

Article



Modeling and Analysis of Novel Horizontal Ribbon Growth of Silicon Crystal

Jiawei Xu¹, Dapeng Shen¹, Tao Sun^{1,2}, Jianning Ding^{1,2,*} and Ningyi Yuan^{1,*}

- ¹ School of Mechanical Engineering, Jiangsu Collaborative Innovation Center for Photovoltaic Science and Engineering, Jiangsu Province Cultivation base for State Key Laboratory of Photovoltaic Science and Technology, Changzhou University, Changzhou 213164, China; xjw19930409@163.com (J.X.); sheng092619@163.com (D.S.); st2861371136@163.com (T.S.)
- ² Micro/Nano Science and Technology Center, Jiangsu University, Zhenjiang 212013, China
- * Correspondence: dingjn@cczu.edu.cn (J.D.); nyyuan@cczu.edu.cn (N.Y.); Tel./Fax: +86-51986450008 (J.D. & N.Y.)

Received: 7 December 2017; Accepted: 9 January 2018; Published: 16 January 2018

Abstract: We present a novel horizontal ribbon growth (HRG) process and a theoretical analysis of this method. Assuming that the existence of the meniscus is defined by diffuse growth, we determine analytically the thickness and height of the meniscus and an explicit expression for the performance of meniscus under different conditions. We then calculate the thermal profile in melt part, as well as the conditions under which the undercooling is sufficient around the solidification point. We find that diffuse growth is more sensitive to small initial thickness, and find the minimum length of the melt part to obtain undercooling. Finally, we calculate the change rule of solidification position by a variational approach, as well as the stability of the process under different conditions. We also give an expression to the instability of past HRG methods.

Keywords: crystal growth; stability; mathematic model; heat transfer

1. Introduction

The horizontal ribbon growth technique is generally described as a technology to directly produce thin and zonal silicon crystal from silicon melt. With this technique, a heat-sink extracts heat from the surface of the solidification interface, thereby generating a vertical thermal gradient in the solidification zone. In general, growth techniques enable realization of continuous growth via continuous extraction of silicon ribbon. To date, a variety of different growth techniques have been fabricated as based on this concept [1–4], e.g., the Horizontal supported web (HSW) [5], Ribbon growth on substrate (RGS) [6], Horizontal ribbon growth (HRG) [7,8], and Floating silicon method (FSM) [9,10]; all of these methods have provided excellent ideas and references for the method we have developed and described in this paper.

The horizontal ribbon growth method was introduced by Shockley [7] in 1962, and later verified by Bleil [8] with a different substance. In 1980, Kudo [3] designed a similar process and successfully grew a silicon ribbon. Subsequently, in 1986, Hoyler [5] presented a type of method that grew ribbon on a carbonic substrate. Despite its significant technological and economic potential, an HRG method capable of solving the problem of unstable growth has not been developed. Moreover, although numerous related studies have been conducted, most of them focus on the impact of the meniscus, impurities, and/or the pulling angle associated with ribbon growth.

In terms of modeling, an HRG mathematic model was first proposed by Zoutendyk in 1976 [11]; by solving the heat transfer equation, a wedge-shaped interface was identified, and the relationship between the pulling rate and ribbon thickness was described. In 2011, Oliveros [12] designed an experiment that employed water instead of silicon to simulate the HRG process; he also developed a

simple crystallization model to describe the evolution of the ice film. Through his work, he was able to further validate the mathematic model that was proposed decades before his study. In 2013, Oliveros and his colleagues [13] presented and analyzed a theoretical meniscus model, and were subsequently able to investigate the meniscus via his relatively simple polyethylene-water system and validate their theoretical meniscus model. In Oliveros et al.'s following report published in 2015 [14], he quantified the existence and static stability of the meniscus. In his presentation, the height and angle of the meniscus were described and the optimal pulling angle was found; he also investigated the influence of the shape corner of a graphite crucible on the meniscus. Daggolu [15–17] et al. combined heat transfer with fluid flow, interfacial phenomenon, thermal-capillary theory and crystal growth theory to discuss how meniscus influences the growth process. By implementing numerical simulations, they determined a critical value for the pulling rate at which the meniscus recedes and the melt escapes. Moreover, they think that a long wedge interface and small heat removal rate can yield a higher pulling rate and preserve sheet thickness. All of the above-mentioned studies provide general theories supporting the static stability of the silicon growth process.

These studies may provide significant analytical insight into the theoretical basis and guiding conclusions regarding HRG, but the question remains as to how to describe the respective relationships between heat extraction on the surface, pulling velocity, ribbon thickness, and location of the solidification interface. Towards the primary goal of increased speed and a stable thickness, in the DOE [10] presented by Kellerman, it was found that a considerably higher heat extraction rate is required to achieve a higher pulling rate than was previously thought. However, as it is generally difficult to fabricate a large thermal sink in such a small area, the most efficient means of increasing the heat extraction rate is impinging jet heat transfer. In previous studies, a method yielding a low heat extraction rate on the surface has been employed, and the researchers have tended to focus on how the meniscus influences the stability of the growth process. For example, as has been reported by Kudo [3], they increased the limit velocity to 85 cm/min; however, this resulted in a solidification interface that is larger than 12 cm, which thereby complicates the process of stabilization. In a simulation performed by Dagglu [15], they implemented a limit velocity of 16.6 cm/min and 32.4 cm/min to yield an interface length of L = 3 cm and 5.5 cm, respectively. Considering these previous studies, we decided to develop a novel method for HRG modeling that yields a significantly shorter interface and employs a significantly higher heat extraction rate to dissipate the freezing heat. Thus, we combined the FSM reported by Helenbrook [9], and the HRG method reported by Kudo [3] to minimize the influence of the meniscus on ribbon growth, and to implement a higher pulling velocity while also decreasing the thickness. The simulation proposed in the following sections verifies that our model is practical; furthermore, we also explain the influence of various system parameters on the growth process.

2. Analytical Model

Past studies [11,12,15,17] have shown that, because of the viscosity, pulling rate, and heat extraction on the surface, an unstable meniscus forms on the underside of the silicon crystal at the edge of the crucible. Consequently, the unstable meniscus generates a heat imbalance in the growth process, which thus results in an irregular lower surface. The shape of the solidification interface will change because of the heat imbalance, thereby disrupting the crystallization process. With the aim of reducing the influence of the meniscus, we developed a new structure and attempted to grow a thin and regular silicon ribbon. A schematic diagram of the designed device is shown in Figure 1 it can be seen that it departs from the conventional structure, as we shrink the molten bath and distance it away from the solidification interface; this permits sufficient heating to maintain the melting velocity. We have also increased the length of the substrate at the edge of the crucible to facilitate the freezing process on the substrate. Furthermore, we designed a double heaters system to ensure that the bottom temperature remains as higher than the melting temperature, and that the melt at the edge will not freeze. Moreover, to enable a high heat extraction rate, a slot jet-impingement-based heat exchanger was implemented in the device design, and helium gas is used as the cooling gas to extract heat from

the solidification surface. The 2-D analytical model of this structure is shown in Figure 2. In the 2-D model, we attempted to limit the meniscus growth between the crystal and silicon melt to reduce its overall growth and influence. Then, a mathematical model was developed to examine and validate the effectiveness of our attempt to restrict meniscus growth. It should be noted that, in our simulation, several variables were varied. Additionally, in our study, we have selected the <111> plane as the growth plane because of its excellent ability to act as a solar cell; the edge angle of the seeding was 54.7°. To facilitate model development, we divided the entire model into two smaller elements: the melt component and solid component.



Figure 1. Schematic diagram of the experimental configuration.



Figure 2. 2-D analysis model of our demonstrated machine.

2.1. Mathematic Model of Solid Part

2.1.1. Diffuse Growth Angle

As shown in Figure 3 $q_0(x)$ and q_{sx} are the characteristic heat extraction on the solidification surface which include the heat extraction via radiation, convention and conduction and heat exchange on solidification interface, respectively. θ_{111} is the growth angle, θ_{MS} is the diffuse growth angle, the diffuse growth length is x_L and the diffuse thickness is Δt . Under steady state conditions, we can have:

$$x_L \cdot q_{sx} = \rho \cdot h_{l/s} \cdot V_{sol} \cdot \Delta t \tag{1}$$

$$\tan \theta_{MS} = \Delta t / x_L \tag{2}$$

Substituting function (1) into (2), then we have:

$$\theta_{MS} = \tan^{-1} q_{sx} / (\rho \cdot h_{l/s} \cdot V_{sol}) \tag{3}$$



Figure 3. Mathematical model of solid part.

2.1.2. Pulling Rate

In past studies [9,12,15], the phase change energy conservation equation is given as follows:

$$V_{sol} \cdot \rho \cdot h_{l/s} \cdot t = (k_s \cdot G_s - k_m \cdot G_m) \cdot t \tag{4}$$

where k_s , G_s , k_m , G_m is heat conductivity and thermal gradient of silicon crystal and silicon melt respectively, where in all of the following subscripts of *s* and *m* denote solid and melt respectively. q_{ssx} and q_{ssy} are the horizontal and vertical component of heat extraction on solidification interface, respectively. Changing the thermal gradient into heat flux, then we have:

$$V_{sol} \cdot \rho \cdot h_{l/s} \cdot t = (q_{ssx} + q_{ssy} \cot \theta_{111}) \cdot t \cdot \cot \theta_{111}$$
(5)

$$V_{sol} = \frac{q_{ssx} + q_{ssy} \cdot \cot \theta_{111}}{\rho \cdot h_{l/s} \cdot V_{sol}}$$
(6)

2.1.3. Diffuse Growth Part

In our simulation, we know the diffuse angle θ_{MS} is quite small and we approximated the proportion of heat extraction $q_{sx}(x)$ at the diffuse growth interface as follows:

$$q_{sx}(x) = q_0(x) \cdot (A \cdot e^{-B \cdot x} + C) \tag{7}$$

where *A*, *B* and *C* is the coefficient for different initial thickness value, mathematical calculations are described in Appendix A. The diffuse growth thickness can be de defined as follows:

$$\Delta t(x) = \frac{q_0}{\rho \cdot h_{l/s} \cdot V} \cdot \left[(1-c) \cdot x + \frac{A}{B} \cdot (e^{-B \cdot x} - 1) \right]$$
(8)

As show in Figure 3, we divided the diffuse growth part into two parts: growth part ($0 \sim x_L mm$) and crystal part ($x_L \sim L_c mm$). In the paper presented by KMU [18], convection take the larger proportion than conduction what is defined by Péclet number. We considered the growth process as a one-dimensional thin finny heat transfer question. The heat transfer form at the solidification interface is heat conduction. So, the governing equation in growth part is:

$$\frac{\partial^2 T}{\partial x^2} - \frac{V_{sol}}{\alpha_s} \frac{\partial T}{\partial x} = \frac{q_{sx}(x)}{k_s \cdot t(x)}$$
(9)

where α_s , k_s is the thermal diffusivity and heat conductivity respectively. But in crystal part, oppositely, heat conduction is in charge. So the governing equation is given by:

Crystals 2018, 8, 36

$$\frac{\partial^2 T}{\partial x^2} - \frac{V_{sol}}{\alpha_s} \frac{\partial T}{\partial x} = \frac{h_s \cdot (T(x) - T_m)}{k_s \cdot t(x_L)}$$
(10)

where h_s is convective heat transfer coefficient of silicon.

2.1.4. Boundary Conditions of Solid Part

As we defined in Figure 2, diffuse part is in touch with melt silicon, so the left boundary condition of the diffuse part is:

$$T_{diffuse}(x=0) = T_m \tag{11}$$

Temperature and temperature variation is continuous at the junction of two parts, so the boundary condition for the connection is:

$$T_{diffuse}(x = x_L) = T_{cry}(x = x_L)$$
(12)

$$\frac{\partial T_{diffuse}(x_L)}{\partial x} = \frac{\partial T_{cry}(x_L)}{\partial x}$$
(13)

We assume a fixed boundary condition on the right:

$$T_{cry}(x \to \infty) = T_m \tag{14}$$

To simplify the computational process, we use a fixed boundary to replace this infinite boundary condition, then we have:

$$T_{cry}(x = L_c) = T_m - \Delta T \tag{15}$$

where ΔT is temperature variation at the right border.

2.2. Mathematic Model of Melt Part

Heat transfer process in melt part is similar as what in solid part. In the melt part, we combine the heat extraction caused by radiation between melt surface and cold sink, conduction from hot substrate and forced-convection via slot jet as a characteristic heat extraction q_m on the surface, so the governing equation is:

$$\frac{\partial^2 T_{melt}}{\partial x^2} + \mathbf{n} \cdot \frac{V}{\alpha_m} \cdot \frac{\partial T_{melt}}{\partial x} = \frac{q_m}{k_m \cdot t_0}$$
(16)

where q_m and α_m are the characteristic heat extraction on the surface of melt silicon and thermal diffusivity of melt silicon respectively. The characteristic heat extraction q_m is defined as followed:

$$q_m = q_0 - \frac{\Delta T_m}{R_m} + \mathbf{n} \cdot \frac{V}{\alpha_m} \cdot \frac{\partial T_{melt}}{\partial x} = \frac{q_m}{k_m \cdot t_0}$$
(17)

where ΔT_m , R_m is temperature variation and thermal resistance in melt silicon.

Boundary Conditions in Melt Part

As shown in Figure 2, melt silicon is discharged into the cold zone from the melt zone, and the temperature in the melt zone is always higher than melting temperature ($T_{hot} > T_{melt}$) to guarantee the melt will not freeze when it passes through thermal insulation layer. We assumed the melt part length is L_m , so the boundary condition is given as:

$$T_{liquid}(x = L_m) = T_m + \Delta T_m \tag{18}$$

$$T_{liquid}(x=0) = T_m \tag{19}$$

where ΔT_m is temperature variation in melt silicon.

2.3. Solidification Position

As we know, the solidification position is not a fixed point and it changes when working conditions change. To study how working conditions influence the solidification position, we use the phase change energy conservation equation to determine the solidification position in steady state with different working conditions. We change function (5) into heat gradient form again, then:

$$k_s \cdot G_s + q_0 \cdot \cot \theta_{111} + k_m \cdot G_m = \rho_c \cdot h_{l/s} \cdot V_{exp}$$
⁽²⁰⁾

where $h_{l/s}$ is the heat of fusion of silicon and V_{exp} is the pulling velocity we expect. Then we combine the solid part model with melt part model, the whole mathematic model is shown in Figure 4. We assume that the length of this control volume is L_c , then we can redefine L_c as follows:

$$L_c = x_{melt} + t_0 \cdot \cot \theta_{111} + x_{cold} \tag{21}$$

By iterative compute different x_{melt} , x_{cold} to satisfied function (21) under different q_0 , V_{exp} , we can determine the solidification position by function (22). All the values of the parameters we use can be found in Table 1.



Figure 4. Mathematic model of both solid and melt part.

Table 1. Furnace	heat transfer	parameters and	l material	properties used	d in al	l calculations.
		•				

Descriptions	Material	Symbol	Value	
Density (kg/m^3)	Silicon solid	ρ_s	2330	
	Silicon melt	ρ_m	2550	
Conductivity (w/mk)	Silicon melt	k_m	64	
	Silicon solid	k_s	28	
Melting temperature (K)	Silicon	T_m	1687	
Temperature variation (K)		ΔT	3.9	
Hear of fusion (J/mol)	Silicon	$h_{l/s}$	$5.06 imes 10^4$	
Growht angle (°)	Silicon	θ_{111}	54.7	
Diffuse growth angle (°)	Silicon	θ_{MS}	9	
Diffusivity (m^2/s)	Silicon solid	α_s	$2.33 imes10^{-5}$	
• · · ·	Silicon melt	α_m	$7.56 imes10^{-6}$	
Convective coefficient (w/m^2k)	Silicon with helium	h_s	64,864.9	
Thermal resistance (m^2k/w)	Silicon melt	R_m	$7.81 imes10^{-6}$	

3. Results and Discussion

3.1. Diffuse Growth Length

Numerous researchers have attempted to optimize heat extraction to achieve high and stable growth, and these researchers are in agreement that the heat extraction must rapidly dissipate

melting heat. Thus, in our simulation, we investigate the effects of surface heat extraction on the solidification interface, and the effects of various parameters on the growth process. In Figure 5a, the amount of heat exchange is shown to exponentially decrease with the increase of growth length, tending to 20% of q_0 . As is shown in Figure 5b, when the initial thickness is 50, 100, or 200 µm, the temperature nadir is consistently on the left side of a given stop-growth point. However, when the initial thickness is increased to 300 or 400 µm, the temperature nadir is located on the opposite side of the stop-growth point because the influence of heat convection decreases with increased initial thickness. By simultaneously considering the results of Figures 5b and 6a, it can be ascertained that the temperature nadir can be reliably obtained when the growth length is $x_{cold} \in (0.5, 1)$ mm; this means that the final thickness can be constrained to $1.2t_0$. Furthermore, the temperature increases subsequent to reaching the nadir, which indicates the generation of a negative thermal gradient and a diffuse growth pattern.



Figure 5. (a) Relationship between percentage of heat extracted from surface and diffuse growth length with different initial thickness ($q_0 = 120 \text{ W/cm}^2$, $V_{exp} = 2 \text{ mm/s}$). (b) Relationship between normalized minimum temperature position (x_{min}/x_L) and diffuse growth length with different initial thicknesses. (c) Under the same working condition with (a), the relationship between diffuse growth rate $\Delta t/t_0$ and growth length with different initial thickness. (d) Under the same working condition with (a), relationship between diffuse growth thickness and growth length with different initial thickness.

In Figure 5c,d, the growth rate $(\Delta t/t_0)$ and thickness variation Δt as respective functions of the length of the diffuse growth are given. As was expected, higher growth rates corresponded to lesser thickness and increased thickness variation. Here, the growth rate can be described as rapid when the initial thickness is small. Moreover, it can be seen that varying the initial thickness yields no significant impact on the thickness variation. A substantial change in growth length at the solidification interface was found to significantly affect the growth process, because this large change means that an increased amount of latent heat is extracted, and there is a heat imbalance. The results obtained via the study described in this section do not agree with a finding presented by Dagglou [15], as the simulation performed in this study demonstrated that a long interface may facilitate heat dissipation while also

disrupting growth stability as a result of the meniscus growing in size and consequently restricting the length of silicon growth. Although sufficient heat extraction is necessary because the thickness is dependent on the rate of heat extraction, the interface length must be controlled to ensure that changes to the shape of the interface will be minimized, as this would mandate a higher heat extraction rate on the surface or a smaller initial thickness.



Figure 6. (a) Relationship between thermal profile in melt and different length with different initial thickness. (b) Influence to thermal profile in melt caused by different boundary temperature when initial thickness $t_0 = 200 \ \mu m$.

3.2. Temperature Boundary Layer

To understand the influence of the length of melt located in the cold zone, we calculated the temperature gradient in only the melt component, and assumed that the heat exchange amount was uniform on the surface of the melt. As is shown in Figure 6a, the degree of undercooling and size of the thermal gradient were each found to have a directly proportional relationship with the length of the melt component. Additionally, although indirectly proportional, a negative thermal gradient was observed to form about the solidification point as the length of the melt decreased. Here, it can be seen that the growth length maximum was 2 mm when the heat extraction rate was 120 W/cm^2 , indicating that there is no undercooling for lengths less than 2 mm. Additionally, we also found that the extent at which undercooling varies is dependent on the initial thickness value; this is because latent heat extraction decreases as the thickness is decreased, and the amount of surface heat extraction decreases as a result of reduced latent heat released through the surface. Galvin [19] reported that undercooling is dependent on the solidification velocity. Additionally, it has been previously reported that the solidification velocity increases as the undercooling temperature is increased, and that a high nucleation rate is helpful to generate smaller grains, whereas quasi-crystal and non-crystal silicon are generated when the undercooling temperature exceeds 225 K [20]. Thus, in this study, we have proposed a method to determine the limitations on melt length and the optimal growth length that would yield an appropriately sized silicon grain. As is shown in Figure 6b, under the conditions of a rate of heat extraction on the surface of 120 W/cm², and an initial thickness value of 200 µm, the thermal gradient increased and the undercooling temperature decreased as the boundary temperature increased. It should also be noted that there was no undercooling in the melt when the boundary temperature exceeded 1427 °C. These findings thus provide further insight into how the length and boundary temperature influence the thermal profile in the melt. Furthermore, this numerical simulation demonstrated optimized behavior of the designed device.

3.3. Heat Exchange Amount and Pulling Velocity

In this section, we employed MATLAB (The MathWorks, Inc., Natick, MA, USA) to implement Function 21 to estimate the solidification position for varying amounts of heat exchange q_0 and pulling velocity v_{exp} . As is illustrated in Figure 7a,b, when the heat extraction rate increased, the solidification position shifted leftward, whereas it shifted rightward as the rate decreased. Conversely, the solidification position was found to shift rightward in response to an increase in pulling velocity, and leftward in response to a decrease in pulling velocity. These findings are consistent with those presented by Oliveros [12], which confirmed that slowly pulling the seed results in the formation of an excessive amount of ice near the lip of the bath, whereas extracting the seed too quickly would result in the ice becoming detached from the flat piece serving as a nucleation point. The amount of moving distance is similar as those presented by Helenbrook [21]. Figure 7c shows that the solidification position shifted to the right as the heat extraction rate on the surface decreased, and that the thermal gradient increased in the solid component while decreasing in melt component. From Figure 7d, it can be ascertained that the thermal gradient in the solid component was not influenced by a change in pulling velocity, whereas the thermal gradient increased as a result of the solidification position shifting to the right. This is because the freezing velocity is related to the degree of undercooling [10]; the change in melt length can modify the thermal profile to maintain heat balance. Via this simulation, we discovered that the direction and amount of positional shifting varied according to changes in velocity. In a continuous growth process, although the pulling velocity can be precisely controlled, it is difficult to regulate the rate of heat extraction; moreover, because pulling velocity is fixed as the rate of heat extraction fluctuates, the thickness varied because of a fluctuating freezing velocity. Lastly, it was found that the growth process will be disrupted because the freezing velocity, shape of the interface, and precipitated latent heat are continuously changing. If a stable heat extraction rate on the surface is assumed and the pulling velocity is increased, the solidification position will remain temporarily fixed as a result of the delayed heat transfer; this means that the length of the melt cannot be increased in that moment. Thus, to restabilize the system, the thickness will be reduced, thereby inducing shape change.



Figure 7. For different initial thicknesses, the change of solidification position with (**a**) heat extraction on surface, (**b**) pulling velocity. The change of thermal profile with (**c**) heat extraction and (**d**) pulling velocity ($t_0 = 200 \ \mu$ m).

4. Conclusions

In this paper, we have presented the development of a novel numerical HRG model. We have provided a qualitative description of our method, and discussed the development of a numerical model that couples heat transfer and impingement heat transfer that is based on phase-change energy conservation to better understand the variables associated with HRG systems and how these variables change as a result of implementation of the proposed method. Furthermore, we have presented theory supporting our proposal that our method can precisely regulate the thickness of the meniscus. We also demonstrated the influence of melt length and boundary temperature on the melt, and the effects of a fluctuating heat extraction rate and pulling velocity on the solidification position. Lastly, we have provided an explanation for unstable growth.

Acknowledgments: This study was supported by the National Natural Science Foundation of China (Grant No. 51335002) and Priority Academic Program Development of Jiangsu Higher Education Institutions.

Author Contributions: Jianning Ding and Ningyi Yuan conceived the study concepts and experiments; Dapeng Shen performed the experiments; Jiawei Xu analyzed the data; Tao Sun contributed analysis tools; Jianning Ding, Ningyi Yuan and Jiawei xu wrote the paper.

Conflicts of Interest: The authors declare no conflict of interest. The manuscript has not been published or presented elsewhere in part or in entirety and is not under consideration by another journal. We have read and understood your journal's policies, and we believe that neither the manuscript nor the study violates any of these. The founding sponsors had no role in the design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, and in the decision to publish the results

Appendix A

Starting from expression function (8), we can easily know that the diffuse growth angle must be less than 9° when we substitute the expected pulling rate into function (3). So we use 9° as the characteristic angle among the following calculation. The value of θ_{MS} is small which lead to growth direction is nearly orthogonality to pulling direction and only a quiet small ratio of heat can escape from horizontal direction. But heat extraction become larger when verge on the 'shape' face. Now we consider a thermal resistance question, as show in Figure A1, L_{ls} , L_{ms} is the beeline to 'shape' face and 'diffuse' face respectively and $x_{critial}$ is a point to distinguish.

when $x > x_{critial}$

$$L_{ls}(x) = \sqrt{(x^2 + t_0)},$$
 (A1)

when $x < x_{critial}$

$$L_{ms}(x) = \frac{t_0}{\cos \theta_{MS}} + (x - t_0 \cdot \tan \theta_{MS}) \cdot \sin \theta_{MS},$$
(A2)

$$L_{ls}(x) = (x + t_0 \cdot \cot \theta_{111}) \cdot \sin \theta_{111}, \tag{A3}$$

The thermal resistances from surface to 'shape' face and 'diffuse' face are in direct proportion to $L_{ms}(x)$ and $L_{ls}(x)$ respectively. The heat extracted from these two faces is also in direct proportion to $L_{ms}(x)$ and $L_{ls}(x)$. We can use the following function to express the relationship between heat extraction from 'diffuse' face and the total heat extraction.

$$\frac{q_{sx}(x)}{q_0(x)} = \frac{l_{MS}(x)}{l_{LS}(x) + l_{MS}(x)},\tag{A4}$$

Substituting different initial value of thickness into function (A5), we can know the relationship clearly by Figure 6a. And we fitted the figure with the curve fitting tool in MATLAB by the following fitting function:

$$y = a * e^{-b*x} + c, \tag{A5}$$

We chose the L~M arithmetic to make the fitting result more reliable, the fitted coefficients of *A*, *B*, *C* are showed in Table A1. In this table, we can know the value of *A* and *C* are not sensitive to the

change of initial value of thickness t_0 , but the value of *B* is changed when thickness change. We earn the relationship between *B* and t_0 use the same method with MATLAB, and we have that:

$$B = 9.814 \cdot e^{-0.01996 \cdot t} + 3.525 \cdot e^{-0.001699t}, \tag{A6}$$

To express the function (9), we need to use the mathematical description that used by Oliveros [12].

$$k_s \frac{\partial T_s}{\partial x} - k_l \frac{\partial T_l}{\partial x} = \rho_s \Delta H \frac{\partial I}{\partial t},\tag{A7}$$

We transformed function (A8) to make it adapt to our steady state question as following

$$\rho_s \Delta H \frac{d\Delta t(x)}{dx} = q_0(x) - q_{sx}(x), \tag{A8}$$

Integrating function (A9) then we have

$$\Delta t(x) = \frac{q_0}{\rho h_{l/s} V} \left[(1 - C) \cdot x + \frac{A}{B} (e^{-Bx} - 1) \right],$$
(A9)

$$t(x) = \Delta t + t_0, \tag{A10}$$

In order to obtain the possible solution for this crystal growth, we made some approximate within a reasonable range to simplify and smooth the calculate process.

Table A1. Coefficients for different initial thickness.

Thickness (µm)	50	100	200	300	400
Α	0.401	0.3975	0.38	0.361	0.341
В	6.858	4.303	2.712	2.111	1.806
С	0.1764	0.201	0.233	0.243	0.261



Figure A1. Distance between the surface of the ribbon and the respective solidification interfaces control the relative amount of heat flow at each interface.

References

- 1. Ciszek, T.F. Techniques for the crystal growth of silicon ingots and ribbons. J. Cryst. Growth 1984, 66, 655–672. [CrossRef]
- Narayanan, M.; Ciszek, T. Silicon Solar Cells: Materials, Devices, and Manufacturing. In Springer Handbook of Crystal Growth; Springer-Verlag Berlin Heidelberg Press: London, UK, 2010; pp. 1701–1718.
- 3. Kudo, B. Improvements in the Horizontal Ribbon Growth technique for single crystal silicon. *J. Cryst. Growth* **1980**, *50*, 247–259. [CrossRef]

- 4. Helenbrook, B.T.; Kellerman, T.; Carlson, F.; Desai, N.; Sun, D. Experimental and numerical investigation of the horizontal ribbon growth process. *J. Cryst. Growth* **2016**, 453, 163–172. [CrossRef]
- 5. Hoyler, G.; Falckenberg, R.; Grabmaier, J.G.; Freienstein, B. Fast Si sheet growth by the horizontal supported web technique. *J. Cryst. Growth* **1986**, *79*, 572–577. [CrossRef]
- 6. Lange, H.; Schwirtlich, I.A. Ribbon Growth on Substrate (RGS)—A new approach to high speed growth of silicon ribbons for photovoltaics. *J. Cryst. Growth* **1990**, *104*, 108–112. [CrossRef]
- 7. William, S. Process for Growing Single Crystals. U.S. Patent 3,031,275, 24 April 1962.
- 8. Bleil, C.E. A new method for growing crystal ribbons. J. Cryst. Growth 1969, 5, 99–104. [CrossRef]
- 9. Kellerman, P.; Kernan, B.; Helenbrook, B.T.; Sun, D.; Sinclair, F.; Carlson, F. Floating Silicon Method single crystal ribbon—Observations and proposed limit cycle theory. *J. Cryst. Growth* **2016**, 451, 174–180. [CrossRef]
- 10. Kellerman, P. *Floating Silicon Method*; Applied Materials-Varian Semiconductor Equipment: Gloucester, MA, USA, 2013.
- 11. Zoutendyk, J.A. Theoretical analysis of heat flow in horizontal ribbon growth from a melt. *J. Appl. Phys.* **1978**, *49*, 3927–3932. [CrossRef]
- 12. Oliveros, G.A.; Wang, R.; Seetharaman, S.; Ydstie, B.E. Modeling and laboratory scale proof of concept of the horizontal ribbon growth process: Application to silicon wafer manufacturing. In Proceedings of the 38th IEEE Photovoltaic Specialists Conference, Austin, TX, USA, 3–8 June 2012.
- 13. Oliveros, G.A.; Church, J.; Sridhar, S.; Ydstie, B.E. Analysis of the meniscus in the horizontal ribbon growth process. In Proceedings of the 40th Photovoltaic Specialists Conference IEEE, Denver, CO, USA, 8–13 June 2014.
- 14. Oliveros, G.A.; Sridhar, S.; Ydstie, B.E. Existence and static stability of the meniscus in horizontal ribbon growth. *J. Cryst. Growth* **2015**, *411*, 96–105. [CrossRef]
- 15. Daggolu, P. Thermal-Capillary Analysis of the Horizontal Ribbon Growth of Solar Silicon. Ph.D. Thesis, University of Minnesota, Minneapolis, MN, USA, 2013.
- 16. Daggolu, P.; Yeckel, A.; Derby, J.J. An analysis of segregation during horizontal ribbon growth of silicon. *J. Cryst. Growth* **2014**, *390*, 80–87. [CrossRef]
- 17. Daggolu, P.; Yeckel, A.; Bleil, C.; Derby, J.J. Stability limits for the horizontal ribbon growth of silicon crystals. *J. Cryst. Growth* **2013**, *363*, 132–140.
- 18. Ke, J.; Khair, A.S.; Ydstie, B.E. The effects of impurity on the stability of Horizontal Ribbon Growth. *J. Cryst. Growth* **2017**, *480*, 34–42. [CrossRef]
- 19. Galvin, G.J.; Mayer, J.W.; Peercy, P.S. Solidification kinetics of pulsed laser melted silicon based on thermodynamic considerations. *Appl. Phys. Lett.* **1985**, *46*, 644–646. [CrossRef]
- 20. Narayan, J.; Brown, W.L.; Ross, A. *Laser-Solid Interactions and Transient Thermal Processing of Materials*; Elsevier Science Press: North-Holland, The Netherlands, 1983.
- 21. Helenbrook, B.T.; Barlow, N.S. Spatial-temporal stability analysis of faceted growth with application to horizontal ribbon growth. *J. Cryst. Growth* **2016**, *454*, 35–44. [CrossRef]



© 2018 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (http://creativecommons.org/licenses/by/4.0/).