

## Article

# Influence of Defects in Boron Nitride Nanotubes in the Adsorption of Molecules. Insights from B3LYP-D2\* Periodic Simulations

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**Abstract:** The adsorption of  $\text{H}_2\text{O}$ ,  $\text{NH}_3$  and  $\text{HCOOH}$  as polar molecules and  $\text{C}_6\text{H}_6$  and  $\text{CH}_4$  as non-polar ones on a series of zig-zag (6,0) single-walled boron nitride nanotubes (BNNTs) both being defect-free (P\_BNNT) and containing defects at the nanotube walls has been studied by means of B3LYP-D2\* periodic calculations. We focused on defects derived from monovacancies of B (N-rich\_BNNT) and N (B-rich\_BNNT) atoms and also on Stone-Wales defects (SW\_BNNT). The adsorption of polar molecules with defective BNNTs is generally based on dative interactions and H-bonding, and their adsorption energies strongly depend on the type of BNNT. N-rich\_BNNT is the most reactive nanotube towards adsorption of polar molecules, as in all cases deprotonation of the polar molecules is spontaneously given upon adsorption. The strength in the adsorption energies is followed by B-rich\_BNNT, SW\_BNNT and P\_BNNT. Adsorption of non-polar molecules is mainly dictated by dispersion interactions, and, accordingly, the adsorption energies are almost constant for a given molecule irrespective of the type of nanotube.

**Keywords:** DFT; periodic simulations; boron nitride nanotubes; vacancies; Stone-Wales defect; adsorption

## 1. Introduction

Boron nitride nanotubes (BNNTs) are isosteres and structurally similar to carbon nanotubes (CNTs), in which alternating B and N substitute for C atoms. BNNTs were first theoretically predicted in 1994 [1,2] and then successfully synthesized in 1995 [3] by adopting various techniques for the growth of CNTs. BNNTs are electrical insulators with an almost constant band gap of *ca.* 5.5 eV, which is practically independent of their morphology, diameter, helicity and concentric layers. This is at variance with CNTs, which exhibit metallic or semiconducting characters, which in turn strongly depend on the tubular geometry features.

BNNTs possess attractive physical and chemical properties; *i.e.*, high chemical stability, high oxidation resistance, excellent mechanical properties and high thermal conductivity, that render them as appropriate candidates to be used as functional composites [4], nanoinsulating covers [5], gas accumulators [6,7], and can be valuable nanodevices working in hazardous and extreme environments. Excellent recent reviews focused on the structure, synthesis and applications of BNNTs are available [8–10]. However, the stable band gap and the low reactivity towards molecule adsorption (which essentially takes place via physisorption) may impose some limitations for extensive applications of BNNTs, for instance, as nanoelectro-optical devices. Thus, modifying its electronic and structural properties has a potential impact on these additional applications. One effective way to achieve this goal is to introduce defects at the BNNT walls, which in turn are inevitable in reality. Indeed, BNNTs are not defect-free absolutely. The conditions under which BNNTs are

synthesized (e.g., arc-discharge, laser ablation and chemical vapor deposition) can certainly promote the appearance of native defects. There are different classes of defects: (i) those related to substitutional impurities; *i.e.*, C, Si or O atoms substitute the natural B and N atoms; (ii) those related to the presence of vacancies, which can be monovacancies and divacancies; (iii) antisite substitutions, in which adjacent B and N atoms exchange positions; and (iv) Stone-Wales defects [11], a topological defect involving a change in the connectivity of a B-N pair due to a rotation of the midpoint of the pair. Some of them have been identified experimentally [12,13].

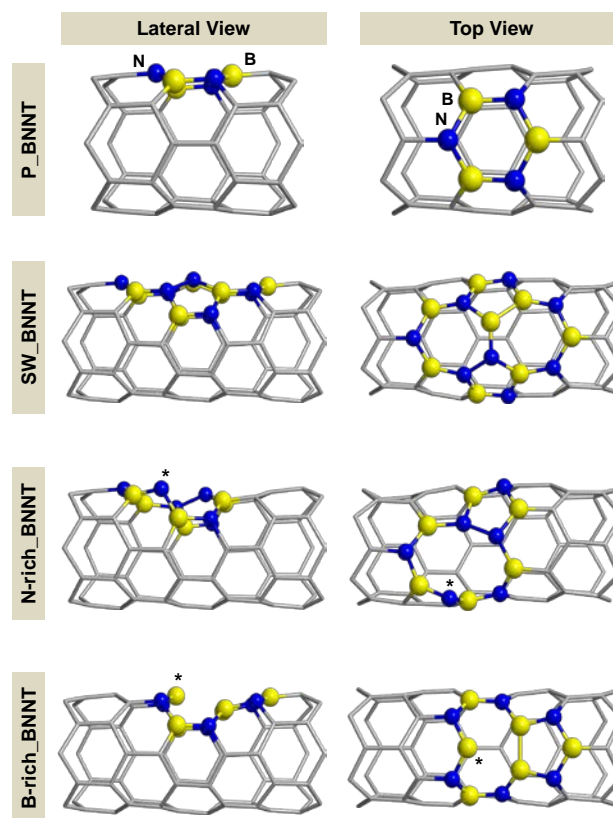
Several theoretical investigations have studied the physico-chemical features of defective BNNTs, in particular those related to vacancies and Stone-Wales defects [12,14–22]. These works were generally focused on the geometries, formation energies, electronic properties and reactivity of BNNTs containing Stone-Wales defects and vacancies. The presence of Stone-Wales defects induces a slight band gap reduction, whereas the presence of vacancies dramatically reduce the band gap, in which in some cases render the nanotubes as conductors. Reactivity near the defects is higher than that in perfect BNNTs; *i.e.*, the adsorption energies of small molecules is larger, in which, in some cases, molecule dissociation takes place upon adsorption on the defective sites.

To the best of our knowledge, adsorption and surface reactivity on defective BNNTs has exclusively been studied for their interaction with H<sub>2</sub>, O<sub>2</sub>, CO, H<sub>2</sub>O and NH<sub>3</sub> as probe molecules, in which, moreover, different structural models for BNNTs and different DFT methods were employed. The purpose of this work is to present a comprehensive theoretical study based on periodic B3LYP-D2\* calculations on the adsorption of different polar (H<sub>2</sub>O, NH<sub>3</sub> and HCOOH) and non-polar (C<sub>6</sub>H<sub>6</sub> and CH<sub>4</sub>) probe molecules on zig-zag (6,0) single-walled BNNTs that contain Stone-Wales defects and boron and nitrogen monovacancies. A comparison of the adsorption of the probe molecules between defective and perfect BNNTs is also provided, which allows us to disentangle the influence of BNNT wall defects in surface reactivity.

## 2. Results and Discussion

### 2.1. Models for Perfect and Defective BNNTs

Figure 1 shows the periodic models of the BNNT structures used in this work. P\_BNNT refers to a defect-free (*i.e.*, perfect) (6,0) BNNT with its regular hexagonal arrangements. SW\_BNNT refers to the nanotube that contains Stone-Wales (SW) defect. SW defects are topological irregularities in which a given B-N bond rotates 90 degrees, converting the four adjacent six member rings of a pyrene-like region into two pentagon/heptagon pairs (with notation 5-7-7-5). Theoretical calculations point out that the 5-7-7-5 SW arrangements, despite having homoelemental B-B and N-N bonds, are more favorable than the 4-8-8-4 alternatives [23]. The 5-7-7-5 SW arrangement modelled in the present work has been reported as that requiring the lowest formation energy among different 5-7-7-5 possibilities [17]. N-rich\_BNNT refers to a BNNT that presents a vacancy due to the removal of one B atom from the perfect BNNT. Structural reconstruction undergone by the N-rich\_BNNT upon geometry optimization leads to the formation of an N–N bond, giving rise to a pentagon/nonagon pair. Interestingly, the nine-member ring contains a divalent N atom significantly exposed to an outermost position of the external wall (labeled by an asterisk), which may exhibit a significant basic character. Finally, B-rich\_BNNT refers to a BNNT with the vacancy of one N atom; *i.e.*, one N atom was removed from the perfect BNNT. Geometry reconstruction of the B-rich\_BNNT brings associated with a B-B bond formation, thus resulting in the formation of a pentagon/nonagon pair. Remarkably, the optimized geometry of the B-rich\_BNNT has a coordinatively unsaturated B atom (labeled by an asterisk) very exposed to the BNNT walls, which may be a strong Lewis site.



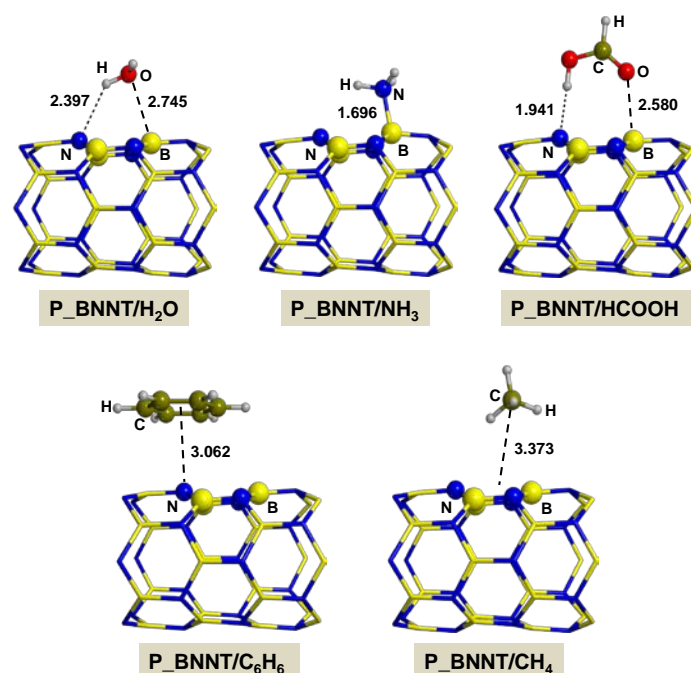
**Figure 1.** Lateral and top views of the different zig-zag (6,0) boron nitride nanotubes (BNNTs) used in this work: defect-free (P\_BNNT); with a Stone-Wales defect (SW\_BNNT); with a boron monovacancy (N-rich\_BNNT); and with a nitrogen monovacancy (B-rich\_BNNT). N-rich\_BNNT exhibits an N atom very exposed to the BNNT wall and B-rich\_BNNT a coordinatively unsaturated B atom, which are highlighted with an asterisk (see text).

The presence of these defects induces dramatic changes in the electronic properties with respect to the perfect BNNT. The calculated direct band gap of the perfect (6,0) BNNT is 4.42 eV, of the SW\_BNNT is slightly lower; *i.e.*, 4.38 eV, whereas both the N-rich and the B-rich BNNTs are metallic; namely, there is an overlap between their valence and conduction bands. These electronic variations were already reported in previous theoretical works [12,14,17,22].

## 2.2. Features on the Adsorption of Probe Molecules

### 2.2.1. On P\_BNNT

Figure 2 shows the B3LYP-D2\* optimized geometries of the probe molecules in interaction with P\_BNNT. Table 1 reports the calculated adsorption energies ( $\Delta E_{\text{ads}}$ ), including the contribution of the pure potential energies ( $\Delta U$ ) and the contribution of the dispersion interactions ( $\Delta E_{\text{D}}$ ) to the total  $\Delta E_{\text{ads}}$ , and also the calculated Mulliken charges ( $Q$ ) and the direct band gap ( $E_{\text{g}}$ ) of the adducts. These data were already reported by some of us [24].



**Figure 2.** B3LYP-D2\* optimized structures of the probe molecules interacting with P\_BNNT. Bond distances are in Å. The distances for P\_BNNT/C<sub>6</sub>H<sub>6</sub> are those between the centroid of the aromatic ring and the plane defined by the closest BN hexagon ring; for CH<sub>4</sub>, they are between the C atom and the plane defined by the closest BN hexagon ring.

**Table 1.** Calculated adsorption energies ( $\Delta E_{\text{ads}}$ ), including the pure potential energy contribution ( $\Delta U$ ) and the contribution of dispersion ( $\Delta E_{\text{D}}$ ), of the probe molecules on the different BNNTs. The calculated Mulliken charges (Q) of the probe molecules adsorbed on the BNNTs, and calculated direct band gaps ( $E_{\text{g}}$ ) of the adducts are also included.

Complex	$\Delta U$	$\Delta E_{\text{D}}$	$\Delta E_{\text{ads}}$	Q (e)	$E_{\text{g}}$ (eV) <sup>2</sup>
P_BNNT/H <sub>2</sub> O	−2.3	−3.6	−5.9	0.03	4.41
P_BNNT/NH <sub>3</sub>	−10.5	−5.1	−15.6	0.29	4.45
P_BNNT/HCOOH	−3.5	−5.5	−9.0	−0.03	4.42
P_BNNT/C <sub>6</sub> H <sub>6</sub>	+3.8	−12.8	−9.0	−0.02	4.40
P_BNNT/CH <sub>4</sub>	+1.0	−4.0	−3.0	−0.02	4.42
SW_BNNT/H <sub>2</sub> O	−2.4	−3.3	−5.7	−0.01	4.36
SW_BNNT/NH <sub>3</sub>	−14.1	−4.4	−18.5	0.33	4.02
SW_BNNT/HCOOH	−5.8	−5.7	−8.5	0.17	4.08
SW_BNNT/C <sub>6</sub> H <sub>6</sub>	+3.6	−12.1	−8.5	−0.02	4.36
SW_BNNT/CH <sub>4</sub>	+1.3	−4.1	−2.8	−0.02	4.37
N-rich_BNNT/H <sub>2</sub> O	−93.7	−0.4	−94.1	−0.13 <sup>1</sup>	conductor
N-rich_BNNT/NH <sub>3</sub>	−86.7	−1.3	−88.0	−0.05 <sup>1</sup>	conductor
N-rich_BNNT/HCOOH	−84.9	−4.0	−88.9	−0.23 <sup>1</sup>	conductor
N-rich_BNNT/C <sub>6</sub> H <sub>6</sub>	+3.6	−13.1	−9.5	0.03	conductor
N-rich_BNNT/CH <sub>4</sub>	+0.9	−4.0	−3.1	−0.03	conductor
B-rich_BNNT/H <sub>2</sub> O	−12.0	−3.8	−15.8	0.31	conductor
B-rich_BNNT/NH <sub>3</sub>	−28.7	−5.2	−33.9	0.41	conductor
B-rich_BNNT/HCOOH	−23.5	−7.5	−31.0	−0.22 <sup>1</sup>	conductor
B-rich_BNNT/C <sub>6</sub> H <sub>6</sub>	+3.2	−12.6	−9.4	0.03	conductor
B-rich_BNNT/CH <sub>4</sub>	+1.0	−3.7	−2.7	−0.01	conductor

<sup>1</sup> In these complexes the acidic proton of the probe molecule is transferred to the BNNTs, and accordingly Q has been obtained with the calculated Mulliken charges of the atoms of the deprotonated anions. <sup>2</sup> The calculated band gap of the bare (6,0) P\_BNNT is 4.42 eV, of the bare (6,0) SW\_BNNT is 4.38 eV, and the bare (6,0) N-rich\_BNNT and B-rich\_BNNTs are conductors.

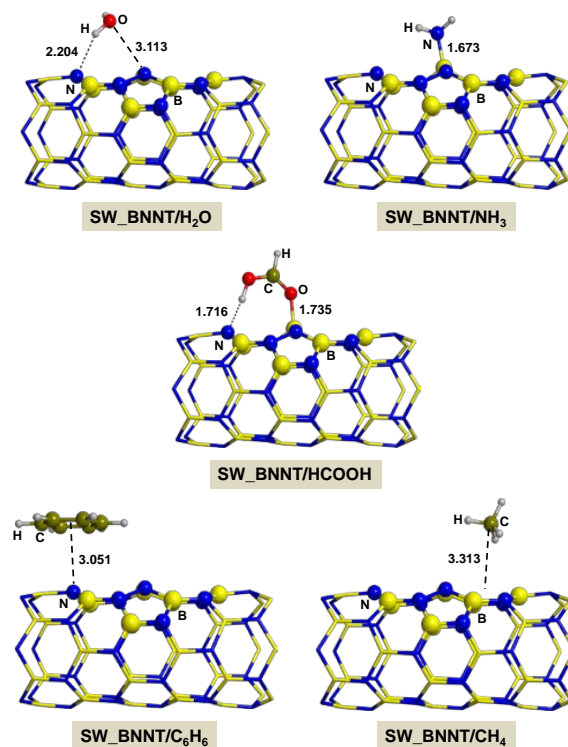
Among the polar molecules, the most stable adduct is P\_BNNT/ $\text{NH}_3$  ( $\Delta E_{\text{ads}} = -15.6 \text{ kcal}\cdot\text{mol}^{-1}$ ). It presents a covalent dative bond between a B atom of the nanotube and the N of  $\text{NH}_3$ . Analysis of the  $Q$  values indicate a charge transfer from  $\text{NH}_3$  to P\_BNNT of  $0.29 e$  (see Table 1), thus confirming the dative nature of the interaction. This complex is energetically followed by P\_BNNT/ $\text{HCOOH}$  and P\_BNNT/ $\text{H}_2\text{O}$  ( $\Delta E_{\text{ads}} = -9.0$  and  $-5.9 \text{ kcal}\cdot\text{mol}^{-1}$ , respectively). Both systems are based on dispersive forces and also on weak dative and hydrogen-bond (H-bond) interactions, which, according to the calculated  $\Delta E_{\text{ads}}$  values and the optimized structural parameters in P\_BNNT/ $\text{H}_2\text{O}$ , are weaker than in P\_BNNT/ $\text{HCOOH}$ .

The adsorption of  $\text{C}_6\text{H}_6$  on P\_BNNT is more favorable than  $\text{CH}_4$  ( $\Delta E_{\text{ads}} = -9.0$  and  $-5.9 \text{ kcal}\cdot\text{mol}^{-1}$ , respectively). For these cases, the adsorption mechanism is essentially dictated by dispersion interactions. The intermolecular distances (about  $3 \text{ \AA}$ ) are also consistent with an interaction driven by dispersion. Dispersion forces are stronger in P\_BNNT/ $\text{C}_6\text{H}_6$  than in P\_BNNT/ $\text{CH}_4$  because, in the former, the interaction is via a  $\pi$ -stacking mechanism, while in the latter through a  $\text{CH}-\pi$  mechanism. The calculated  $\Delta U$  values are in both cases positive, so these complexes are stable due exclusively to dispersion (the  $\Delta E_{\text{D}}$  values are negative, see Table 1).

For all the computed complexes, the interaction of the molecules does not induce any modification to the band gap of P\_BNNT; *i.e.*, it remains almost unaltered, in agreement with the robust band gaps exhibited by perfect BNNTs.

### 2.2.2. On SW\_BNNT

Figure 3 shows the complexes formed when the probe molecules interact with SW\_BNNTs, and Table 1 reports the calculated adsorption energies and their contributions, and the calculated  $Q$  and  $E_{\text{g}}$  values.



**Figure 3.** B3LYP-D2\* optimized structures of the probe molecules interacting with SW\_BNNT. Bond distances are in  $\text{\AA}$ . The distances for SW\_BNNT/ $\text{C}_6\text{H}_6$  are that between the centroid of the aromatic ring and the plane defined by the closest BN heptagon ring; for  $\text{CH}_4$ , they are between the C atom and the plane defined by the closest BN heptagon ring.

The geometry features of the SW\_BNNT/ $\text{NH}_3$  are similar to those for P\_BNNT/ $\text{NH}_3$ ; *i.e.*,  $\text{NH}_3$  adsorbs on SW\_BNNT with dative interactions, in which a  $\text{B}_{(\text{BNNT})}\text{-N}_{(\text{NH}_3)}$  covalent bond is established. The interaction of HCOOH with SW\_BNNT (the SW\_BNNT/HCOOH complex) is also due to a dative bond, in this case between the CO group of HCOOH and a B atom of the nanotube, and also to an H-bond between the OH of HCOOH and an N atom of the nanotube. Accordingly, the nature of the adsorption of HCOOH on SW\_BNNT is different to on P\_BNNT, as in the latter case dispersion is the main adsorption mechanism. In contrast,  $\text{H}_2\text{O}$  adsorption on SW\_BNNT is similar to that on P\_BNNT; *i.e.*, dative and H-bond interactions are actually weak ( $\Delta U = -2.4 \text{ kcal mol}^{-1}$ ). The calculated adsorption energies are in agreement with all features; *i.e.*, SW\_BNNT/ $\text{NH}_3$  presents the most favorable adsorption energies, which are followed by SW\_BNNT/HCOOH and SW\_BNNT/ $\text{H}_2\text{O}$  ( $\Delta E_{\text{ads}} = -18.5$ ,  $-11.5$  and  $-5.7 \text{ kcal mol}^{-1}$ , respectively). These values also indicate that the interaction of  $\text{NH}_3$  and HCOOH on the SW defects is somewhat more favorable than when interacting with P\_BNNT (about  $2\text{--}3 \text{ kcal mol}^{-1}$  more stable), which is mainly due to a more favorable  $\Delta U$  term. The calculated charges also point out in this direction (Q values are larger in the SW\_BNNT complexes than in the P\_BNNT complexes, see Table 1). However, this is not applicable to  $\text{H}_2\text{O}$  interaction. The calculated  $\Delta E_{\text{ads}}$  values are similar in SW\_BNNT/ $\text{H}_2\text{O}$  and P\_BNNT/ $\text{H}_2\text{O}$ , with similar  $\Delta U$  and  $\Delta E_{\text{D}}$  contributions. Thus, presence of SW defects does not affect the adsorption of one water molecule, probably due to the low donor electron character of the O atom of  $\text{H}_2\text{O}$  upon BNNT adsorption.

Adsorption of  $\text{C}_6\text{H}_6$  and  $\text{CH}_4$  on SW\_BNNT takes place through dispersion forces, and, accordingly, the structures and energetics of the SW\_BNNT/ $\text{C}_6\text{H}_6$  and SW\_BNNT/ $\text{CH}_4$  adducts are similar to the P\_BNNT-analogues. That is, the adsorption of  $\text{C}_6\text{H}_6$  takes place via  $\pi$ -stacking interactions and of  $\text{CH}_4$  via CH- $\pi$  interactions. Accordingly, the former molecule presents more favorable  $\Delta E_{\text{ads}}$  values than the latter one ( $-8.5$  and  $-2.8 \text{ kcal mol}^{-1}$ ), which in turn are very similar to the corresponding P\_BNNT-analogue complexes.

For all adducts, the interaction of these molecules induces a slight decrease of the band gap of the SW\_BNNT. The largest decrease is given by the most interacting molecules; *i.e.*, upon  $\text{NH}_3$  and HCOOH adsorption (a reduction of about  $0.36$  and  $0.30 \text{ eV}$ , respectively), while for the other molecules the reduction is of about  $0.02 \text{ eV}$  (see Table 1).

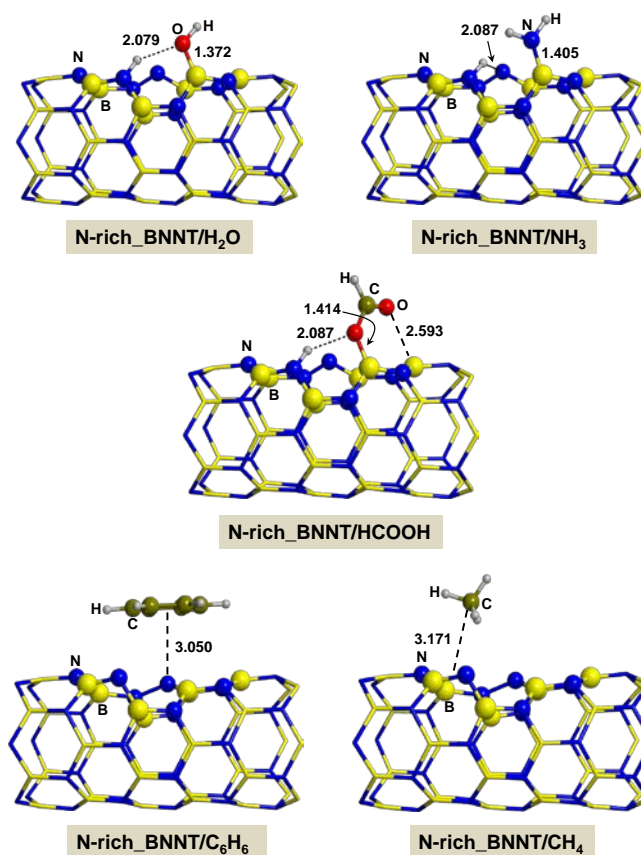
### 2.2.3. On N-rich\_BNNT

The optimized structures of the complexes formed by interaction of the probe molecules with the N-rich\_BNNT model are shown in Figure 4, whereas Table 1 reports their energetic features and the calculated Q and  $E_{\text{g}}$  values.

All of the polar molecules interacting with this defective nanotube have a common feature: they become deprotonated species upon adsorption (see N-rich\_BNNT/ $\text{H}_2\text{O}$ , N-rich\_BNNT/ $\text{NH}_3$  and N-rich\_BNNT/HCOOH). Indeed, the direct interaction between the polar molecules and the nanotube takes place between the O ( $\text{H}_2\text{O}$ ), N ( $\text{NH}_3$ ) and CO (HCOOH) donor electron moieties and the B atom through a dative covalent bond. The interaction is also associated with a large charge transfer, which promotes a significant increase of the acidity of the protons of the molecules. These acidic-enhanced protons are close to a very basic N atom exposed to the wall of the nanotube (see above), and, during the geometry optimization process, a spontaneous proton transfer from the polar molecules to this N atom takes place. For these systems, Q values were calculated considering the atoms of the anionic species (*i.e.*,  $\text{OH}^-$ ,  $\text{NH}_2^-$  and  $\text{HCOO}^-$ ), which for all the cases are negative, thus attesting to a net charge transfer from the anionic species to the nanotube and indicating that electrostatic interactions are also operating in the binding mechanism. Proton transfers of this kind have also been reported in other works [24–26]. The calculated adsorption energies are large and very negative (they are indeed the most favorable ones presented in this work), which arise essentially from the  $\Delta U$  potential energy contribution (dispersion contribution is almost residual, see Table 1). Interaction of N-rich\_BNNT with  $\text{H}_2\text{O}$  gives the most stable adduct ( $\Delta E_{\text{ads}} = -94.1 \text{ kcal mol}^{-1}$ ), which is followed by interaction



with HCOOH and NH<sub>3</sub>, which in turn exhibit similar stabilities ( $\Delta E_{\text{ads}} = -88.9$  and  $-88.0$  kcal·mol<sup>−1</sup>, respectively).



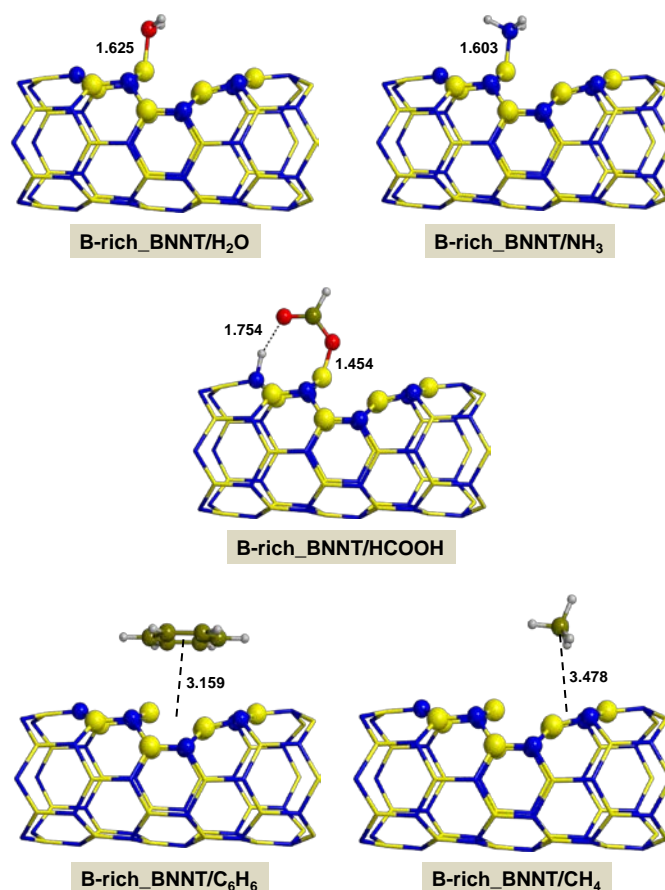
**Figure 4.** B3LYP-D2\* optimized structures of the probe molecules interacting with N-rich\_BNNT. Bond distances are in Å. The distances for N-rich\_BNNT/C<sub>6</sub>H<sub>6</sub> are those between the centroid of the aromatic ring and the plane defined by the closest nonagon ring; for CH<sub>4</sub>, they are between the C atom and the plane defined by the closest nonagon ring.

The interaction of the non-polar molecules with N-rich\_BNNT is completely different to that with the polar molecules. As C<sub>6</sub>H<sub>6</sub> and CH<sub>4</sub> do not contain donor electron groups and acidic protons, neither dative interactions nor proton transfers processes occur in the adduct formation. The interaction is mainly driven by dispersion so the calculated adsorption energies ( $\Delta E_{\text{ads}} = -9.4$  and  $-2.7$  kcal·mol<sup>−1</sup> for N-rich\_BNNT/C<sub>6</sub>H<sub>6</sub> and N-rich\_BNNT/CH<sub>4</sub>, respectively) and the structural features (intermolecular distances of 3.050 and 3.171 Å, respectively) are very similar to the P\_BNNT-analogue and SW\_BNNT-analogue systems.

#### 2.2.4. On B-rich\_BNNT

As mentioned in Section 2.2.1, the B-rich\_BNNT model has a coordinatively unsaturated B atom very exposed to the wall of the BNNT, and, accordingly, the polar molecules are expected to interact through this B atom. The initial guess structures adopted this structural feature and the systems collapsed onto the structures shown in Figure 5. Table 1 reports their energetic features, and the calculated Q and E<sub>g</sub> values. The B-rich\_BNNT/H<sub>2</sub>O and B-rich\_BNNT/NH<sub>3</sub> complexes have the H<sub>2</sub>O and NH<sub>3</sub> molecules molecularly adsorbed on the B-rich\_BNNT by means of a dative covalent bond between the O and the N atoms of the probe molecules and the exposed B atom. The calculated  $\Delta E_{\text{ads}}$  values of these two complexes are largely more favorable than those of the P\_BNNT-analogue and SW\_BNNT-analogue complexes ( $-15.8$  and  $-33.9$  kcal mol<sup>−1</sup>, respectively) because

the dative interactions are stronger in the B-rich\_BNNT-analogue complexes (shown by the more favorable  $\Delta U$  contributions), but less favorable than the N-rich\_BNNT-analogues. Adsorption of HCOOH also presents a covalent dative bond, in this case between the CO group and the exposed B atom. In this adduct, however, the interaction leads to a spontaneous proton transfer from the HCOOH to the N atom of the nanotube, similarly to what occurs in the N-rich\_BNNT/polar molecule complexes. The calculated adsorption energy is  $-31.0 \text{ kcal mol}^{-1}$ , which is close to that for the B-rich\_BNNT/ $\text{NH}_3$  complex.



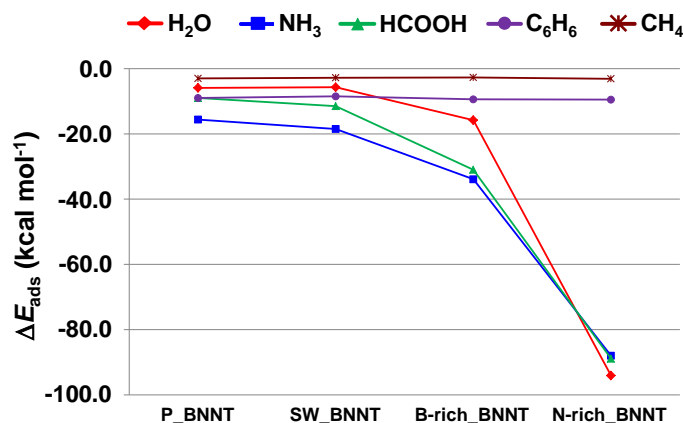
**Figure 5.** B3LYP-D2\* optimized structures of the probe molecules interacting with B-rich\_BNNT. Bond distances are in Å. The distances for B-rich\_BNNT/ $\text{C}_6\text{H}_6$  are those between the centroid of the aromatic ring and the plane defined by the closest nonagon ring; for  $\text{CH}_4$ , they are between the C atom and the plane defined by the closest pentagon ring.

The initial guess interaction of  $\text{C}_6\text{H}_6$  with B-rich\_BNNT also adopted a bond between the exposed B atom and the aromatic cloud of  $\text{C}_6\text{H}_6$ . However, the system, upon geometry optimization, evolved onto B-rich\_BNNT/ $\text{C}_6\text{H}_6$ , in which this initial interaction is missed. The complex is stable due to dispersion interactions and accordingly the structural and energetic features are similar to those of the other  $\text{C}_6\text{H}_6$ -adsorbed complexes, with  $\Delta E_{\text{ads}} = -9.4 \text{ kcal} \cdot \text{mol}^{-1}$ . B-rich\_BNNT/ $\text{CH}_4$  is also a dispersion-based adduct, which presents similar features to those of the other  $\text{CH}_4$ -adsorbed complexes, with  $\Delta E_{\text{ads}} = -2.7 \text{ kcal} \cdot \text{mol}^{-1}$ .



### 2.3. Trends of the Adsorption Energies

Although the obtained adsorption energies have been commented on in the previous sections, we find it appropriate to dedicate this section to summarize them and highlight the general trends. Figure 6 shows a representation of the  $\Delta E_{\text{ads}}$  values as a function of the type of BNNT for each molecule.



**Figure 6.** Variation of the adsorption energies ( $\Delta E_{\text{ads}}$ ) for the different probe molecules as a function of the BNNTs.

The interaction of the polar molecules with defective BNNTs mostly takes place through dative covalent bonds (the exception is the SW\_BNNT/H<sub>2</sub>O adduct), while, for the non-polar molecules, the adsorption is dictated by dispersion forces (weaker than dative interactions). Accordingly, polar molecules present larger adsorption energies than non-polar molecules.

Because dative interactions strongly depend on the strength of the direct bond established between the interacting partners, which, in turn, depends on the bonding atoms, for those adducts based on these interactions, the calculated  $\Delta E_{\text{ads}}$  values present large variations as a function of the type of defective BNNT. This varies from those systems based on dispersion interactions and accordingly their calculated  $\Delta E_{\text{ads}}$  values remain almost constant for a given non-polar molecule irrespective of the type of BNNT; namely, between  $-8.5$  and  $-9.5$  kcal mol<sup>-1</sup> for C<sub>6</sub>H<sub>6</sub>, and between  $-2.7$  and  $-3.1$  kcal mol<sup>-1</sup> for CH<sub>4</sub>.

Taking only the interaction of the polar molecules with the different BNNTs into account, the trend of the  $\Delta E_{\text{ads}}$  values as a function of the type of BNNT is in general (from more to less favorable): N-rich\_BNNT > B-rich\_BNNT > SW\_BNNT > P\_BNNT, whereas as a function of the polar molecules is: NH<sub>3</sub> > HCOOH > H<sub>2</sub>O. The first trend clearly indicates that the presence of defects at the BNNT walls enhances the interaction with these molecules. Moreover, among the defective BNNTs considered here, those presenting a boron monovacancy are the most reactive ones towards the polar molecules. This is consistent with the fact that the interaction of the polar molecules with N-rich\_BNNT, a spontaneous deprotonation, is given for all cases. The second trend indicates that, in general, the adsorption of NH<sub>3</sub> gives the most stable adducts. This is because the dative B<sub>(BNNT)</sub>⋯N<sub>(NH<sub>3</sub>)</sub> bonds are very stable. However, it is worth highlighting that the largest and most negative  $\Delta E_{\text{ads}}$  values calculated in this work is associated with the N-rich\_BNNT/H<sub>2</sub>O complex. In this case, H<sub>2</sub>O becomes deprotonated upon adsorption and the B<sub>(BNNT)</sub>⋯OH<sup>-</sup> bond, in addition to being very stable, electrostatic interactions are also operating, which further stabilize the adduct.

### 3. Computational Details

All calculations were carried out using the periodic *abinitio* code CRYSTAL09 [27]. All the self-consistent field (SCF) calculations and geometry optimizations, which include both lattice parameters and internal atomic coordinates, were performed using the B3LYP-D2\* density functional

method. It includes an empirical *a posteriori* correction term proposed by Grimme [28] to account for dispersion forces (missed in the pure B3LYP [29,30] method), but whose initial parameterization (D2) was modified for extended systems (D2\*) [31], providing accurate results for the calculations of cohesive energies of molecular crystals and of adsorption processes within a periodic treatment [31–33]. The adopted Gaussian functions consisted of an all electron triple- $\zeta$  6-311G\* standard basis set for the B and N atoms of the BNNTs and a TZP basis set from Ahlrichs and coworkers [34] for the probe molecules. This basis set combination has been proven to exhibit small basis set superposition error adsorption energies [24,32].

The shrinking factor of the reciprocal space net defining the mesh of  $k$  points in the irreducible Brillouin zone was set to 5, which requires diagonalizing the Hamiltonian matrix in 3  $k$  points [35]. The accuracy of both Coulomb and exchange series was set to values of overlap integrals of  $10^{-7}$  and  $10^{-16}$ , respectively, which ensure a very good numerical accuracy. A pruned (75,974) grid has been used for the Gauss–Legendre and Lebedev quadrature schemes in the evaluation of functionals. The condition to achieve SCF convergence between two subsequent cycles was set to  $10^{-7}$  Hartrees. Full relaxations have been carried out by means of analytical energy gradients [36–38]. Geometry optimizations were performed by means of a quasi-Newton algorithm, in which the quadratic step (BFGS Hessian updating scheme) is combined with a linear one (parabolic fit) [39].

The adsorption energies ( $\Delta E_{\text{ads}}$ ) per unit cell of the probe molecules with the BNNTs were computed as:

$$\Delta E_{\text{ads}} = E(\text{SM}) - E(\text{S}) - E_{\text{m}}(\text{M}), \quad (1)$$

where  $E(\text{SM})$  is the energy of a fully relaxed unitary cell containing the boron nitride nanotubes S in interaction with the probe molecules M,  $E(\text{S})$  is the energy of a fully relaxed unitary cell of the BNNTs alone, and  $E_{\text{m}}(\text{M})$  is the molecular energy of the free fully optimized probe molecules. Because dispersion is included during the geometry optimization as a correction term, the total energy  $E$  of a given system is defined as:

$$E = U + E_{\text{D}}, \quad (2)$$

where  $U$  is the potential energy, which includes the electronic terms (*i.e.*, kinetic energies of the electrons, the attraction of the electrons to the nuclei, and the interelectronic repulsions) and the nuclear–nuclear repulsions, and  $E_{\text{D}}$  is the dispersion energy. By introducing expression 2 into expression 1 for each system, the adsorption energy can be rewritten as:

$$\Delta E_{\text{ads}} = \Delta U + \Delta E_{\text{D}}, \quad (3)$$

where  $\Delta U$  is the contribution of the potential energy in the adsorption, and  $\Delta E_{\text{D}}$  the contribution of dispersion in the adsorption, that is

$$\Delta U = U(\text{SM}) - U(\text{S}) - U_{\text{m}}(\text{M}), \quad (4)$$

$$\Delta E_{\text{D}} = E_{\text{D}}(\text{SM}) - E_{\text{D}}(\text{S}) - E_{\text{D,m}}(\text{M}). \quad (5)$$

#### 4. Conclusions

Periodic quantum mechanical calculations have been used to simulate the adsorption of  $\text{H}_2\text{O}$ ,  $\text{NH}_3$  and  $\text{HCOOH}$  (as probe polar molecules) and  $\text{C}_6\text{H}_6$  and  $\text{CH}_4$  (as probe non-polar molecules) on different zig-zag (6,0) single-walled boron nitride nanotubes (BNNTs) that are defect-free (P\_BNNT) or defective BNNTs based on B (N-rich\_BNNT) and N (B-rich\_BNNT) monovacancies and on Stone–Wales defects (SW\_BNNT), with the aim of determining the influence of nanotube defects in the adsorption energies. These calculations are based on the B3LYP-D2\* method, which includes the B3LYP hybrid functional with a revised version of the empirical *a posteriori* correction term (D2\*) to account for dispersion interactions. The most interesting points emerging from this work are as follows:

- (i) Adsorption of polar molecules (with lone pair electrons) on the defective BNNTs is in general dictated by dative interactions established between the electron donor atoms of the molecules and the B atoms of the BNNTs, which produce large charge transfers from the molecules to the BNNT. Such transfers increase the acidity of the polar molecules and the basicity of the N atoms of the BNNTs in such a way that significant H-bond interactions are also established. On the N-rich\_BNNT, which presents an N atom fully exposed to the surfaces as a consequence of the B monovacancy, the increase of acidity promotes proton transfers to this N atom, thus forming ion pair adducts. This deprotonation also occurs for the adsorption of HCOOH on the B-rich\_BNNT.
- (ii) For the polar molecules, the strength of their chemisorption strongly depends on the type of the nanotube and the class of the molecule. General trends on the calculated adsorption energies (from more to less favorable) are, as a function of the type of BNNT: N-rich\_BNNT > B-rich\_BNNT > SW\_BNNT > P\_BNNT; and as a function of the class of the polar molecule:  $\text{NH}_3 > \text{HCOOH} > \text{H}_2\text{O}$ . However, the most stable adduct found in this work is that for  $\text{H}_2\text{O}$  interacting with N-rich\_BNNT due to the very stable  $\text{B} \cdots \text{OH}^-$  chemical bond.
- (iii)  $\text{C}_6\text{H}_6$  and  $\text{CH}_4$  are physisorbed on the different BNNTs, mainly governed by  $\pi$ -stacking ( $\text{C}_6\text{H}_6$ ) and  $\text{CH}-\pi$  ( $\text{CH}_4$ ) dispersion interactions. For each molecule, the magnitude of the adsorption energies does not depend on the type of BNNT and accordingly they are almost constant,  $\text{C}_6\text{H}_6$  being more favorable than for  $\text{CH}_4$  (about  $-9 \text{ kcal mol}^{-1}$  and  $-3 \text{ kcal mol}^{-1}$ , respectively).

Since the properties of BNNTs, in particular the band gap, are basically independent of tube morphology and chirality, we expect similar results for other types of BNNTs. That is, the presence of SW defects in armchair (n,n) and chiral BNNTs will expectedly induce a slight reduction of the band gap, and B and N monovacancies will convert them as metallic BNNTs. Moreover, since the wall defects presented in this work are essentially point defects, we also expect similar behavior in the adsorption features of polar and non-polar molecules on armchair (n,n) and chiral BNNTs of similar size and radius.

The results presented here are evidence that adsorption properties of BNNTs can be significantly modulated by the presence of structural defects, in particular those concerning the adsorption of polar protic molecules. This is relevant considering that adsorption on SW\_BNNTs induce a decrease of its band gap and that N-rich\_BNNT and B-rich\_BNNT are found to be metallic, so that they can be of interest for nanoelectro-optical applications.

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