

Article

Bio-Inspired Sustainability Assessment for Building Product Development—Concept and Case Study

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Abstract: Technological advancement culminating in a globalized economy has brought tremendous improvements for mankind in manifold respects but comes at the cost of alienation from nature. Human activities nowadays are unsustainable and cause severe damage especially in terms of global depletion and destabilization of natural systems but also harm its own social resources. In this paper, a sustainability assessment method is developed based on a bio-inspired sustainability framework that has been developed in the project TRR 141-C01 “The biomimetic promise”. It aims at regaining the advantages of societal embeddedness in its environment through biological inspiration. The method is developed using a structured approach including requirement specification, description of the inventory models on bio-inspiration and sustainability assessment, creation of a bio-inspired sustainability assessment model and its validation. It is defined as an accompanying assessment for decision support, using a six-fold two-dimensional structure of social, economic and environmental functions and burdens. The method is applied and validated in 6 projects of TRR 141 and its applicability is exemplarily shown by the assessment of “Bio-flexi”, a biobased and biodegradable natural fiber reinforced plastic composite for indoor cladding applications. Based on the findings of the application the assessment method itself is proposed to be advanced towards an adaptive structure and a consequent outlook is provided.

Keywords: bio-inspiration; sustainability assessment; function; resource; burden; Design for sustainability; life cycle thinking; bio-flexi

1. Introduction

The technological and cultural development of mankind includes rapid-growing interconnectivity of markets as a result, culminating in a globalized economy is the main driver for the tremendous improvements that mankind is profiting from in manifold respects. It enabled certain autonomy from the dependence on natural cycles and dealt as one of the main drivers towards what is known as civilization [1]. The Neolithic revolution can be identified as the first step of this emancipation process, offering a way to decouple availability and demand for food in time. Coming along with innovative transportation methods and economic development trade was established which reduced the spatial dependency. Although the resulting human activities already had strong impacts on their

environments, these remained mainly local and did not affect the global ecosystem as such [2]. With the discovery of fossil energy, the availability of energy underwent a similar process, enabling shifting energy in time and space and thus overcoming spatial dependency. This final step of emancipation from the restrictions of natural cycles facilitated the globalized economy of the modern society. Although providing indisputable advantages in almost every sphere of life for humans, this development comes at a cost of both the alienation of humans from nature itself and of the current global depletion and destabilization of natural systems [1,3]. Regarding the results of men's worldwide activities; a point is reached where potentially irreversible impacts on systems of global relevance are likely to be threatening human life [4,5]. Thus, solutions have to be created to address these challenges in a way that allows ongoing societal prosperity.

Over the history of sustainability living nature has played a major role in its understanding and application of frameworks to strive for and oftentimes was referred to as source of inspiration [6–9]. To facilitate the transfer of advantageous aspects from biology to technology, the functions of biological systems deal as fundamental basis for the assessment system structure. Therefore, a methodological approach to assess innovations has been developed through conflating bio-inspiration and sustainability based on the reintegration of basic bio-inspired principles into material systems of humankind. Its goal is to effectively develop sustainable construction products, which requires an adaptive assessment system to accompany product development. This is achieved through the abstraction of basic principles of biological systems on artificial systems and the deriving of a set of indicators and according weighting based on these transposed rules. While the conceptual framework has already been described, its concretization and exemplary application is subject to this work [10,11]. The framework has been put into practice by applying a structured approach to design and specify the elements of the sustainability assessment including their interrelation on a quantitative basis. This assessment system and its development are the core of the publication.

2. Development of the Assessment System

The proposed assessment system has been developed following a structured approach. It grounds on the bio-inspired sustainability framework developed and is applied in the Collaborative Research Centre “TRR141: Biological Design and Integrative Structures—Analysis, Simulation and Implementation in Architecture”, funded by the German Research Foundation DFG [10]. The framework is inspired by one of the basic principles of living systems, namely the autopoietic model describing self-maintaining through the fulfilling of elementary functions using available resources [12,13]. As the area of application is restricted to the built environment and mainly deals with the development of innovative, bio-derived products, the assessment has been designed for this field and its applicability is restricted to it.

The development of an assessment system usually consists of a consolidation of existing and specifically developed fragments through a scientific approach including a validation of the assessment framework and its underlying calculation scheme. The central underlying existing frameworks applied in this context are bio-inspiration and life cycle based sustainability assessment, coming together through the scientific process of biomimetics. Within the Collaborative Research Center (TRR 141) using an interdisciplinary team of experts is jointly working on the development of bio-inspired innovations for the construction sector aiming among others at sustainability of the solutions. As this requirement is an integral part of the TRR 141, it proves an ideal development and application environment for a Bio-inspired Sustainability Assessment (BiSA) model. The method development is conducted based on the following steps:

- I. Requirement specification
- II. Initial situation
 - a. Sustainability
 - b. Bio-inspiration

- III. Synthesis creation
- IV. Application
- V. Validation
- VI. Adaption

The requirements (I) to the accompanying assessment of bio-inspired product development are defined in Section 2.1. This includes both general requirements for assessment systems and specific requirements concerning sustainability, bio-inspiration and decision support in product development. In Section 2.2 (IIa, sustainability) and Section 2.3 (IIb, biology) the fundamental frameworks are presented focusing on the adaptations to the state of the art that are required and the specifically developed schemes. The BiSA system derived as synthesis from the underlying basic concepts is described in Section 2.4 (III) and applied to a case study in Section 3. Based on this application (IV) the compliance to the requirements are discussed in Section 4 (V) and recommendations (VI) are presented in Section 5, giving an insight in the planned adaption and improvement of the assessment.

2.1. Requirements to a Bio-Inspired Sustainability Assessment

The development of a comprehensive sustainability assessment model for a targeted development of sustainable products underlies certain requirements in terms of methodology and applicability. The general requirements refer to basic principles for scientific methods such as consistency, comparability, reproducibility and falsifiability [14]. Besides the general requirements that apply for all assessment systems, specific context-related requirements have to be considered for evaluating the assessment system. These are related to decision support systems, sustainability assessment systems and bio-inspired systems [11].

Although mainly focused on the management of decision making processes, there have been several approaches to specify requirements for decision support systems (DSS) in decision theory that provide universal requirements [15–17]. Their common denominator is the emphasis of an adaptive and flexible applicability of DSS. A DSS should therefore also be capable of supporting semi-structured and unstructured decisions, for all levels of decision makers, regardless of their proficiency and throughout all phases of the decision making process [17]. For sustainability assessment systems, the systemic framework shown in Figure 1 is applied [18,19]. It provides a semi-quantitative scale of seven criteria covering the most relevant aspects that are prevailing in scientific literature [20–23]. All criteria are staggered in three levels and providing a scorecard of the assessment system.

The classification of an assessment system as bio-inspired can be described based on the intrinsic system properties of effectivity, adaptability and resilience [10]. Effectivity is defined with regard to the required effort by the practitioner required to generate the desired information yield. As this is an aspect that can only be investigated through practitioner monitoring through application, it requires a minimum number of applied studies with integrated BiSA. The system is classified as adaptive when it offers flexibility and expandability in an indicator and weighting scheme and realizes this through ongoing self-evaluation and adaption. If the assessment model is able to absorb changes in input in terms of reasonable system deflections, it is regarded as being resilient [24].

2.2. Properties of Sustainability Assessment Methods

Sustainability can foremost be understood as societal paradigm and its perception as such has potentially a high influence on almost every decision that is taken, starting from everyday decisions up to global politics. Its constructive ambiguity together with its level of abstraction as well as the complexity of cause-action-relation, interconnectivity and multidimensionality are leading to the point that the meaning of the term is commonly changed and shaped due to subjective perceptions [25]. Although sustainability nowadays shows ubiquitous appearance there is still a lack of consensus when it comes to defining detailed concepts going beyond the overall agreement shaped in “Our common future” [26]. The sustainability development goals (SDGs) can be seen as a milestone in the effort of

consensus finding but still does not offer a comprehensive and quantifiable catalogue of indicators capable of assessing the sustainability especially when it comes to dedicatedly developing sustainable products. Overall the multitude of concepts, interpretations and respective methods and models to assess sustainability gives a hint that the paradigm of sustainability is still evolving and its shape is still to be found [27].

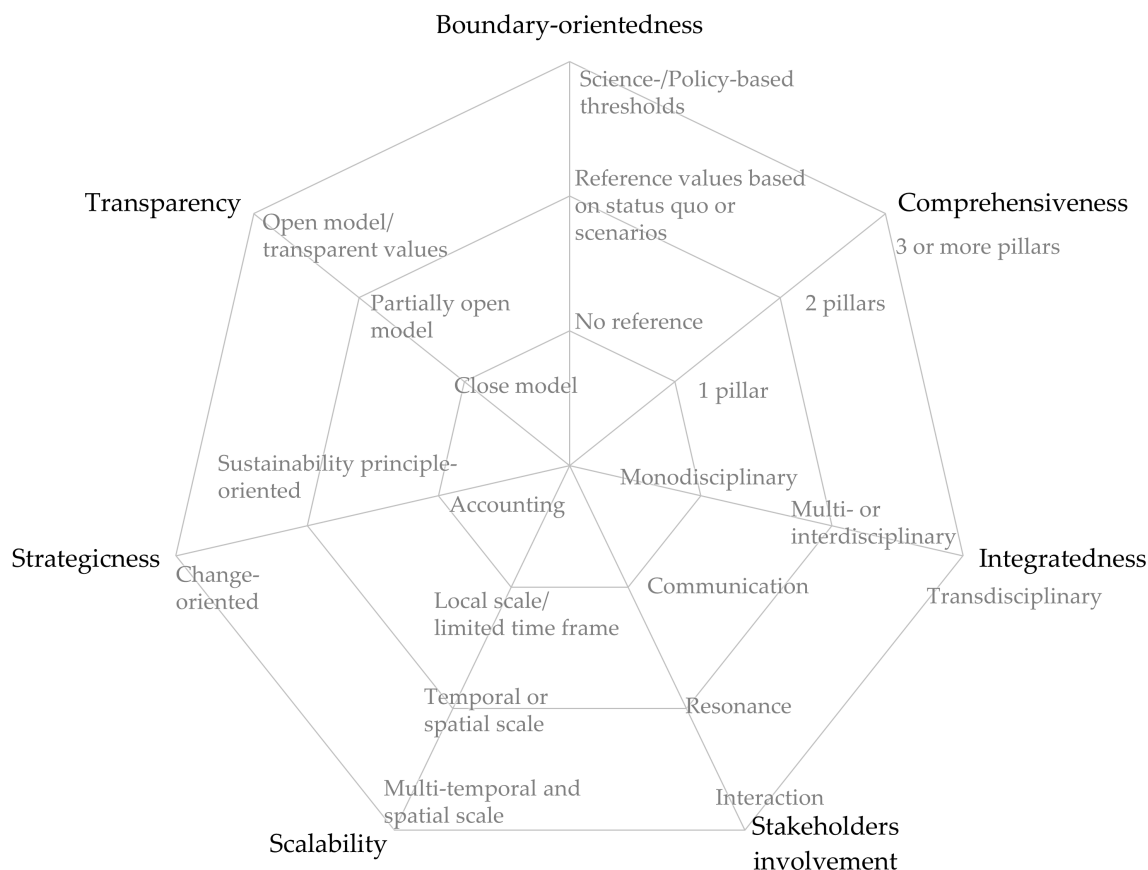


Figure 1. Requirements depicted as spider chart to assess the capability of sustainability assessment methods to address sustainability (adapted from Sala et al. (2015), figure licensed under CC BY NC ND) [19].

In the following, concepts and assessment methods are presented focusing on their consistency and suitability to a quantified assessment of products over their life cycle. While there are numerous concepts available, most are originally restricted to a schematic level and have to be transferred and differentiated to fully apply quantified life cycle thinking and thus provide comparable and specific results on a level that facilitates detailed decision support [28]. The concept of cradle to cradle, for example, is presented as a design framework for sustainable products but offers several inconsistencies when combined with quantified life cycle thinking [29,30]. The same does apply to the concept of natural capitalism, which only monetarizes all environmental resources and is therefore a method for single point creation in Life Cycle Assessment (LCA) than a sustainability concept. If enhanced by human and man-made capital as applied in the triple-bottom-line LCA, all the pillars can be addressed, while still their interpretation is restricted to monetary quantities [31,32].

There are numerous approaches available which are neither consistent nor comparable among each other on a quantitative basis. As the investigation of existing sustainability assessments has been extensively conducted by several recent publications, these are chosen as a basis for the assessment of the research situation [33–35]. Guinée has provided an extensive meta-assessment of Life Cycle

Sustainability Assessment (LCSA) studies in scientific literature including a comprehensive list of general recommendations and potential improvements that are lacking for existing studies and should be tackled in the LCSA assessment development [35]. Among others, the following key points have been stated: general need for data and methods, especially for social indicators; communication of results; integration of beneficial aspects; avoiding of double counting and inconsistent application. As these points are still unsolved, there is clear evidence for a demand of further methodological development for improved sustainability assessments, dealing with these issues and thus improving both broadness and depth as well as communication [35].

2.3. Biological Idea Generators for Sustainability Assessment

Particularly because the described sustainability assessment is inspired by biology and tailored to the construction sector, different concepts of learning from nature are presented and illustrated by means of selected examples from the building sector. Learning from nature is linked with the hope of learning from biological solutions that seem to be optimized in the evolutionary process over the last 3.8 billion years. In principle, three levels of learning from nature can be distinguished: (i) learning from the results, (ii) the processes and (iii) the principles of biological evolution [36]. These three levels have a common systematic approach of knowledge transfer but differ in the type of the transferred knowledge.

The first level of learning from living nature is the study of the form-function relationships of biological role models. Taking into account that even the transfer of an inspiring idea is a conscious process, the transferred inspiration leads to a bio-inspired product. A famous example is the plant-inspired reinforced concrete developed by the French gardener Joseph Monier in 1867 [37]. Based on an inspiration, additional knowledge transfer is possible, such as the transfer of morphology leading to a biomorphic product such as the Crystal Palace, a cast-iron construction built by the gardener Sir Joseph Paxton being inspired by the ribbed leaves of water lilies [38] and the transfer of a functional principle resulting in a biomimetic product [11]. Special attention should be paid to the transfer of a function or in other words the statement that the biological role model and the technical product possess the same function as for example the self-cleaning surfaces of lotus leaves and the façade paint Lotusan® or different functions such as the façade shading system Flectofin® inspired by the pollination mechanisms of the bird-of-paradise flower [39,40]. The meaning of function is thereby different whether used in the field of biology or technology. Biological functions are understood in the sense of traits evolved to increase the organism's fitness and contribute to the evolutionary success [41]. In contrast, technical functions are defined in the sense of a specific process, action or task [42]. Examples for the second level of learning from evolutionary processes are the optimization algorithms based on growth rules of trees (Computer Aided Optimization) and bones (Soft Kill Option) and the evolutionary algorithms, which lead to biomimetically optimized products [11]. The third level of learning from nature is based on the principles of biological evolution such as multifunctionality, hierarchy, robustness (fault tolerance), resilience (failure tolerance), redundancy, self-X-functions, adaptation, consistency, modularity, sudden transitions (i.e., leaf drop), gradual transitions, growth, opportunism, metabolism under mild environmental conditions (enzymes) [43] and resource efficiency [8]. In ecology, the term "resources" refers to essential environmental factors that can be subdivided into biotic (e.g., food, host, reproductive partners) and abiotic factors (e.g., space, light, water) [44].

In summary, it can be said that despite the inspiratory flow and knowledge transfer from biology to technology, bio-inspired products are not necessarily sustainable as a side effect. The challenge is that there is no biological model and no method for a straightforward transfer into any model of the paradigm of sustainability. This is due to the fact that living nature itself as a result of biological evolution cannot be comprehensively described through the concept of sustainability. It is a man-made teleological and anthropocentric paradigm with the goal of preserving the status quo for the next generations [45,46]. The paradigm of sustainability is of teleological nature and therefore

to be distinguished from biological systems, where teleology is seen as an insufficient concept to describe reproduction and evolution [47]. In contrast, biological evolution is seen as a blind process characterized by the dynamics of evolutionary adaptations on basis of mutation, recombination and selection in an ever-changing environment with the result of multifunctional and optimized structures or processes after several generations [41]. On the one hand, the concept of teleology is a useful element of explaining adaptation, when using the goal-directedness to explain the composition and processes of systems [47]. On the other hand, a teleological approach can put us on the wrong foot as explained in the review “If bone is the answer, then what is the question?” describing the increasing understanding of adaptive bone architecture over time [48]. Thus, the principles that facilitate adaptation, especially the principles of biological evolution may serve as idea generators and may have great potential to contribute to sustainable solutions, precisely because the challenging situation that the stable preservation of certain ecological systems requires constant changes. However, this proposed transferability cannot be seen as an automatic transfer and has to be investigated thoroughly. Furthermore, what is called the social pillar of sustainability has no counterpart in biological systems and no direct conclusions concerning social aspects may be drawn from nature.

Although nature does not bear a fully comprehensible set of role models for a bio-derived understanding of sustainability, it undoubtedly is a great source of inspiration in terms of multiple aspects. The dynamic adaptation and the efficient utilization of locally and currently available resources but especially the fact that biological systems have been optimized in the course of evolution are fundamentals that qualify biological systems as role models for innovation. This mainly bears the potential for environmentally optimized solutions and offers economic potentials as well as these are related when it comes to efficiency. If one looks at the interaction between sustainability and biology from the perspective of the assessment of sustainable development, the question arises as to what commonalities this can be built on. Although the differences are also reflected in the different definitions of function and resource in biology and technology, the ratio between function and resource seems very promising.

2.4. Bio-Inspired Sustainability Assessment

One fundamental question when it comes to deriving solutions from biological systems is if living nature actually does provide a fully comprehensive counterpart to what is described as sustainability. The underlying proposition is that if nature is chosen as direct role model, its solutions should have been created considering the same framework conditions that are applied for sustainability assessments. If not, any direct transfer from nature cannot be stated as to create sustainable solutions by itself and the overall concept of sustainability has to be accepted as to be at least partially independent from our understanding of nature and thus artificial. To derive a robust answer to this question, both the concept of sustainability and the fundamental principles of biological systems have to be investigated. However, there are two main inconsistencies when trying to directly derive biological systems to sustainability metrics. First, the prevailing sustainability concept is explicitly anthropocentric and thus does not relate to the nature of biological systems. This becomes apparent by several intrinsic properties of sustainability paradigms such as the explicit focus on mankind in the UN but also when applied as assessment framework [21,26,49]. The second inconsistency arises from the concept of social sustainability that is still under discussion [50,51].

With regard to these considerations sustainability as bio-inspired concept is defined through the interdependence of system functions and the therefore required depletion of resources. A system is defined as sustainable, when a specific set of functions is fulfilled while simultaneously ensuring the ongoing availability of resources in time. The concept depicted in Figure 2 shows the predominant transformation direction of the prevailing economic metabolism, which is to create social functionality by depleting environmental resources driven by economic facilities. The graph is meant to show the dynamics of this metabolism indicating a sustainable system when its shape is kept stable, ensuring an ongoing provision of resources for an ongoing creation of function. Economy is interpreted as means

to an end transforming resources into functions, enabling business models and thus facilitating the application of new products. Besides this main flow direction many processes are motivated otherwise and a general rule cannot be derived. The concept deals as a template to depict mechanisms of actions in terms of the fulfilment of functions including its intended and unintended effects. Nevertheless, it is quite uncommon for processes to dedicatedly create an environmental function or not utilize the environmental resources in a depleting manner. Furthermore, the predominant role of Economy is depicted as connecting element between Society and Environment. To keep this metabolism sustaining it is crucial not to exploit the resources to an extent that prohibits the ongoing fulfilment of societal functions. A sustainable system according to this scheme is achieved if the dynamic societal metabolism is maintained and is kept stable under dynamic conditions. It enhances the existing models through the integration of positive aspects and offers a shell like structure that is able to integrate different assessment methods.

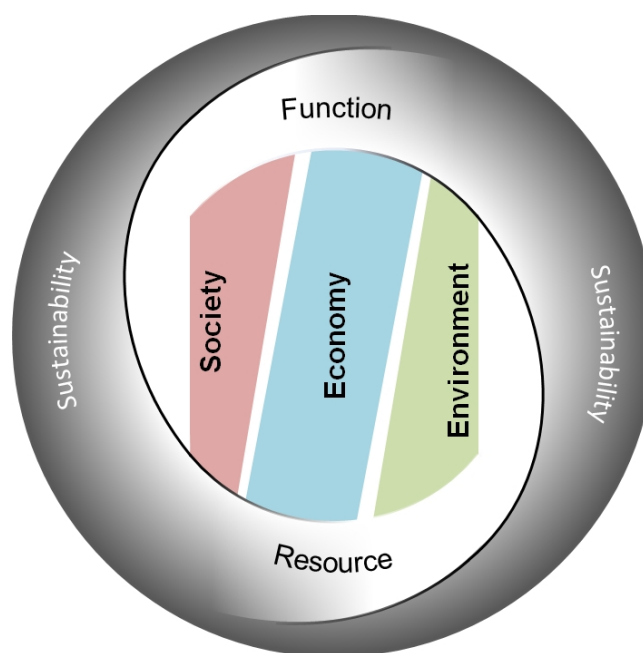


Figure 2. Bio-inspired Sustainability Assessment depicted as conceptual structure, showing the three dimensions called society, economy and environment and the two aspects, namely function and resource as integral parts of the assessment. Societal functions are fulfilled through the transformation of environmental, economic and societal resources (own figure).

In the following, a quantifiable BiSA model is presented based on a six-fold structure including the three dimensions of sustainability for both intended and unintended aspects called functions and burdens:

- Environmental burden: the unintended impacts of the assessed system to the environment based on an environmental life cycle assessment
- Environmental function: the dedicated design functions aiming at positively impacting the environment, calculated based on environmental life cycle assessment
- Economic burden: the life cycle related costs calculated based on the life cycle assessment model completed through process immanent costs and nonmaterial costs
- Economic function: the economic function from the point of view of the shareholders, calculated as economic profitability
- Social burden: the unintended effects of the assessed system on human society, calculated as impact on human capabilities and health, calculated based on environmental life cycle assessment

- Social function: the primary design function restricted to the intended building physical function of the assessed system

The aspects are focused on the development of bio-inspired and bio-based products in the construction sector but are not restricted to these. The assessment aspects are chosen in terms of consistency and applicability with the main intention to provide feedback on decisions during product development. Its bio-inspiration lies primarily in its intrinsic setup inspired by biological systems and the overall structure of resource-function-relationships. The underlying physical model is created as a life cycle inventory system based on the GaBi database and supplemented by economic and country related information on process level. This model provides a consistent quantitative basis for 5 of 6 aspects. Moreover, it is applicable for both early and advanced development phases as it provides generic data but can include specific primary data as well. This facilitates a flexible structure and level of detail allowing the specification of the system as precisely as possible while still being able to estimate the coarsely defined aspects. The model has been implemented as semi-automatic tool to provide feedback for specific questions within the embedding project but has not been created as software for automatic application yet. However, an increased level of automation is envisaged for the next project phase.

2.4.1. Environmental Burden

The depletion of natural resources is the main source of human prosperity and as such of central relevance for the assessment of bio-inspired sustainability. Building upon the treatment of resources in biological systems, similarities can be identified mainly in terms of the dependency from physical sources. As mentioned before, all ecosystems are dependent on biotic (living) and abiotic (nonliving) environmental factors. In the course of the earth's history it has been shown, that especially after mass extinction source-sink dynamics influence the variation in habitat quality affecting biodiversity, population growth and number of organisms [52]. Even though it is repeatedly claimed that nature does not produce any waste, this is not the case on closer inspection. For example, most of the crude oil produced today originates from dead marine organisms, buried underneath sedimentary rocks. The deposits are therefore nothing more than landfills for fossilized organic materials or in other words natural waste. Thus, the use of fossil fuels such as coal, natural gas and crude oil hydrate is associated with respective CO₂ emissions.

The environmental burden is calculated by LCA, using a single point value based on a selection of characterization methods. The steps that are to be performed when conducting an LCA according to the pertinent standards cannot be fully applied due to the interactive nature of the BiSA assessment [53–55]. Nevertheless, the functional unit, the system boundaries and consistent specifications of the applied calculation principles such as allocation and cut-off criteria have to be stated. Furthermore, the life cycle inventory models for each of the assessed systems and variants have to be created. This model was created using the Software GaBi 8.2 (thinkstep, Leinfelden-Echterdingen, Germany), which is one of the world's leading LCA software providers and the GaBi SP 34 (thinkstep, Leinfelden-Echterdingen, Germany) database, providing more than 10,000 environmental profiles as a modeling basis [56]. For the assessment of the environmental burden it is possible to directly derive indicators and weighting schemes from the investigation of natural systems. This is, on a quite abstract level, the transfer of biophysical system stability as role model on global scale. A quantifiable concept to address the issue of global biophysical system stability was introduced by Rockström et al. in 2009 and has been since then further refined and continuously updated [5,57]. It identifies the main biophysical systems that are threatened by human activities and provides a framework to quantify planetary boundaries that should not be exceeded by mankind on global scale if the global ecosphere is to be kept intact. The concept provides an approach to address the manifold depletion of nature by man and is subject to the ongoing development to include new insights of scientific discourse. The planetary boundaries have been transferred to deal as life cycle assessment weighting scheme by several authors [58–62]. The approach proposed by Sala et al. is chosen and adapted using the presented values for distance-to-target

normalization due to the fact that a single value for the environmental burden is required [59,63]. In addition to this approach, the areas of protection are differentiated in sink and source related categories. Sink related categories are summarized as global biophysical system stability and correlate directly to the biological system they depict. As the ongoing availability of both biotic and abiotic resources is crucial for the metabolism stated above, the depletion of resources is classified in the area of global resource stock. Strictly seen the availability of resources for mankind is mainly underground and does not directly contribute to the stability of the biophysical system but is crucial for the concept of scarce resource utilization prevailing in biological systems. Due to significant methodological improvements since the publication of the distance to target values, the abiotic depletion potential is covered by the anthropogenic stock extended abiotic depletion potential (AADP) model and for land use the biotic production indicator as published in LANCA 2.0 (Fraunhofer IBP, Stuttgart, Germany) is applied, which is freely available in the updated version [64–67]. Nevertheless, the assessment of impacts on the global resource stock still bears strong potentials for improvement, especially in terms of temporal and spatial differentiation. Table 1 shows the considered categories and the according normalization factors as well as the chosen methods to quantify the impacts of each category. The results of each impact category over the whole life cycle are multiplied with the normalization factor based on the planetary boundary concept. The normalized values are then added, creating a single point that can be directly compared to the one of the reference system, which is created similarly.

Table 1. Categories and weighting structure for the assessment of the environmental burden derived from [59].

Area of Protection	Impact Category	Abbreviation	Impact Assessment Model	Normalization
Global biophysical system stability	Climate change	GWP	IPCC	4.81×10^{13}
	Ozone depletion potential	ODP	CML	1.34×10^8
	Photochemical ozone formation	POF	ReCiPe	2.80×10^{11}
	Freshwater eutrophication	EUTF	ReCiPe	1.76×10^{10}
	Marine eutrophication	EUTM	ReCiPe	1.95×10^{11}
	Freshwater ecotoxicity	FRTOX	UseTox	4.46×10^{12}
	Acidification	AC	TRACI	3.83×10^{11}
	Terrestrial eutrophication	EUTT	TRACI	1.22×10^{12}
Global resource stock	Land use	LU	LANCA	1.00×10^{15}
	Water depletion	WD	WSI	4.81×10^{13}
	Resource depletion	AADP	AADP	3.70×10^9
	Biodiversity depletion		-	-

2.4.2. Environmental Function

As defined in the framework, functions are considered to be intended properties of the assessed system. For environmental functions this requires an explicitly specified positive impact on the environment. A very well-known biological example is mutualism, a relationship between different species with positive impact insofar as that both individuals benefit. The biological barter can be a resource-resource-relationship (e.g., mycorrhizal associations between plant roots and fungi) or a service-resource relationship (e.g., birds disperse plant seeds of fleshy fruits that they have eaten before) or a service-service-relationship (e.g., sea anemones and anemone fishes protect each other from their respective predators). In addition to these mutual relationships, a large number of closed material cycles (e.g., carbon cycle, nitrogen cycle, sulphur cycle and phosphorus cycle) are known, in which the starting material is finally available again through periodic transformation of chemical compounds.

Most technical systems do not have a specific environmental function and are consequently not assessed for this aspect. However, for systems which specifically intend to improve the accessibility of their wastes, such as waste treatment systems, or for systems that intend to transform their wastes to be suitable for others in a mutual form, the environmental function can be assessed and quantified. As the same system is investigated, the same assessment structure as for environmental burden is applied and defined as positive intended impacts. Life cycle related indirect effects such as recyclability in general are considered for burden assessment, as they are not regarded as intended design function [42].

2.4.3. Economic Burden

In contrast to environmental burdens, the economic ones are directly related to the success of a product meeting a demand (or providing a function) under ideal market conditions. In real markets, this is interleaved with numerous adaptations such as subsidies, taxes, cross funding, market distortions and many more. However, the economic burdens and its underlying structure are a key aspect of any artificial system. They depict the resource demand of the product scaled by the scarcity of its constituting elements in the actual economic conditions. Scarcity is created as an artificial value based on availability and demand. It is modified by the adaptations stated above and is represented by the price of each element, which deals as intermediary and as such facilitates flexible handling of resources. Even though most nonhuman biological systems do not have an intermediating currency its resource demand is also strongly affected by the scarcity of the constituting elements such as water, solar energy, space or trace minerals.

The economically expressed scarcity in terms of a monetary cost structure determines the aspect of economic burden. It depicts the restrictions and framework conditions that are imposed through the embeddedness of the product in the economic system. While the relevance of each constituting element is different to the environmental burden contribution, the system that is taken into regard is ought to be consistent. The method of Life Cycle Costing (LCC) provides such a consistent framework and can be regarded as consolidated in sustainability science [68]. However, it does imply several fundamental differences due to time relatedness and the consideration of nonmaterial elements and is therefore applied in a multistage adaptation, starting with process immanent costs (PIC) and including further nonmaterial information (FNI) if available. Table 2 depicts the categories that are differentiated in the economic burden assessment. As they all are assessed in monetary values, they can be added without weighting. The assessment of the different cost categories is an integral part of the enhanced Life Cycle Inventory (LCI) model, that has been created through coupling the GaBi database with statistical cost data through the mapping of flows to sectors based on European statistics [56,69]. Thus, the cost structure can automatically be derived for the complete LCI model, providing the same system boundaries and level of detail than for the environmental assessment.

Table 2. Categories and weighting structure for the assessment of the economic burden.

Area of Protection	Impact Category	Impact Assessment Model
Variable Costs	Resource costs	PIC
	Electricity costs	PIC
	Other energy costs	PIC
	Labor costs	PIC
	Machine costs	FNI
	Disposal costs	FNI
Fixed costs	Process related investments	FNI
	Infrastructure costs	FNI

In contrast to most Life Cycle Costing models, the model does not include time-related price change or discount rates, as this is not yet possible in the enhanced LCI model. This however ensures consistency with the environmental burden aspect, as there are no discounting issues considered as

well. However, this simplification comes along with several drawbacks in comparability to other economic analyses including potential communication issues.

2.4.4. Economic Function

Economic viability is a crucial prerequisite for any technical system and is usually defined as feasibility in terms of a business model or economic product life cycle. While it is oftentimes not the ultimate purpose, economic viability is an essential means to function fulfilment, which is why the economic function is regarded as separate aspect.

Even though no direct analogy can be drawn between nonhuman biological systems and economic viability, its basic function offers some similarities between evolutionary fitness and economic success [7]. The behavior of companies is to some extent comparable to evolutionary mechanisms of selection and niche occupation, as indicated through analogies in terminology [70]. The two main areas of protection are derived from this analogy and are defined as profitability (efficiency) and competitiveness (fitness). While these aspects are addressed in detail in business practices, they are oftentimes not regarded within product development, especially in early development phases. Therefore, a basic system shown in Table 3 is proposed based on the framework presented above, combining PIC and FNI. On the basic level, the material and energy cost optimum is related to a potential market price based on existing competing products. These values are then complemented through further nonmaterial information on labor costs, investment goods and detailed information on the market situation including potential market prices as well as a potential product price and market volume estimation.

Table 3. Categories and weighting structure for the assessment of the economic function.

Area of Protection	Impact Category	Impact Assessment Model
Profitability	Production costs	PIC
	Current market price	FNI
	Cost reduction potential	PIC + FNI
Competitiveness	Current market price	FNI
	Potential market price	FNI

2.4.5. Social Burden

In contrast to economic and environmental aspects, the definition of social burdens cannot be derived from a state of the art assessment methodology. The main difference can be identified in the ambiguity of social impacts in terms of goal and scope and the fact that the physical quantification for most categories is not applicable due to its immaterial nature [71]. While for social life cycle assessment no method has prevailed yet, a guideline providing general recommendations was published by the United Nations Environment Programme [50,51,72]. Furthermore, Sureau et al. have investigated 14 different frameworks for social LCA and identified high diversity between the approaches as well as substantial demand for further development [49]. From an epistemological point of view, the underlying scientific paradigm is differing between post-positivism- and interpretivism-oriented approaches [73]. While interpretivism-based approaches are aggregating impacts that are mainly chosen case specific with regard to stakeholder groups, interpretivism-oriented approaches are developed in analogy to environmental LCA, trying to provide quantifiable, generally valid impact pathways to be applied to life cycle system models [74]. While the thereby developed methods differ in their approaches to choose and define indicators and assess its inherent impacts, their common denominator is the identification of effects of a system's life cycle with regard to social aspects based on explicitly or implicitly chosen frameworks.

Looking at nonhuman biological systems, ethics, morality and altruism are concepts that do not seem to be relevant in most biological (non-human) systems, even though exceptions are known [75,76].

Though still subject to scientific controversy, morality and altruism and the consequent concept of ethics may be an integral part of the evolutionary success of humanity and are therefore key to its understanding and its ongoing success [77,78]. The assessment of social burdens is therefore interpreted as the depletion of societal resources, which are defined as human health and human capabilities. These are the basic prerequisites for humans to live a self-determined life and for society to prosper [79]. Human health is assessed through the LCA model, applying the same distance to target normalization approach as for environmental burdens for the human health related impact categories (Table 4) [59]. Again, the proposed impact categories are replaced by updated version if available, which in the case of human health applies to the USETOX model [80]. The concept of human capabilities to address social impacts has been developed based on the capability approach as framed by Sen [79,81]. It is applied in a hybrid approach using the Social Hotspots Database (SHDB) for quantification of the impact categories based on risk levels, which are available on country and sector level [82]. The risks are linked with the product system using a bottom-up approach to assign working time to each unit process of the model based on statistical data according to an updated and extended version of the Life Cycle Working Environment (LCWE) method. The chosen method can be characterized as environmental LCI database method according to the differentiation provided by Chhipi-Shrestha et al. (2014) [73]. The model is integrated in the GaBi software and thus applicable using the enhanced LCI model, providing working time in seconds for both aggregated and unit processes. While the method is still under development, it has already successfully been applied in several research projects [83–85]. The indicators are each calculated as both total working seconds under high and very high risk of each category and its share related to the overall working time. The indicators have been chosen based on the framework introduced by Reitingier et al., 2011, which adds fairness to the categories introduced by Sen [79,86]. To prevent double counting, the aspect of health and safety is covered by the LCA impact assessment and not included in the capability assessment.

Table 4. Categories and weighting structure for the assessment of the social burden.

Area of Protection	Impact Category	Impact Assessment Model	Considered Categories/Normalization Values
Human capabilities	Political freedoms	SHDB	Freedom of Association, Collective Bargaining, and Right to Strike
	Economic facilities	SHDB	Wage Assessment; Poverty; Labor Laws
	Social opportunities	SHDB	Children Out of School; Child Labor; Working Time; Forced Labor
	Transparency guarantees	SHDB	High Conflict Zones; Legal System; Corruption
	Protective Security	SHDB	Access to Improved Sanitation; Access to Hospital Beds; Access to Improved Drinking Water
	Fairness	SHDB	Gender Equity; Migrant Workers; Indigenous Rights
Human health	Human toxicity, cancer effects	USETOX (V 2.01)	9.16×10^4
	Human toxicity, non-cancer effects	USETOX (V 2.01)	1.13×10^6
	Particulate matter/Respiratory inorganics	USETOX (V 2.01)	6.86×10^{10}
	Ionizing radiation	Human Health effect model (V1.09)	2.04×10^{12}

2.4.6. Social Function

The function of a technical system can ultimately be defined as to serve a specific, desired purpose. While these functions can be distinguished between design functions, use functions and service functions only design function can be considered for product development as this is the function that

was designed as a means of achieving its end [42]. It is therefore a crucial aspect to be considered when designing or developing products to address the specific function or functions.

In biological systems, the concept of function is defined differently and mainly applied with regard to evolution and fitness [41,87]. Nevertheless, it is possible to investigate and quantify physical properties of biological systems with regard to their technical design function. Especially in biomimetic science, the identification of these functional principles is key to successfully transfer its core working principles to technical solutions [88]. To define these technical design functions with focus on the area of application of this model, the building physical functions specified by Moro are applied as design functions (see Table 5) [89]. As most products are only focusing on one or a few of these functions, the use of a generalizable quantification system for all categories was not applied. The presented impact categories and the according building physical functions shall be used as catalog to choose the design function including multifunctional properties, each of which then should be assessed individually based on the according building physical properties. When a product is developed as load bearing element, for instance, its design has to be chosen to bear not less load than the reference system and its dimensioning has to be chosen accordingly.

Table 5. Categories and weighting structure for the assessment of the social function, restricted to building physical functions [89].

Area of Function	Impact Category
Load bearing	Primary support structure
	Secondary support structure
	Tertiary support structure
Enveloping	Vapor balance control
	Indoor acoustics conditioning
	Protection against infiltration
	Privacy, glare and sun protection
	Fire protection
	Noise protection
	Illumination
	Natural ventilation
	Thermal conditioning
Supply and disposal	Electricity control and supply
	Water supply
	Lighting
	Cooling supply
	Heating supply

3. Application

The proof of general compliance to the requirements that have been identified as relevant to qualify the BiSA requires ongoing application. As the assessment system is developed as part of an ongoing project, its ongoing application is ensured through the ongoing project integration. However, to depict the basic functionality of the assessment the biobased and biodegradable composite Bio-flexi will be presented in the following. This specific exemplary application is chosen and presented including technology introduction, technical description, assessment results and discussion.

3.1. Bio-Flexi—A Biobased and Biodegradable Composite

The Bio-flexi innovation is a biocomposite fiberboard manufactured from annually generated agricultural residues' fibers in the form of a flexible high-density fiberboard. The raw agro-fibers were bonded till 90% of mass load, without pre-chemical modification, by a thermoplastic elastic binder (TPE) using classic plastic-industry machinery [90]. The fibers applied were chosen from the agricultural residues stream, namely straw, which is the cheapest non-wood lignocellulosic natural

fiber abundantly available worldwide from the cereal crops by-products' streams, mainly out of wheat, rice and maize. As the fibers are not edible, the discussion on competition with food is not relevant here. Other than availability, these lignocellulosic fiber types were applied to actively replace mineral-based flame retardants in plastics, depending on the high natural silica contents naturally present in their chemical composition reaching up to 20% of mass load in certain types as in the case with rice straw. The selected TPE binder was purposely chosen to be biodegradable under industrial compost conditions to give an opportunity to have multiple end-of life options after the end of the useful life time of the developed biocomposite material. These combined parameters were applied to increase the positive environmental feedback of this development during and after the useful life time of application in the building industry. It has at least two end-of-life options as it can be recycled to a number of recycling cycles then industrially composted if further recycling cycles would not be feasible, which is a solution that helps in minimizing wastes' accumulation. Waste accumulation minimization is accordingly hereby achieved twice: once during the production phase, as it is mainly based on agricultural residues fibers and secondly after the end of its useful life time. These end-of-life options are rarely available in the contemporary fiberboards market worldwide, the thing that promotes a wider application of this development in the contemporary building industry replacing a wide range of non-recyclable petro-based building products.

Usage of agro-fibers with these high mass-loads (up to 90% mass load) will help replacing the slow-renewable wood and improve forestry practices. In addition to these ecologic values, the elastic nature of the developed fiberboard enables the possibility of achieving attractive free-form architectural interior designs using cheap alternatives and available production techniques. Flat horizontal applications like flooring systems as in sport halls, yoga mats and others as well as in vertical applications as partitions and interior fittings are possible. Usage of thin veneer covering layers is necessary in different applications to give a covering aesthetic feature, to offer a final reinforcing layer and to close open fiber pores to guarantee durability. The high density developed boards can be provided with minimal thicknesses starting from 1–2 mm and can be transported in the form of rolls to minimize transportation and storage costs. In Figure 3, flexibility and possible applications in both flat- and curved-morphologies are emphasized.

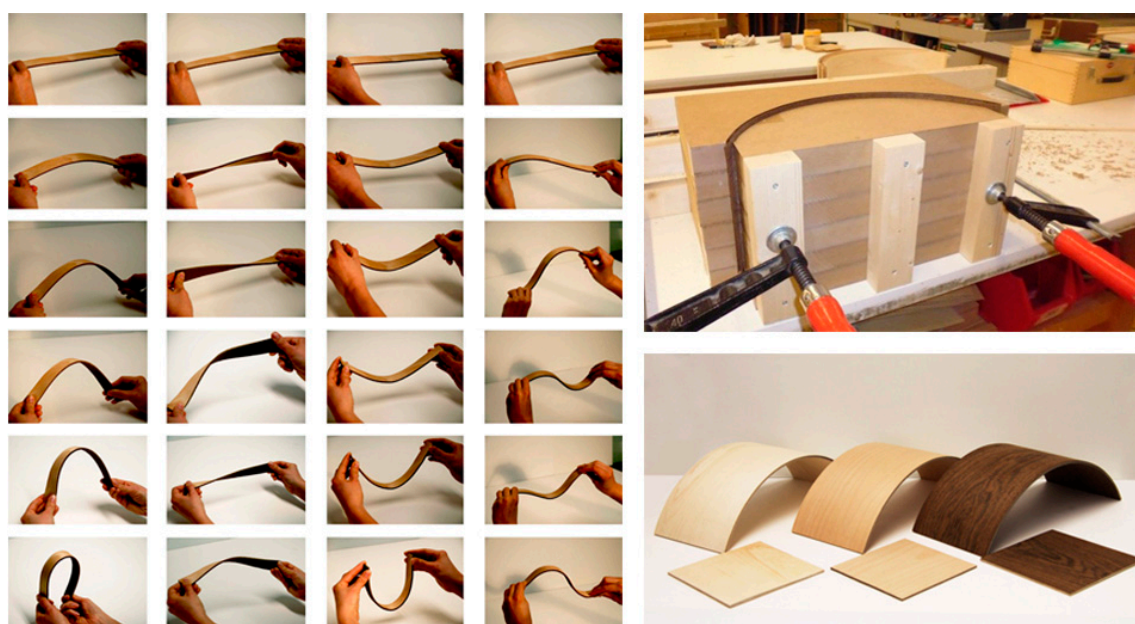


Figure 3. Illustration of the flexibility of the Bio-flexi panel when veneered from one side and how it can be veneered from both sides to fix the geometry intentionally (republished: [91]).

3.2. Technical Characterization

The Bio-flexi panel was extruded using a double-screw extrusion machine, in which four heating canals existed to control heating temperatures throughout the longitudinal mixing path of the compounded mixture. At the heating canals as well as the feeding canal, gas absorbers were integrated to absorb water vapor arising from the natural fibers, which previously captured natural atmospheric humidity to optimize the mixture and eliminate any inhomogeneity or plasticity (Figure 4).

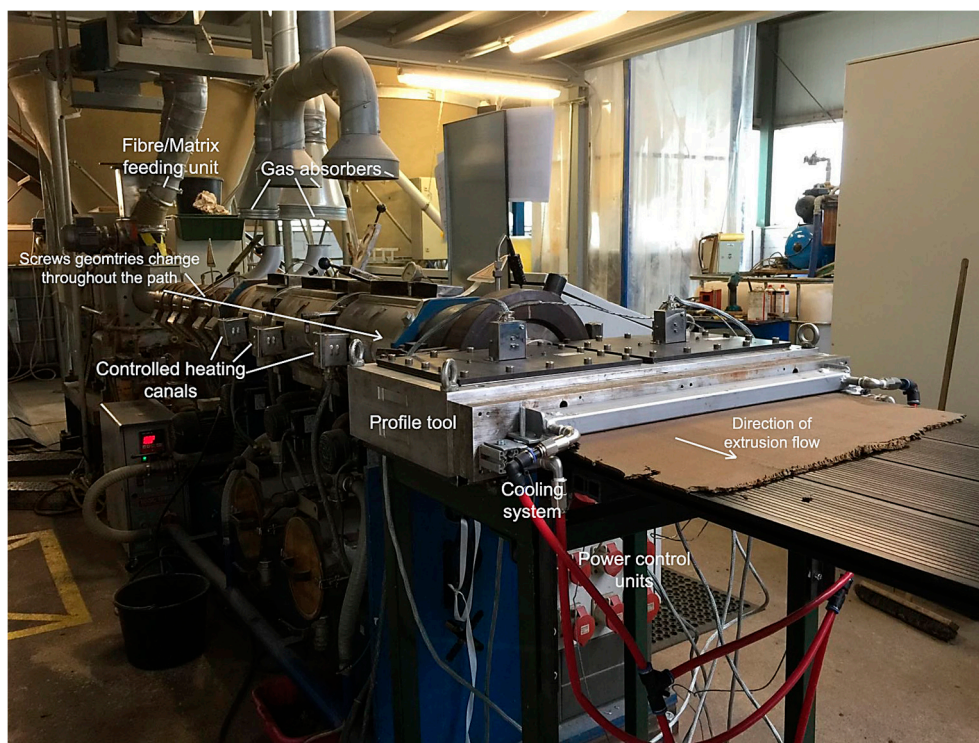


Figure 4. Illustration of the production of Bio-flexi in mass-production scale indicating the control and feeding units in Naftex GmbH company, Wiesmoor, Germany (Photo: Dahy, H.).

The developed Bio-flexi HDF product was mechanically tested to evaluate the transportation safety without distortion and the usage possibility in flooring systems in respect to residual indentation after DIN EN 433 and indentation resistance after DIN EN 1516, to evaluate if the product can be applied in the scope of flat flooring in sport halls [92]. To validate the possibility of applying this material in flooring systems, the fiberboard was tested under static loads to measure thickness losses, as a step to measure its residual indentation and indentation resistance. Measurement of residual indentation after DIN EN 433 simulates the static furniture loads. The result indicated that Bio-flexi at 80% fiber-load by mass has a residual indentation of 0.14 mm, which is comparable with other elastic flooring materials as Linoleum that lies between 0.07–0.4 mm. To validate the resistance to indentation of elastic surfaces for sport areas, DIN EN 1516 test standards were applied to determine that the permanent change in the flooring plate thickness was only 0.02 mm that fits in the range set in this standard not exceeding 0.5 mm permanent thickness loss. This indicates that the developed fiberboard can be applied in flooring systems in sport halls, sport activity areas and in cushioning services [91].

Under the raising awareness of the environmental possible drawbacks of all newly developed building materials, thermoset matrix application was eliminated here and a thermoplastic elastomeric binder (TPE) was applied instead. Recyclability is here guaranteed without further experimental proof dependent on the thermoplasticity of the binder and the high heat-resistance of the natural fibers reaching to 220 °C depending on the flame-resistant silica loaded contents, which should enable multiple recycling cycles before the fiber deteriorates. However, in the area of Natural Fiber Reinforced

Polymer Composites (NFRP) recycling virgin thermoplastic binders of the same or another compatible base as well as virgin natural fibers are needed to be added in small ratios in each recycling cycle to guarantee preserving the same original quality of the first produced series. The composting option was otherwise experimentally proved through soil burial tests that were conducted for 15 months, where the samples were buried in a chosen field plot in the middle of the Stuttgart city in South Germany. Compostability conditions were set so that aerobic bacteria at a level of maximum –8 cm under the soil's surface were activated, to measure if or not the test samples will start decaying. Weight reduction was monitored and visual qualitative inspection took place each 3 months. By the end of the test, plant roots were observed growing within the samples' bodies and a final weight reduction of around 41% after 15 months of soil burial were measured. Through these results, it was concluded that the Bio-flexi fiberboard has also the tendency to be industrially composted as a second end-of life option in addition to its recyclability. In Figure 5, the closed cycle graph of the Bio-flexi according to the cradle to cradle® design conception is shown including the two main product circles that are impacted by the Bio-flexi life cycle.

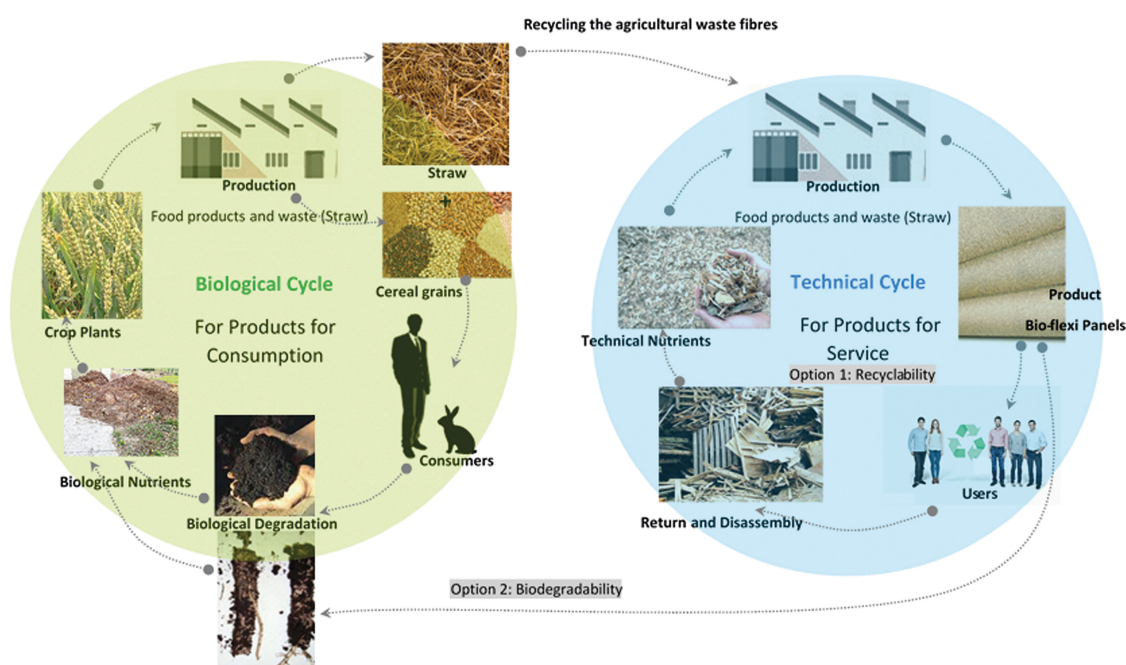


Figure 5. Graph indicating the closed proposed cycle of the Bio-flexi HDF fiberboard after the cradle to cradle® concept (Photo: Dahy, H.).

3.3. Bio-Inspired Sustainability of Bio-Flexi

The assessment of Bio-flexi is performed based on a system model that has been created in analogy to the Life Cycle Inventory model in LCA. For the modelling, the LCA software GaBi was used [56]. In analogy to LCA, the goal and scope definitions are presented in the following. Goal of the assessment is to investigate the sustainability of Bio-flexi in a sports facility flooring application compared to a conventional reference system, for which a polyurethane based flooring material is chosen. One square meter of flooring material is chosen as functional unit. As the complementing build up is assumed to be similar for both systems, the comparison is restricted to these surface layer materials, also providing the basic functions of shock absorption and cushioning in a comparable way. The service life is defined as 20 years and no difference in maintenance is considered.

The technical characterization has been transferred to a Life Cycle Inventory model using primary data provided by the manufacturers including the life cycle phases A1–A3, C3 and D [93]. As the technology provides two EoL-options, a sensitivity analysis has been conducted, resulting in two

different scenarios that depict the most realistic options. Scenario one is using a maximum amount of recycling and scenario two is treated through composting. In addition to the information given in the technical characterization, several additional processes were added to complement the life cycle with regard to recycling and composting. For the recycling option a grinding process has been applied to facilitate the recirculation of recycle to the virgin raw material stream before the extrusion process takes place. A percentage of 20% was specified as maximum recycle rate. For the composting option, an industrial composting plant model was chosen to estimate the according material decomposition rate in real application. As coarse material is removed showing a relatively low decomposition rate due to its small surface area, a grinding process is assumed here as well. For the remaining materials thermal utilization is assumed, using a dynamic energy mix based on German lead scenarios to calculate the benefits beyond system boundary [56]. The system boundary of the two scenarios is shown in Figure 6.

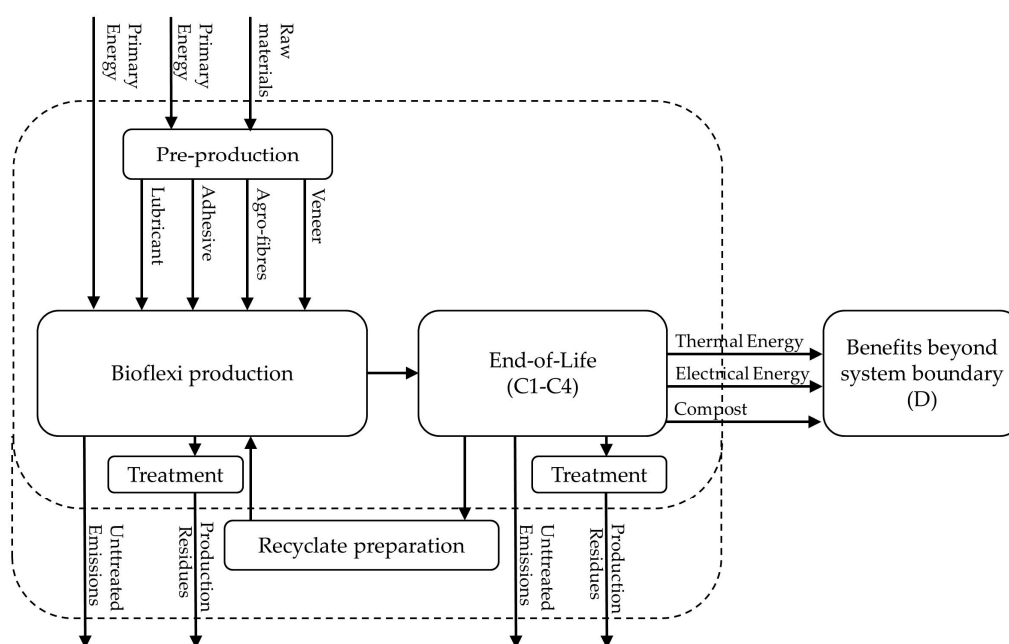


Figure 6. System boundary of the investigated life cycle inventory model. The main material and energy flows for production, End-of-Life and Benefits are shown. The recycle preparation is only considered for the recycled scenario and therefore depicted separately.

The model was used as basis to perform a BiSA according to the assessment structure described above. As there is no decidedly specified environmental function, the environmental function is not investigated. For the economic assessment, a simplified approach is chosen due to the fact that Bio-flexi is still a product under development and a number of non-material information is not yet available. The social function in terms of building physical design functions is assumed to be comparable to the conventional reference product as indicated by divers tests [91]. In the following, the aspect environmental burden is presented in detail due to its relevance related to the motivation of the developers. Figure 7 depicts the overall environmental burden in normalized numbers, showing the overall environmental impact with regard to the planetary boundaries.

While the impact of the most relevant category for PUR is strongly reduced in both Bio-flexi scenarios, the overall impacts of all categories are almost compensating these savings for the recycled scenario and even overcompensating the savings for the composted scenario, having an increased in normalized impact by 17%. The increased impact mainly origins in the agricultural system, which especially impacts on eutrophication and acidification. Overall, the recycling option already is

comparable to the reference system and offers further saving potential especially when the recycling rate can be further improved.

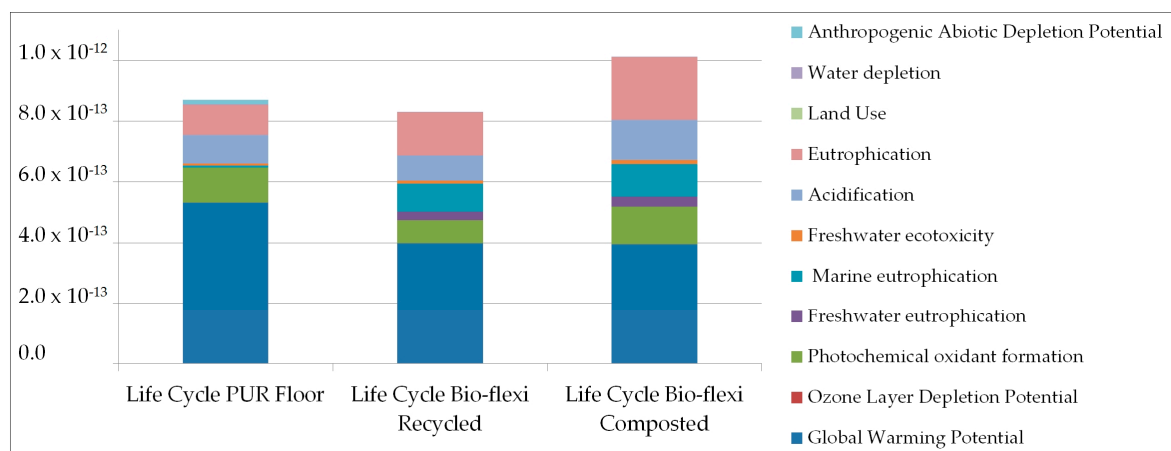


Figure 7. Environmental burden of the reference system and the two scenarios of Bio-flexi (Recycled and composted) as normalized results for the considered life cycle phases.

The overall sustainability assessment result is depicted in Figure 8. The segments are scaled in relation to the reference system using the radius as scaling element. Diagram (1) shows the recycled scenario, diagram (2) on the right hand side depicts the composted scenario. While for both economic and environmental burden only small changes can be identified, the social burden offers significant savings for both scenarios.

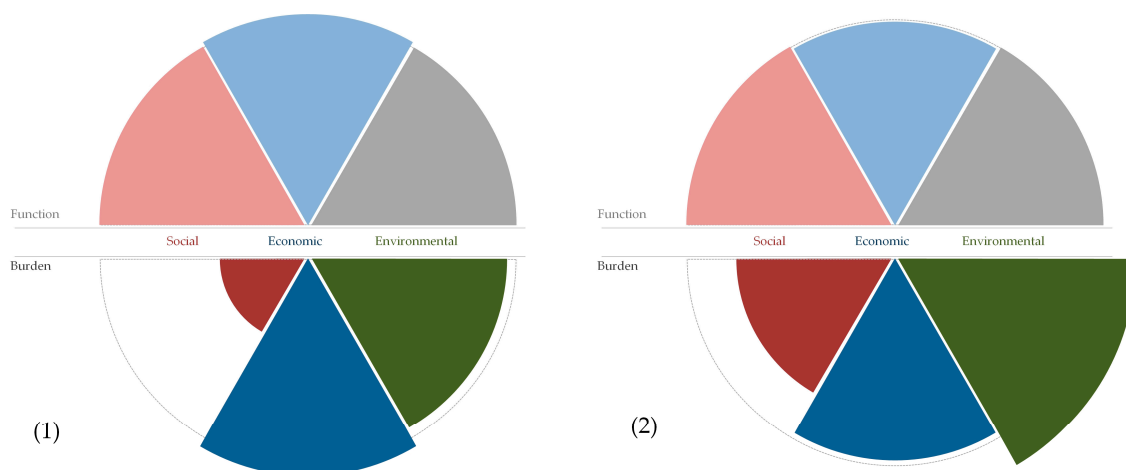


Figure 8. Bio-inspired sustainability of Bio-flexi as pie charts, including the six aspects of sustainability, each depicted by a specific color. The red elements depict the social aspects, the blue elements depict the economic aspects and the green element depicts the environmental aspects. As there is no environmental function of both reference and assessed system, the environmental function element is greyed out. Each aspect is shown in relation to the reference product, depicted by a grey circle line which is identical for both graphs. The relative value is depicted as change in pie element radius and therefore linear. (1) The recycled scenario shows Bio-flexi with a maximum of recycling compared to a conventional reference product. (2) The composted scenario shows Bio-flexi with a maximum of composting compared to a conventional reference product.

For both economic burden and function, the assessment was restricted to the process immanent cost model, where both scenarios provide a similar cost structure. The overall production costs

are furthermore comparable to the conventional flooring material. For social burdens, a significant reduction of the impact on human capability has been identified. This mainly originates in the fact that the Bio-flexi production including its upstream material chain takes place mainly in Germany, while the fossil based reference product includes significant share of work with higher risk of human capability reduction mainly in the raw material extracting countries. The impact on human health does not provide significant saving potentials for the composted scenario and is increased by 51% due to the impacts occurring in the composting process. For the recycled scenario, a reduction of 25% of normalized impact in comparison to the reference system is determined. Overall, the recycled scenario offers a higher potential with regard to bio-inspired sustainability, although this does not apply to each aspect concurrently. The main improvement could be identified in the reduction of social burdens and global warming potential, while no significant change could be identified for economic aspects for both function and burden.

The biobased and biodegradable composite Bio-flexi appears to be able to compete in terms of bio-inspired sustainability with its conventional, fossil-based reference in the application as flooring system in sports facilities. In contrast to the reference, however, Bio-flexi bears several additional optimization potentials and is expected to be generally beneficial when further developed under consideration of the decision support provided by the BiSA system. Especially with regard to recyclability, improvement potentials have been identified, as the recycled Bio-flexi scenario provides significant improvements in environmental and social burden compared to both the reference system and the composted Bio-flexi scenario. While product development continues, economic function and burden assessment can be specified further, focusing on nonmaterial information. Nevertheless, the already competitive process immanent costs indicate potential profitability as cost reduction potentials are oftentimes identified in ongoing product development.

4. Discussion

In this paper, the discussion is focused on a critical interpretation of the presented method itself. The key requirements specified in Section 2.1 are therefore chosen as a basis and deal as structure for the following investigation of the BiSA method. The main question to be answered can therefore be raised as follows: To which extent are the self-imposed goals of the developers achieved?

The general requirements have been set as consistency, comparability, reproducibility and falsifiability. Consistency of assessment systems is especially relevant with regard to system models. While the aim of the developers was to achieve full consistency of all aspects through the utilization of one system model, this could not be applied to the social function, as the building physical properties that provide the core of this aspect are not included in the life cycle inventory model that deals as a basis for the other aspects. Nevertheless, 5 out of 6 aspects could be modelled in a consistent way. Both comparability and reproducibility are met with the restriction of a not completely open model, which is caused by the fact that the underlying background databases are not publicly available. While consistency, comparability and reproducibility can be investigated on case study level, the falsifiability of sustainability in general is a critical requirement, as it simultaneously deals as a paradigm and as a scientific concept [94,95]. While the falsifiability of sustainability science and its scientific nature was questioned by Neumayer and Ziegler as well as Ott complemented the discourse and identified sustainability as a hybrid science that is falsifiable in the wider sense of conjecture and refutation [96]. In this sense, the presented framework offers a conjecture of BiSA open to refutation.

The basic requirements to decision support systems are met, even though their application has to prove true in future application. The proposed assessment system is able to support semi-structured and unstructured decisions and is applicable by decision makers throughout all phases of the decision making process. The requirements to sustainability assessment systems are classified based on the framework depicted in Figure 9 based on the experiences of previous applications. Overall, the assessment was developed by means of a comprehensive sustainability assessment with regard to the meta-assessment scheme, resulting in a classification of full strategicness, comprehensiveness and

- Are the bio-inspired requirements useful as criteria for meta-assessment and does the BiSA meet them?
- How could BiSA support the degree of target attainment in terms of developing sustainable solutions in the building sector?
- Is it possible to directly integrate further success principles of evolution (multifunctionality and change of function) in the assessment structure?
- Is it useful (or eligible) to determine the detailed structure or should the model itself be adaptive?
- Is it possible to fully address the meta-assessment classification requirements to sustainability assessments through further model development?
- Is it possible to gain a deeper understanding of the biological model systems in the framework of a further development of the BiSA?

Furthermore, a comprehensive investigation on the interaction of multifunctionality (both simultaneously and temporally separated) and resource demand will be performed. This will include the assessment of functionality as multidimensional set of functions and underlying properties enabling self-maintenance of living organisms making use of resources under environmental stress. The concept of bio-inspired sustainability provides a consistent framework including a sustainability model and a thereupon developed assessment system including all three dimensions of sustainability for both intended functions and unintended burdens. Its assessment is performed based on a consistent model on the basis of life cycle thinking and aims to reintegrate the principles of nonhuman biological systems into product development to purposefully develop sustainable solutions. While providing a first implementation, the model will be further developed towards a tool with a higher degree of automation and adapted in conventional, bio-inspired and biobased practical application.

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