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## The Effect of (Ag, Ni, Zn)-Addition on the Thermoelectric Properties of Copper Aluminate

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**Abstract:** Polycrystalline bulk copper aluminate  $\text{Cu}_{1-x-y}\text{Ag}_x\text{B}_y\text{AlO}_2$  with B = Ni or Zn were prepared by spark plasma sintering and subsequent thermal treatment. The influence of partial substitution of Ag, Ni and Zn for Cu-sites in  $\text{CuAlO}_2$  on the high temperature thermoelectric properties has been studied. The addition of Ag and Zn was found to enhance the formation of  $\text{CuAlO}_2$  phase and to increase the electrical conductivity. The addition of Ag or Ag and Ni on the other hand decreases the electrical conductivity. The highest power factor of  $1.26 \times 10^{-4} \text{ W/mK}^2$  was obtained for the addition of Ag and Zn at 1,060 K, indicating a significant improvement compared with the non-doped  $\text{CuAlO}_2$  sample.

**Keywords:** copper aluminate; oxide material; element addition; thermoelectric properties

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### 1. Introduction

Thermoelectric materials have been widely studied over the past decades owing to their potential application as a thermoelectric convertor of waste heat into electricity [1]. This energy conversion technique has the advantage of being maintenance-free because of the ability to operate without moving parts and/or chemical reactions. However, this technique has not been widely used in

industrial applications so far due to the low thermoelectric conversion efficiency. In general, the performance of a thermoelectric material is evaluated by the figure of merit  $Z$  or the dimensionless figure of merit  $ZT$ , as follows:

$$ZT = \frac{\sigma S^2}{\kappa} T \quad (1)$$

where  $\sigma$ ,  $S$ ,  $\kappa$  and  $T$  are the electrical conductivity, Seebeck coefficient, thermal conductivity and absolute temperature, respectively. To achieve high  $Z$ , a large  $S$ , a high  $\sigma$  and a small  $\kappa$  are required. However, it is difficult to increase  $Z$  because these three parameters are all functions of carrier concentration and are interrelated with each other. There are some approaches to increase figure of merit  $Z$ : an optimization of carrier concentration, which varies between  $10^{19}$  to  $10^{21}$  carriers per  $\text{cm}^3$  for common semiconductors [1,2], lowering the thermal conductivity by heavier element substitution [3] and nanostructuring of the materials [4].

Many kinds of materials are currently under investigation, which include  $\text{Bi}_2\text{Te}_3$  [5],  $\text{PbTe}$  [6] based materials,  $\text{SiGe}$  [7], silicides [8],  $\beta\text{-Zn}_4\text{Sb}_3$  [9], skutterudites [10], half-Heusler alloys [11] and clathrate compounds [12]. In addition, since the first report of  $\text{NaCo}_2\text{O}_4$  in 1997 [13], various new oxide materials with good thermoelectric properties such as  $\text{Ca}_3\text{Co}_4\text{O}_9$  system [14],  $\text{ZnO}$  [15] and  $\text{SrTiO}_3$  [16] have attracted increasing attention because of their thermal and chemical stability at high temperature in air, low toxicity, low cost and easy manufacture.

Another type of oxide material, copper aluminate ( $\text{CuAlO}_2$ ), which is stable at high temperatures up to 1,400 K and possessing a good thermoelectric power, is expected to be another promising material for thermoelectric devices [17,18]. This type of materials has also gained much attention in the field of optoelectronic applications [19,20] due to the fact that the  $\text{CuAlO}_2$  has a direct band-gap of 3.5 eV [21] and is a transparent conductor.  $\text{CuAlO}_2$  crystallizes in the rhombohedral, delafossite-type structure ( $a=2.85670 \text{ \AA}$ ,  $c=16.9430 \text{ \AA}$ ) [22] and shows  $p$ -type semiconductivity [23]. Park *et al.* have investigated the thermoelectric properties of  $\text{CuAl}_{1-x}\text{Ca}_x\text{O}_2$  ( $0 \leq x \leq 0.2$ ) [24] and found that the substitution of Ca for Al up to  $x = 0.1$  increases both the electrical conductivity and the Seebeck coefficient. Lately, the effects of Mg or Fe substitution for Al in  $\text{CuAlO}_2$  were also reported [25,26]. Among these studied elements, the highest value of power factor ( $1.1 \times 10^{-4} \text{ W/mK}$ ) was obtained for the  $\text{CuAl}_{0.9}\text{Fe}_{0.1}\text{O}_2$  sample at 1,140 K. Moreover, the calculation of the electronic structure of Ni or Zn doped  $\text{CuAlO}_2$  using a full potential linear augmented plane-wave method, reported by Lalic *et al.*, showed that Ni and Zn substituted for Cu-sites act as acceptor and donor impurities, respectively [27]. As for delafossite-type of materials, the effect of Ag substitution for Cu-sites in  $\text{CuRhO}_2$  has been investigated [28]. However, to our knowledge, the effect of element substitution for Cu-sites in  $\text{CuAlO}_2$  has not been reported to date.

In this study, we focus on the substitution of Ag, Ni and Zn to Cu-sites in  $\text{CuAlO}_2$  and systematically investigate their effects on the high temperature thermoelectric properties of these compounds.

## 2. Experimental

### 2.1. Preparation of samples

A series of samples with the composition  $\text{Cu}_{1-x-y}\text{Ag}_x\text{B}_y\text{AlO}_2$  with  $\text{B} = \text{Ni}$  or  $\text{Zn}$  was prepared by the solid-state reaction method. Highly pure powders of  $\text{CuO}$ ,  $\text{Al}_2\text{O}_3$ ,  $\text{NiO}$ ,  $\text{ZnO}$  (Sigma-Aldrich, Inc., 99.99%) and  $\text{Ag}_2\text{O}$  (Sigma-Aldrich, Inc., 99%) were sufficiently mixed and ground in an  $\text{Al}_2\text{O}_3$  mortar by using an automatic mill. The nominal compositions of samples are expressed as follows:  $\text{CuAlO}_2$ ,  $\text{Cu}_{0.98}\text{Ag}_{0.02}\text{AlO}_2$ ,  $\text{Cu}_{0.979}\text{Ag}_{0.02}\text{Ni}_{0.001}\text{AlO}_2$  and  $\text{Cu}_{0.979}\text{Ag}_{0.02}\text{Zn}_{0.001}\text{AlO}_2$ . The doping level of 0.1% for  $\text{Ni}$  and  $\text{Zn}$  was chosen to generate about  $2.5 \times 10^{19}$  carriers per  $\text{cm}^3$  for an optimization of carrier concentration. The mixtures were placed into a graphite die with an inner diameter of 15 mm and then sintered in a spark plasma sintering (SPS) machine (Sumitomo Coal Mining Co.) at 1,123 K for 20 min under uniaxial pressure of 30 MPa in vacuum. After the SPS process, the samples were annealed at 1,373 K for 24 h in flowing air. The samples were cut into rectangular bars with the approximate dimension of  $2 \times 3 \times 10 \text{ mm}^3$  for measurements of the electrical conductivity and Seebeck coefficient. For thermal conductivity measurements, the samples were cut into a shape of  $10 \times 10 \times 2 \text{ mm}^3$ .

### 2.2. Characterization

The crystalline structures of the samples were analyzed by X-ray diffraction (XRD) on a STOE diffractometer with  $\text{Cu-K}\alpha$  radiation. Microstructures of the samples were observed by scanning electron microscopy (SEM) with a Hitachi TM-1000 system. The electrical conductivity and Seebeck coefficient were simultaneously measured using an ULVAC-RIKO ZEM-3 thermoelectric property measurement system under a low-pressure helium atmosphere. The thermal conductivity was determined from thermal diffusivity and specific heat measured using a Netzsch LFA-457 laser flash apparatus in a  $\text{N}_2$  atmosphere.

## 3. Results and Discussion

### 3.1. Crystal structure and microstructure

Figure 1 shows the powder XRD patterns of the samples. For the non-doped  $\text{CuAlO}_2$ , all the diffraction peaks can be indexed as the rhombohedral, delafossite-type structure (standard ICDD-PDF 35-1401) except for a very small peak which belongs to the  $\text{CuO}$  phase at a scattering angle of  $61.5^\circ$ . With the addition of  $\text{Ag}$ , besides the primary phase of  $\text{CuAlO}_2$ , a secondary phase of  $\text{CuO}$  ( $a = 4.662 \text{ \AA}$ ,  $b = 3.416 \text{ \AA}$ ,  $c = 5.118 \text{ \AA}$ ,  $\beta = 99.49^\circ$ , ICDD-PDF: 065-2309) with a monoclinic structure was detected. As for the  $\text{Cu}_{0.979}\text{Ag}_{0.02}\text{Ni}_{0.001}\text{AlO}_2$ , two secondary phases of  $\text{CuO}$  and  $\text{CuAl}_2\text{O}_4$  ( $a = 8.0790 \text{ \AA}$ , ICDD-PDF: 078-1605) with a cubic structure were observed. In the case of the  $\text{Cu}_{0.979}\text{Ag}_{0.02}\text{Zn}_{0.001}\text{AlO}_2$ , the sample is found to be a single-phase of  $\text{CuAlO}_2$  without any other secondary peaks. In all the samples, no peaks belonging to the  $\text{Ag}$  were observed, indicating a complete solubility of the  $\text{Ag}$ . The chemical reaction process of  $\text{CuAlO}_2$  from the  $\text{CuO}$  and the  $\text{Al}_2\text{O}_3$  is expressed by following reactions:

- (1)  $\text{CuO} + \text{Al}_2\text{O}_3 \rightarrow \text{CuAl}_2\text{O}_4$ , and
- (2)  $\text{CuAl}_2\text{O}_4 + \text{CuO} \rightarrow 2\text{CuAlO}_2 + 1/2\text{O}_2\uparrow$ .

Therefore, it appears that the addition of Ag which has a larger ionic radius ( $\text{Ag}^+$ : 0.67 Å) than the Cu ( $\text{Cu}^+$ : 0.46 Å) [29] seems to prevent the formation of only the  $\text{CuAlO}_2$  phase. As indicated by the results that the formation of the  $\text{CuAlO}_2$  phase is hindered by the addition of Ag and Ni. However, the addition of Ag and Zn seems to facilitate the abovementioned reactions (1) and (2).

**Figure 1.** Powder XRD patterns of the samples: (a)  $\text{CuAlO}_2$ , (b)  $\text{Cu}_{0.98}\text{Ag}_{0.02}\text{AlO}_2$ , (c)  $\text{Cu}_{0.979}\text{Ag}_{0.02}\text{Ni}_{0.001}\text{AlO}_2$  and (d)  $\text{Cu}_{0.979}\text{Ag}_{0.02}\text{Zn}_{0.001}\text{AlO}_2$  samples.

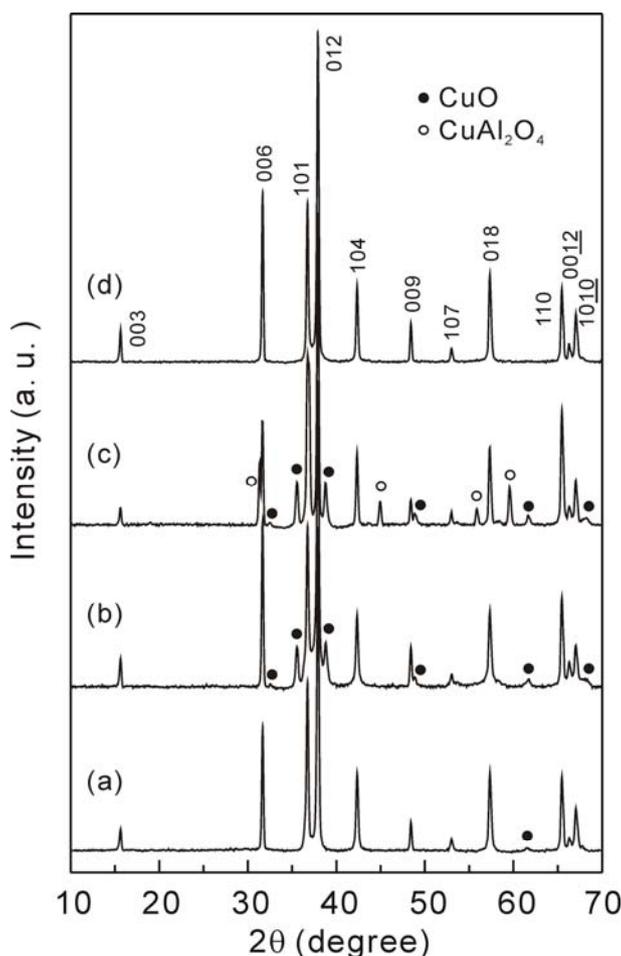


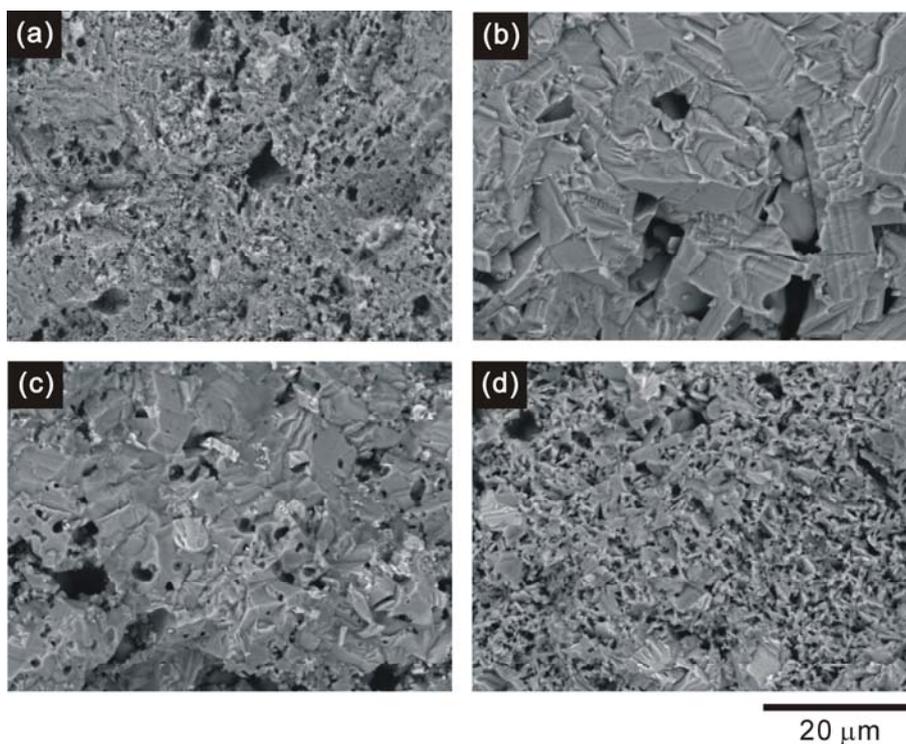
Figure 2 illustrates the SEM images of the fractured surface of the samples. It can be seen from Figures 2 (a) and (b) that the addition of Ag strongly enhances the grain growth, although a few large pores could be observed. With the addition of Ni or Zn, the microstructures tend to be deteriorated due to the reduction of the grain size, as clearly shown in Figures 2 (c) and (d). Even so, the grain size of the  $\text{Cu}_{0.979}\text{Ag}_{0.02}\text{Zn}_{0.001}\text{AlO}_2$  sample is still larger than that of the non-doped  $\text{CuAlO}_2$  sample.

### 3.2. Thermoelectric properties

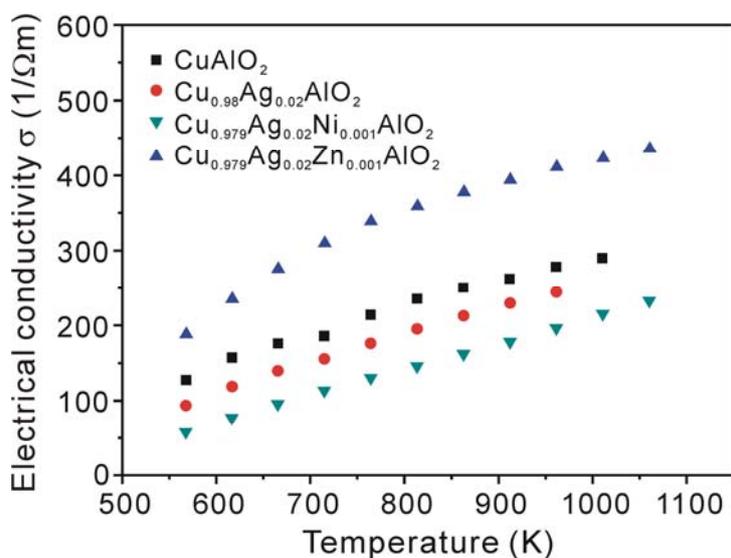
The temperature dependence of the electrical conductivity ( $\sigma$ ) is shown in Figure 3. The  $\sigma$  value of the non-doped  $\text{CuAlO}_2$  sample increases with increasing temperature over the measured temperature range, indicating a semiconducting behavior. Hamada *et al.* calculated the formation energy of the native defects in  $\text{CuAlO}_2$  using *ab initio* total energy calculation and concluded that copper vacancies are relevant to the *p*-type conductivity in  $\text{CuAlO}_2$  [30]. Also, excess oxygen contributions to hole

generation in  $\text{CuAlO}_2$  were demonstrated by Ingram *et al.* [31] in the measurement of the electrical conductivity by controlling the oxygen partial pressure at a constant temperature of 800 °C. The observed result revealed that the semiconducting property is due to the carriers induced by off-stoichiometry.

**Figure 2.** The SEM images of the fractured surface of (a)  $\text{CuAlO}_2$ , (b)  $\text{Cu}_{0.98}\text{Ag}_{0.02}\text{AlO}_2$ , (c)  $\text{Cu}_{0.979}\text{Ag}_{0.02}\text{Ni}_{0.001}\text{AlO}_2$  and (d)  $\text{Cu}_{0.979}\text{Ag}_{0.02}\text{Zn}_{0.001}\text{AlO}_2$  samples.



**Figure 3.** Temperature dependence of the electrical conductivity  $\sigma$  of  $\text{CuAlO}_2$ ,  $\text{Cu}_{0.98}\text{Ag}_{0.02}\text{AlO}_2$ ,  $\text{Cu}_{0.979}\text{Ag}_{0.02}\text{Ni}_{0.001}\text{AlO}_2$  and  $\text{Cu}_{0.979}\text{Ag}_{0.02}\text{Zn}_{0.001}\text{AlO}_2$  samples.

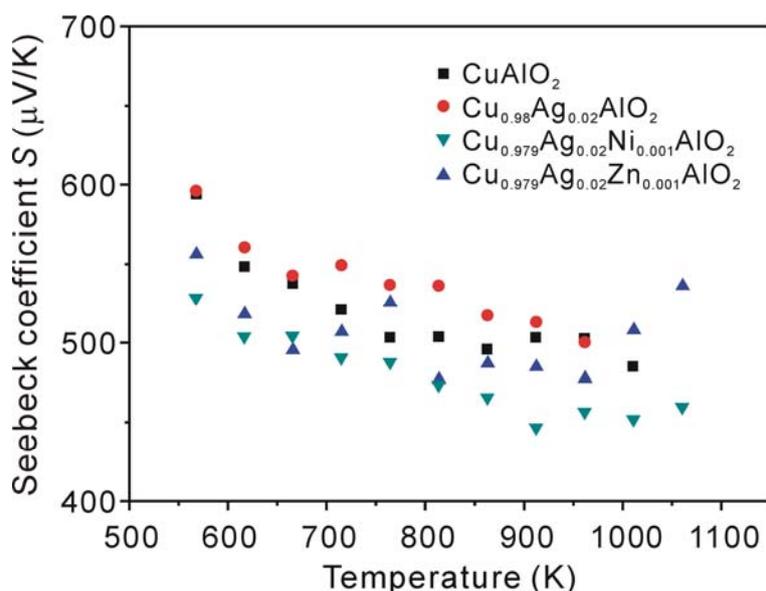


The addition of Ag and Ni to the Cu-sites in  $\text{CuAlO}_2$  was found to decrease the electrical conductivity compared to that of the non-doped  $\text{CuAlO}_2$  sample. This is attributed to the low electrical

conductivity of the secondary phases, *i.e.* CuO and CuAl<sub>2</sub>O<sub>4</sub> which form in these samples. Moreover, the substitution of Ni for Cu will decrease the hole concentration, that is Ni<sup>2+</sup> substituted for Cu<sup>+</sup> will act as a donor impurity contrary to the result in Ref. [27]. A similar effect of Ni substitution on the electrical conductivity was reported by Wongcharoen *et al.* for the Ni-doped CuAlO<sub>2</sub> polycrystalline bulk samples [32]. It is interesting to see from Figure 3 that the addition of Ag and Zn resulted in an increase in the electrical conductivity. Generally, the substitution of Zn<sup>2+</sup> for Cu<sup>+</sup> ions in CuAlO<sub>2</sub> produces electrons, leading to a decrease in the hole concentration of the *p*-type CuAlO<sub>2</sub>, and thereby decreasing the electrical conductivity. However, this is not consistent with the observed results in this study. One possible reason for this inconsistency may be that the Zn<sup>2+</sup> ion substituted not for the Cu<sup>+</sup> ion but for the Al<sup>3+</sup> ion, which can generate both holes and Cu vacancies, both contribute to the increase in the electrical conductivity.

Figure 4 shows the Seebeck coefficient (*S*) of the samples as a function of temperature. The sign of the Seebeck coefficient is positive over the measured temperature range. These results confirm that holes are the majority carriers in these samples. The Seebeck coefficient of the samples tends to decrease with increasing temperature below 900 K, except for the Cu<sub>0.979</sub>Ag<sub>0.02</sub>Zn<sub>0.001</sub>AlO<sub>2</sub> sample, which shows a clear trend of increasing the Seebeck coefficient above 900 K. Ingram *et al.* has previously reported that a small polaron hopping behavior occurring in CuAlO<sub>2</sub> [33] in the temperature range from 950 to 1,020 K, indicating that the number of carriers is constant in this range of temperature. However, our results showed that the Seebeck coefficient of these samples is dependent on temperature, suggesting that the carrier concentration is also temperature-dependent. Therefore, the electrical conduction of these samples in the temperature range from 573 to 1,060 K cannot be explained by the small polaron hopping conduction.

**Figure 4.** Temperature dependence of the Seebeck coefficient *S* of CuAlO<sub>2</sub>, Cu<sub>0.98</sub>Ag<sub>0.02</sub>AlO<sub>2</sub>, Cu<sub>0.979</sub>Ag<sub>0.02</sub>Ni<sub>0.001</sub>AlO<sub>2</sub> and Cu<sub>0.979</sub>Ag<sub>0.02</sub>Zn<sub>0.001</sub>AlO<sub>2</sub> samples.



For semiconductor materials, Seebeck coefficient generally decreases with increasing carrier concentration, leading to the increase of electrical conductivity [34]. The increase in the Seebeck

coefficient of the  $\text{Cu}_{0.98}\text{Ag}_{0.02}\text{AlO}_2$  sample is presumably related to the decrease in the carrier concentration. However, the Seebeck coefficient of the  $\text{Cu}_{0.979}\text{Ag}_{0.02}\text{Ni}_{0.001}\text{AlO}_2$  sample, which has the lowest electrical conductivity, also shows the lowest value of  $S$ . This could be caused by the formation of the secondary phases. These results are consistent with the report in Ref. [32] for the  $\text{CuAl}_{1-x}\text{Ni}_x\text{O}_2$  ( $x = 0.05, 0.10$ ) samples.

It is quite interesting that the  $\text{Cu}_{0.979}\text{Ag}_{0.02}\text{Zn}_{0.001}\text{AlO}_2$  sample shows a higher value not only of the electrical conductivity but also of the Seebeck coefficient at temperature above 1,000 K, compared to that of the non-doped sample. Such phenomena cannot be explained by the above mentioned general relationship between  $\sigma$  and  $S$ . However, the energy correlated carrier mobility  $\mu(E)$  may play a crucial role in determining  $S$ . According to Ref. [35], the Seebeck coefficient can be expressed by the following formula:

$$S(T) = \frac{c_e}{n} + \frac{\pi^2 k_B^2 T}{3e} \left[ \frac{\partial \ln \mu(E)}{\partial E} \right]_{E=E_F} \quad (2)$$

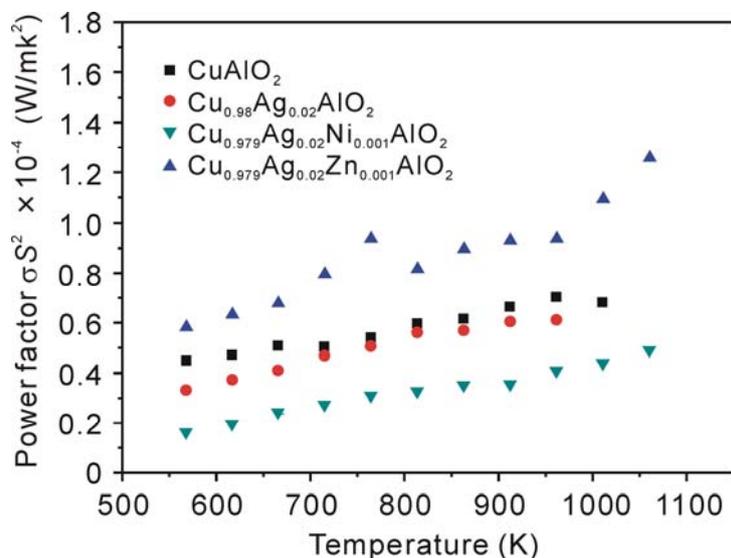
where  $c_e = (\pi^2 k_B^2 T / 3e) N(E)$ , and  $n$ ,  $c_e$ ,  $k_B$ , and  $N(E)$  are carrier concentration, specific heat, the Boltzmann constant and density of states, respectively. Although the first term  $c_e/n$  of the Equation 2 is in inverse to the carrier concentration, the increase of  $S$  at high temperature for the  $\text{Cu}_{0.979}\text{Ag}_{0.02}\text{Zn}_{0.001}\text{AlO}_2$  sample suggests that the second term may play a dominant role. We could assume that addition of Ag and Zn has changed  $\mu(E)$ , and the change of the  $\mu(E)$  affects the increase of  $S$ . However, further investigations such as electronic band calculations and Hall measurements are needed to clarify the effect of the addition of Ag and Zn to the Seebeck coefficient of  $\text{CuAlO}_2$ .

The power factor ( $\sigma S^2$ ) calculated from the measured electrical conductivity ( $\sigma$ ) and Seebeck coefficient ( $S$ ) as a function of temperature is shown in Figure 5. The power factor for all samples increases with increasing temperature. The  $\text{Cu}_{0.979}\text{Ag}_{0.02}\text{Zn}_{0.001}\text{AlO}_2$  sample shows the highest values of power factor over the investigated temperature range and the  $\sigma S^2$  value reaches  $1.26 \times 10^{-4} \text{ W/mK}^2$  at 1,060 K. To the best our knowledge, this is the highest value among the previously reported values for  $\text{CuAlO}_2$ -related bulk materials.

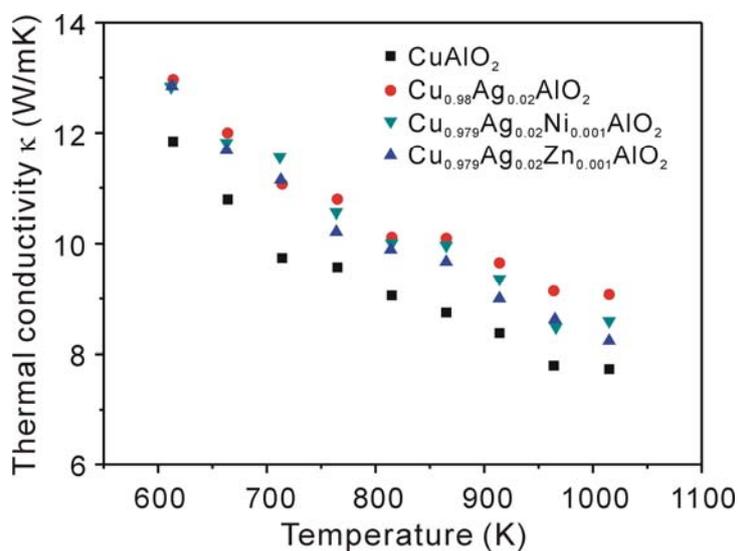
Figure 6 shows the temperature dependence of the thermal conductivity ( $\kappa$ ) of the samples. It is expected that the addition of heavier elements such as Ag to the Cu-sites in  $\text{CuAlO}_2$  will lead to a lower thermal conductivity than that of the non-doped sample due to the increase of phonon scattering induced by additional elements. However, the observed results showed that the values of the thermal conductivity with the addition of Ag, Ni and Zn are larger compared with the non-doped sample. The  $\kappa$  value is of about 10 % larger for the  $\text{Cu}_{0.979}\text{Ag}_{0.02}\text{Zn}_{0.001}\text{AlO}_2$  sample than that for the non-doped one. The total thermal conductivity  $\kappa$  consists of the phonon contribution  $\kappa_{\text{ph}}$  and the electronic contribution  $\kappa_e$ , *i.e.*  $\kappa = \kappa_{\text{ph}} + \kappa_e$ . The electronic component can be estimated using the Wiedemann-Franz law,  $\kappa_e = L_0 T \sigma$ , where  $L_0 = 2.45 \times 10^{-8} \text{ W}\Omega/\text{K}^2$  is the Lorenz number and  $T$  is absolute temperature. The calculated  $\kappa_e$  for all samples in this study is only about 0.1% of the total thermal conductivity  $\kappa$ . This suggests that the main contribution to the total thermal conductivity is the phonon part, and the electronic part can be negligible. The reason why the addition of Ag or Ag and Ni results in a larger  $\kappa$  suggests that there might be a contribution of secondary phase of CuO with high thermal conductivity (33 W/mK at RT [36]) to the total thermal conductivity. Moreover, the addition of Ag, Ni and Zn results in samples with larger grain size compared to that of the non-doped sample (see Figure 2). Thus,

the observed increase in  $\kappa$  for the  $\text{Cu}_{0.979}\text{Ag}_{0.02}\text{Zn}_{0.001}\text{AlO}_2$  sample is probably attributed to the increase in the  $\kappa_{\text{ph}}$  due to the reduction of phonon scattering caused by increasing in the grain size.

**Figure 5.** Temperature dependence of the power factor of  $\text{CuAlO}_2$ ,  $\text{Cu}_{0.98}\text{Ag}_{0.02}\text{AlO}_2$ ,  $\text{Cu}_{0.979}\text{Ag}_{0.02}\text{Ni}_{0.001}\text{AlO}_2$  and  $\text{Cu}_{0.979}\text{Ag}_{0.02}\text{Zn}_{0.001}\text{AlO}_2$  samples.



**Figure 6.** Temperature dependence of the thermal conductivity  $\kappa$  for the samples.



The highest dimensionless figure of merit  $ZT$  of about 0.016 was obtained for the  $\text{Cu}_{0.979}\text{Ag}_{0.02}\text{Zn}_{0.001}\text{AlO}_2$  sample at 1,060 K. Although this value is not high enough for practical application, the improvement of thermoelectric performance in this study is significant and important for this system which contains cheap elements. Further investigations using several approaches, e.g., an optimization of carrier concentration and improving the density by applying other sintering procedure, are expected to improve the  $ZT$  of  $\text{CuAlO}_2$ .

#### 4. Conclusions

Polycrystalline bulk copper aluminate  $\text{Cu}_{1-x-y}\text{Ag}_x\text{B}_y\text{AlO}_2$  ( $x = 0, 0.02$  and  $y = 0, 0.001$ ,  $B = \text{Ni}$  or  $\text{Zn}$ ) were prepared by spark plasma sintering and subsequent thermal treatment. The effect of the addition of Ag, Ni and Zn for Cu-sites on the thermoelectric properties of  $\text{CuAlO}_2$  was investigated. The results of XRD measurements revealed that the addition of Ag and Zn enhanced the formation of  $\text{CuAlO}_2$  phase, whereas the addition of Ag and Ni inhibited the reaction between the starting materials of  $\text{CuO}$  and  $\text{Al}_2\text{O}_3$ . The addition of Ag and Zn was found to increase the electrical conductivity over the measured temperature range and the Seebeck coefficient above 1,000 K compared to those of the non-doped  $\text{CuAlO}_2$  sample, while the addition of Ag or Ag and Ni decreased the electrical conductivity. All the doped samples showed a higher thermal conductivity than that of non-doped sample. The power factor was substantially improved by the addition of Ag and Zn. The maximum power factor reaches a value of  $1.26 \times 10^{-4} \text{ W/mK}^2$  for  $\text{Cu}_{0.979}\text{Ag}_{0.02}\text{Zn}_{0.001}\text{AlO}_2$  sample at 1,060 K, enable a  $ZT$  value of 0.016 to be reached.

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