



Article

# Multitemporal Feature-Level Fusion on Hyperspectral and LiDAR Data in the Urban Environment

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Abstract: Technological innovations and advanced multidisciplinary research increase the demand for multisensor data fusion in Earth observations. Such fusion has great potential, especially in the remote sensing field. One sensor is often insufficient in analyzing urban environments to obtain comprehensive results. Inspired by the capabilities of hyperspectral and Light Detection and Ranging (LiDAR) data in multisensor data fusion at the feature level, we present a novel approach to the multitemporal analysis of urban land cover in a case study in Høvik, Norway. Our generic workflow is based on bitemporal datasets; however, it is designed to include datasets from other years. Our framework extracts representative endmembers in an unsupervised way, retrieves abundance maps fed into segmentation algorithms, and detects the main urban land cover classes by implementing 2D ResU-Net for segmentation without parameter regularizations and with effective optimization. Such segmentation optimization is based on updating initial features and providing them for a second iteration of segmentation. We compared segmentation optimization models with and without data augmentation, achieving up to 11% better accuracy after segmentation optimization. In addition, a stable spectral library is automatically generated for each land cover class, allowing local database extension. The main product of the multitemporal analysis is a map update, effectively detecting detailed changes in land cover classes.

**Keywords:** multisensor data fusion; feature-level fusion; hyperspectral imaging lidar; urban environment; urban remote sensing



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# 1. Introduction

Urban surface types are a mix of complex materials and surfaces, such as low, middle, and high vegetation, non-vegetated pervious surfaces, and partially and fully impervious surfaces, including asphalt, concrete, and various roofing systems [1]. These materials and surfaces undergo natural and anthropogenic processes, constantly increasing urban heterogeneity [2]. This diversity of urban land cover is additionally characterized by high-frequent changes and complex transitions [3,4] due to the growing urban population contributing to a concomitant increase in environmental problems [5]. In order to effectively monitor the highly dynamic urban environment, appropriate technologies and methods are needed to cope with such change analysis within the urban environment.

Active and passive remote sensing has been widely used in urban land cover mapping and monitoring in recent decades [6–9]. Hyperspectral (HS) data at the airborne scale have gained particular attention, identifying materials effectively based on their physical and chemical properties [10,11]. HS imaging has increasingly become a valuable tool for multitemporal analysis, such as change detection in urban areas.

Multitemporal analysis of HS data compares materials, material conditions, stability, degradation, pollution, alteration, and anthropological and atmospheric changes. HS-based change detection uses rich spectral information distinguishing materials and fine spectral

Remote Sens. 2023, 15, 632 2 of 22

changes [12]. The high amount of spectral features enables effective real-time detection of changing areas. However, the information about the changes is often contained in different bands simultaneously, complicating the HS analysis. In airborne-based HS data, the spatial resolution is significantly higher than in satellite-based images. This results in high spectral complexity of objects of similar materials showing similar spectral responses [13]. Any change detection technique must deal with high dimensionality, computational cost, and limited data, including ground truth data [14].

One of the limitations in high-resolution airborne-based HS change detection is pixel-based classification. Such a classification requires assumptions that neighbor pixels are independent of each other and that radiometric properties of multitemporal images are identical. However, these assumptions are not valid in the urban environment due to the heterogeneity of the urban surfaces, different atmospheric conditions during data acquisition, and sensor geometry [15]. Due to the potentially miscellaneous spectral behavior of urban surfaces and adjacent pixel dependency, semantic meaning and spatial context analysis are critical. Such spatial-context information is included when extracting textures, calculating morphological filters [16–19], using adaptive pixel neighborhood [20], applying contextual Support Vector Machines [21], Markov Random Fields (MRF) [22,23], Convolutional Neural Networks (CNN) combined with MRF [24], 3D CNN extracting spectral and spatial information simultaneously [25]. However, another possibility to compensate for this problem and to complement multitemporal HS analysis is multisensor data fusion with LiDAR (HL-Fusion) [8,26–30].

The use of LiDAR in multitemporal analysis focuses mainly on structural and textural changes, e.g., canopy gaps [31] and single-tree levels in forestry applications [32,33], and mining subsidence [34]. Applications of LiDAR data have been mainly limited to analysis based on data acquisition from a single time (single-data analysis). This is mainly due to the lack of a multitemporal database and technical limitations such as widely varying intensity values and the irregular distribution of cloud points between multitemporal data.

Airborne campaigns are being launched increasingly in which data from different sensors are acquired simultaneously, such as RGB cameras, LiDAR scanners, and multispectral and HS sensors [35–41]. This opens up the possibility to fuse data from different sensors at different levels ranging from raw data fusion, feature-level fusion, or application-level fusion [42]. Of particular interest in HL-Fusion is the ability to operate in a common feature vector using the potential of each sensor and performing the fusion on the feature level. The analysis based on feature-level HL-Fusion enables a complete spectral-local analysis and diversifies the results and products obtained from the fusion of these two sensors [43]. In addition, the analysis based on multitemporal HS and LiDAR data allows the detection and evaluation of complex changes in the urban environment [44]. Man et al. [45] proposed a method for urban land cover classification by extracting normalized Digital Surface Model (nDSM) and backscattered intensity features from LiDAR. The authors first applied Principal Component Analysis (PCA) to an HS dataset, using the first PC to generate texture features based on the grey level co-occurrence matrix (GLCM) [46,47] and additionally retrieved the Normalized Difference Vegetation Index (NDVI) [48]. All extracted features were fed into pixel-based supervised classification algorithms, including SVM and Maximum Likelihood Classification (MLC). Hasani et al. [49] generated a hybrid feature space including spectral and structural features from HS and LiDAR data and an optimized classification system applying cuckoo search. Khodadadzadeh et al. [50] proposed a feature-level fusion method integrating multiple types of HS and LiDAR-based features without model parameter regularization. Kuras et al. [35] extracted endmembers from an HS dataset in an unsupervised way by applying N-FINDR [51] and retrieving raster-based LiDAR features for segmentation purposes.

Simultaneous feature-level fusion of multitemporal data is among the analyses that not only require an understanding of the physical functions of each sensor but are also very complex compared to analyses relying on single sensors or lower dimensional data. Deep learning has proven to be a critical basis and remarkable breakthrough for handling such

Remote Sens. 2023, 15, 632 3 of 22

issues in image processing in recent years [52]. Continuous improvements and innovations in deep learning models show that no single generic and transferable classification model can correctly analyze the selected target of interest. Very often, the selection of deep learning algorithms depends on the complexity of the classification task, the type of data, their dimensionality, training data availability, and the final classification purpose.

For high-dimensional HS and LiDAR data, the algorithm for multiclass classification of urban land cover should include a wide range of information. Such fundamental information is the spectral context using multidimensional convolutional operations. Also important is the location of the class and the occurrence environment.

Inspired by HL-Fusion and deep learning for multitemporal analysis, we present: (1) a novel land cover multitemporal analysis based on fused HS and LiDAR data at the feature level that integrates abundance representation from HS and LiDAR datasets applying 2D ResU-Net (2D Residual U-Net) [53,54]; (2) we automatically generate spectral libraries as a by-product for a local database expansion creating a spectral library for each defined class based on endmember extraction and forced class assignment based on a synthetic mixture including intraclass variability. Initially generated spectral library is used for segmentation optimization and can further be used when adding new datasets to the analysis and helps diversify and enlarge the database integrating new classes; (3) we propose a generic method for stable updating of local maps using a case study bitemporal HL-Fusion dataset.

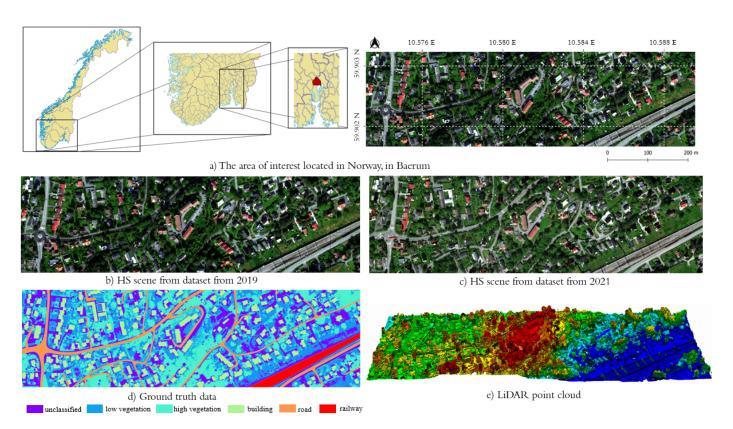
The article is structured as follows. Section 2 describes the dataset used in our study. Section 3 introduces the framework of our proposed method for multitemporal analysis of HL-Fusion data. Section 4 presents the results of segmentation optimization, spectral library generation, and the change detection approach. The results are further discussed in Section 5. Finally, Section 6 points out concluding remarks on our method and suggestions for future directions in this research field.

#### 2. Dataset

The company Terratec AS collected airborne-based HS and LiDAR data on 24 August 2019 (Figure 1b) and 26 June 2021 (Figure 1c) over Bærum municipality near Oslo, Norway. In both flight campaigns, HS and LiDAR sensors were mounted together on the aircraft platform, which flew at an altitude of 1100 m at noon, ensuring the best possible weather conditions, i.e., the highest sun angle. Our study area is located in Høvik with a coordinate extent of 588060, 6641500; 588878, 6641735 WGS 84 / UTM zone 32N (Figure 1a). The datasets contained bitemporal cloud-free airborne-based HS images and LiDAR scans. The HS data were acquired using two HySpex sensors: VNIR-1800 (0.4–1.0 μm) and SWIR-384 (1–2.5 μm) with 0.3 and 0.7 m spatial resolution, respectively. The HS data were preprocessed by conducting georeferencing and orthorectification using the PARGE software (Parametric Geocoding and Orthorectification for Airborne Optical Scanner Data) [55]. The geocoded radiance data were converted to reflectance, conducting atmospheric correction using ATCOR-4 (Atmospheric and Topographic Correction for airborne imagery). Absorption features associated with H<sub>2</sub>O and OH close to bands at  $1.4~\mu m$  and  $1.9~\mu m$  and noisy bands ( $0.96-0.98~\mu m$  and  $2.39-2.5~\mu m$ ) were excluded from further analyses applying the Minimum Noise Fraction (MNF) transform [56]. The final hyperspectral data cube had 365 bands. The SWIR data were adapted to the spatial resolution of VNIR of 0.3 m, applying Gram–Schmidt Spectral Sharpening [57].

The LiDAR data were acquired using Riegl VQ-1560i, with five pulses per  $m^2$ , an intensity at  $1.064~\mu m$ , a pulse repetition rate of 1000~kHz, a strip width of 1255~m, a field of view of  $59^\circ$  and 84% of lateral laser overlap. From the LiDAR-based point cloud (Figure 1e), noise and outliers were removed. Five different raster-based features were extracted based on other studies [8], including intensity from the first return, height derivatives such as slope, normalized Digital Surface Model (nDSM), multiple returns, and point density. All features were coregistered, aligned to the spatial resolution of 0.3 m of the HS VNIR scene, and fused into a single data matrix, the basis for the segmentation.

Remote Sens. 2023, 15, 632 4 of 22



**Figure 1.** Our study area representing (a) the location of the area of interest, (b) the HS scene from 2019, (c) the HS scene from 2021, (d) the ground truth data, and (e) the LiDAR point cloud.

# 2.1. Ground Truth

The ground truth consists of a local database in Norway (FKB database) that includes polygons of artificial objects such as buildings, railways, and roads manually updated from 2011 to 2019. The FKB database used for this study was created using manual vectorization based on aerial photogrammetry. For this reason, inaccuracies regarding object edges or polygon offsets should be expected, which have been reviewed and corrected for our study. Ground truth data were unavailable for low and high vegetation due to high dynamic and seasonal differences. Therefore, these classes were extracted semi-automatically, calculating the Normalised Difference Vegetation Index (NDVI) for the HS scene [48] and distinguished high and low vegetation based on raster-based LiDAR features, relying on the method from Kuras et al. [35] (Figure 1d). The main features for differentiating high and low vegetation were selected prior to analysis based on knowledge and experience. Low vegetation was selected using laser ground points, where multiple returns and higher point density characterize high vegetation in contrast to low vegetation. In our study, high vegetation also includes shrubs, thuyas, and similar.

These ground truth data were used for the dataset from 2019. For the dataset from 2021, we used segmentation results from 2019 as reference data.

#### 2.2. Data Simulation

In order to diversify the analysis and prove the proposed method's stability and correctness, we created simulated changes, adding a building in place of low and high vegetation. Our study area represents low dynamics of change over a short period. Therefore, such data simulation adds a significant change to the dataset. We assumed that the manual addition of a building in this location is feasible and typical for urban/suburban areas where vegetation is removed to build new residential neighborhoods. This building was not annotated in the ground truth in training.

Remote Sens. 2023, 15, 632 5 of 22

# 3. Proposed Method

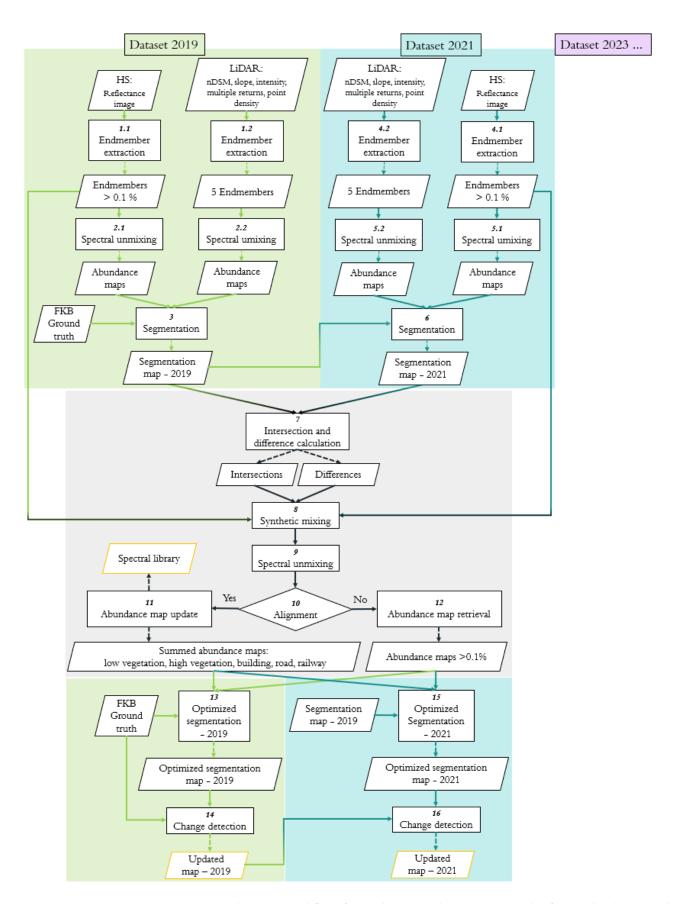
The following section describes an approach for multitemporal HL-Fusion at the feature level (Figure 2). Figure 2 represents a bitemporal problem with the possibility of adding new datasets to the approach. The analysis begins with unsupervised endmember extraction separately for the HS reflectance image (Figure 2, box 1.1) and five LiDAR features, such as nDSM, slope, intensity, multiple returns, and density (Figure 2, box 1.2) for the first time point (Figure 2, Dataset 2019). The number of endmembers created from HS data depends on the amount of each endmember in the scene and has been limited to all endmembers above 0.1 %. From the created endmembers, abundance maps are generated for HS (Figure 2, box 2.1) and LiDAR data (Figure 2, box 2.2), unmixing all endmembers spectrally and retrieving the percentage of each endmember per pixel in the scene. These abundance maps and the FKB ground truth data are fed into a segmentation algorithm (Figure 2, box 3). Then, analogous to the first dataset, the second time point (Figure 2, Dataset 2021) is analyzed, starting from endmember extraction to generation of abundance maps for HS (Figure 2, box 4.1 and 5.1) and LiDAR data (Figure 2, box 4.2 and 5.2). Then, the segmentation result from 2019, considered as the ground truth, is added to the segmentation for 2021 (Figure 2, box 6) along with retrieved abundance maps. From the segmentation results-segmentation maps from 2019 and 2021, segments are extracted for each defined class, calculating segment intersections and differences of datasets 2019 and 2021 (Figure 2, box 7). The most representative endmembers are extracted from each intersection and difference segments for the classes, such as low and high vegetation, building, road, and railway. In case endmembers from the difference group belong to one of the five predefined classes, synthetic mixing is applied (Figure 2, box 8). Then, spectral unmixing is carried out (Figure 2, box 9) to effectively and automatically align endmembers (Figure 2, box 10) from the existing difference group to the corresponding class and to update initial endmembers extracted before the first segmentation. From the updated endmembers, abundance maps are generated and summed for each defined class (Figure 2, box 11). The successful alignment is considered a basis for the automatic generation of a stable local spectral library with intraclass variability. The difference endmembers that cannot be aligned to any defined class were individually used for abundance map retrieval (Figure 2, box 12). All retrieved abundance maps are fed into the next iteration as optimized segmentation without parameter regularizations for 2019 (Figure 2, box 13) and 2021 datasets (Figure 2, box 15). The final step of the multitemporal analysis is change detection (Figure 2, box 14), where the original map to be updated (FKB ground truth) is compared with the segmentation results from the 2019 dataset, creating an updated map, which also shows the changes that have occurred in each of the five predefined classes. Analogously, the change detection (Figure 2, box 16) can be applied to dataset 2021, comparing the final map from dataset 2019 to the segmentation results from dataset 2021 and generating an updated map.

#### 3.1. Endmember Extraction and Abundance Maps

In our study, we implemented the state-of-the-art iterative endmember extraction (EA) algorithm N-FINDR [51] for HS and LiDAR data, respectively (Figure 2, box 1.1, 1.2). We generated normalized abundance maps based on the extracted endmembers (Figure 2, box 2.1, 2.2) by applying the non-negativity-constrained least squares algorithm [58]. For HS EA, the preprocessed reflectance image was used to retrieve the most representative endmembers. For EA of LiDAR data, we built a LiDAR feature space where the five most relevant raster-based features have been extracted, including slope, the intensity from the first return, multiple returns, normalized digital surface model (nDSM), and point density. All the features were normalized separately before EA due to significant differences in the value scale.

The initially extracted endmembers for LiDAR data were used to generate abundance maps for each endmember, analogously to HS data.

Remote Sens. 2023, 15, 632 6 of 22



**Figure 2.** Schematic workflow for multitemporal HL-Fusion at the feature level. According to flowchart guidelines, parallelograms represent data input/output, rectangles—process, and rhombi—decision.

Remote Sens. 2023, 15, 632 7 of 22

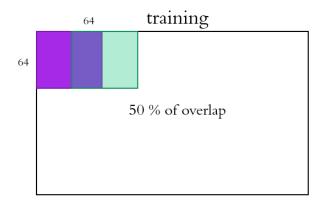
#### 3.2. Semantic Segmentation

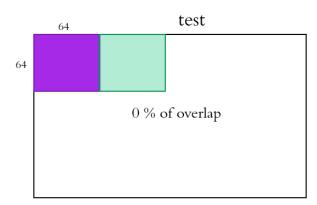
The final input to semantic segmentation algorithms consists of abundance maps from HS and LiDAR data. We considered the 2D ResU-Net model architectures in this study [35,53,54], comparing the segmentation process with and without training data augmentation for 2019 (Figure 2, box 3) and 2021 (Figure 2, box 6) datasets without model parameter regularizations. The original U-Net consists of an encoder part with multiple blocks of convolutions and max pools for feature extraction and a corresponding decoder with transposed convolutions for upscaling after each convolution block [59]. Skip connections between corresponding convolution blocks in the encoder and decoder are used for improved class location and signal propagation. The Residual U-Net extends the original U-Net with local skip connections in the convolution blocks, further enhancing signal pathways and granularity of predictions. In the ResU-Net model, we implemented 2D convolutional operations, which are sufficient and not time-consuming in this type of land cover analysis [35].

The 2D ResU-Net models were implemented in Python using the module Tensorflow with GPU functionalities [60].

#### 3.2.1. Implementation Details

For the segmentation, the study dataset was divided into  $64 \times 64$  pixel patches, of which 70% is training, and 30% is the test dataset. A total of 20% of the training data is used for validation. Training, validation, and testing were selected, considering all classes equally in training, validation, and testing. In addition, data augmentation was applied to training data by applying a 50% overlap of each patch (Figure 3), of which no patch in the training dataset was part of the test dataset.





**Figure 3.** Schematic illustration of data augmentation created for training dataset for  $64 \times 64$  pixel patches with 50% overlap. Image patches in the test dataset have an overlap of 0%.

# 3.2.2. Evaluation Metrics

For segmentation purposes, we used standard metrics for measuring accuracy, applying F-Measure (F1 score) [61] and Matthews Correlation Coefficient (MCC). The F1 score is commonly used to accurately evaluate the boundaries in the predicted pixels [62]. The F1 score was applied for the overall algorithm performance assessment. The metrics take into account precision (p) and recall (r) in the predictions and are defined as follows:

$$p = \frac{TP}{TP + FP'},\tag{1}$$

$$r = \frac{TP}{TP + FN'},\tag{2}$$

and

$$F1_{score} = \frac{2 * p * r}{p + r} \tag{3}$$

Remote Sens. 2023, 15, 632 8 of 22

where true positives are defined as *TP*, false positives as *FP*, and false negatives as *FN*. The *MCC* is used for the accuracy evaluation of each class individually, suitable for data with imbalanced classes with the following formula:

$$MCC = \frac{(TP*TN) - (FP*FN)}{\sqrt{(TP+FP)(TP+FN)(TN+FP)(TN+FN)}},$$
(4)

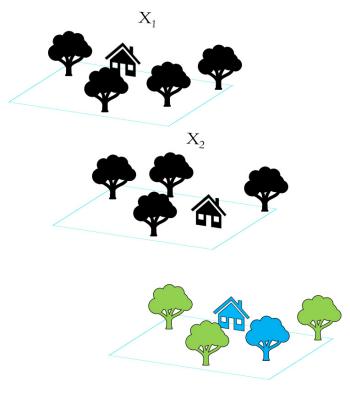
where true negatives are defined as TN. The MCC scales from -1 to 1, where -1 means that all predictions are incorrect and where 1, in contrast, predicted all results right [63].

#### 3.2.3. Multitemporal Analysis—Intersection and Differences

Given that the semantic segmentation is conducted for all available datasets X at time  $X_1, \ldots, X_n$  for classes  $C_1, \ldots, C_i$ , each estimated segment of a single class from  $C_1, \ldots, C_i$  is handled individually (Figure 2, box 7).

For each class  $C_1,\ldots,C_i$ , common areas occurring in all datasets from different times  $X_1,\ldots,X_n$  are grouped into «intersection». It means that a pixel classified as class  $C_1$  (e.g., high vegetation) in all datasets  $X_1,\ldots,X_n$  (e.g., 2019 and 2021) is assigned to the intersection group. For each intersection of a class  $C_1,\ldots,C_i$ , we extracted representative endmembers from all datasets  $X_1,\ldots,X_n$ .

In contrast to an intersection, «difference» means that a pixel in dataset  $X_1$  was assigned to another class C than in dataset(s)  $X_2, \ldots, X_n$ . For each such difference of each class  $C_1, \ldots, C_i$ , we found representative endmembers and collected them in one difference group. Figure 4 represents two datasets,  $X_1$  and  $X_2$ , where some trees (green color) correspond to the intersection group, and one house and one tree from dataset  $X_1$  (blue color) were not found in dataset  $X_2$  and therefore corresponded to the difference group.



**Figure 4.** The clustering principle of intersections (green) and differences (blue) for two datasets,  $X_1$  and  $X_2$ .

# 3.3. Synthetic Mixing for Spectral Library Generation

We assume some endmembers from the difference group can be assigned to any defined classes  $C_1, \ldots, C_i$ . Therefore, we synthetically mixed all intersection endmembers

Remote Sens. 2023, 15, 632 9 of 22

 $EM_{i0}, \ldots$ ,  $EM_{in}$  with all difference endmembers  $EM_{d0}, \ldots$ ,  $EM_{dm}$ , and initial endmembers generated for the first segmentation in proportion 50:50 percent (Figure 2, box 8). After the synthetic mixing, we unmixed the new synthetic matrix spectrally (Figure 2, box 9, Figure 5).

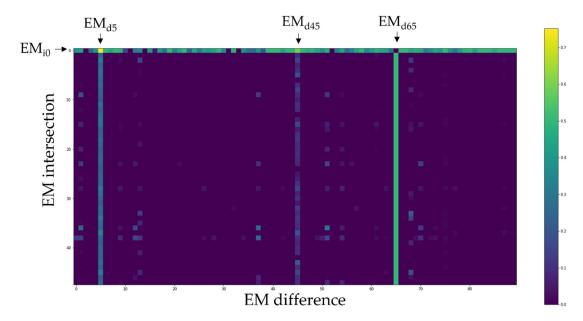


Figure 5. Spectral unmixing example based on synthetic mixing result.

The intuition behind the spectral unmixing in this study is to align difference and initial endmembers to any of the defined classes  $C_1, \ldots C_i$ , comparing all difference and initial endmembers to all intersection endmembers (Figure 2, box 10). Figure 5 presents a spectral unmixing example, where each class  $C_1, \ldots, C_i$  consists of ten intersection endmembers (Table 1).

| Table 1  | Intersection | endmember | defined | classes  |
|----------|--------------|-----------|---------|----------|
| Table 1. | muersection  | enamember | uemieu  | Classes. |

| Defined Class   | Intersection EM  |
|-----------------|--|
| road            | EM <sub>i0</sub> -EM <sub>i9</sub>                             |
| building        | $\mathrm{EM}_{\mathrm{i}10}	ext{-}\mathrm{EM}_{\mathrm{i}19}$  |
| low vegetation  | $EM_{i20}$ - $EM_{i29}$  |
| high vegetation | $EM_{i30}$ - $EM_{i39}$  |
| railway         | $\mathrm{EM}_{\mathrm{i}40}\text{-}\mathrm{EM}_{\mathrm{i}49}$ |

Given that the intersection endmember  $EM_{i0}$  belongs to the road class, we are searching for difference and initial endmembers with a similar unmixing value to  $EM_{i0}$ . In this example, two difference endmembers,  $EM_{d5}$  and  $EM_{d65}$ , are similar to  $EM_{i0}$  and were aligned automatically to the road class as the  $EM_{i0}$ . For the updated intersection endmembers, abundance maps have been retrieved and summed up for each class separately (Figure 2, box 11). Such optimized intersection endmembers are the basis for a stable local spectral library.

The difference endmembers that were not aligned automatically to any defined classes were used to retrieve abundance maps for the second segmentation iteration (Figure 2, box 12). However, to avoid noise and endmembers that are not substantial, we calculated the average of each difference endmember occurring in our study area and limited the amount of the endmembers contributing with a value above 0.1%. The updated intersection abundance maps, difference abundance maps, and LiDAR features extracted for the first segmentation were merged and fed as input for the second segmentation iteration for the 2019 (Figure 2, box 13) and 2021 (Figure 2, box 15) datasets.

Remote Sens. 2023, 15, 632 10 of 22

# 3.4. Change Detection

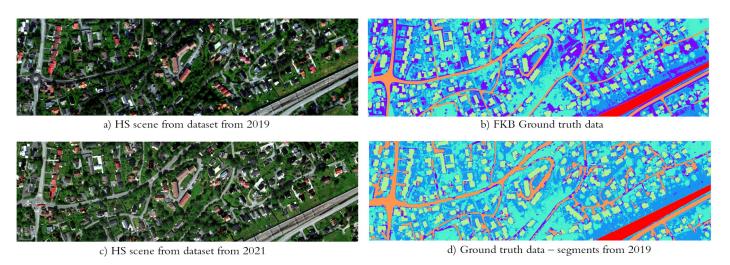
In order to update the local map that served as the ground truth (FKB) for segmentation for the 2019 dataset, we subtracted each segment separately from the 2019 dataset from the FKB reference data highlighting land cover changes (Figure 2, box 14). The resulting update map indicates the changes in objects/surfaces added or removed in 2019. This procedure was analogously repeated for change detection (Figure 2, box 16) for retrieving an updated map for the 2019 to 2021 dataset. (Figure 4, box 18). Since there were no significant changes in the defined classes in artificial objects such as buildings and railways from 2019 to 2021, we simulated a change and added a random building in place of low/high vegetation in the dataset from 2021.

#### 4. Experimental Results

This section provides results for the initial (first iteration) and optimized the second iteration (after the abundance map update) segmentation task for 2D ResU-Net with and without data augmentation for 2019 and 2021 datasets. The results of the spectral library generated from the best segmentation results of 2019 and 2021 are presented. Then, the results of the change simulation are shown, as well as the results of the change detection for each of the defined classes taking into account the changes from the FKB reference data for 2019 and from 2019 to 2021.

# 4.1. Segmentation Results

Figure 6 shows the HS scenes from the datasets from 2019 (a) and 2021 (c) with corresponding ground truths for 2019 (c) and 2021 (d).



**Figure 6.** (a) HS scene from a dataset from 2019, (b) corresponding ground truth data, (c) HS scene from a dataset from 2021, and (d) corresponding ground truth data.

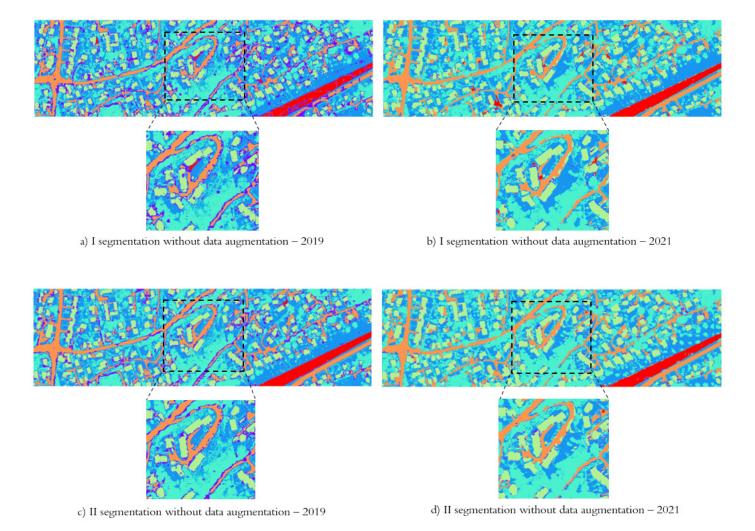
Table 2 presents the segmentation results for 2019 and 2021 of the ResU-Net without data augmentation for initial segmentation (segmentation I) and optimized segmentation (segmentation II). The results are based on MCC for each class and the F1 score metric for overall segmentation. Corresponding segmentation maps are shown in Figure 7.

Similarly, the results for segmentation with data augmentation are reported in Table 3 and Figure 8 for the 2019 and 2021 datasets. The results from Tables 2 and 3 show that, regardless of the data augmentation process, the accuracy based on MCC increases for both individual classes and the overall F1 score after the second iteration of the segmentation. Comparing the results of segmentation without and with data augmentation, the segmentation with data augmentation achieves higher results in both initial (I) and optimized (II) segmentation.

Remote Sens. 2023, 15, 632

**Table 2.** Segmentation results without data augmentation for the dataset from 2019 and 2021 for the ResU-Net model based on MCC calculated for each class (the range scales from -1 to 1) and overall F1 score (the range scales from 0 to 1).

| Color | Dataset         | 2019  | 019   | 2021  |       |
|-------|-----------------|-------|-------|-------|-------|
|       | Segmentation    | I     | II    | I     | II    |
|       | Low vegetation  | 0.79  | 0.81  | 0.7   | 0.81  |
|       | High vegetation | 0.92  | 0.92  | 0.76  | 0.91  |
|       | Building        | 0.88  | 0.94  | 0.92  | 0.98  |
|       | Road            | 0.78  | 0.89  | 0.82  | 0.91  |
|       | Railway         | 0.85  | 1     | 0.99  | 0.98  |
|       | F1              | 0.818 | 0.831 | 0.752 | 0.776 |

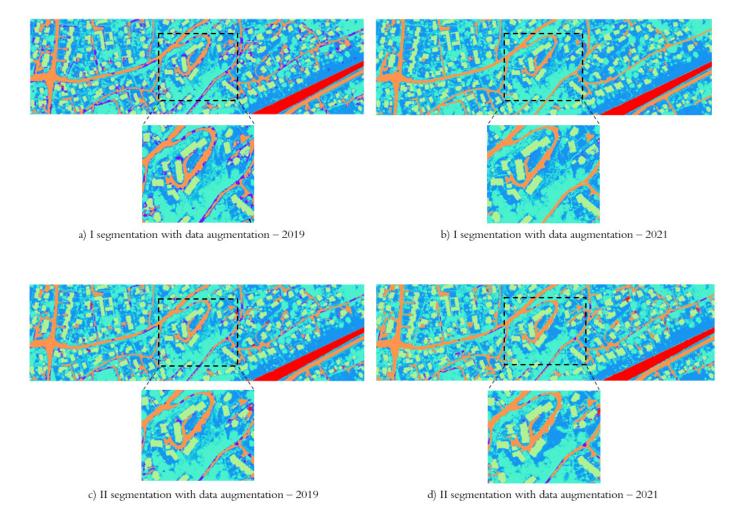


**Figure 7.** Segmentation results without data augmentation for the dataset from 2019 and 2021 for the ResU-Net showing (a) the first segmentation iteration for the dataset from 2019, (b) the first segmentation iteration for the dataset from 2021, (c) the second segmentation iteration for the dataset from 2019, and (d) the second segmentation iteration for the dataset from 2021.

Remote Sens. 2023, 15, 632

**Table 3.** Segmentation results with data augmentation for the dataset from 2019 and 2021 for the ResU-Net model based on MCC calculated for each class (the range scales from -1 to 1) and overall F1 score (the range scales from 0 to 1).

| Color | Dataset            | 2019  |       | 2021  |       |
|-------|--------------------|-------|-------|-------|-------|
|       | Segmentation       | I     | II    | I     | II    |
|       | Low<br>vegetation  | 0.72  | 0.75  | 0.8   | 0.81  |
|       | High<br>vegetation | 0.94  | 0.97  | 0.95  | 0.97  |
|       | Building           | 0.97  | 0.99  | 0.99  | 0.99  |
|       | Road               | 0.92  | 0.95  | 0.99  | 0.99  |
|       | Railway            | 1     | 1     | 1     | 1     |
|       | F1                 | 0.814 | 0.843 | 0.886 | 0.892 |



**Figure 8.** Segmentation results with data augmentation for the dataset from 2019 and 2021 for the ResU-Net showing (a) the first segmentation iteration for the dataset from 2019, (b) the first segmentation iteration for the dataset from 2021, (c) the second segmentation iteration for the dataset from 2019, and (d) the second segmentation iteration for the dataset from 2021.

# 4.2. Spectral Library

The spectral library was generated based on the results of the initial (I) segmentation of 2019 and 2021 and then updated after the results of the optimized (II) segmentation. The spectral library, shown in Figure 9, demonstrated the final spectra for each class, including low and high vegetation, buildings, roads, and railways. Each spectrum covers

Remote Sens. 2023, 15, 632 13 of 22

the  $0.4-2.35~\mu m$  spectral range. Noisy bands from the preprocessing are not included either in the analysis or in the built spectral library. Each class contains the most representative spectra within its definition, i.e., the building class consists of different roof materials depending on their complexity and heterogeneity in the selected study of interest. We have selected red, black, and brown tiles and metal roofing.

# 4.3. Change Detection

Figure 10 demonstrates the change detection results for the changes from the FKB reference data to 2019 for buildings and roads. The railway class has not experienced changes.

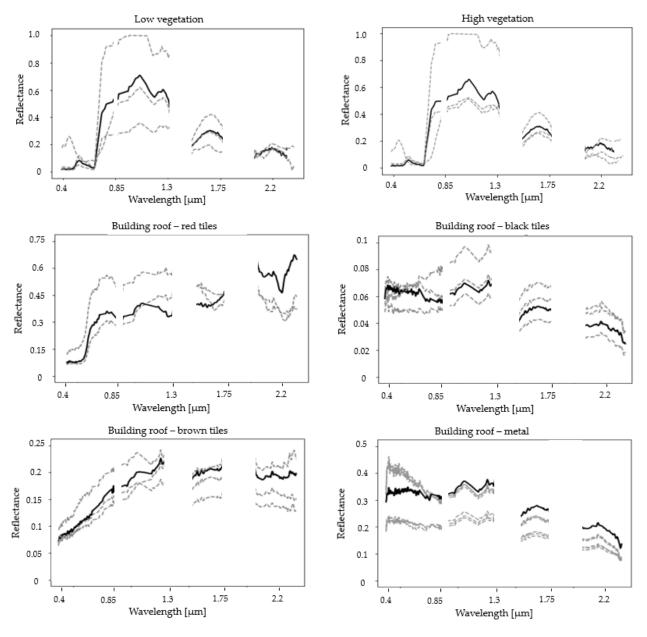
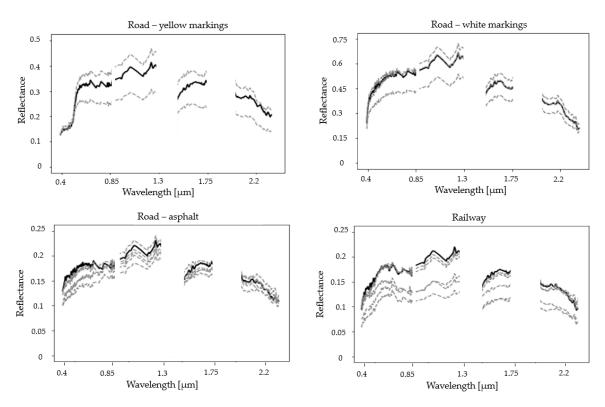
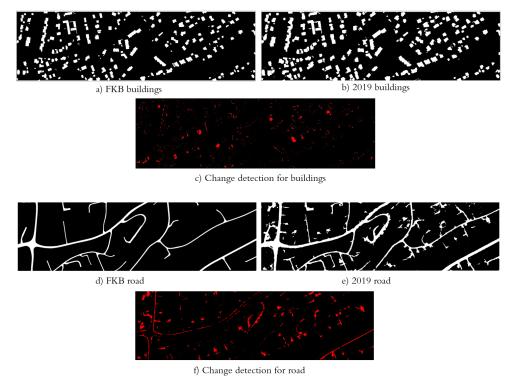


Figure 9. Cont.

Remote Sens. 2023, 15, 632 14 of 22



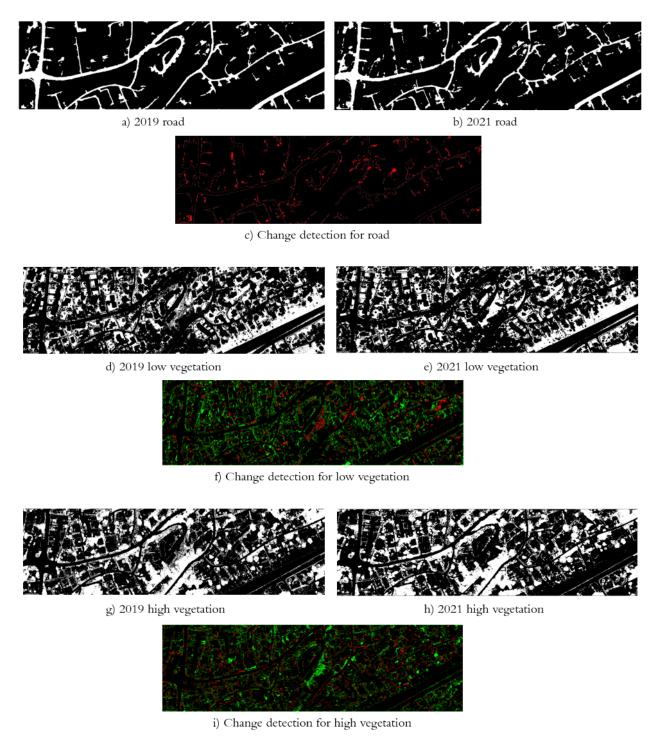
**Figure 9.** Spectral library of low and high vegetation, building (red, brown, and black roof tiles), road (yellow and white markings and asphalt), and railway generated after alignment after the II segmentation iteration. The bold black line in each plot represents the average image spectrum of each class.



**Figure 10.** Change detection results for buildings and roads from FKB reference data to 2019 representing (**a**) buildings from the reference image (FKB), and (**b**) from 2019, (**c**) change detection for buildings, (**d**) roads from the FKB, and (**e**) from 2019, and (**f**) change detection for roads. Red color highlights changed pixels in the updated map.

Remote Sens. 2023, 15, 632 15 of 22

Figure 11 depicts the change detection results from 2019 to 2021 for roads, low and high vegetation.



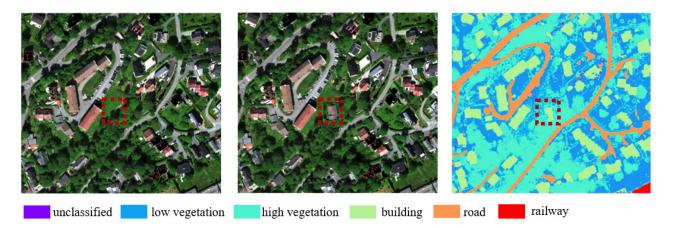
**Figure 11.** Change detection results for roads, low and high vegetation from 2019 to 2021 representing (a) buildings from 2019, and (b) from 2021, (c) change detection for buildings, (d) low vegetation from 2019, and (e) from 2021, (f) change detection for ow vegetation, (g) high vegetation from 2019, and (h) from 2021, and (i) change detection for high vegetation. Red color highlights changed pixels in the updated map. The green color represents pixels available only in the 2019 map (a map to be updated).

Remote Sens. 2023, 15, 632

Table 4 presents the MCC accuracy results for segmentation on a dataset where a building was added in the 2021 dataset. Figure 12 highlights the simulated building addition with the building found in the segmentation process.

| <b>Table 4.</b> The segmentation resu | lts of a | a simulated | dataset from 2021. |
|---------------------------------------|----------|-------------|--------------------|
|---------------------------------------|----------|-------------|--------------------|

| Color | Dataset         | 2021  |
|-------|-----------------|-------|
|       | Low vegetation  | 0.79  |
|       | High vegetation | 0.92  |
|       | Building        | 0.97  |
|       | Road            | 0.99  |
|       | Railway         | 1     |
|       | F1              | 0.859 |



**Figure 12.** Simulated change in the dataset from 2021. The red dashed rectangle represents an added building in place of low/high vegetation.

#### 5. Discussion

#### 5.1. Segmentation Process

In the segmentation of high-dimensional data, dimension reduction is crucial [64]. Unsupervised endmember extraction and retrieval of abundance maps provide a stable and reliable method for obtaining the most representative features of a scene that are not calculated based on statistics. Based on the segmentation results from 2019 and 2021, it has to be noted that only in single classes, such as railway in 2021, the second iteration of segmentation after optimization deteriorated accuracy from 99 to 98%. Comparing all classes in general, low and high vegetation achieved significantly lower accuracy than the buildings, roads, and railways. This is because ground truth data for these classes were created semi-automatically, which is insufficiently accurate. In addition, in many places in our study area, vegetation partially covers some objects, such as roads and buildings, depending on the season in which the data acquisition campaign was carried out. For this reason, some road pixels were not found in the segmentation. The main aspect is that HS data do not penetrate the surface, and some extracted features from LiDAR, such as intensity, include information from the surface only, i.e., from the first laser return. Figure 13 shows an example of high vegetation covering a road.

In the I segmentation (Figure 13a), vegetation was identified on the road, degrading the road accuracy results. In the II segmentation, vegetation covering the road was reclassified to the unknown class. When a classification of new objects or surfaces is required, one of the new classes in this study of interest could be "vegetation on the road" [65]. Such information about vegetation covering main roads can be an indicator for municipalities to remove and secure high vegetation that threatens vehicular traffic and is a typical highlight of urban complexity.

Remote Sens. 2023, 15, 632 17 of 22

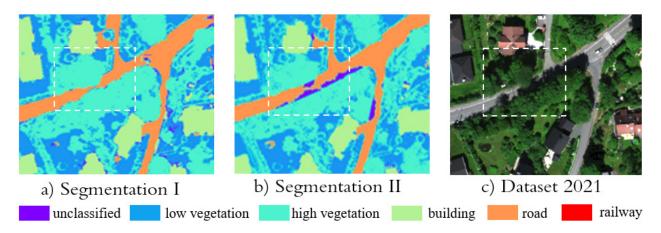
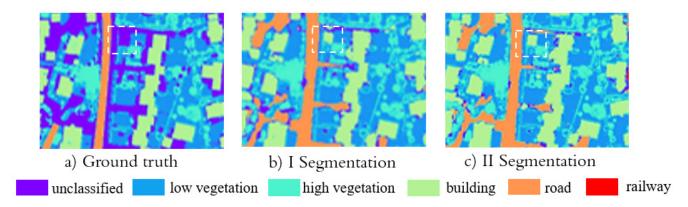


Figure 13. An example of vegetation covering the road marked with a white dashed rectangle.

In addition to the improved accuracy of the results in the second iteration of segmentation, after segmentation optimization, in most objects, the edges have been sharpened, and the geometry in the 2D plane is approximated to reality. This is especially noticeable in objects not marked in ground truth data but present in the analyzed dataset, such as building detection in Figure 14.



**Figure 14.** Improvement of the shape of an object on example building detection marked with a dashed white rectangle.

A frequent challenge in multiclass segmentation using 2D convolutional operations is patch edge effects. These effects relate to the generation of "contours" of each  $64 \times 64$  pixel patch in the classification results, meaning that contextual information from neighboring pixels is not included at the pixel patch edges (Figure 7a). This unintentional effect has been reported in previous studies, where Kuras et al. [35] implemented 3D instead of 2D convolutional operations in the model, mitigating edge effects in the final segmentation map. However, in this study, 3D convolutions require increased computation time, especially when applying data augmentation. Initial experiments on 3D convolutional operations have been carried out, achieving similar results using much larger computational resources. Therefore, 2D convolutions are sufficient for this purpose, providing solid results [35].

In our study, the second iteration of the segmentation eliminates patch edge effects, thereby improving accuracy results (Figure 7c,d). On the other hand, data augmentation through a patch overlap of 50% in the training dataset not only levels edge effects in the I segmentation already (Figure 8a,c) but also allows for more stable learning of the algorithm of localization patterns helping in object identification.

Another important aspect worth discussing is achieving 100% accuracy in some classes. This is a sign of overfitting, which can be compensated for by reducing the complexity of the segmentation model. The splitting of training may have caused overfitting, and

Remote Sens. 2023, 15, 632 18 of 22

test data was carried out patch-wise rather than strictly object-wise, causing the same object/surface to occur both in training and test data. Also, the weight of each class may have been disproportionate, with the rest getting more focus in the segmentation. However, to prevent overfitting issues, the early stopping function was used to save training time and stop training when the model achieved the best performance.

#### 5.2. Spectral Library

Since the defined classes in the segmentation in the urban environment are complex and heterogeneous, the automatically created spectral library for each class contains spectra belonging to different materials and surfaces within that class. One example is the road class which consists of not only the primary road material—asphalt or concrete, but also road surface markings which, due to the spatial resolution of 0.3 m, are mixed with the asphalt or the vehicle on the street. Figure 9 shows that some spectra, especially in low and high vegetation, experience saturation caused by technical problems in data acquisition or atmospheric correction. Errors and artifacts in the spectral library can also be caused by the level adjustment between VNIR and SWIR sensors and the fact that these two cameras do not point to the same spot from the airplane, which is particularly problematic when dealing with a dynamic environment where, for example, vehicles are constantly moving. With the insertion of new datasets into the analysis, i.e., temporal or spatial, new material/spectra may appear that do not belong to the five classes defined in this approach. In case a new class arises, it can be easily added to the collection in the spectral library and defined the class manually if applicable considering available spectral libraries [66,67]. Such a spectral library can be used for plausibility checking and refining the classification. Overlap between classes, such as vegetation on the house roof, indicates overgrowth of trees, meadow, or moos roof. Depending on the application and purpose, this complexity can be explored, defined, and controlled using the spectral library.

# 5.3. Change Detection

Change detection for all defined classes is shown in Figure 10 and 11. The most significant changes could be experienced when the updated map represented 2019. In 2019, several new buildings were detected and updated. Sometimes in building maps, we notice detected changes with already known buildings. This is because boats and cars on the properties were assigned to the building class. In the case of road change detection, as roads were defined, property entrances or alleys were not marked in the original reference data. In addition, the algorithm also detected changes when ground truth was prepared manually, and the object from the airborne-based image perspective slightly shifted or changed object edges. However, erroneous labeling can result in lowered accuracy values. It is, therefore, crucial that labeling of ground truths is only performed where one is entirely confident of the correctness.

Furthermore, it is crucial to point out that our novel framework has effectively identified actual or simulated objects and when ground truth data are not aligned with the current position, as is the case with local map updates.

Our framework allows the addition of new time point datasets, thanks to which the focus of the analysis can be on high-frequent and low-frequent changes or mobile and static object recognition in an urban scene. Moreover, adding another dataset allows for building a stable spectral library and features that can be transferred to other study areas. Objects and surfaces not identified during segmentation can be manually added, allowing for dynamic class extension of urban land cover.

However, it is important to mention that the scene chosen for this study was slightly distant from the city center. This project will likely prove more complicated if a more complex and heterogeneous scene is selected.

Remote Sens. 2023, 15, 632 19 of 22

#### 6. Conclusions

This study presents a novel approach to feature-level-based multisensor data fusion of HS and LiDAR data proposing a method for an effective segmentation optimization based on the unsupervised endmember extraction and abundance map retrieval of HS and LiDAR data without parameter regularizations. Objects and materials that have not been identified can be added manually, with the possibility of dynamic expansion and various land cover classes. All the models achieved increased segmentation results after segmentation optimization, regardless of applying data augmentation. The ResU-Net with implemented data augmentation outperformed compared to models without data augmentation, helping the model learn contextual information about the object. In addition, a local spectral library has been generated automatically as a by-product that can be used to expand the local urban database and serve as a basis for further updates of this region. Based on the segmentation and generated spectral library, we created a change map of each defined class, creating a local map update.

#### 7. Future Work

Our novel approach serves as a promising basis for developing a change detection framework based on unsupervised segmentation for multitemporal data from multiple sensors. Such unsupervised segmentation would limit issues related to the preparation of the ground truth data, which are not always available and updated for the algorithm to learn correctly segment complex objects and surfaces. The proposed framework of change detection applying fused HS and LiDAR data at the feature level can be expanded with more datasets of the study area, allowing segmentation and spectral signatures of individual objects or surfaces to be more stable and reliable in defining new objects in more complex urban scenes.

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