

# Article Life Cycle Assessment of a Highly Diverse Vegetable Multi-Cropping System in Fengqiu County, China

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Abstract: Agricultural biodiversity usually leads to greater sustainability in production practices. To understand the environmental implications of the development of village-level multi-cropping in rural China, we compared the environmental impact of a highly diverse vegetable multi-cropping system to a conventional wheat/maize rotation system based on the method of life cycle assessment (LCA). Using household level cultivation data, this study examined the gate-to-gate environmental impacts of on-site cultivation practices relating to the production of 10,000 nutrient equivalent units. Results show that vegetable multi-cropping resulted in decreased average land requirement, and diesel, water and electricity usage by 69.8%, 62.2%, 71.7%, and 63.4%, respectively, while average nitrogen (Total N), phosphorus ( $P_2O_5$ ), and potassium ( $K_2O$ ) usage in vegetable multi-cropping systems decreased by 16.3%, 42.1%, and 75.8%, respectively. Additional corresponding effects led to a decrease in the total global warming, eutrophication, and acidification potentials from external inputs by 21.6%, 16.7%, and 16.2% of the entire system, respectively. Moreover, the midpoint human toxicity potential from pesticide usage of the vegetable multi-cropping system was lower than that of the conventional system. However, the midpoint eco-toxicity potential from pesticide usage was higher due to certain highly toxic substances, and both human and eco-toxicity potentials from heavy metals were all higher by a few orders of magnitudes. Thus, to mitigate these detrimental consequences, some related measures are proposed for sustainable practices in the future implementation of multi-cropping systems.

**Keywords:** life cycle assessment; multi-cropping; nutrient equivalent; biodiversity; sustainable development

# 1. Introduction

Worldwide agricultural intensification has been found to negatively affect agro-ecosystem services [1–5]. China has one of the largest global agro-industries and its high crop yield has been achieved through the increased use of fertilizers [6,7] which has in turn led to adverse effects such as increased greenhouse gas emissions [8–10], and eutrophication [11] and acidification of freshwaters [12,13]. In this context, innovative approaches must be considered to address the need for agricultural sustainability.



Spatial multi-cropping has been found to be effective in promoting ecosystem services and sustainability in agricultural landscapes [2,3,14–16]. Even though no national-level scheme has been proposed to date in China, individual cases can be found in most traditional agricultural regions where farmers from individual villages introduced multi-cropping crops, such as vegetables, which usually resulted in an increased economic income. A representative example can be found in Fengqiu County, Henan Province, where an entire village concentrates on multi-cropping vegetable cultivation (X.J. Li, Personal communication, 20 June 2011). Local census data indicated that the village has been yielding a per capita income of two to three times that of a traditional village, with winter wheat (*Triticum aestivum* L.) and maize (*Zea mays*) rotation in previous years.

While researchers have shown that agricultural biodiversity, especially through multi-cropping, generally benefits agricultural sustainability, most have focused on the aboveground and belowground changes in specific crops and the interactions brought about when one or two nutrient-facilitating or soil-conserving species have been introduced [3,14,17]. As such, the broader environmental impact of cropping systems comprised of high levels of crop diversity has often been overlooked. As multi-cropping generally requires more external inputs, adopting such a system may add to already elevated environmental loads. Understanding the environmental impact of such a change, even at a small scale, can not only aid in the development of local livelihood, but it can also aid in regional level sustainability and policy making.

Evaluating and comparing production systems with such complexity can benefit from the use of life cycle assessment methodology [18,19]. Life Cycle Assessment (LCA) is a method for evaluating and quantifying the environmental impacts of a product, system, or a series of activities by listing all the resources used and waste produced [20]. Its scope can cover all aspects of the agricultural process, including the extraction of raw materials, production and transportation of products, retailing, and arable farming [18]. The environmental impacts are grouped and quantified in a common functional unit, and evaluated in a comprehensive index or in their respective environmental categories [18,21].

Life cycle approaches have been increasingly adopted in agricultural research, but certain challenges still need to be addressed to ensure their rigorousness in supporting policy decisions. Firstly, while most LCA studies identify agricultural intensification as a more environmental-friendly production method, [22,23], current LCA methods often neglect long-term sustainability issues around soil quality, fertility, and erosion, as well as ecosystem service risks due to intensification and related biodiversity loss [24]. While these aspects are often characterized at the landscape level, agricultural LCA is often field-level based and, therefore, fails to acknowledge them, resulting in sub-optimal policies and actions [24]. Secondly, agricultural LCA inventory data are more variable than for other industries; for instance, two or more chemicals with similar or vastly different active ingredients might be used for similar purposes. This is especially prevalent in developing countries where a lack of appropriate knowledge and training results in the extensive misuse of agro-chemicals in farmlands [25]. The lack of LCIA (life cycle impact assessment) models meeting the spatial and temporal requirements of case-specific LCA research further adds to the uncertainty of impact assessment results [26]. In developing countries such as China, the fragmentation of arable land and the relatively under-regulated usage of agro-chemicals, generally resulting in insufficient census data, make the extrapolation of LCA results problematic. The extreme variation of chemical substances used in Chinese farmlands presents another difficulty in performing LCA, as most life cycle inventory models do not currently include such a wide range of chemical substance properties. Lastly, socio-economic aspects of sustainability, such as working conditions, welfare, and cultural values are often neglected in agricultural LCA studies [24].

Studies using an LCA methodology have confirmed the positive effects of crop rotation, such as the added benefit of N, P, and K resources [27,28]. Unlike crop rotations, multi-cropping involves crops grown in the same field in both spatial and temporal successions, which has often been overlooked in LCA studies. This current paper aims to assess the environmental impacts of a representative vegetable multi-cropping system in rural China. Using first-hand survey data rather than census data, this paper

documents and assesses detailed external inputs, especially chemical usage in arable farming practices. It also addresses the need for a suitable functional unit when assessing complex agricultural systems where multiple crop types are involved.

# 2. Materials and Methods

# 2.1. Study Area

The study area is in Fengqiu County, Henan Province, in the central-eastern region of China, to the north of the Yellow River (Figure 1). A representative site was selected for each cultivation system, similar to the approach used by Wang, et al. [29]. Village Chang-zhai (CZ) was selected as a representative vegetable multi-cropping system. Though other villages following in CZ's footsteps have been identified in the area, local census data and interviews with county leaders suggested that these villages were less successful and, therefore, less suitable for representing the multi-cropping process. The matching traditional winter wheat/maize rotation village was Zhu-cun-pu (ZCP). This was selected by first matching the general soil composition with provincial soil maps (soil type mud-sand formation, similar to Fluventic Ustochrept of the USDA classification) [30] overlaid on village distribution maps, then ordering potential villages using socio-economic data to find the longest-standing traditional wheat/maize village in the region with stable cultivation patterns.

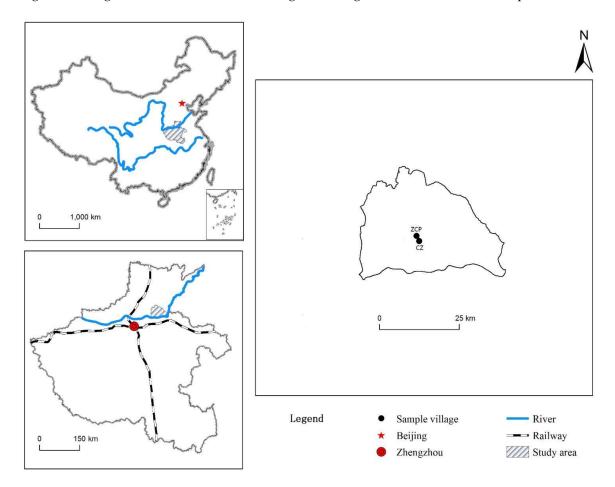


Figure 1. Map of the study area and location of the villages selected.

In 2011, one hundred households were randomly selected and interviewed face to face in both CZ and ZCP to obtain detailed cultivation data, within which a comparable baseline was established between the traditional elements of each village maintaining a winter wheat/maize rotation to ensure the rigorousness of the comparisons of environmental impacts. Follow-up interviews which

randomly sampled previously interviewed households were conducted for two consecutive years (2012–2013) to ensure the stability of data throughout the study period. Preliminary data treatment was performed to exclude data from households who relied on revenues other than farming, such as migrant working or retailing farming materials; this ruled out 14 households in CZ, and 10 households in ZCP. The household data were aggregated based on each crop type, and then assessed with respect to each environmental impact category.

Village CZ cultivated a total of 22 crop species, including wheat (*Triticum aestivum*), maize (*Zea mays*), peanut (*Arachis hypogaea*), sweet potato seedling (*Ipomoea batatas*), garlic (*Allium sativum*), bok choi (*Brassica rapa*), coriander (*Coriandrum sativum*), spinach (*Spinacia oleracea*), hot pepper (*Capsicum* sp.), eggplant (*Solanum melongena*), cauliflower (*Brassica oleracea*), romaine lettuce (*Lactuca sativa* L. var. *longifolia*), rape (*Brassica chinensis*), scallion (*Allium fistulosum*), potato (*Solanum tuberosum*), carrot (*Daucus carota*), onion (*Allium cepa*), celery (*Apium graveolens*), Chinese wax gourd (*Benincasa hispida*), and cabbage (*Brassica oleracea*). Village ZCP only cultivated four crops, including wheat, maize, peanut, and sweet potato. Sweet potato seedlings were cultivated for horticultural purposes rather than direct consumption.

#### 2.2. Assessment Goal and Scope

This study aimed to compare the environmental impacts of the different agricultural systems, emphasizing the cultivation differences, including tillage, sowing, irrigation, fertilization, and the application of pesticides. Therefore, the study scope was limited to the arable land (farm gate-to-farm gate), excluding the manufacturing, packaging, and transportation of raw materials (chemicals and farming tools), and the marketing of the produce. These processes, in the Chinese rural areas, are often handled by multiple layers of intermediaries, and are difficult to quantify. The displacement of materials and tools within the arable land relied mainly on manual labor, and thus was also excluded in the present study.

#### 2.3. Functional Unit

Traditionally, factors such as crop yield, economic income, and cultivation area have been the primary choice of functional unit for performing LCA studies in an agricultural setting [18]. In fact, farmland products are not always directly comparable as they might offer a vast range of qualitative properties. This emphasizes the need for the use of intrinsic nutrient values as functional units for comparison. Such examples include the use of energy equivalents and specific nutritional content [22,31–35]. However, none of these previous studies have involved comparisons among such a range of products. This present study involved the assessment of 22 different products, thus an appropriate functional unit would need to consider the different nutrients provided, resulting in a balanced evaluation. Here, the combined nutritional equivalent unit was used in LCA, and defined as the mean of the respective ratios (percent of daily recommended intake values) of the main nutrients (calories, vitamin A, carotene, vitamin C, vitamin E, calcium, phosphorus, potassium, sodium, magnesium, iron, zinc, selenium, copper, and manganese; Table A2) to those recommended by the Chinese National Institute for Nutritional Health [36] (Table 1). The functional unit of the study was set as ten thousand (10,000) nutrient equivalent units. This functional unit allows us to make direct comparisons among food and cash crops that are variable in production yield and dietary needs. As sweet potato seedlings were not cultivated for direct consumption (see above), the nutrient values of the seedling itself were used as equivalents (Table 1).

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Produce	NE/kg	Produce	NE/kg
Wheat (Triticum aestivum)	1.73	Eggplant (Solanum melongena)	0.32
Maize, dried, yellow, (Zea mays)	1.21	Cauliflower (Brassica oleracea)	0.73
Peanut (Arachis hypogaea)	1.54	Romaine lettuce (Lactuca sativa)	0.59
Sweet potato, red flesh ( <i>Ipomoea batatas</i> )	0.67	Rape, small (Brassica chinensis)	1.12
Garlic stalk ( <i>Allium sativum</i> )	0.69	Scallion (Allium fistulosum)	0.44
Garlic bulb	0.69	Potato (Solanum tuberosum)	0.56
Sweet potato seedling	0.87	Carrot, red (Daucus carota)	1.31
Bok choi, white (Brassica rapa)	0.49	Onion bulb ( <i>Allium cepa</i> )	0.30
Coriander leaf (Coriandrum sativum)	1.27	Celery, white ( <i>Apium graveolens</i> )	0.51
Spinach (Spinacia oleracea)	1.79	Winter melon (Benincasa hispida)	0.26
Hot pepper, red ( <i>Capsicum</i> sp.)	1.78	Cabbage (Brassica oleracea)	0.55

Table 1. Calculated nutritional equivalent (NE) units of all produces cultivated in the study villages.

# 2.4. Life Cycle Inventory

The life cycle inventory detailed all external inputs into the two cropping systems (Table 2), covering all cultivation processes, including land use, ploughing and sowing, irrigation, fertilization, pesticide use, and harvesting. These were calculated as follows:

Substances used per funcitonal unit = 
$$10,000 \times \frac{Substance (resources) used}{Crop yield (kg)} / NE kg^{-1}$$

where *Substance (resources) used* refers to the amount of external inputs (land area, water, diesel, etc.; see Table 2 for listed inputs) per hectare; *Crop yield* (kg) refers to the total crop yield per hectare; *NE* is the nutritional equivalent of respective crop types (Table 1); 10,000 was the constant selected for an easier calculation and representation of results.

**Table 2.** Life cycle inventory of the average amounts of substances used in the two cultivation systems per ten thousand nutrient equivalent units.

Cultivation Process	External Inputs	Description	CAS RN	Amount per 10,000 Nutrient Equivalent Units				
				ZCP Mean	CZ Mean			
General	Land used, m <sup>2</sup>	-	-	$6.93  imes 10^3$	$2.09  imes 10^3$			
Irrigation/fertilization	Water, L	Ground water	-	$4.53  imes 10^3$	$1.71 \times 10^3$			
Plowing/sowing/ irrigation/harvesting	Diesel, L	-	-	$7.30  imes 10^1$	$2.06 \times 10^1$			
Irrigation	Electricity, kWh	Thermal electricity	-	$7.16 \times 10^2$	$2.62 \times 10^2$			
Fertilization	Nitrogen, kg	N eq.	7727-37-9	$7.58 \times 10^2$	$6.33  imes 10^2$			
Fertilization	Phosphorus pentoxide, kg	P <sub>2</sub> O <sub>5</sub> eq.	1314-56-3	$2.95  imes 10^2$	$1.71 \times 10^2$			
Fertilization	Potassium oxide, kg	K <sub>2</sub> O eq.	12136-45-7	$3.98  imes 10^2$	$9.63  imes 10^1$			
Fertilization	Chicken manure	-	-	-	$7.11 \times 10^3$			
Fertilization	Swine manure	-	-	-	$2.23  imes 10^2$			
Insecticide	Dimethoxon, kg	C <sub>5</sub> H <sub>12</sub> NO <sub>4</sub> PS	1113-02-6	1.25	1.24			
Insecticide	Cypermethrin, kg	C22H19Cl2NO3	52315-07-8	$2.68  imes 10^{-2}$	$2.92  imes 10^{-2}$			
Insecticide	Methamidphos, kg	C <sub>2</sub> H <sub>8</sub> NO <sub>2</sub> PS	10265-92-6	$7.73  imes 10^{-2}$	$2.04  imes 10^{-2}$			
Insecticide	Imidacloprid, kg	C9H10ClN5O2	138261-41-3	$1.60  imes 10^{-4}$	$2.35  imes 10^{-3}$			
Insecticide	Dimite, kg	C <sub>14</sub> H <sub>12</sub> Cl <sub>2</sub> O	80-06-8	$3.06  imes 10^{-2}$	$2.25  imes 10^{-3}$			
Insecticide	Phoxim, kg	$C_{12}H_{15}N_2O_3PS$	14816-18-3	$5.78  imes 10^{-3}$	$1.02  imes 10^{-1}$			
Fungicide	Cymoxanil, kg	$C_7 H_{10} N_4 O_3$	57966-95-7		$1.08  imes 10^{-4}$			
Fungicide	Mancozeb, kg	[C4H6MnN2S4]xZny	8018-01-7		$8.66  imes 10^{-4}$			
Fungicide	Chlorothalonil, kg	$C_8 C l_4 N_2$	1897-45-6		$1.83  imes 10^{-2}$			
Herbicide	Atrazine, kg	C <sub>8</sub> H <sub>14</sub> ClN <sub>5</sub>	1912-24-9	$7.12  imes 10^{-2}$	$6.01  imes 10^{-2}$			

Cultivation Process	External Inputs	Description	CAS RN	Amount per 10,000 Nutrient Equivalent Units				
				ZCP Mean	CZ Mean			
Herbicide	Pendimethalin, kg	$C_{13}H_{19}N_3O_4$	40487-42-1	$3.43  imes 10^{-2}$	$1.72  imes 10^{-1}$			
Herbicide	Ierbicide Acetochlor, kg		34256-82-1	$6.44 imes10^{-2}$	$4.71  imes 10^{-2}$			

Table 2. Cont.

ZCP, village Zhu-cun-pu; CZ, village Chang-zhai; eq., equivalent.

In addition to rain, submersible electric water pumps were the sole irrigation method in the study area. In the villages being studied, two pump models were used, with a designed maximum water flow of 20 m<sup>3</sup>/h (3 kW) and 25 m<sup>3</sup>/h (4 kW), respectively (at 220 voltages, numbers in brackets denote working capacities). However, these pumps were often performing at a reduced capacity due to mechanical wear, instability of the dedicated electrical grids, and low underground water levels. It was estimated from interviews with experienced farmers and village leaders that the actual water flows of the pumps were approximately 8 m<sup>3</sup>/h (3 kW, mean value of 6–10 m<sup>3</sup>/h) and 10 m<sup>3</sup>/h (4 kW, mean value of 8–12 m<sup>3</sup>/h), respectively. Walking tractors (two-wheel tractor/ single-axle tractor) were the predominant machinery used for tillage and sowing, and combine harvesters were used during harvest. Interviews with experienced farmers and village leaders put their energy usage at 26.25 L/ha (mean value of 22.5–30 L/ha) and 38.75 L/ha (mean value of 37.5–45 L/ha) of diesel, respectively (based on the questionnaires).

Fertilizer and other chemical usages were highly diverse, including 11 types of synthetic chemical fertilizers, 2 types of manures (swine and chicken), 8 pesticides, 2 fungicides, and 9 herbicides (Table 2; Table A1). Nitrogen input in the form of ammonium  $(NH_4^+)$  was uncommon and in very small quantities (used as after fertilizers in the form of Diammonium Phosphate), and was therefore excluded in the life cycle impact assessment stage. Pesticide usage in the region differed greatly in terms of crop type and application time (Table 2; Table A1). Just using selective chemicals in the analysis, whether based on application mass or frequency, might overlook substances used in small quantities that would in fact cause substantial environmental impacts [37]. To avoid such errors, the approach taken was to first record all chemicals being sold in the local supply stores, then, use detailed information and photographs, and interview farmers about the exact substance type and application pattern. These results were then converted to the amount of active ingredients for further analysis (Table 2). The substances Emamectin benzoate (CASRN 137512-74-4), avermectin B1 (CASRN 71751-41-2), ally (CASRN 74223-64-6), butachlor (CASRN 23184-66-9), propisochlor (CASRN 86763-47-5), butralin (CASRN 33629-47-9), fluoroglycofen (77501-90-7) were excluded in the life cycle impact assessment stage due to lack of emission-effect data in the USEtox model [38–40]. This may lead to an underestimate of the human and eco-toxicity potentials of both villages.

The emission factor for nitrous oxide ( $N_2O$ ) and ammonia ( $NH_3$ ) were global mean values of the respective factors taken from previous studies [41–43]. The nitrogen, phosphorus, and potassium loss were set at 26%, 0.2%, and 6.5% of the total amount applied, respectively [44]. On-farm emissions of greenhouse gas  $CO_2$ ,  $CH_4$ , and  $N_2O$  were from farmland machineries, and calculated using factors reported by [9,45,46]. The presence of heavy metal contents in agricultural fertilizers and manures were calculated based on ratios reported in the relevant literature [47–51].

#### 2.5. Life Cycle Impact Assessment

Various models exist for performing life cycle impact assessments, but no one single method is suitable for all assessment requirements [26]. Given the data attributes and the assessment scope and goals of this study in a Chinese context, a combination of models was selected based upon careful review of relevant agricultural LCA studies [9,20,26,29,39,40,52–54], (Table 3). The global warming potential (GWP) over 100 years was calculated using the CO<sub>2</sub> equivalent factors for global representation and comparison [54]. The eutrophication potential, water and land use were calculated

based on worldwide normalization data [20]. The acidification potential was calculated using the molc H<sup>+</sup> equivalent method for better comparability [52]. Toxicity potentials were calculated based on the method described in the USETox manual [39].

Impact Category	Unit	LCIA Method Used	Reference
Global warming	kg CO <sub>2</sub> eq	IPCC	IPCC, 2013
Eutrophication	kg $PO_4^{3-}$ eq	CML 2002	Guinee et al. (2002)
Acidification	molc H <sup>+</sup> eq	Accumulated exceedance	Posch et al. (2008)
Human toxicity	CTUh <sup>a</sup>	USEtox model	Rosenbaum et al. (2008)
Ecotoxicity	CTUh <sup>a</sup>	USEtox model	Rosenbaum et al. (2008)
Water use	L	CML 2002	Guinee et al. (2002)
Land use	m <sup>2</sup> ·year	CML 2002	Guinee et al. (2002)

Table 3. Life cycle impact assessment (LCIA) methodologies used in this study.

eq., equivalent; IPCC, Intergovernmental Panel on Climate Change; <sup>a</sup> midpoint characterization factors were used for toxicity impacts.

Even though all current life cycle impact assessment models for toxicity account for the impact of pesticides once they are emitted into the natural environment [55], there is no consensus model on the emission factors of pesticides for both on and off-field environments [39,55–57]. The present study selected the USEtox model as it treats agricultural soil as a separate emission media, thus allowing for on-site emissions leading to the human and freshwater toxicity potential to be summarized using the amount of pesticides applied in the field [55]. Also, the USEtox model provides the largest substance database available with a higher precision on mid- and end-point toxicity outcomes than other models [39], making it a better choice, as in this case, when multiple chemicals are involved.

Twelve of the nineteen pesticides identified were included in the final calculation (Table 2); seven were excluded due to a lack of human and eco-toxicological data in the USEtox database. Characterization factors for the midpoint human toxicity potential in comparative toxic units (CTUh, cases/kg<sub>emitted</sub>) and the midpoint freshwater ecotoxicity potential in comparative toxic units (CTUe, PAF×m3/kg<sub>emitted</sub>, where PAF stands for potentially affected fraction of species) were modeled using the USEtox 2.01 version [38,40].

#### 3. Results

#### 3.1. Resource Use in Vegetable Cultivation

Direct resource usage largely reflects the production efficiency of a cropping system. In this study, results showed that the farmland (ha), diesel (L), water (L), and electricity (kWh) used to produce 10,000 NE units for vegetable cultivation in village CZ were all lower than those used for conventional wheat/maize cultivation in village ZCP, with decreases of 69.8%, 62.2%, 71.7%, and 63.4%, respectively. The average nitrogen, phosphorus, and potassium input levels required to produce 10,000 NE units in village CZ were also all lower than those of village ZCP, by 16.3%, 42.1%, and 75.8%, respectively. These results clearly indicated the increased sustainability of the multi-cropping system.

# 3.2. Global Warming, Eutrophication, and Acidification Potentials

The global warming potential, eutrophication potential, and acidification potential of producing equal amounts of NE units were all lower for the vegetable cultivation system in CZ than for the wheat/maize system in ZCP (Table 4). They were calculated using emission factors described in the life cycle inventory and models described in Table 3.

The total global warming potential, almost entirely dominated by the emission of nitrogen oxides and carbon dioxides in both systems, decreased by 21.6% in the vegetable cultivation system (Table 4). Within the total global warming potential categories, those caused by the emission of NOx decreased by 16.3%, and those caused by the emission of  $CO_2$  decreased by 71.8% (Table 4).

Village	CZ	ZCP
Global warming potential	$1.95  imes 10^3$	$2.49  imes 10^3$
from $NO_X$ emission	$1.89 imes10^3$	$2.26  imes 10^3$
CO <sub>2</sub> emission	$6.55 imes10^1$	$2.33  imes 10^2$
CH <sub>4</sub> emission	$3.60  imes 10^{-3}$	$1.28  imes 10^{-2}$
Eutrophication potential	$6.42  imes 10^1$	$7.71  imes 10^1$
from N loss	$3.19  imes 10^1$	$3.82  imes 10^1$
P loss	$4.58 imes10^{-1}$	$7.90 imes10^{-1}$
NH <sub>3</sub> emission	$3.10  imes 10^1$	$3.71  imes 10^1$
NO <sub>X</sub> emission	$8.23 imes10^{-1}$	$9.85 imes10^{-1}$
Acidification potential	$2.73 \times 10^{2}$	$3.26 \times 10^2$
from NH <sub>3</sub> emission	$2.68 imes10^2$	$3.20  imes 10^2$
NO <sub>X</sub> emission	4.69	5.61
SO <sub>X</sub> emission	$1.08 imes10^{-1}$	$3.82  imes 10^{-1}$

Both systems suffered from high eutrophication potentials caused by total nitrogen loss (leaching) to the soil and the volatilization of  $NH_3$  to the air. The total eutrophication potential decreased by 16.7% in the vegetable cultivation system, with potentials from nitrogen loss, phosphorus loss,  $NH_3$  emission, and NOx emission decreasing by 16.4%, 42.0%, 16.4%, and 16.4%, respectively (Table 4).

The total acidification potentials in both systems were mainly from volatilized  $NH_3$  emissions to the air (Table 4). The vegetable cultivation system caused 16.26% less acidification potential compared to that caused by the traditional wheat/maize rotation system.

# 3.3. Toxicity Potentials

The toxicity impact data comprises the effects of 12 pesticides and 4 heavy metals on the midpoint human toxicity potential, measured in disease cases, both carcinogenic and non-carcinogenic, per kg of substance emitted, and on the midpoint eco-toxicity potential, measured in a potentially affected fraction of species per kg of substance emitted (Table 5) [25,47–49] Pesticides and heavy metal remnants represent two distinctive aspects of toxicity and were therefore compared and presented separately.

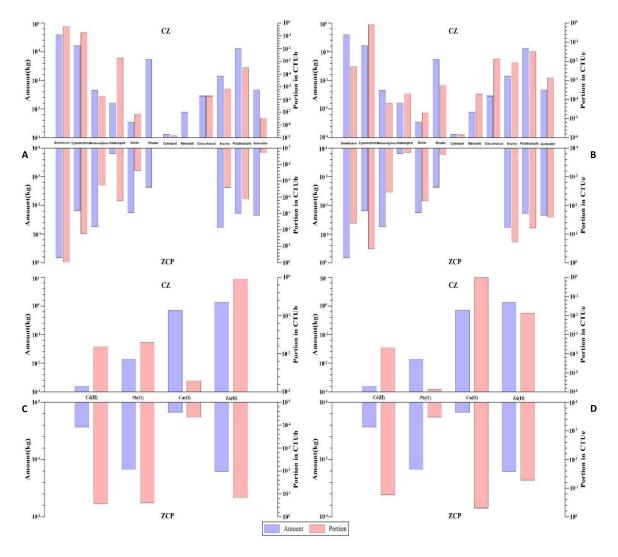
CAS RN	Substance	CTUh CF <sup>1</sup>	CTUe CF <sup>2</sup>	C	Z	Z	CP
	Substance	croner	CIDECI	CTUh	CTUe	CTUh	CTUe
1113-02-6	Dimethoxon	$3.33 imes10^{-2}$	$1.72 \times 10^2$	$1.99  imes 10^{-2}$	$1.03  imes 10^2$	$2.76  imes 10^{-2}$	$1.43 \times 10^2$
52315-07-8	Cypermethrin	$3.33  imes 10^{-2}$	$6.19 imes10^4$	$6.94 imes10^{-3}$	$1.29  imes 10^4$	$6.45 imes10^{-4}$	$1.20  imes 10^3$
10265-92-6	Methamidphc	$0.08 imes1.08 imes10^{-5}$	$1.72 \times 10^2$	$7.08 imes10^{-8}$	1.13	$7.98 imes10^{-7}$	$1.27  imes 10^1$
138261-41-3	Imidacloprid	$3.33 imes10^{-2}$	$1.93  imes 10^3$	$7.14 imes10^{-5}$	4.14	$6.24 imes10^{-6}$	$3.62  imes 10^{-1}$
80-06-8	Dimite	$4.15 imes10^{-6}$	$7.68  imes 10^2$	$2.27  imes 10^{-9}$	$4.20  imes 10^1$	$1.06  imes 10^{-7}$	$2.00  imes 10^1$
14816-18-3	Phoxim	NA <sup>3</sup>	$1.43  imes 10^2$	0	$1.05  imes 10^1$	0	$5.17 imes10^{-1}$
57966-95-7	Cymoxanil	$3.34 imes10^{-7}$	$1.83  imes 10^2$	$3.72  imes 10^{-11}$	$2.04 imes10^{-2}$	0	0
8018-01-7	Mancozeb	NA	$4.43 imes10^3$	0	3.95	0	0
1897-45-6	Chlorothaloni	$1.62 \times 10^{-5}$	$3.59 imes10^4$	$7.39 imes10^{-8}$	$1.64  imes 10^2$	0	0
1912-24-9	Arazine	$1.49 imes10^{-5}$	$8.55  imes 10^3$	$2.29 imes10^{-7}$	$1.31 \times 10^2$	$1.15  imes 10^{-6}$	$6.62 \times 10^{2}$
40487-42-1	Pendimethalin	$1.22  imes 10^{-4}$	$6.58  imes 10^3$	$1.34 imes10^{-5}$	$7.21 \times 10^2$	$3.36 imes10^{-6}$	$1.81 \times 10^2$
34256-82-1	Acetochlor	$2.17 imes10^{-7}$	$2.55  imes 10^3$	$1.44  imes 10^{-9}$	$1.70  imes 10^1$	$7.83  imes 10^{-9}$	$9.22  imes 10^1$
22537-48-0	Cd(II)	1.24	$5.46 imes10^6$	$2.50  imes 10^{-3}$	$1.10  imes 10^4$	$5.41  imes 10^{-5}$	$2.37 \times 10^2$
14280-50-3	Pb(II)	$2.86 imes10^{-1}$	$3.03  imes 10^3$	$3.88  imes 10^{-3}$	$4.10 imes10^1$	$4.98 imes10^{-5}$	$5.26  imes 10^{-1}$
15158-11-9	Cu(II)	$4.60 imes10^{-4}$	$3.98  imes 10^7$	$3.91  imes 10^{-4}$	$3.40  imes 10^7$	$8.20  imes 10^{-9}$	$7.10 \times 10^2$
23713-49-7	Zn(II)	$1.02  imes 10^{-1}$	$3.49  imes 10^5$	$1.28  imes 10^{-1}$	$4.40  imes 10^7$	$2.16 imes10^{-5}$	$7.38  imes 10^1$

**Table 5.** Characterization factors (CF) and midpoint human (CTUh) and eco-toxicity (CTUe) potentials of the substances being used in villages CZ and ZCP calculated using USEtox.

<sup>1</sup> Midpoint human health characterization factor (cases/kg<sub>emitted</sub>) for application to crops (14 pesticides) and application to soil (4 heavy metals) in Eastern China. <sup>2</sup> Midpoint eco-toxicity characterization factor (PAF.m3.day/kg<sub>emitted</sub>) for application to crops (14 pesticides) and application to soil (4 heavy metals) in Eastern China. <sup>3</sup> NA represents no affect-data available, which are treated as zero values in calculation.

The total midpoint human toxicity potential derived from pesticide active ingredients for CZ was 4.9% lower than that of ZCP (Table 5). The highest pesticide contributor to CTUh in both villages was

Dimethoxon (also known as Omethoate), a systemic organophosphorus insecticide used for insects and mites (Figure 2). Along with Cypermethrin and Imidacloprid, it had the highest midpoint human toxicity characterization factor (Table 5). However, the total midpoint eco-toxicity potential derived from pesticide active ingredients in CZ was more than five times that of ZCP, which was mainly attributable to an increased use of Cypermethrin, a synthetic pyrethroid insecticide, in CZ (Table 5).



**Figure 2.** Amount of individual pesticides and heavy metals in the two cropping types and their respective portion within the total human and eco-toxicity potentials (**A**) midpoint human toxicity comparison of pesticides; (**B**) midpoint eco-toxicity comparison of pesticides; (**C**) midpoint human toxicity comparison of heavy metals; (**D**) midpoint eco-toxicity comparison of heavy metals). Pesticides and heavy metals are presented separately. Each value on the Y-axes is presented with its significand (from 0.1–9.9 within the matching exponent section) and its exponent for better data coverage.

Heavy metal contributed highly to both the midpoint human and eco-toxicity potentials in CZ. Both toxicity potentials in CZ were higher than those of ZCP by orders of magnitudes. The elements Cu and Zn were the top two contributors (Table 5; Figure 2). These resulted from an increasing use of manures in village CZ (Table 2).

# 4. Discussion

Fengqiu County is a typical agricultural region in the lower Yellow River basin. Its numerous cultivation patterns are the result of historical, natural, and anthropogenic activities. Current Chinese

policies regarding rural development call for a unified monoculture of food crops connecting the once fragmented farming landscapes, attempting to boost resource efficiency, raise the total yield, and reduce chemical use in the process. The diverse vegetable farming system in the present study, however, represents an economically viable alternative to the vast monocultures capable of achieving such goals. Using life cycle assessment methodology, we obtained detailed cultivation data, and comprehensively assessed the environmental impacts of all compartments related to the on-site cultivation processes of the two farming systems. We also proposed the use of nutritional equivalents as a novel functional unit when assessing complex farming systems.

Even though vegetable multi-cropping requires a considerably higher input of resources (Table 2), results show that this system could increase resource efficiency, and reduce the overall emissions of pollutants that lead to global warming, eutrophication, and acidification to produce an equal amount of nutrient equivalents when compared with a conventional wheat/maize rotation system. The elevated resource efficiency is most likely due to the combination of multiple vegetable crops, each with unique nutrient dispositions and growth periods, intercropping and succeeding one another, creating a highly efficient and complementary use of available spatial and temporal resources [14,15,58–60]. Local interview results indicated that while the nutrient requirements of wheat/maize systems rose over the years to support a fluctuating yield, the resource inputs in the multi-cropping system, which might have been the result of the increased biological remediation of multi-cropping [14,16].

The causes of larger scale environmental impacts need to be identified in agricultural practices. In this study, the main contributors for global warming potentials in both villages were  $NO_X$  and  $CO_2$  emissions, caused by nitrogen fertilizer and diesel usage, respectively; the main contributors for eutrophication potentials in both villages were total nitrogen loss (leaching) and  $NH_3$  emission, both caused by extensive nitrogen fertilizer usage; the main contributors for acidification potentials were  $NH_3$  and  $NO_X$  emissions, caused by nitrogen fertilizer usage (Table 4). It was clear that all of these pollutants resulted from fertilizer input in the cultivation processes [42,61,62]. The vegetable multi-cropping presented in this case involved crop types from various genera and families, even orders (Table 1), which would increase soil cover and root presence in the topsoil both spatially and temporally, thus reducing the risk for run-off and nitrate leaching [63,64]. Even though existing data cannot provide a detailed emission model for various pollutants in a multi-cropping environment, it is reasonable to assume that the vegetable multi-cropping system in this case even fewer environment impacts.

The human and ecological toxicity impacts caused by farming practices can come from various sources, including pollutant emissions, pesticides, and heavy metals from fertilizers and other chemicals [21]. Pesticides and heavy metals are particularly alarming factors as they usually pertain to longer-lasting and more toxic substances [20]. In this study, the USEtox toxicity model was selected to better establish the fate of pesticides and heavy metal elements, and their toxicity implications based on direct cause-effect chains [39].

Toxicity results indicate that when analyzed separately, vegetable multi-cropping practices could generate smaller human toxicity potentials, despite their high pesticide usage. The overall human and eco-toxicity effect rested largely on the selection of few pesticides. In the present study, cypermethrin's high midpoint eco-toxicity characterization factor (Table 5) made it the largest contributor to the total eco-toxicity potentials in both villages (91.7% and 51.8% in villages CZ and ZCP, respectively). Because of its acute and high toxicity to fish, bees and aquatic insects [65], alternative pyrethroid compounds have been developed that pose a lesser threat to the environment [66,67]. Since the pesticide market in rural China generally lags behind international advances, it is possible that by adopting newer and less toxic pesticides, the vegetable multi-cropping system can be made more sustainable in terms of human and eco-toxicity potentials.

The toxicity potentials posed by heavy metals from manure remnants were of major concern in the vegetable multi-cropping system. Chicken manure was the main source of nutrients in vegetable multi-cropping (Table 2), and because of its high heavy metal content compared to other nutrient sources [51,68], it was the main contributor of heavy metal contaminants in the system. Local manure compost was a crude process in that no specific container or additives were used. But previous research indicated that by adding bioaccumulation agents such as earthworms [69,70] in the compost process, the contamination of heavy metal elements could be considerably reduced. Such practices need to be

adopted in the present vegetable multi-cropping system to minimize the total toxicological potentials.

The scope of the study was set at gate-to-gate, limited to farmland cultivation practices and their impact at the local scale with a focus on the differences between the cultivation methods of the two cropping systems. However, agricultural environmental impacts are not limited to the field. A broader and more extensive evaluation and comparison of the environmental impacts of the cropping systems would need to consider off-farm data, such as the production and transportation of materials. Furthermore, due to the lack of a comprehensive Chinese agricultural life cycle assessment framework, the LCIA models used in this study were international models rather than tailored to the regional context. This would inevitably lead to a certain degree of over-generalisation in the assessment results. Chinese agricultural LCA studies could benefit from more specific and regionalized LCA databases which would help researchers build cases-specific models in the future.

A sensitivity analysis helps to understand the impact of the methodological choices on the LCA results. The case study in this paper sheds light on how the farm-level cultivation practices of multi-cropping can affect the environment. Beside the study's scope, the choice of impact assessment methods is another important factor that would affect the outcome. The major differences, and the main source of uncertainty, arise from the vastly different chemical (insecticide, herbicide, and fungicide) and raw manure application patterns between the two villages (Table 2). Normally, in order to obtain reliable and representative LCA results, the extent of the associated uncertainty must be estimated by using different toxicity models. However, toxicity models other than the USEtox could not accommodate such a variety of chemical substances. Thus, using other models would mean a selective representation of the inputs, and would only add to the level of uncertainty, rather than substantiating our results.

# 5. Conclusions

The urgent need to decrease external inputs in agricultural production calls for revolutionary approaches, especially in the context of agricultural biodiversity. Results of this albeit limited study demonstrated clear differences in the environmental impacts of cultivation practices between vegetable multi-cropping and a traditional wheat/maize rotation system. Intercropping and planting different vegetables in succession are able to maximize resource use efficiency and generate equal returns at considerably less environmental costs than a traditional system. The vegetable multi-cropping system also resulted in reduced global warming, eutrophication, and acidification potentials. With the ever-growing domestic need to grow more food on less farmland with limited resources, this highly diverse cultivation system can provide valuable insights for future sustainable development in agriculture. As fertilizer is a major contributor to the environmental impacts of agricultural production, this research also pointed out that farming systems could reduce environmental impacts by adapting advanced technologies, such as formula fertilization via soil testing and the bioaccumulation of heavy metals. From a policy making perspective, encouraging agricultural biodiversity practices could provide effective pathways for alleviating the existing stress from China's man-land relationship.

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**Author Contributions:** Li Li, Paul Giller, and John O'Halloran conceived and designed the underlying experiments; Li Li performed the experiments and analyzed the data; Wenliang Wu, Long Liang, and Peng Peng contributed analysis tools; Li Li and Guishen Zhao wrote the paper.

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# Appendix A

Agricultural input	CZ	ZCP
Fertilizer (N-P <sub>2</sub> O <sub>5</sub> -K <sub>2</sub> O equivalent when applicable)		
XLX Comp. (25-7-8)	+	+
Carbamide	+	+
XY Comp. (18-7-20)	+	+
Diammonium phosphate	+	+
Ammonium bicarbonate	+	+
FT Comp. (18-17-10)		+
Stanley Comp. (25-10-16)	+	+
Potassium Phosphate Monobasic	+	
Phosphate nitrate	+	
Apollo Comp. (15-15-15)	+	
WFT Comp. (16-16-16)	+	
Chicken manure	+	+
Swine manure	+	
Insecticide		
YHLG (40% dimethoxon EC)	+	+
JZ (4.5% cypermethrin EC)	+	+
JAL (25% methamidphos EC)	+	+
JWY (3% emamectin EC)		+
JGZ (0.5% emamectin, 4.5% cypermethrin EC)		+
BCL (5% imidacloprid EC)		+
XLL (45% valaxon EC)	+	+
SMC (40% dimite EC)		+
Fungicide		
DuPont KL ( $72\%$ curzate WP)	+	
SNMX (32% dsmx, 4% snq WP)	+	
Herbicide		
JHL (20% ally WDG)		+
YNS (4% butachlor, 20% propisochlor, 18% atrazine EC)	+	+
DLA (48% butralin EC)	+	+
STB (33% pendimethalin EC)	+	+
YCJ ( $15\%$ atrazine EC)	+	+
YBCY (16% propisochlor, 26% atrazine EC)		+
GK (10% fluoroglycofen EC)	+	+
YCA (50% acetochlor EC)	+	+
DCA (50% napropamide WP)	+	

Table A1. List of fertilizers and pesticides used in the two study villages.

Substances represented by acronyms of their Chinese common names; Comp. stands for compound fertilizer; EC, emulsifiable concentrate; WP, wettable powder; WDG, water dispersible granules.

**Table A2.** Calculation of the nutritional equivalents of the produces (daily values for the nutrients are calories 11,290 KJ day<sup>-1</sup>, vitamin A 800  $\mu$ g day<sup>-1</sup>, carotene 4800  $\mu$ g day<sup>-1</sup>, vitamin C 100 mg day<sup>-1</sup>, vitamin E 14 mg day<sup>-1</sup>, Ca 800 mg day<sup>-1</sup>, P 700 mg day<sup>-1</sup>, K 2000 mg day<sup>-1</sup>, Na 2200 mg day<sup>-1</sup>, Mg 350 mg day<sup>-1</sup>, Fe 15 mg day<sup>-1</sup>, Zn 15 mg day<sup>-1</sup>, Se 50  $\mu$ g day<sup>-1</sup>, Cu 2 mg day<sup>-1</sup>, Mn 3.5 mg day<sup>-1</sup>).

Produce Type	Wheat	Maize	Peanut	Sweet Potato	Garlic Stalk	Garlic Bulb	Sweet Potato Seedling	Bok Choi	Coriander	Spinach	Hot Pepper	Eggplant	Cauliflower	Romaine Lettuce	Rape	Scallion	Potato	Carrot	Onion	Celery	Winter Melon	Cabbage
Unit (g)	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00
NE/kg	1.73	1.21	1.54	0.67	0.69	0.69	0.87	0.49	1.27	1.79	1.78	0.32	0.73	0.59	1.12	0.44	0.56	1.31	0.30	0.51	0.26	0.55
NE	0.17	0.12	0.15	0.07	0.07	0.07	0.09	0.05	0.13	0.18	0.18	0.03	0.07	0.06	0.11	0.04	0.06	0.13	0.03	0.05	0.03	0.06
Calories (KJ)	1416.00	1457.00	1310.00	426.00	169.00	536.00	58.00	76.00	139.00	116.00	159.00	97.00	110.00	69.00	72.00	138.00	323.00	162.00	169.00	71.00	52.00	101.00
Calories (%DV)	0.13	0.13	0.12	0.04	0.01	0.05	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.03	0.01	0.01	0.01	0.00	0.01
VitA (µg)		17.00	2.00	125.00	47.00	5.00	500.00	20.00	193.00	487.00	232.00	8.00		60.00	280.00	10.00	5.00	388.00	3.00	10.00	13.00	12.00
VitA (%DV)	0.00	0.02	0.00	0.16	0.06	0.01	0.63	0.03	0.24	0.61	0.29	0.01	0.00	0.08	0.35	0.01	0.01	0.49	0.00	0.01	0.02	0.02
Carotene (µg)		100.00	10.00	750.00	280.00	30.00		120.00	1160.00	2920.00	1390.00	50.00		360.00	1680.00	60.00	30.00	4130.00	20.00	60.00	80.00	70.00
Carotene (%DV)	0.00	0.02	0.00	0.16	0.06	0.01	0.00	0.03	0.24	0.61	0.29	0.01	0.00	0.08	0.35	0.01	0.01	0.86	0.00	0.01	0.02	0.01
VitC (mg)			14.00	26.00	35.00	7.00	14.00	31.00	48.00	32.00	144.00	5.00	61.00	20.00	28.00	17.00	27.00	13.00	8.00	12.00	18.00	40.00
VitC (%DV)	0.00	0.00	0.14	0.26	0.35	0.07	0.14	0.31	0.48	0.32	1.44	0.05	0.61	0.20	0.28	0.17	0.27	0.13	0.08	0.12	0.18	0.40
VitE (mg)	1.82	3.89	2.93	0.28	0.81	1.07		0.76	0.80	1.74	0.44	1.13	0.43		0.70	0.30	0.34	0.41	0.14	2.21	0.08	0.50
VitE (%DV)	0.13	0.28	0.21	0.02	0.06	0.08	0.00	0.05	0.06	0.12	0.03	0.08	0.03	0.00	0.05	0.02	0.02	0.03	0.01	0.16	0.01	0.04
Ca (mg)	34.00	14.00	8.00	23.00	29.00	39.00	170.00	50.00	101.00	66.00	37.00	24.00	23.00	70.00	90.00	29.00	8.00	32.00	24.00	48.00	19.00	49.00
Ca (%DV)	0.04	0.02	0.01	0.03	0.04	0.05	0.21	0.06	0.13	0.08	0.05	0.03	0.03	0.09	0.11	0.04	0.01	0.04	0.03	0.06	0.02	0.06
P (mg)	325.00	218.00	250.00	39.00	44.00	117.00	47.00	31.00	49.00	47.00	95.00	23.00	47.00	31.00	36.00	38.00	40.00	27.00	39.00	50.00	12.00	26.00
P (%DV)	0.46	0.31	0.36	0.06	0.06	0.17	0.07	0.04	0.07	0.07	0.14	0.03	0.07	0.04	0.05	0.05	0.06	0.04	0.06	0.07	0.02	0.04
K (mg)	289.00	300.00	390.00	130.00	226.00	302.00			272.00	311.00	222.00	142.00	200.00	100.00	178.00	144.00	342.00	190.00	147.00	154.00	78.00	124.00
K (%DV)	0.14	0.15	0.20	0.07	0.11	0.15	0.00	0.00	0.14	0.16	0.11	0.07	0.10	0.05	0.09	0.07	0.17	0.10	0.07	0.08	0.04	0.06
Na (mg)	6.80	3.30	3.70	28.50	5.10	19.60		57.50	48.50	85.20	2.60	5.40	31.60	80.00	73.50	4.80	2.70	71.40	4.40	73.80	1.80	27.20
Na (%DV)	0.00	0.00	0.00	0.01	0.00	0.01	0.00	0.03	0.02	0.04	0.00	0.00	0.01	0.04	0.03	0.00	0.00	0.03	0.00	0.03	0.00	0.01
Mg (mg)	4.00	96.00	110.00	12.00	18.00	21.00		11.00	33.00	58.00	16.00	13.00	18.00	29.00	18.00	19.00	23.00	14.00	15.00	10.00	8.00	12.00
Mg (%DV)	0.01	0.27	0.31	0.03	0.05	0.06	0.00	0.03	0.09	0.17	0.05	0.04	0.05	0.08	0.05	0.05	0.07	0.04	0.04	0.03	0.02	0.03
Fe (mg)	5.10	2.40	3.40	0.50	1.40	1.20	3.90	0.70	2.90	2.90	1.40	0.50	1.10	1.20	1.90	0.70	0.80	1.00	0.60	0.80	0.20	0.60
Fe (%DV)	0.34	0.16	0.23	0.03	0.09	0.08	0.26	0.05	0.19	0.19	0.09	0.03	0.07	0.08	0.13	0.05	0.05	0.07	0.04	0.05	0.01	0.04
Zn (mg)	2.33	1.70	1.79	0.15	0.46	0.88		0.38	0.45	0.85	0.30	0.23	0.38	0.43	0.51	0.40	0.37	0.23	0.23	0.46	0.07	0.25
Zn (%DV)	0.16	0.11	0.12	0.01	0.03	0.06	0.00	0.03	0.03	0.06	0.02	0.02	0.03	0.03	0.03	0.03	0.02	0.02	0.02	0.03	0.00	0.02
Se (µg)	4.05	3.52	4.50	0.48	1.24	3.09		0.49	0.53	0.97	1.90	0.48	0.73	1.55	1.17	0.67	0.78	0.63	0.92	0.47	0.22	0.96
Se (%DV)	0.08	0.07	0.09	0.01	0.02	0.06	0.00	0.01	0.01	0.02	0.04	0.01	0.01	0.03	0.02	0.01	0.02	0.01	0.02	0.01	0.00	0.02
Cu (mg)	0.43	0.25	0.68	0.18	0.05	0.22		0.05	0.21	0.10	0.11	0.10	0.05	0.08	0.08	0.08	0.12	0.08	0.05	0.09	0.07	0.04
Cu (%DV)	0.22	0.13	0.34	0.09	0.03	0.11	0.00	0.03	0.11	0.05	0.06	0.05	0.03	0.04	0.04	0.04	0.06	0.04	0.03	0.05	0.04	0.02
Mn (mg)	3.10	0.48	0.65	0.11	0.17	0.29		0.15	0.28	0.66	0.18	0.13	0.17	0.15	0.27	0.28	0.14	0.24	0.14	0.17	0.03	0.18
Mn (%DV)	0.89	0.14	0.19	0.03	0.05	0.08	0.00	0.04	0.08	0.19	0.05	0.04	0.05	0.04	0.08	0.08	0.04	0.07	0.04	0.05	0.01	0.05

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