.5 ± 3.3		
<23.0	184 (46.0)		
23.0-24.9	92 (23.0)		
≥25.0	124 (31.0)		
Smoking status			
Never smoker	136 (34.0)		
Ex-smoker	174 (43.5)		
Current smoker	90 (22.5)		
Smoking amount (pack-years)	18.4 ± 23.9		
Education			
<elementary school<="" td=""><td>125 (31.5)</td></elementary>	125 (31.5)		
Elementary school	149 (37.5)		
Middle school	59 (14.9)		
\geq High school	64 (16.1)		
Income per month ($\times 10^4$ won)			
	250 (64.4)		
50–99	61 (15.7)		
≥ 100	77 (19.9)		
Characteristics	Total ($N = 400$)		
Pulmonary function test, post-bronchodilator response			
FVC, L	3.03 ± 0.82		
FVC, % predicted	97.1 ± 19.0		
FEV ₁ , L	1.96 ± 0.61		
FEV ₁ , %predicted	86.2 ± 22.3		
FEV ₁ /FVC	0.65 ± 0.11		

Table 1. Baseline characteristics of subjects.

Asthma history (yes, %)	70 (17.6)
Emphysema index	6.63 ± 0.70
Mean wall area	68.8 ± 5.2
CAT	16.0 ± 9.6
mMRC dyspnea scale score	1.37 ± 1.13
0	93 (23.3)
1	164 (41.0)
2	61 (15.3)
3	66 (16.5)
4	16 (4.0)
Physical activity (yes, %)	299 (74.7)
Previous exacerbation history ($\geq 1, \%$)	12 (3.0)
Charlson comorbidity index	
0	225 (56.7)
1	91 (22.9)
≥ 2	81 (20.4)

Table 1. Cont.

Data are expressed as mean \pm standard deviation or *N* (%), BMI: body mass index; FVC: forced vital capacity; FEV₁: forced expiratory volume in 1 s; CAT: Chronic Obstructive Pulmonary Disease (COPD) assessment test; mMRC dyspnea scale score: modified medical research council dyspnea scale score.

3.2. Association of Image Measurements with PM_{2.5} Concentration

There were no significant associations between image measurements and PM_{2.5} concentration in the whole group (Table 2). However, when a subgroup analysis was performed by dividing the subjects into a normal lung function group and a COPD group (Table 3), there were significant associations between annual $PM_{2.5}$ exposure and emphysema index of the normal lung function group, even for measurements of different conditions: for the previous year at the time of enrollment (1-year: $\beta = 0.758$, p = 0.021), for the previous three years at the time of enrollment (3-year: $\beta = 0.629$, p = 0.038), and for the previous five years at the time of enrollment (5-year: $\beta = 0.544$, p = 0.045) from the baseline examination. However, there were no significant associations between long-term PM_{2.5} exposure and mean wall area of the normal lung function group: 1-year ($\beta = -0.389$, p = 0.832), 3-year $(\beta = -3.677, p = 0.170)$, and 5-year $(\beta = -3.769, p = 0.124)$ (Table 3). In the COPD group, the association between PM_{2.5} exposure and emphysema index or mean wall area was insignificant for various conditions. There was no statistically significant finding in the analysis of smoking status (Table S1), body mass index (BMI) (Table S2), or Charlson comorbidity index (Table S3). Except smoking status, there was an insignificant association between lung imaging and personal factors (resident cities, age, and sex) (Table S4).

Table 2. Image measurements and	d PM _{2.5} concentration ([per 5 ug∕r	n ^o increase).
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Total (<i>N</i> = 400)	Emphysema Index		Mean Wall Area (%)	
	β (95% CI)	p Value	β (95% CI)	p Value
1-year ⁺ , 1 km	-0.393 (-2.817, 2.032)	0.750	0.042 (-2.035, 2.118)	0.969
1-year ⁺ , 3 km	0.748 (-2.244, 3.739)	0.623	0.468 (-2.094, 3.030)	0.720
1-year +, 5 km	-1.366 (-4.140, 1.407)	0.333	0.489 (-1.888, 2.867)	0.686
3-year ++, 1 km	-0.978 (-3.225, 1.269)	0.393	-0.816 (-2.754, 1.122)	0.408
3-year ⁺⁺ , 3 km	-0.197 (-2.955, 2.562)	0.889	-0.550 (-2.928, 1.828)	0.650
3-year ++, 5 km	-1.980 (-4.499, 0.539)	0.123	-0.635 (-2.814, 1.544)	0.567
5-year [#] , 1 km	-0.921 (-2.983, 1.141)	0.381	-0.802 (-2.588, 0.983)	0.377
5-year [#] , 3 km	-0.337 (-2.844, 2.169)	0.791	-0.644 (-2.814, 1.525)	0.560
5-year [#] , 5 km	-1.801 (-4.080, 0.478)	0.121	-0.690 (-2.669, 1.288)	0.493

PM: particulate matter; CI: confidence interval. ⁺ 1-year: annual average $PM_{2.5}$ concentrations for the previous year at the time of enrollment from the baseline examination. ⁺⁺ 3-year: annual average $PM_{2.5}$ concentrations for the previous three years at the time of enrollment from the baseline examination. ^{#+} 5-year: annual average $PM_{2.5}$ concentrations for the previous five years at the time of enrollment from the baseline examination. Adjusted for age, gender, education, height, weight, smoking, pack-years, medication use, asthma history, and annual average $PM_{2.5}$ concentration for survey year.

Total (N = 400) –	EI _r ¥		Mean Wall Area (%)			
	β (95% CI)	p Value	β (95% CI)	p Value		
Normal (<i>N</i> = 132)						
1-year +, 1 km	0.585 (0.024, 1.146)	0.041	-4.646 (-9.339, 0.048)	0.052		
1-year +, 3 km	1.181 (0.404, 1.958)	0.003	-3.943 (-10.733, 2.847)	0.251		
1-year +, 5 km	0.758 (0.118, 1.398)	0.021	-0.589 (-6.114, 4.935)	0.832		
3-year ++, 1 km	0.498 (-0.020, 1.015)	0.059	-6.572 (-10.980, -2.164)	0.004		
3-year ++, 3 km	1.051 (0.305, 1.797)	0.006	-7.088 (-13.763, -0.413)	0.038		
3-year ++, 5 km	0.629 (0.035, 1.222)	0.038	-3.677 (-8.963, 1.609)	0.170		
5-year [#] , 1 km	0.433 (-0.031, 0.897)	0.067	-5.689 (-9.754, -1.624)	0.007		
5-year [#] , 3 km	0.880 (0.218, 1.541)	0.010	-6.622(-12.643, -0.600)	0.032		
5-year [#] , 5 km	0.544 (0.012, 1.077)	0.045	-3.769 (-8.597, 1.059)	0.124		
		COPD (<i>N</i> = 26	8)			
1-year +, 1 km	-0.074 (-0.286, 0.139)	0.494	0.987 (-1.352, 3.327)	0.407		
1-year +, 3 km	-0.097(-0.348, 0.154)	0.448	1.112 (-1.656, 3.881)	0.429		
1-year +, 5 km	-0.245 (-0.482, -0.009)	0.042	0.977 (-1.649, 3.603)	0.464		
3-year ⁺⁺ , 1 km	-0.147 (-0.344, 0.050)	0.142	0.359 (-1.806, 2.525)	0.744		
3-year ++, 3 km	-0.183(-0.413, 0.047)	0.118	0.421 (-2.108, 2.950)	0.743		
3-year ++, 5 km	-0.304 (-0.518, -0.090)	0.006	0.273 (-2.109, 2.654)	0.822		
5-year [#] , 1 km	-0.136 (-0.318, 0.046)	0.143	0.259 (-1.738, 2.255)	0.799		
5-year $\#$, 3 km	-0.167(-0.378, 0.044)	0.120	0.296(-2.019, 2.612)	0.801		
5-year [#] , 5 km	-0.263 (-0.458, -0.067)	0.009	0.180 (-1.984, 2.344)	0.870		

Table 3. Image measurements and $PM_{2.5}$ concentration (per 5 ug/m³ increase) by COPD.

PM: particulate matter; COPD: chronic obstructive pulmonary disease; CI: confidence interval. [¥] EI_r is the log transformation of the emphysema index. ⁺ 1-year: annual average PM_{2.5} concentrations for the previous year at the time of enrollment from the baseline examination. ⁺⁺ 3-year: annual average PM_{2.5} concentrations for the previous three years at the time of enrollment from the baseline examination. ^{#+} 5-year: annual average PM_{2.5} concentrations for the previous five years at the time of enrollment from the baseline examination. [#] 5-year: annual average PM_{2.5} concentrations for the previous five years at the time of enrollment from the baseline examination. Adjusted for age, gender, education, height, weight, smoking, pack-years, medication use, asthma history, and annual average PM_{2.5} concentration for survey year.

4. Discussion

In this study, we investigated the lung imaging phenotype following prolonged $PM_{2.5}$ exposure. Our study had some remarkable findings. First, specific findings in lung imaging phenotype were observed after long-term exposure to ambient $PM_{2.5}$. Second, there was no significant association in emphysema index or mean wall area in COPD patients who already had clinical manifestations. However, significant difference in emphysema index was confirmed in the normal lung function group following the long-term exposure of $PM_{2.5}$. This means that morphological changes induced by exposure to $PM_{2.5}$ might precede the onset of clinical COPD disease.

PM arises from different sources, such as the construction industry, automobile use, and heating systems. It has recently become a social problem because it affects public health in various ways [13–15]. The association between short-term PM exposure and the acute exacerbation of respiratory or cardiovascular disease has been demonstrated [6,16]. Such exposure could increase daily mortality [6,16].

Recently, it has been reported that long-term air pollution exposure can cause lung function decline, COPD development due to decreased lung function, and lung growth abnormality [7,17–20]. The Multi-Ethnic Study of Atherosclerosis (MESA) has investigated the association between PM_{2.5} exposure and percent emphysema or lung function decline [21]. It showed that the association between air pollution and percent emphysema value was not conclusive. It also revealed that lung function decline was not significantly associated with ambient PM exposure. On the other hand, our study showed significant findings in lung imaging after long-term PM_{2.5} exposure.

One large-scale prospective study, the Swiss Cohort Study on Air Pollution and Lung Diseases in Adults (SAPALDIA) study, has concluded that reducing PM₁₀ exposure to ambient pollution can improve the deterioration of lung function [19]. Another study from the Taiwan MJ Health Management Institution cohort has found that long-term exposure to PM_{2.5} pollution is associated with lung function reduction and the risk of prevalence of COPD [20]. Our study also investigated the effect of PM_{2.5} exposure on lung phenotype using the CODA cohort. Similar to previous studies, the present study also revealed important findings regarding lung imaging phenotype after PM_{2.5} exposure. Such findings were demonstrated by emphysema index. A notable finding of our study was that there was no statistically significant effect of long-term PM_{25} exposure on lung imaging phenotype in the COPD group. However, long-term PM_{2.5} exposure had a significant effect on the emphysema index value of the normal lung function group. Fine dust exposure is known to adversely affect the respiratory system through mechanisms such as oxidative stress and airway inflammation [1-3]. Persistent oxidative stress and inflammation can cause loss of alveolar tissue and changes in emphysema index values [22]. The change of alveolar tissue might be more pronounced in subjects with normal lung function before exposure than in those already diagnosed with COPD.

This study had some potential limitations. First, longitudinal lung function decline following changes in the emphysema index could not be analyzed together. As we mentioned in methods section, the baseline examinations, including chest CT scan, were perform at the enrollment, the time of enrollment was set as the index year, and the annual average PM2.5 concentrations were estimated for the previous year ("1-year"), three years ("3-year"), and five years ("5-year") from the baseline examination. Second, ambient air pollution was not analyzed through daily changes such as temperature and humidity. In addition, PM was not measured in the place where each participant actually lives. However, in reality, such measurement is not easy. Thus, the value of ambient PM is usually acquired by measurements acquired from a station close to the area where the participant lives. To solve this problem, we used aerosol optical depth with 1×1 km, 3×3 km, and 5×5 km spatial resolutions instead of a 10×10 km resolution in order to narrow the measurement range in this study. Results of this study confirmed that PM_{2.5} could cause morphological changes in the lungs, indicating that morphological changes might have occurred before actual clinical diseases such as COPD.

5. Conclusions

Long-term PM_{2.5} exposure is significantly associated with lung imaging phenotype. Additionally, phenotypic imaging presented as emphysema index was found in the normal lung function group, not in the COPD group. Further studies are needed to determine accompanying lung function change in addition to longitudinal imaging phenotype change.

Supplementary Materials: The following are available online at https://www.mdpi.com/2073-4 433/12/2/282/s1, Table S1: Image measurement & $PM_{2.5}$ concentration (per 5 ug.m³ increase) by smoking status, Table S2: Image measurement & $PM_{2.5}$ concentration (per 5 ug.m³ increase) by overweight/obese, Table S3: Image measurement & $PM_{2.5}$ concentration (per 5 ug.m³ increase) by CCI (\geq 1), Table S4: Association between lung imaging and personal factors, Table S5: Baseline characteristics of normal healthy volunteers.

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