



# Hyperbranched Polycaprolactone through RAFT Polymerization of 2-Methylene-1,3-dioxepane

## Ping Xu<sup>1</sup>, Xiaofei Huang<sup>1,2</sup>, Xiangqiang Pan<sup>1</sup>, Na Li<sup>1,\*</sup>, Jian Zhu<sup>1,\*</sup> and Xiulin Zhu<sup>1,3</sup>

- State and Local Joint Engineering Laboratory for Novel Functional Polymeric Materials, Jiangsu Key Laboratory of Advanced Functional Polymer Design and Application, Department of Polymer Science and Engineering, College of Chemistry, Chemical Engineering and Materials Science, Soochow University, Suzhou 215123, China; 20164209052@stu.suda.edu.cn (P.X.); huangxiaofei314@163.com (X.H.); panxq@suda.edu.cn (X.P.); xlzhu@suda.edu.cn (X.Z.)
- 2 Jiangsu Litian Technology Co. Ltd., Rudong County, Jiangsu 226407, China
- 3 Global Institute of Software Technology, No 5. Qingshan Road, Suzhou National Hi-Tech District, Suzhou 215163, China
- Correspondence: chemlina@suda.edu.cn (N.L.); chemzhujian@suda.edu.cn (J.Z.); Tel.: +86-512-65880726 (N.L. & J.Z.)

Received: 16 January 2019; Accepted: 4 February 2019; Published: 13 February 2019



Abstract: Hyperbranched polycaprolactone with controlled structure was synthesized by reversible addition-fragmentation chain transfer radical ring-opening polymerization along with self-condensed vinyl polymerization (SCVP) of 2-methylene-1,3-dioxepane (MDO). Vinyl 2-[(ethoxycarbonothioyl) sulfanyl] propanoate (ECTVP) was used as polymerizable chain transfer agent. Living polymerization behavior was proved via pseudo linear kinetics, the molecular weight of polymers increasing with conversion and successful chain extension. The structure of polymers was characterized by <sup>1</sup>H NMR spectroscopy, tripe detection gel permeation chromatography, and differential scanning calorimetry. The polymer composition was shown to be able to tune to vary the amount of ester repeat units in the polymer backbone, and hence determine the degree of branching. As expected, the degree of crystallinity was lower and the rate of degradation was faster in cases of increasing the number of branches.

Keywords: polycaprolactone; hyperbranch; living radical polymerization

## 1. Introduction

Aliphatic polyesters have received much attention due to their good biocompatibility and biodegradability. Typical aliphatic polyesters, such as polyglycolide (PGA), polylactide (PLA), and polycaprolactone (PCL) [1], have been extensively researched in the past decades. Among them, PCL has shown a good balance of biocompatibility, thermoplasticity, biodegradability, crystallization, and non-woven, etc. Materials based on PCL are widely used in drug release coatings, shape memory materials, and various material modifiers [2–5]. PCL was firstly synthesized by Carothers' group [6] in the 1930s through condensation polymerization. However, due to the limitation of condensation polymerization, most PCL used nowadays is generally prepared by ring-opening polymerization of caprolactone (CL), through cationic polymerization, anionic polymerization, coordination-insertion polymerization, and enzyme-catalyzed polymerization. Alternatively, Bailey's group [7] reported, for the first time, that PCL-like polymers were prepared by free radical ring-opening polymerization of monomeric 2-methylene-1,3-dioxepane (MDO) (Scheme 1) in 1982. They successfully achieved the homopolymerization of MDO and its copolymerization with vinyl monomers, such as styrene and methyl methacrylate (MMA), using azodiisobutyronitrile (AIBN) and di-t-butyl peroxide (DTBP) as initiators.



2-Methylene-1,3-dioxepane (MDO), which is also called cyclic ketene acetals (CKAs), can undergo radical addition on their carbon–carbon double bond, which then subsequently leads to propagation, either by ring opening or ring retaining or by a combination of both, which depends especially on their structure (Scheme1) [8]. This monomer provides a novel and alternative synthetic route for the synthesis of PCL, and it imparts degradability to conventional vinyl monomers by introducing ester linkages into the backbone [9]. Thereby, the structure of the polyester material can be greatly expanded, and the polyester material can be applied to a wider range of fields. Thus, the free radical ring-opening polymerization of this monomer has attracted considerable interest of quite a few researchers [10].



Scheme 1. Free radical polymerization of 2-methylene-1,3-dioxepane (MDO): Possible propagation routes.

In the last two decades, researchers found out that living controlled radical polymerization can also be introduced into rROP polymerization, including nitrogen–oxygen stable radical ring-opening polymerization (NMP) [11–13], reversible addition cleavage chain transfer ring-opening polymerization (RAFT) [8,14–16], atom transfer radical ring opening polymerization (ATRP) [17–20], and photo-induced cobalt-mediated radical polymerization (CMRP) [21]. This new type of living radical ring-opening polymerization can effectively control the molecular weight and molecular weight distribution of the polymer, thereby imparting various properties to the polymer. There have been many successful cases regarding the free (living) radical copolymerization of MDO with different vinyl monomers, including a large number of hydrophobic monomers, such as styrene (St) [22,23], methyl methacrylate (MMA) [24,25], methyl acrylate (MA) [26], glycidyl methacrylate (GMA) [27,28], 2-vinyl-4,4-dimethyl azlactone (VDMA) [29], vinyl acetate (VAc) [30,31], and hydrophilic monomers, such as polyethylene glycol dimethyl ether methyl methacrylate (PEGMA) [32], *N*,*N*-dimethyl methacrylate (DMAEMA) [33], *N*-vinyl pyrrolidone (NVP) [34,35], *N*-isopropyl acrylamide (NIPA) [36], etc. Besides, in the past two years, MDO has also been used to copolymerize with some protein resistible monomers for use in marine antibiofouling by Zhang's group [37–39].

It is well known that the performance of polymer is closely related to its structure, while the topology of polymer is an important part of its chain structure. According to the connection modes, the polymer topology can be divided into grafting, block, random and alternating copolymer, star, annular, dendritic, hyperbranched polymer and polymer brush, etc. Polymers with nonlinear structures have a lower melting viscosity than linear polymers, allowing them to process at lower temperatures. Recently, dendritic branched macromolecules have become a hot topic in many fields [40–42]. Especially, hyperbranched polymer was thought to have wide industry prospects due to its simple synthesis method [43]. Furthermore, hyperbranched polymer has more advantages compared with the two-block or three-block polymers, such as the ability to form a small single molecule micelle, lower viscosity, reduce the molecular chain tangles, etc. [44].

There are many preparation methods of hyperbranched polymer. The self-condensing vinyl copolymerization (SCVP), using a species capable of both propagation and initiation, can efficiently prepare highly branched polymer [45]. To the best of our knowledge, no attempts have been made to synthesize hyperbranched polycaprolactone using MDO through one-pot RAFT polymerization.

In this study, we synthesized a RAFT reagent with a double bond at one end. The hyperbranched polycaprolactone was synthesized by one-pot polymerization of MDO, and the relationship between the degree of branching, crystallinity, and degradation rate was studied.

#### 2. Materials and Methods

#### 2.1. Materials

Vinyl acetate (VAc) (>99%, Aldrich, Shanghai, China) was purified by passing through a neutral alumina column and then stored at -4 °C. 2,2-Azobisisobutyronitrile (AIBN) (AR, Shanghai Chemical Reagents Co. Ltd., Shanghai, China) was purified by recrystallization from ethanol. Bromoacetaldehyde dimethyl acetal (Adamas, Shanghai, China), Dowex 50 (Alfa Aesar, Shanghai, China), Aliquat 336 (J&K, Shanghai, China), potassium tert-butoxide (Energy Chemical, Suzhou, China), 2-bromopropionic acid (98%, Alfa Aesar), and palladium(II) acetate (Pd 46%~48%, Macklin, Shanghai, China) was used as received. All other chemicals were obtained from Shanghai Chemical Reagents Co. Ltd., Shanghai, China, and used as received. 2-Methylene-1,3-dioxepane (MDO) and RAFT-agent 2-Ethoxythiocarbonylsulfanyl-propionic acid ethyl ester (EXEP) and [(ethoxycarbonothioyl) sulfanyl] propanoate (ECTVP) was synthesized according to previously published references [7,46,47] (see Scheme 2). The NMR spectra are shown in Figures S1–S3.



Scheme 2. Reversible addition fragmentation chain transfer agent used in this article.

#### 2.2. Synthesis of Linear PCL

A typical polymerization procedure, using AIBN as an initiator and EXEP as a chain transfer agent, was carried out at 60 °C with a molar ratio of  $[MDO]_0:[EXEP]_0:[AIBN]_0 = 50:1:0.2$ . A mixture of MDO (5 mmol, 572 mg), EXEP (0.1 mmol, 22.2 mg), and AIBN (0.02 mmol, 3.2 mg) was placed in a dried ampule with a stir bar. The content was degassed by three freeze–evacuate–thaw cycles. The ampoule was flame-sealed and placed into heating bath thermoset at 60 °C. The ampule was taken out and opened after 24 h. The crude product was dissolved in THF and precipitated into a large amount of cold methanol. The polymer was obtained by filtration and dried under vacuum at 30 °C until constant weight. The conversion was determined through gravimetric. The molecular weight and molecular weight distribution were determined by gel permeation chromatography (GPC).

#### 2.3. Synthesis of Hyperbranched PCL

A typical polymerization procedure using AIBN as an initiator and ECTVP as a chain transfer agent was carried out at 60 °C with a molar ratio of  $[MDO]_0:[ECTVP]_0:[AIBN]_0 = 100:1:0.2$ . A mixture of MDO (10 mmol, 1.14 g), ECTVP (0.1 mmol, 21.2 mg), and AIBN (0.02 mmol, 3.3 mg) was placed in a dried ampule with a stir bar. The content was degassed by three freeze–evacuate–thaw cycles. The ampoule was flame-sealed and placed into a heating bath thermoset at 60 °C. At different time intervals, the ampule was taken out and opened. The crude product was dissolved in THF and precipitated into a large amount of cold methanol. The polymer was obtained by filtration and dried under vacuum at 30 °C until constant weight. The conversion was determined through gravimetric. The molecular weight and molecular weight distribution were determined by GPC.

#### 2.4. Chain Extension

MDO (5 mmol, 572 mg) or VAc (5 mmol, 434 mg), macro-CTA ( $M_n = 5100 \text{ g mol}^{-1}$ , D = 2.09) (0.05 mmol, 275 mg) and AIBN (0.01 mmol, 1.7 mg) were added into a dried ampule with a stir bar.

The content was degassed by three freeze–evacuate–thaw cycles. The ampoule was flame-sealed and transferred into a stirring apparatus and polymerized at 60 °C for 24 h. Subsequently, the ampule was taken out and opened. The crude product was dissolved in THF, precipitated into a large amount of cold methanol and then stored at -18 °C. The polymer was obtained by filtration and dried under vacuum at 30 °C until constant weight.

#### 2.5. Degradation

30 mg of the copolymers were dissolved in a small amount of methylene dichloride. A solution of KOH in methanol (0.025 M, 5 mL) was then added to the vial and stirred at 40 °C. After time interval, the samples were taking out from vial and evaporating under vacuum. The residual solid was dissolved in THF for GPC determination.

#### 2.6. Characterization

<sup>1</sup>H NMR spectroscopy was performed on a Bruker 300 MHz nuclear magnetic resonance instrument. The number-average molecular weight ( $M_{n,GPC}$ ) and molecular weight distribution (D) of the polymers were determined with Waters 1515 gel permeation chromatography, equipped with triple detection, including Waters 2424 refractive index detector, Wyatt VicoStar viscosity detector, and Wyatt TRI STAR Mini DAWN HELEOS II eighteen angle light scattering detector, using HR 1, HR 2, and HR 4 (7.8 × 300 mm<sup>2</sup>, 5 µm beads size) columns with a measurable molecular weight ranged 5 × 10<sup>2</sup> to 5 × 10<sup>5</sup> g mol<sup>-1</sup>. THF was used as the eluent at a flow rate of 0.6 mL min<sup>-1</sup> and 40 °C. GPC samples were injected using a TOSOH plus auto sampler and calibrated with PS standards purchased from TOSOH. Thermogravimetric analysis (TGA) was carried out on a 2960 SDT TA instruments with a heating rate of 10 °C min<sup>-1</sup> from 30 to 800 °C under the nitrogen atmosphere. The DSC analyses for these polymers were performed on a TA Instrument DSC Q200from –80 to 80 °C under a nitrogen atmosphere, with a heating rate and a cooling rate of 20 °C min<sup>-1</sup> for the first time, and a heating rate of 10 °C min<sup>-1</sup> for the second time. The degree of crystallinity of the polymers was measured in the DSC-thermogram of the crystallized peak (exotherm peak) and the molten peak (endothermal peak) in the second heating cycle.

#### 3. Results and Discussion

#### 3.1. Polymerization Behavior of MDO in the Presence of ECTVP

Radical ring opening polymerization of MDO in the presence of ECTVP, using AIBN as the initiator, was investigated with different feeding ratios of monomer and chain transfer agent, at 60 °C. The conversion along with molecular weight of the obtained polymers and their distribution were summarized in Table 1 and Figure 1. The results showed that polymerization could be carried out with reasonable conversion under these conditions. The monomer conversion decreased from 99.3% to 48.2% after 96 h of polymerization, with the feeding ratio of MDO to ECTVP increasing from 10 to 200, which was mostly due to the decreasing of initiator concentration. It showed that no obvious relationship between the feeding ratio and molecular weight of the obtained polymer, which may due to multiple effects combined in such a polymerization, such as feeding ratio, conversion, and initiator concentration. However, the molecular weight distribution of the polymer became narrower in cases of higher MDO molar ratio.

It was known that a hyperbranched structure could be obtained by using ECTVP as the RAFT agent, due to it containing a polymerizable double bond. Thus, the broad molecular weight distribution of the polymer may be related to the hyperbranched structure. In order to verify the hyperbranched structure, the obtained polymers were further characterized using triple detection GPC. For comparison, PCL was also prepared through radical ring opening polymerization of MDO, using EXEP as the RAFT agent under the same conditions (Entry P5 in Table 1, NMR spectrum of P5 was showed in Figure S4). The branching factors (g') are defined as the intrinsic viscosity

ratio of the branched polymer to the linear polymer at the same molecular weight. Based on the unique structure of the branched polymer, the lower the branching factors, the higher the degree of branching. The branching factors (g') of these polymers can be calculated by the following equation,  $g' = \eta(\text{branched})/\eta(\text{linear})$ , on the basis of the triple detection GPC, which were summarized in Table 1. The g' value of PCL from EXEP was 0.998, lower than 1, which verified the ease of branching in ring opening polymerization of MDO [48]. The g' value of PCLs from ECTVP were much lower than 1, especially in cases of low MDO feed ratio, which implied the hyperbranched structure existed in these polymers. Additionally, the broad peak, due to the merge of multi peaks in GPC traces (Figure 1), further verified such hyperbranched structures. was normal occurred in hyperbranched polymers. Thus, hyperbranched PCL could be prepared by using ECTVP as the RAFT agent through SCVP route. The degree of branching could be changed by changing the feeding ratio of ECTVP in polymerization.

**Table 1.** Polymerization results of 2-methylene-1,3-dioxepane (MDO) in the presence of [(ethoxycarbonothioyl) sulfanyl] propanoate (ECTVP), using azodiisobutyronitrile (AIBN) as initiator, at 60  $^{\circ}$ C, for different molar ratios with polymerization time of 96 h.

Entry	x <sup>a</sup>	Conv. (%)	$M_{ m n}$ (g mol $^{-1}$ )	Đ	g' <sup>b</sup>
P1	10	99.3	5100	2.09	0.548
P2	50	84.3	8600	2.08	0.809
P3	100	74.6	7000	1.85	0.960
P4	200	48.2	6800	1.85	0.997
P5 <sup>c</sup>	50	53.1	7100	1.51	0.998

<sup>a</sup> molar ratio =  $[MDO]_0$ :  $[ECTVP]_0$ :  $[AIBN]_0 = x$ : 1: 0.2 [MDO] = 10 mmol; <sup>b</sup> g' =  $\eta$ (branched)/ $\eta$ (linear); <sup>c</sup> the RAFT agent was EXEP.



**Figure 1.** Evolution of gel permeation chromatography C trace for the hyperbranched polymerization of MDO in bulk, at 60 °C, for different molar ratios (x value is the molar ratio of [MDO]<sub>0</sub> to [ECTVP]<sub>0</sub>).

#### 3.2. Structure Analysis and Thermal Property

The structure of the obtained polymer was characterized by <sup>1</sup>H NMR spectroscopy (Figure 2). Characteristic resonance peaks derived from MDO could be found with chemical shift of 3.98, 2.27, 1.53, 1.29 ppm, labelled as h, i, j, b, and l in Figure 2. Furthermore, a residual of terminal vinyl group with a chemical shift of 7.18 ppm and a thiocarbonyl-containing moiety with a chemical shift of 4.43 ppm, derived from ECTVP, could be found in the spectrum at of polymer c' and d', which agreed with the mechanism of SCVP. The branched structure of the obtained PCL could be verified by the existence of protons labelled as "i", determined from <sup>1</sup>H NMR analysis. The degree of branching

 $(DB_{NMR})$  can be calculated on the basis of the <sup>1</sup>H NMR data according to Equation (1) [49], and  $DB_{theo}$  can be calculated according to Equation (2) [50].

$$DB_{NMR} = 2[b/(x+1)][1 - b/(x+1)]$$
(1)

$$DB_{\text{theo}} = \left[2(1 - e^{-(x+1))}(x + e^{-(x+1)})\right]/(x+1)^2$$
(2)

where, *x* is the molar ratio of  $[MDO]_0$  to  $[ECTVP]_0$ , b is the fraction of branched units and linear units, and *B* is the fraction of initiating centers, which are satisfying the equation b + B = 1 [51]. Taking sample P1 in Figure 2 as an example, x value was 10, so the DB<sub>theo</sub> could be calculated via Equation (2) to be 0.165. On the basis of integral values of the proton signal of methylene groups in the MDO units signals (b) at 4.04–3.93 ( $I_i$ ) and the menthine proton (B) at 4.39–4.34 ( $I_{d'}$ ), b was 0.996 in Figure 2, which could be calculated by formula  $b = I_i/2/(I_i/2 + I_{d'})$ . As the result, the DB<sub>NMR</sub> of sample P1 was 0.164, which was close to theoretical value (0.165). Other DB values of polymers obtained with different feeding ratios are listed in Table 2. It showed that as x increased from 10 to 200, the DB<sub>NMR</sub> decreased from 0.164 to 0.01. The DB<sub>NMR</sub> was close to DB<sub>theo</sub>, and the decreasing trend of the two were consistent.



**Figure 2.** Proton nuclear magnetic resonance (<sup>1</sup>H NMR) spectrum of hyperbranched poly(ECTVP-*co*-MDO) in dimethyl sulfoxide (DMSO) corresponding to entry P1 in Table 1 ( $M_{n,GPC} = 5100 \text{ g mol}^{-1}$ , D = 2.09, g' = 0.548).

Thermal stability of obtained PCL was studied by TGA at heating rate of 10 °C /min, from 30 to 800 °C, under nitrogen flow of 10 ml/min. The results were summarized in Figure 3. It showed that all of the hyperbranched polymers were stable under 250 °C, which was similar to linear PCL. The samples were erased of any thermal history by running the DSC for the first time at a heating rate of 20 °C /min, and then cooled before the DSC test in the second temperature-rising procedure. The second cycle of heating run, at the rate of 10 °C min<sup>-1</sup>, was adopted. The obtained DSC curves are shown in Figure 4. It is interesting to note that there were two obvious melting peaks in the thermograms of P3 and P4, while no melting peaks in the thermogram of P1, and a crystallization

exotherm and a melting peak occurred in the thermogram of P2 at the same time. It is well known that the branched structure of polymers will lead to a decrease of crystallinity. It showed that P1 was free of crystal due to the highly branched structure. The crystallinity of P2 was the lowest among all samples, leading to its incomplete crystallization at room temperature. Then, the folding of the PCL segment became easier and further crystallization occurred when the samples were heated. So, both endothermal and exothermic peaks were displayed in the heating process of P2. The double melting peaks of P3 and P4 were claimed to be caused by the recrystallization of polymers and the subsequent melting.



**Figure 3.** Thermogravimetric analysis (TGA) curves of entry P1–P5 under nitrogen, with a heating rate of 10 °C /min.



**Figure 4.** The second differential scanning calorimetry (DSC) traces of entry P1–P5, with a heating rate of 10  $^{\circ}$ C min<sup>-1</sup>.

The degree of crystallinity ( $\chi$ ) can be calculated by the following equations on the basis of the DSC trace [52,53], where  $\chi$ (blend) is the crystallinity degree of the blend material.

$$\chi(\text{blend}) = (\Delta Hm - \Delta Hc) / \Delta H_f^0$$

$$\chi = \chi(\text{blend}) / \omega(\text{PCL})$$
(3)

In Equation (3),  $\Delta Hm$  is the heat of fusion of the polymer, which can be integrated from the endothermal peak, and  $\Delta Hc$  is the heat of crystallization, which can be integrated from the

crystallization exotherm during the same heating scan in the DSC thermograms. If there are no crystallization exotherms during the same heating scan,  $\Delta Hc = 0$ . Besides,  $\omega$ (PCL) is the weight fraction of PCL in the blend and  $\Delta H_f^0 = 136 \text{ J/g}$  is the heat of fusion of 100% crystalline PCL [54].

According to the above method, we calculated the DB and  $\chi$  of these polymers. These results are listed in Table 2. As can be seen from the table, all copolymers had a single glass transition temperature ( $T_g$ ) about -60 °C, which was similar to the pure polycaprolactone. Increasing the proportion of ECTVP incorporated into the copolymers, the degree of branching (DB) of the resultant polymer increased while the degree of crystallinity ( $\chi$ ) decreased. Besides, the branching factors (g') and intrinsic viscosities ( $\eta$ ) determined by GPC also increased with the increasing of x. When the x value was 200, g' was close to 1 and DB was close to 0, indicating that the structure of this polymer tended to be linear. Accordingly, this polymer had high crystallinity and characteristic viscosity. All this further indicates that the branching properties of the resulting copolymer were directly related to the amount of branching agent. So, excellent copolymers with different performance characteristics can be prepared by changing molar ratios by this method.

Table 2. Characterization data for the hyperbranched polycarprolactone (PCL) for different molar rat
------------------------------------------------------------------------------------------------------

Entry	x <sup>a</sup>	g	DB <sub>theo</sub> <sup>b</sup>	DB <sub>NMR</sub> <sup>c</sup>	<i>Т</i> <sub>g</sub> (°С)	χ (%) <sup>d</sup>
P1	10	0.493	0.163	0.164	-49.81	/
P2	50	0.789	0.036	0.038	-60.03	10.61
P3	100	0.927	0.018	0.020	-58.52	31.14
<b>P4</b>	200	0.965	0.010	0.010	-59.63	35.67
P5 <sup>e</sup>	50	0.998	-	-	-59.87	38.52

<sup>a</sup> molar ratio =  $[MDO]_0$ :  $[ECTVP]_0$ :  $[AIBN]_0 = x$ : 1: 0.2, [MDO] = 5 mmol; <sup>b</sup> calculated by Equation (2); <sup>c</sup> determined by <sup>1</sup>H NMR using Equation (1); <sup>d</sup> calculated by Equation (3); <sup>e</sup> linear PCL.

#### 3.3. Copolymerization Kinetics

To further investigate the polymerization behavior, the kinetics of the RAFT/MADIX ROP polymerization of MDO was studied in different molar ratios, and is shown in Figure 5. Linear increase in the semilogarithmic kinetic plot was observed for all values of molar ratio. The polymerization rate increased with the decrease of x values, which was a result of the increase of AIBN concentration. Figure 6 indicates the dependence of the number-average molecular weight ( $M_n$ ) and the molecular weight distribution (D) on the monomer conversion at different concentrations of ECTVP. The molecular weight increased linearly with the monomer conversion in all cases of polymerizations. Thus, the polymerization kinetic and relationships, between molecular weight and conversion, showed the characteristic of controlled polymerization.



**Figure 5.** ln  $([M]_0/[M]_n)$  versus time for the polymerization of MDO in bulk, at 60 °C.



**Figure 6.** Relationships between molecular weight (Mn)/its distribution(D) and conversion for the polymerization of MDO in bulk, at 60 °C.

However, the obtained polymers showed broad molecular weight distribution. Both of the values of  $M_n$  and D were increased with the conversion. This has been shown to be the result of polymers with different hyperbranched structures formed during the synthesis of hyperbranched polymers.

### 3.4. Chain Extension

In order to verify there was retention of the dithioester moieties on the end of the hyperbranched PCL, extension of PCL ( $M_n = 5100 \text{ g mol}^{-1}$ , D = 2.4) with different monomers (MDO and VAc) under the condition of [monomer]<sub>0</sub>:[PCL]<sub>0</sub>:[AIBN]<sub>0</sub> = 100:1:0.2 at 60 °C was performed. As expected, an obvious peak shift of the GPC trace toward a higher molar mass was observed, indicating that most chains were still living (Figure 7). The g' factors of PCL-*b*-PVAc and PCL-*b*-PCL were 0.357 and 0.375, respectively, which were both smaller than 1, indicating that the polymer after chain extension was still hyperbranched.



**Figure 7.** GPC traces before and after chain extension, with hyperbranched PCL as the macro-initiator. [monomer]<sub>0</sub>:[PCL]<sub>0</sub>:[AIBN]<sub>0</sub> = 100:1:0.2, [MDO] = 2 mmol, 24 h.

#### 3.5. Degradation

The degradability of hyperbranched and linear PCL was investigated by the hydrolysis of the copolymer sample in a solution of potassium hydrolysis (KOH, 0.025M) in methanol at 40 °C. In order to investigate the extent of degradability, here the GPC analyses were recorded at different time points (Figure S5). After the hydrolysis reaction, the disappearance of the main molar mass distribution originally by GPC analyses was observed, which showed that these polymers were hydrolytically degraded, as expected. It is interesting to note that the molecular weight of those hyperbranched polymers had an increasing process in a short time, which may be due to the fact that some of the low molecular weight polymers were degraded first, resulting in an increase of molecular weight. All of those' degradation rates were very fast. In about 30 min, the polymers were basically degraded. Furthermore, in order to investigate the relationship between the extent of degradability and the DB of polymers, the molecular weight was recorded at different time points (Figure 8). The slope ( $K_p^{app}$ ) obtained at the linear part was the degradation rate of the polymer. It can be seen that the degradation rate of the linear PCL was the slowest according to the value of  $K_p^{app}$ . Particularly, it was faster in the case of the polymer with a large value of DB, which can be found through Figure 8. All of these suggest that the degradability of the copolymer could be tuned by changing the DB of polymers.



**Figure 8.** Molar mass changes occurring during the hydrolysis of hyperbranched and linear PCL for different time points, in a solution of KOH in methanol (0.025 M) at 40 °C.

#### 4. Conclusions

In summary, hyperbranched PCL was successfully synthesized by SCVP-RAFT/MADIX polymerization in combination with radical ring opening polymerization of MDO. The kinetics and chain extension experiments showed that the polymerization process presented living characters. The degree of branching for the obtained hyperbranched PCL could be tuned by changing the molar ratio of MDO with ECTVP. Increasing the degree of branching will reduce the intrinsic crystallinity and increase the degradation rate, which offered a useful way to tune the properties of PCL.

**Supplementary Materials:** The following are available online at http://www.mdpi.com/2073-4360/11/2/318/s1, Figure S1: <sup>1</sup>H NMR spectrum of MDO; Figure S2: <sup>1</sup>H NMR spectrum of ECTVP; Figure S3: <sup>1</sup>H NMR spectrum of EXEP; Figure S4: <sup>1</sup>H NMR spectrum of linear PCL in Table 1 Entry P5; Figure S5: GPC trace of the hydrolysis of hyperbranched polymers N1(A), N2 (B), and linear polymer N3(C) for different time points in a solution of KOH in methanol (0.025 M) at 40 °C.

Author Contributions: Experiment and writing—original draft preparation, P.X.; writing—review and editing, X.H. and J.Z.; data curation, X.P.; supervision, N.L. and J.Z.; project administration, X.Z.

**Funding:** This research was funded by the National Key Research and Development Program of China (2016YFB0302603), Joint Research Project of Jiangsu Province (BY2016051-01), Educational Commission of Jiangsu Province of China (17KJA150008) and the Priority Academic Program Development (PAPD) of Jiangsu Higher Education Institutions, and the Program of Innovative Research Team of Soochow University.

Conflicts of Interest: The authors declare no conflicts of interest.

#### References

- 1. Tokiwa, Y.; Calabia, B.P. Biodegradability and biodegradation of polyesters. *J. Polym. Environ.* **2007**, *15*, 259–267. [CrossRef]
- 2. Woodruff, M.A.; Hutmacher, D.W. The return of a forgotten polymer-polycaprolactone in the 21st century. *Prog. Polym. Sci.* 2010, *35*, 1217–1256. [CrossRef]
- 3. Serrano, M.C.; Chung, E.J.; Ameer, G.A. Advances and applications of biodegradable elastomers in regenerative medicine. *Adv. Funct. Mater.* **2010**, *20*, 192–208. [CrossRef]
- 4. Albertsson, A.C.; Varma, I.K. Recent developments in ring opening polymerization of lactones for biomedical applications. *Biomacromolecules* **2003**, *4*, 1466–1486. [CrossRef] [PubMed]
- 5. Jain, R.; Shah, N.H.; Malick, A.W.; Rhodes, C.T. Controlled drug delivery by biodegradable poly (ester) devices: Different preparative approaches. *Drug. Dev. Ind. Pharm.* **1998**, *24*, 703–727. [CrossRef] [PubMed]
- 6. Van Natta, F.J.; Hill, J.W.; Carruthers, W.H. Polymerization and ring formation,XXIII. ε-caprolactone and its polymers. *J. Am. Chem. Soc.* **1934**, *56*, 455–457. [CrossRef]
- Bailey, W.J.; Ni, Z.; Wu, S.R. Synthesis of poly-ε-caprolactone via a free radical mechanism. Free radical ring-opening polymerization of 2-methylene-1,3-dioxepane. *J. Polym. Sci. Polym. Chem. Ed.* 1982, 20, 3021–3030. [CrossRef]
- d'Ayala, G.G.; Malinconico, M.; Laurienzo, P.; Tardy, A.; Guillaneuf, Y.; Lansalot, M.; D'Agosto, F.; Charleux, B. RAFT/MADIX copolymerization of vinyl acetate and 5,6-benzo-2-methylene-1,3-dioxepane. *J. Polym. Sci. Part A Polym. Chem.* 2014, 52, 104–111. [CrossRef]
- 9. Hedir, G.G.; Bell, C.A.; O'Reilly, R.K.; Dove, A.P. Functional degradable polymers by radical ring-opening copolymerization of MDO and vinyl bromobutanoate: Synthesis, degradability and post-polymerization modification. *Biomacromolecules* **2015**, *16*, 2049–2058. [CrossRef]
- 10. Tardy, A.; Nicolas, J.; Gigmes, D.; Lefay, C.; Guillaneuf, Y. Radical ring-opening polymerization: Scope, limitations, and application to (bio)degradable materials. *Chem. Rev.* **2017**, *117*, 1319–1406. [CrossRef]
- 11. Delplace, V.; Tardy, A.; Harrisson, S.; Mura, S.; Gigmes, D.; Guillaneuf, Y.; Nicolas, J. Degradable and comb-like PEG-based copolymers by nitroxide-mediated radical ring-opening polymerization. *Biomacromolecules* **2013**, *14*, 3769–3779. [CrossRef] [PubMed]
- 12. Wei, Y.; Connors, E.J.; Jia, X.; Wang, C. Controlled free radical ring-opening polymerization and chain extension of the "living" polymer. *J. Polym. Sci. Part A Polym. Chem.* **1998**, *36*, 761–771. [CrossRef]
- 13. Wei, Y.; Connors, E.J.; Jia, X.; Wang, B. First example of free radical ring-opening polymerization with some characteristics of a living polymerization. *Chem. Mater.* **1996**, *8*, 604–606. [CrossRef]
- 14. Ganda, S.; Jiang, Y.; Thomas, D.S.; Eliezar, J.; Stenzel, M.H. Biodegradable glycopolymeric micelles obtained by RAFT-controlled radical ring-opening polymerization. *Macromolecules* **2016**, *49*, 4136–4146. [CrossRef]
- 15. Bell, C.A.; Hedir, G.G.; O'Reilly, R.K.; Dove, A.P. Controlling the synthesis of degradable vinyl polymers by xanthate-mediated polymerization. *Polym. Chem.* **2015**, *6*, 7447–7454. [CrossRef]
- 16. Hedir, G.; Stubbs, C.; Aston, P.; Dove, A.P.; Gibson, M.I. Synthesis of degradable poly(vinyl alcohol) by radical ring-opening copolymerization and ice recrystallization inhibition activity. *ACS Macro Lett.* **2017**, *6*, 1404–1408. [CrossRef] [PubMed]
- 17. Huang, J.; Gil, R.; Matyjaszewski, K. Synthesis and characterization of copolymers of 5,6-benzo-2-methylene-1,3-dioxepane and n-butyl acrylate. *Polymer.* **2005**, *46*, 11698–11706. [CrossRef]
- 18. Smith, Q.; Huang, J.; Matyjaszewsk, K.; Loo, Y.L. Controlled radical polymerization and copolymerization of 5-Methylene-2-phenyl-1,3-dioxolan-4-one by ATRP. *Macromolecules* **2005**, *38*, 5581–5586. [CrossRef]

- Wickel, H.; Agarwal, S.; Greiner, A. Homopolymers and random copolymers of 5,6-benzo-2-methylene-1,3-dioxepane and methyl methacrylate: Structural characterization using 1D and 2D NMR. *Macromolecules* 2003, *36*, 2397–2403. [CrossRef]
- 20. Pan, C.Y.; Lou, X.D. "Living" free radical ring-opening polymerization of 2-methylene-4-phenyl-1,3dioxolane by atom transfer radical polymerization. *Macromol. Chem. Phys.* **2000**, 201, 1115–1120. [CrossRef]
- Ding, D.D.; Pan, X.Q.; Zhang, Z.B.; Li, N.; Zhu, J.; Zhu, X.L. A degradable copolymer of 2-methylene-1,3dioxepane and vinyl acetate by photo-induced cobalt-mediated radical polymerization. *Polym. Chem.* 2016, 7, 5258–5264. [CrossRef]
- 22. Wu, B.; Lenz, R.W. Synthesis, characterization, and hydrolytic degradation of copolymers of 2-methylene-l,3-dioxepane with ethylene and with styrene. *J. Environ. Polym. Degrad.* **1998**, *6*, 23–29. [CrossRef]
- 23. Bailey, W.J.; Kuruganti, V.K.; Angle, J.S. Biodegradable polymers produced by free-radical ring-opening polymerization. In *Agricultural and Synthetic Polymers, Biodegradability and Utilization;* American Chemical Society: Washington, DC, USA, 1990; Volume 433, pp. 149–160.
- 24. Agarwal, S. Microstructural characterisation and properties evaluation of poly (methyl methacrylate-coester)s. *Polym. J.* 2007, *39*, 163–174. [CrossRef]
- 25. Delplace, V.; Harrisson, S.; Tardy, A.; Gigmes, D.; Guillaneuf, Y.; Nicolas, J. Nitroxide-mediated radical ring-opening copolymerization: Chain-end investigation and block copolymer synthesis. *Macromol. Rapid Commun.* **2014**, *35*, 484–491. [CrossRef] [PubMed]
- Louguet, S.; Verret, V.; Bedouet, L.; Servais, E.; Pascale, F.; Wassef, M.; Labarre, D.; Laurent, A.; Moine, L. Poly(ethylene glycol) methacrylate hydrolyzable microspheres for transient vascular embolization. *Acta Biomater.* 2014, *10*, 1194–1205. [CrossRef] [PubMed]
- 27. Undin, J.; Finne-Wistrand, A.; Albertsson, A.C. Copolymerization of 2-methylene-1,3-dioxepane and glycidyl methacrylate, a well-defined and efficient process for achieving functionalized polyesters for covalent binding of bioactive molecules. *Biomacromolecules* **2013**, *14*, 2095–2102. [CrossRef] [PubMed]
- Undin, J.; Finne-Wistrand, A.; Albertsson, A.C. Adjustable degradation properties and biocompatibility of amorphous and functional poly(ester-acrylate)-based materials. *Biomacromolecules* 2014, 15, 2800–2807. [CrossRef]
- 29. Carter, M.C.D.; Jennings, J.; Appadoo, V.; Lynn, D.M. Synthesis and characterization of backbone degradable azlactone-functionalized polymers. *Macromolecules* **2016**, *49*, 5514–5526. [CrossRef]
- 30. Hedir, G.G.; Bell, C.A.; Ieong, N.S.; Chapman, E.; Collins, I.R.; O'Reilly, R.K.; Dove, A.P. Functional degradable polymers by xanthate-mediated polymerization. *Macromolecules* **2014**, *47*, 2847–2852. [CrossRef]
- 31. Agarwal, S.; Kumar, R.; Kissel, T.; Reul, R. Synthesis of degradable materials based on caprolactone and vinyl acetate units using radical chemistry. *Polym. J.* **2009**, *41*, 650–660. [CrossRef]
- Cai, T.; Chen, Y.; Wang, Y.; Wang, H.; Liu, X.; Jin, Q.; Agarwal, S.; Ji, J. One-step preparation of reduction-responsive biodegradable polymers as efficient intracellular drug delivery platforms. *Macromol. Chem. Phys.* 2014, 215, 1848–1854. [CrossRef]
- 33. Agarwal, S.; Ren, L. Polycaprolactone-based novel degradable ionomers by radical ring-opening polymerization of 2-Methylene-1,3-dioxepane. *Macromolecules* **2009**, *42*, 1574–1579.
- 34. Choi, S.; Lee, K.; Kwon, S.; Kim, H. Preparation of fine particles of poly(N-vinyl-2-pyrrolidone-co-2-methylene-1,3-dioxepane) using supercritical antisolvent. *J. Supercrit. Fluids* **2006**, *37*, 287–291. [CrossRef]
- 35. Kwon, S.; Lee, K.; Bae, W.; Kim, H. Precipitation polymerization of 2-methylene-1,3-dioxepane in supercritical carbon dioxide. *Polym. J.* **2008**, *40*, 332–338. [CrossRef]
- Galperin, A.; Long, T.J.; Ratne, B.D. Degradable, thermo-sensitive poly(N-isopropyl acrylamide)-based scaffolds with controlled porosity for tissue engineering applications. *Biomacromolecules* 2010, *11*, 2583–2592. [CrossRef] [PubMed]
- 37. Xie, Q.Y.; Ma, C.F.; Zhang, G.Z.; Christine, B. Poly(ester)–poly(silyl methacrylate) copolymers: Synthesis and hydrolytic degradation kinetics. *Polym. Chem.* **2018**, *9*, 1448–1454. [CrossRef]
- 38. Xie, Q.Y.; Xie, Q.N.; Pan, J.S.; Ma, C.F.; Zhang, G.Z. Biodegradable polymer with hydrolysis-induced zwitterions for atibiofouling. *ACS Appl. Mater. Interfaces* **2018**, *10*, 11213–11220. [CrossRef]
- 39. Xie, Q.N.; Zhou, X.; Ma, C.F.; Zhang, G.Z. Self-cross-linking degradable polymers for antifouling coatings. *Ind. Eng. Chem. Res.* **2017**, *56*, 5318–5324. [CrossRef]
- 40. Braunecker, W.A.; Matyjaszewski, K. Controlled/living radical polymerization: Features, developments, and perspectives. *Prog. Polym. Sci.* 2007, *32*, 93–146. [CrossRef]

- 41. Liu, J.; Wang, Y.; Fu, Q.; Zhu, X.Y.; Shi, W.F. Branched polymer via free radical polymerization of chain transfer monomer: A theoretical and experimental investigation. *J. Polym. Sci. Part A Polym. Chem.* **2008**, 46, 1449–1459. [CrossRef]
- 42. Gao, C.; Yan, D. Hyperbranched polymers: From synthesis to applications. *Prog. Polym. Sci.* 2004, 29, 183–275. [CrossRef]
- 43. Jikei, M.; Kakimto, M. Hyperbranched polymers: A promising new class of materials. *Prog. Polym. Sci.* 2001, 26, 1233–1285. [CrossRef]
- 44. Gillies, E.R.; Frechet, J.M.J. Dendrimers and dendritic polymers in drug delivery. *Drug Discov. Today* **2005**, *10*, 35–43. [CrossRef]
- 45. Hawker, C.J.; Frechet, J.M.J.; Grubbs, R.B.; Dao, J. Preparation of hyperbranched and star polymers by a "Living", self-condensing free radical polymerization. *J. Am. Chem. Soc.* **1995**, *117*, 10763–10764. [CrossRef]
- 46. Schmitt, J.; Blanchardb, N.; Poly, J. Controlled synthesis of branched poly(vinyl acetate)s by xanthatemediated RAFT self-condensing vinyl (co)polymerization. *Polym. Chem.* **2011**, *2*, 2231–2238. [CrossRef]
- Stenzel, M.H.; Cummins, L.; Roberts, G.E.; Davis, T.P.; Vana, P. Xanthate mediated living polymerization of vinyl acetate: A systematic variation in MADIX/RAFT agent structure. *Macromol. Chem. Phys.* 2003, 204, 1160–1168. [CrossRef]
- 48. Gonsalves, S.J.K.E. A study of the mechanism of the free-radical ring-opening polymerization of 2-methylene-1,3-dioxepane. *Macromolecules* **1997**, *30*, 3104–3106.
- 49. Gao, C.; Muthukrishnan, S.; Li, W.; Yuan, J.; Xu, Y.; Miller, A.H.E. Linear and hyperbranched glycopolymer-functionalized carbon nanotubes: Synthesis, kinetics, and characterization. *Macromolecules* **2007**, *40*, 1803–1815. [CrossRef]
- Litvinenko, G.I.; Simon, P.F.W.; Müller, A.H.E. Molecular parameters of hyperbranched copolymers obtained by self-condensing vinyl copolymerization. 1. Equal rate constants. *Macromolecules* 1999, 32, 2410–2419. [CrossRef]
- 51. Zhou, X.B.; Zhu, J.; Xing, M.Y.; Zhang, Z.B.; Cheng, Z.P.; Zhou, N.C.; Zhu, X.L. Synthesis and characters of hyperbranched poly(vinyl acetate) by RAFT polymeraztion. *Europ. Polym. J.* **2011**, 47, 1912–1922. [CrossRef]
- 52. Nojima, S.; Fujimoto, M.; Kakihira, H.; Sasaki, S. Effects of copolymer composition on the crystallization and morphology of poly (ε-caprolactone)-block-polystyrene. *Polym. J.* **1998**, *30*, 968–975. [CrossRef]
- 53. Shi, G.Y.; Yang, L.P.; Pan, C.Y. Synthesis and characterization of well-defined polystyrene and poly(ε-caprolactone) hetero eight-shaped copolymers. *J. Polym. Sci. Part A Polym. Chem.* 2008, 46, 6496–6508. [CrossRef]
- 54. Jiang, Y.Q.; Mao, K.J.; Cai, X.H.; Lai, S.J.; Chen, X.X. Poly(ethyl glycol) assisting water sorption enhancement of poly(ε-caprolactone) blend for drug delivery. *J. App. Polym. Sci.* **2011**, *122*, 2309–2316. [CrossRef]



© 2019 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (http://creativecommons.org/licenses/by/4.0/).