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Understanding the Mechanism of Cypress Liquefaction in Hot-Compressed Water through Characterization of Solid Residues

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Abstract: The mechanism of hydrothermal liquefaction of cypress was investigated by examining the effects of temperature and retention time on the characteristics of the solid residues remaining after liquefaction. The solid residues were divided into acid-soluble and acid-insoluble residues. Results showed the polymerization reactions also mainly occurred at low temperatures. The reactive fragments transformed into acid-insoluble solid residue in the form of carbon and oxygen through polymerization reactions. The process of cellulose degradation consists of two steps: an initial hydrolysis of the more solvent- accessible amorphous region and a later hydrolytic attack on the crystalline portion. Hemicelluloses were decomposed into small compounds during the initial stage of the cypress liquefaction process, and then these compounds may rearrange through polymerization to form acid-insoluble solid residues above 240 °C. The higher heating value of the solid residues obtained from liquefaction at 260–300 °C was 23.4–26.3 MJ/kg, indicating that they were suitable for combustion as a solid fuel.

Keywords: liquefaction; acid-insoluble solid residue; reaction mechanisms; sugar analysis

1. Introduction

Thermo-chemical conversion technologies can efficiently convert biomass to gaseous, liquid, and solid products under thermal conditions [1]. Pyrolysis and liquefaction are the typical thermo-chemical processes for the conversion of biomass to produce liquid products in high yields. During the pyrolysis process, biomass is heated in the absence of air, forming bio-oils and gaseous products [2]. Liquefaction technology as a widely used method has a lower process temperatures as compared to pyrolytic conversion. In liquefaction processes, biomass depolymerizes in the presence of organic reagents to form useful chemicals [3,4]. The bio-oils cannot be used as transportation fuels directly without further treatment due to their high oxygen and water contents. Catalytic pyrolysis liquefaction is a promising way to improve bio-oil quality by removing oxygen, while also increasing the calorific value and stability [5]. However, the use of environmentally friendly and low energy-intensive approaches is highly desired. Hot-compressed and super/subcritical water have been widely studied and developed as technologies for the conversion of biomass to clean fuel and valuable chemicals [6,7]. The main advantage of these technologies is that drying process is not required for the conversion of wet biomass, because the water contained in biomass served as solvent as well as reactant [7].

Detailed data for bio-oils are good for understanding the mechanism of biomass. In recent years, although bio-oils have been analyzed by GC-MS, FT-IR, and NMR in many reports [5,6,8], the degradation of biomass cannot be easily described by detailed chemical reaction pathways with well-defined single reaction steps. This is mainly due to the complexity of the bio-oil components, which include acids, alcohols, aldehydes, ketones, esters, heterocyclic derivatives, and phenolic compounds as products of the degradation of biomass [9]. Another reason for the difficulty in describing the chemical reaction pathways is that the liquefaction is mainly a heterogeneous process, which proceeds inside and on the surface of biomass particles [10]. Numerous works use thermo-gravimetric analysis to determine thermal decomposition of biomass mechanisms and estimate the amounts of biogas (such as methane and hydrogen) produced during the pyrolsis process [11,12]. However, the analytical technology cannot investigate the effect of solvent on the thermal characteristics and mechanism of biomass liquefaction. Although the liquefaction of biomass using different solvents has been investigated, there is little information on the thermal behavior of solvents in these liquefaction processes.

Many researchers have also evaluated the characteristics of the solid residue from hydrothermal liquefaction of biomasses by using FT-IR [13–15]. However, the biomass is a polymer with a particularly complex structure, and therefore, FT-IR alone is not enough to study the hydrothermal liquefaction of biomass. However, more information from the infrared analysis of solid residues may help to better understand the liquefaction processes. To the best of our knowledge, in the previous investigations focused on the evaluation of the characteristics of whole solid residues by using techniques such as FT-IR, X-ray diffraction, and NMR few attempts have been made to first divide the solid residues into different fractions, and then further investigate the characteristics of these various fractions.

In the present study, the solid residues obtained from hydrothermal liquefaction of cypress under different conditions (temperature and retention time) were divided into acid-soluble and acid-insoluble solid residues by hydrolysis with dilute sulfuric acid, and then the acid-insoluble solid residues further

divided into carbon, hydrogen, and oxygen by the elemental analyzer. The effects of temperature and retention time on the yields of different fractions were studied. Additionally, the solid residues (included acid-soluble and acid-insoluble solid residues) were characterized by FT-IR, X-ray diffraction, sugar analysis, and elemental analysis to help understand the mechanisms of the hydrothermal liquefaction process.

2. Experimental Section

2.1. Materials

Cypress was collected from Henan Province in China. The feedstock was milled to obtain fine powder by passing through 40-mesh. The powder was dried at 105 °C for 24 h before used. The dried cypress contained 43.2% cellulose, 26.3% hemicelluloses, 28.2% lignin, 48.9% carbon, 44.8% oxygen, 6.0% hydrogen, 0.3% nitrogen, and 2.5% ash (on a dry weight basis). The chemicals used were analytical reagent grade.

2.2. Apparatus and Experimental Procedure

Cypress sample (10 g) and de-ionized water (100 mL) were placed in a 1 L stainless steel autoclave equipped with a magnetic stirrer (Parr Instrument Company, Moline, IL, USA). The details of the liquefaction experiments were described previously [16]. The scheme of the product separation and analysis approach is shown in Figure 1. The reaction mixture was filtered to separate the water-soluble fraction and then the water-insoluble fraction was extracted with acetone in an extraction apparatus until the solvent in the thimble became colorless. The acetone-insoluble fraction was dried at 105 °C and then weighed, and this was defined as solid residue (SR). The carbohydrates present in the SRs obtained at different conditions were removed by hydrolysis with 3% H₂SO₄ for 4 h at 100 °C. Therefore, the solid residues were further divided into acid-soluble solid residue (ASSR) and acid-insoluble solid residue (AISR). The acid-insoluble solid residues were further divided into carbon, oxygen, and hydrogen by the elemental analyzer. The yield of solid residue was calculated on a dry-ash-free basis and each experiment was repeated twice.

2.3. Analysis

The carbon, hydrogen, and nitrogen contents of cypress and solid residues were analyzed using an Elemental Analyzer (Elementar Analysen Syetem GmbH, Hanau, Germany). The oxygen content was estimated based on the assumption that the samples only contained the elements carbon, hydrogen, nitrogen, and oxygen. The higher heating values (HHV) of the samples were calculated based on Dulong formula, as described previously [16].

The SRs were evaluated using an X-ray diffractometer to determine the degree of crystallinity. X-ray diffractometry in reflection mode was conducted using a X-ray diffractometer (Shimadzu XRD-6000, Tokyo, Japan) with Cu Ká radiation source ($\ddot{e} = 0.154$ nm) at 40 kV and 30 mA. The crystallinity index (*CrI*) was calculated based on the formula as reported in a previous paper [17].

The neutral sugar composition of the cypress and SRs obtained from hydrothermal liquefaction was determined by hydrolysis with 3% H₂SO₄ for 2.5 h at 105 °C. The liberated neutral sugars were

analyzed by high performance anion exchange chromatography (Dionex ICS-3000, Sunnyvale, CA, USA) and a Carbopac PA-1 ion exchange column (4×250 mm).

Fourier transform infrared spectrometer (FT-IR) analysis of raw cypress, acid-insoluble lignin, SRs, and AISRs were carried out on a Nicolet iN10 FT-IR spectrophotometer (Madison, WI, USA) equipped with a liquid nitrogen-cooled MCT detector. The raw cypress material was heated at 650 °C for 6 h to determine the ash content.

Figure 1. A brief scheme of the product separation and analysis approach.



3. Results and Discussion

3.1. The Yield of Solid Residue

3.1.1. Effect of Temperature on Yield of Solid Residue

The yields of the SR obtained from hydrothermal liquefaction of cypress at various temperatures are shown in Figure 2a. The lower the temperature and pressure, the higher the SR yields. The SR yield firstly decreased from 85.9 to 36.2% as the final temperature increased from 180 to 260 °C. Then, the yield of SR increased to 39.5% with a temperature increase to 300 °C. The decrease in the yield of SR with an increase temperature could be due to the decomposition of cypress. This trend of SR yield against temperature was consistent with the results in literature for other biomass types. Xiu *et al.* [18] have investigated hydrothermal liquefaction of swine manure and found that the yield of SR first decreased and then increased with the increase in temperature. Similarly, hydrothermal liquefaction of paulownia also showed that high temperatures (>320 °C) enhanced the yield of SR [19]. They concluded that it was due to the competition of two reactions (hydrolysis and polymerization) involved in the hydrothermal liquefaction process and it is widely accepted that the polymerization reactions are

the main reaction at high temperatures [18,19]. Figure 2(a-1) shows that the yield of ASSR (carbohydrates) decreased over the whole temperature range, and the yield of AISR increased after 220 °C. Hence in the present study, the results indicated that the polymerization reactions were also mainly present at lower temperatures (above 220 °C). The polymerization reactions involve free radicals which are produced from further decomposition of fragments from the initial decomposition of cypress. The free radicals then undergo polymerization reactions, producing higher molecular weight, insoluble polymers, which contributed to the increase of the AISR yield [20]. The carbon and oxygen contents in the solid residue decreased with the increase of temperature from 180 to 220 °C, and then increased with the final temperature increase from 240 to 300 °C. The final increase in yield is likely to be due to the transformation of reactive fragments into AISR as the polymerization reactions increased the amount of carbon and oxygen in the AISR in the temperature range of 240–300 °C.





3.1.2. Effect of Retention Time on Yield of Solid Residue

Figure 2(b-1) shows the effect of retention time on the SR yield from hydrothermal liquefaction of cypress. Retention time had a lower influence on the yield of SR as compared to reaction temperature. Ten minutes of retention time at 260 °C were sufficient for cypress liquefaction which gave the highest conversion yield (100%-SR yield). The yield of ASSR decreased as the retention time was prolonged due to the formation of small compounds from the hydrolysis of the carbohydrates. The yield of AISR increased as the yield of ASSR decreased with retention time, which suggested the occurrence of the

hydrolysis and polymerization reactions. The dependence of the carbon and oxygen contents in solid residues on the retention time is shown in Figure 2(b-2), where it can be seen that the carbon and oxygen contents increased continuously with the increase in retention time over the whole time range. The increase of the carbon and oxygen yields, indicated polymerization of the novel compounds had occurred which resulted in higher carbon and oxygen content in AISR. Since the longer retention time resulted in a lower conversion yield, a shorter retention time of approximately 0–10 min was preferred.

3.2. Crystallinity of Solid Residue

To examine the crystalline forms in the cypress before and after hydrothermal liquefaction, X-ray diffraction (XRD) measurements were carried out. Figure 3 illustrates the XRD spectra of the cypress powder before and after hydrothermal liquefaction at various temperatures (180, 200, 220, 240, 260, 280, and 300 °C) and at 260 °C for various retention times (10, 20, 30, and 40 min). The XRD pattern of the raw cypress showed two peaks at $2\dot{e} = 16.8^{\circ}$ and 22.0° , typical of cellulose I. These peaks have been well documented and correspond to the (110) and (200) planes of cellulose, respectively. As shown in Figure 3a, in the case of the hot-compressed water treatment, the peak at 22.0° present in the raw cypress diffraction pattern shifted to 22.6° , indicating that there was a structural order change in the cellulose after liquefaction.

Figure 3. X-ray diffraction patterns of the raw cypress before and after hydrothermal liquefaction: (a) the raw cypress before and after hydrothermal liquefaction at different temperatures; and (b) the raw cypress after hydrothermal liquefaction at different retention times.



For the SRs obtained at the higher temperatures (280 and 300 °C) and at 260 °C for 10 to 40 min, the two peaks derived from cellulose I weakened. The crystallinity index (*CrI*) for raw cypress and the SRs obtained from liquefaction at the temperatures from 180 to 260 °C was calculated from the XRD data and the results are summarized in Figure 3a. The *CrI* of the raw cypress was about 41.0% due to the existence of a large amount of amorphous substances including lignin and hemicelluloses. After the hydrothermal liquefaction, the *CrI* increased to 49.2% at 220 °C, which suggested that there was a remarkable increase in the relative amount of crystalline matter with the decomposition of the amorphous components. The *CrI* showed a tendency to decline with increasing temperature, which

could be attributed to the crystal structure change, cellulose amorphization or the changes in the microscopic structures of the lignocellulose particles after the treatment at the higher temperatures.

3.3. Sugar Analysis of Solid Residue

The main sugars of the cypress were glucose (mainly from cellulose) which accounted for about 21.3% of the sample followed by mannose (from hemicelluloses), which accounted for 11.8%. Small amounts of xylose, galactose, and arabinose were also detected. The monosaccharide sugar compositions of SRs from hydrothermal liquefaction of cypress at various temperatures and retention times are shown in Figure 4.

Figure 4. Sugar analysis of solid residues: (a) Effect of temperature on the yields of cellulose and hemicelluloses; (b) Effect of temperature on the yields of sugar; and (c) Effect of retention time on the yields of sugar.



The content of cellulose initially increased with the increase in retention temperature, and then decreased with a further increase in temperature and almost no cellulose was detected at 280 and 300 °C. The increase of the cellulose yield at lower temperatures was caused by the decomposition of hemicelluloses. Coupling these results with the results from the XRD analysis, indicated that the majority of the cellulose in cypress was decomposed at the high temperatures (>280 °C). In a simple cypress decomposition mechanism, hemicelluloses would be the first to decompose at low temperatures (180 °C) followed by intermediate temperature lignin decomposition, while cellulose decomposes at relatively higher temperatures (>240 °C) [21,22]. Other important results are also

summarized in Figure 4b, where xylose and galactose were not detected after 220 °C, while the arabinose and mannose were disappeared after 200 and 260 °C, respectively. This supported the simple model of biomass decomposition and indicated that the hemicelluloses decomposed at temperatures of 180 to 260 °C, while cellulose followed at temperatures of 240 to 280 °C.

Figure 4c shows the effect of retention time on the yields of sugar from 0 to 40 min at 260 °C. The yields of glucose and mannose dropped remarkably to 0 with the prolongation of retention time from 20 to 40 min. The reactions in the biomass decomposition process were much complex. Determine exactly what types of reactions occur during hydrothermal liquefaction process is difficult. Liquefaction of carbonaceous materials took place through a sequence of structural and chemical changes, which involved at least the following steps: (1) solvolysis of biomass resulting in micellar-like structures; (2) degradation of cellulose, hemicelluloses, and lignin; and (3) chemical and thermal decomposition of monomers to form smaller components such as phenols, acids, ketones, liquid hydrocarbons, gaseous products, and SRs by fragmentation, condensation, dehydration, and isomerization pathways [22, 23].

3.4. FT-IR Analysis

3.4.1. FT-IR Analysis of Solid Residue

The FT-IR spectra of the cypress before and after hydrothermal liquefaction were investigated. Cypress is mainly composed of cellulose, hemicelluloses, and lignin and the bands in the spectra of the raw cypress and SRs have been previously assigned [22,24]. The absorption at 1722 cm⁻¹ (C=O stretching vibration, carbonyl, and ester groups) represents the xylans of hemicelluloses. Cellulose has its characteristic absorption peaks at 3362 cm⁻¹ (–OH stretching vibration), 2900 cm⁻¹ (–CH₃, –CH₂ stretching), 1365 cm⁻¹ (–CH₃ bending vibration), 1022 cm⁻¹ (C–O bending vibration), and 888 cm⁻¹ (anomeric carbon vibration). Lignin showed distinct bands mainly at 1600–1590, 1520–1500, and 1416 cm⁻¹ due to benzene ring stretching vibrations.

A comparison of combination infrared spectra is drawn between the raw cypress and the SRs obtained at various temperatures. As seen from Figure 5a, the band at 1722 cm⁻¹ almost disappeared after the 200 °C liquefaction, indicating that hemicelluloses decomposition preceded lignin and cellulose. The sharp absorption at 1365 cm⁻¹ of the SR disappeared at 280 and 300 °C, which indicated that the cellulose crystals were degraded [19]. At the same time, the disappearance of the characteristic absorption peak at 1520 cm⁻¹ indicated that lignin had been decomposed. These results were consistent with many previous studies on hydrothermal liquefaction of biomass: hemicelluloses is the first to decompose at low temperature (180 °C), lignin began to degrade at 190 °C, and all of the hemicelluloses and much of the lignin dissolved in the water at 220 °C to form intermediates [18,19]. A new band at 1702 cm⁻¹ was found after 240 °C. The 1702 cm⁻¹ is most likely derived from the unconjugated ketone and carboxyl group stretching. This result could be explained by the FT-IR analysis of AISR below.

3.4.2. FT-IR Analysis of Acid-insoluble Solid Residue

To further investigate the structural changes of the cypress after hydrothermal liquefaction at various temperatures, the SR was further divided into ASSR and AISR. The FT-IR spectra of the acid-insoluble lignin and AISR after liquefaction at various temperatures were comparatively investigated. The typical FT-IR spectra of the acid-insoluble lignin and the AISRs obtained at various temperatures are shown in Figure 5b. According to the literatures [24–26], the bands at 1599, 1504, and 1415 cm⁻¹ are attributed to aromatic skeleton vibrations in the acid-insoluble lignin. The band at about 1711 cm^{-1} is assigned to the unconjugated ketone and carboxyl group stretching. Absorption at 1456 cm⁻¹ is due to methoxyl C–H deformations and bending of lignin. In the wavenumber region from 1200 to 1300 cm^{-1} , the bands at 1262 and 1205 cm⁻¹ are attributed to aromatic carbon-oxygen (in methoxyl and phenol groups) stretching vibrations from guaiacyl units. C-H in-plane bending in guaiacyl lignin is assigned at 1142 and 1026 cm⁻¹. Aromatic C-H out-of-plane bending appears at 864 cm⁻¹. The band at 1142 cm⁻¹ almost disappeared after 280 °C. This indicated that the structure of C–H in-plane bending in guaiacyl lignin had disappeared after 280 °C. As seen from the spectra, the band at 1415 cm⁻¹ had disappeared in the sample from the 300 °C, which confirmed that the "core" of the lignin structure was slightly changed at the highest temperature treatment. Interestingly, remarkable increases of unconjugated ketone and carboxyl group stretching in the AISR obtained after 240 °C were observed. Based on the FT-IR analysis of SRs, all of the hemicelluloses decomposed in water after 220 °C and the new band of 1702 cm⁻¹ was found after 240 °C. A possible explanation for the observed spectral changes is that hemicelluloses were decomposed to small compounds at the initial lower temperatures stage, and then these compounds may have rearranged through polymerization to form AISR after 240 °C.





3.5. Elemental Analysis and Higher Heating Value of Solid Residues

Biomass contains much more oxygen and less carbon with a lower heating value in comparison with petroleum, therefore the key point to convert biomass into useful fuels is to remove oxygen from the original biomass [27]. Higher heating value (HHV) is an important fuel property which defines the energy content of the fuel. Estimation of HHV from the elemental composition of fuel is one of the basic steps in performance modeling and calculations on thermal systems. The elemental compositions of the SRs produced from hydrothermal liquefaction under different experimental conditions were investigated and the results are given in Table 1. The experimental conditions were found to have a large effect on the char composition. The carbon content in the SR increased from 49.1% to 70.1% and the oxygen content decreased from 44.6% to 24.7% with a temperature increase from 180 to 300 °C. Consequently, a large variation was observed in the HHV ranging from 17.4 to 26.3 MJ/kg. Retention time appears to have less influence on the SR as compared to temperature. The carbon content increased and the oxygen content decreased with the increase in retention time which resulted in SR with a high HHV. The HHV of SRs from hydrothermal liquefaction of cypress at the high temperatures (260, 280, and 300 °C) were 23.4 to 26.3 MJ/kg, much close to that of the Xuzhou coal (23.22 MJ/kg) [28] and the Loy yang coal (26.4 MJ/kg) [29]. These values demonstrate that the SRs have potential as the solid fuels to replace coal. Additionally, the heat loss per unit mass of energy produced was significantly low, making the liquefaction process produce more energy overall.

Samples	Elemental components (wt %)					ШC	
	С	Н	0	Ν	U/C	H/C	ппv (MJ/Kg)
180 °C	49.1	6.1	44.6	< 0.3	0.90	0.12	17.4
200 °C	50.1	6.0	43.8	< 0.3	0.87	0.12	17.6
220 °C	51.2	6.1	42.6	< 0.3	0.83	0.12	18.4
240 °C	53.7	5.9	40.2	< 0.3	0.75	0.11	19.4
260 °C	62.5	5.5	31.6	0.42	0.51	0.088	23.4
280 °C	69.4	5.1	25.2	0.43	0.36	0.073	26.2
300 °C	70.1	4.9	24.7	0.41	0.35	0.070	26.3
10 min	66.9	5.2	27.5	0.45	0.41	0.078	25.1
20 min	68.7	5.1	25.8	0.42	0.38	0.074	25.9
30 min	69.3	5.1	25.3	0.44	0.37	0.073	26.2
40 min	69.2	5.1	25.2	0.46	0.36	0.073	26.2

Table 1. Elemental analysis of the solid residues and HHV.

3.6. Investigation of Presumed Hydrothermal Liquefaction Mechanism

To clarify the mechanism for hydrothermal liquefaction, a simple reaction model of hydrothermal liquefaction of cypress is postulated in Figure 6 and Schemes 1 and 2. On the basis of the above results, the basic reaction mechanisms can be described as three steps (Figure 6). Firstly, the amorphic structure of the hemicelluloses and cellulose was decomposed. Secondly, the crystalline cellulose was decomposed by hydrolysis and the AISR was formed by polymerization reactions. Finally, reactive fragments recombined to form more AISR. The degradation of cellulose is an acid-catalyzed and thermally accelerated chain scission mechanism [30]. The degradation process consists of two steps:

an initial hydrolysis of the amorphous region which is more easily accessed by the solvent and a latter hydrolytic attack of the crystalline portion [31,32]. Previous researchers reported that one of the most important types of compounds present in bio-oil obtained from hydrothermal liquefaction of biomass were weak acids such as acetic acid, formic acid, and levulinic acid [5,6,9]. The acids were mainly produced from the decomposition of hemicelluloses and cellulose.





Scheme 1. Mechanisms for the formation of carbonyl groups in AISR.

1. H.O High temperature
$$H^{\oplus} + OH^{\oplus}$$

2. $\int OH_{OH} + OH_{OH} + OH_{OH} + OH_{HO} + OH_{HO}$

Scheme 1(1–5) shows the reaction pathways of the formation of weak acids. With an increase in temperature, the acids and AISR polymerized to form a carbonyl group structure, as shown in Figure 5b. The mechanism of the formation of carbonyl group is shown in Scheme 1. For the hydrothermal liquefaction of cypress at 280–300 °C, the AISR yield result (Figure 2) indicated that the yield was higher than that of treatment at 180 °C. The assumed mechanism was that the re-polymerization of the polysaccharide degradation products such as furfural and HMF with each other and/or polymerization with AISR/lignin (Scheme 2) formed a lignin-like material termed pseudo-lignin [33,34].



Scheme 2. Formation of AISR through polymerization of HMF and furfural with lignin.

4. Conclusions

The yield of acid-insoluble solid residue initially decreased with the increase in temperature from 180 to 220 °C, and then increased after treatment at 220 °C. The reactive fragments produced from degradation of cypress transformed into acid-insoluble solid residue in the form of carbon and oxygen through polymerization reactions. The ASSR yield decreased over the whole temperature (180 to 300 °C), while the increase of AISR yield started after 220 °C. The polymerization reaction also mainly ocurred at lower temperatures. Compared with temperature, reaction time had a lesser influence on the SR yield. The yield of AISR increased as the ASSR yield decreased with reaction time. The majority of cellulose in cypress was decomposed at 280 and 300 °C. Hemicelluloses were decomposed to small compounds at the initial stage, and then these compounds likely rearranged through polymerization to form AISR after 240 °C. The structure of C-H in-plan bending in guaiacyl lignin disappeared after 280 °C and the "core" of the lignin structure slightly changed at 300 °C. The HHV of the SRs obtained at 260 to 300 °C were 23.4–26.3 MJ/kg and they were suited for combustion as a solid fuel.

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