



Density Functional Calculation and Evaluation of the Spectroscopic Properties and Luminescent Material Application Potential of the N-Heterocyclic Platinum(II) Tetracarbene Complexes

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Abstract: A series of reported Pt(II) carbene complexes possibly have the ability to serve as the new generation of blue emitters in luminescent devices because of their narrow emission spectra, high photoluminescence quantum yields (PLQYs), and rigid molecular skeleton. However, the combination of all carbene ligands with different multidentate structures will affect the overall planarity and horizontal dipole ratio to varying degrees, but the specific extent of this effect has not previously been analyzed in detail. In this work, density functional computation is used to study a class of platinum tetracarbene bidentate complexes with similar absorption and emission band characteristics, which is the main reason for the remarkable difference in quantum efficiency due to subtle differences in electronic states caused by different ligands. From the calculation results, the major reason, which results in significantly decrease in quantum efficiency for $[Pt(cyim)_2]^{2+}$, is that $[Pt(cyim)_2]^{2+}$ can reach the non-radiative deactivation metal-centered d-d excited state through an easier pathway compared with $[Pt(meim)_2]^{2+}$. The result, based on changes in the dihedral angle between ligands, can achieve the goal of improving and designing materials by adjusting the degree of the dihedral angle. (meim: bis(1,1'-dimethyl-3,3'-methylene-diimidazoline-2,2'-diylidene).

Keywords: potential energy curve; excited state; non-radiative deactivation; absorption and emission; platinum complex materials

1. Introduction

Over the last decade, transition metals with N-heterocyclic carbenes (NHCs) as ligands have become the subject of intensive study in many fields of chemistry and molecular material design [1,2]. The bond between the C atom of N-heterocyclic carbene and the metal center of a complex in the molecular skeleton can be best described as a dative σ -bond when the M-C distance falls comfortably in the range of typical single M-C bond lengths and hybridized orbital components [3,4]. Their relative ease of synthesis from readily accessible precursors, together with their favorable donor properties, makes them the major choice for applications of the ligand [5–7].

Our interest is the application of the transition metal NHC complexes in phosphorescent organic light-emitting devices (PhOLED) [8,9]. Surprisingly, the applications of platinum carbenes in PhOLED are rarely reported in the literature. Strassner and co-workers have reported the photophysical and photochemical properties of a series of platinum (II) complexes with biscarbene ligands (Figure 1) [10–12]. It is noted that these complexes show high photostability and can be used as blue phosphorescent emitters, of which substituents



Citation: Xia, B.-H.; Ma, Y.-S.; Bai, F.-Q. Density Functional Calculation and Evaluation of the Spectroscopic Properties and Luminescent Material Application Potential of the N-Heterocyclic Platinum(II) Tetracarbene Complexes. *Molecules* 2024, 29, 524. https://doi.org/ 10.3390/molecules29020524

Academic Editors: Liang Zhou, Xue-Li Hao, Weiqiang Liu and Abdou Boucekkine

Received: 14 December 2023 Revised: 14 January 2024 Accepted: 16 January 2024 Published: 20 January 2024



Copyright: © 2024 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). of N atoms are wavelength-independent. In addition, they exhibit not only an attractive quantum yield but also the quantum yield of $Pt(meim)_2^{2+}$ is seven times larger than that of $Pt(cyim)_2^{2+}$. (meim: bis(1,1'-dimethyl-3,3'-methylene-diimidazoline-2,2'-diylidene); cyim: bis(1,1'-dicyclohexyl-3,3'-methylene-diimidazoline-2,2'-diylidene).



 $[Pt(meim)_2]^{2+}$: $R^1 = R^2 = methyl$

[Pt(cyim)(meim)]²⁺ : R¹ = methyl; R² = cyclohexyl

 $[Pt(cyim)_2]^{2+}$: $R^1 = R^2 = cyclohexyl$

Figure 1. The structures of $[Pt(meim)_2]^{2+}$, $[Pt(cyim)(meim)]^{2+}$ and $[Pt(cyim)_2]^{2+}$.

This small difference in geometry leads to a large difference in the stability and inactivation characteristics of the excited state, which drives us to find the cause from the perspective of calculating the electronic structure of the excited state properties, and this factor is also applicable to other Pt-NHC-type complexes [13–15]. In coordination chemistry, the study of excited-state properties is a cornerstone for OLED luminescence phenomena. In this paper, a full-density functional theory (DFT) [16–18] and time-dependent density theory (TDDFT) [19,20] are used to investigate the geometry, electronic structures, and optical properties of Pt NHC complexes. This computational strategy has been repeatedly applied to transition metal complexes, which are metal-containing large-scale molecular systems, and the results are comparable to the experimental characterization. Therefore, they can provide a definitive characterization of the photophysical properties of this system and lead to deep understanding of this system.

2. Results and Discussion

The optimized structural parameters of $[Pt(meim)_2]^{2+}$, $[Pt(meim)(cyim)]^{2+}$, and $[Pt(cyim)_2]^{2+}$ are listed in Table 1. The metal atom and four carbon atoms on carbine are situated on the same plane, and substituents linking on N atoms are above or below the plane. Imidazole planes keep an inclination away from the metal carbene plane (Figure 2). The dihedral angle between the imidazole plane and the carbine plane decreases along with an increase in the bulk of the substituent.

Figure 3 shows the simulated absorption spectra of these complexes. The experimental results [7] for three complexes (Figure 3a) are all reproduced well by the DFT calculations (Figure 3b). $[Pt(meim)_2]^{2+}$ has a lowest-energy absorption band around 300 nm, which can be assigned to $MLCT/\pi \rightarrow \pi^*$ character. This transition is from HOMO to LUMO and from HOMO-1 to LUMO. The shoulder band at the region of 240–270 nm corresponds to the MLCT-type transition, which is dominated by the excitation from HOMO-3 to LUMO+1. The high-energy absorption bands are attributed to $\pi \rightarrow \pi^*$ transition on the ligand. The absorption bands of $[Pt(meim)(cyim)]^{2+}$ and $[Pt(cyim)_2]^{2+}$ are similar to those

of $[Pt(meim)_2]^{2+}$. But MLCT transition bands of both complexes are blue shifted compared with $[Pt(meim)_2]^{2+}$, because the orbital energy change is affected by the decrease in the dihedral angle between the imidazole planes of the biscarbene ligand. The heteroleptic $[Pt(meim)(cyim)]^{2+}$ has stronger oscillator strength in the lowest-energy absorption, which may be due to the low amount of LLCT character included in this absorption.

Table 1. Partial optimized geometric structural parameters of topic complexes in the ground and excited states associated with the experimental values of $[Pt(meim)_2]^{2+}$.

	[Pt(meim) ₂] ²⁺				[Pt(cyim) ₂] ²⁺			[Pt(meim)(cyim)] ²⁺	
	Exp ¹⁰	$S_0/^1A_g$	$T_1/^3B_u$	MC State/ ³ Bg	S ₀ / ¹ Ag	$T_1/^3B_u$	MC State/ ³ Bg	$S_0/^1A'$	$T_1/^3A^{\prime\prime}$
Bond length (Å)									
r (Pt–C1)	2.026	2.032	2.042	2.292	2.034	2.051	2.293	2.031/2.036	2.036/2.058
r (C1–N1)	1.345	1.353	1.378	1.357	1.355	1.382	1.357	1.352/1.354	1.389/1.370
r (C1–N2)	1.352	1.361	1.384	1.365	1.364	1.381	1.366	1.363/1.364	1.395/1.376
r (N2–C4)	1.454	1.458	1.451	1.458	1.458	1.450	1.457	1.455/1.458	1.449/1.453
Bite angle (degree)									
a (C1–Pt–C7)	84.2	83.3	84.5	81.6	82.9	84.0	81.0	83.1/83.0	83.8/84.0
a (C1–Pt–C7a)	95.9	96.7	95.5	98.4	97.1	95.8	99.0	96.5/96.6	96.1/96.1
a (N1–C1–Pt)	133.0	134.2	133.2	134.6	134.7	134.2	134.9	133.9/134.7	132.9/134.1
a (N2–C1–Pt)	122.2	121.1	122.7	120.2	120.0	121.3	119.2	121.2/120.1	123.1/121.2
a (N1–C1–N2)	104.8	104.6	103.8	104.0	105.0	104.1	104.4	104.7/105.0	103.5/104.4
Dihedral angle (degree)									
d (C7–C1–Pt–C7a)	180.0	180.0	180.0	180.0	180.0	180.0	180.0	179.6	178.2
d (C1–C4–Pt–C7)	124.7	122.2	125.9	126.6	118.4	122.7	123.7	122.0/121.1	124.7/123.0



Figure 2. The optimized geometry of $Pt(meim)_2^{2+}$.

In the lowest-lying triplet excited state (T₁) of these complexes (Table 1), bond distances of the Pt-C and C (carbene C)-N are significantly longer than those in the ground state (S₀), and dihedral angles of C1–C4–Pt–C7 are more stretched. Differences in the bond length and the dihedral angle of T₁ and S₀ of [Pt(cyim)₂]²⁺ are larger than those of [Pt(meim)₂]²⁺.

In $[Pt(meim)(cyim)]^{2+}$, the expanded range of the big substituent side is larger than that of the other side. The Δ SCF energies of these complexes calculated from T₁ geometries show similar values: 3.72 eV in $[Pt(meim)_2]^{2+}$, 3.84 eV in $[Pt(cyim)_2]^{2+}$, and 3.76 eV in $[Pt(meim)(cyim)]^{2+}$, respectively. A better estimate of emission energies was obtained by TDDFT calculation at the T₁ geometries. All three complexes display a low-lying state with ³MLCT character. $[Pt(meim)_2]^{2+}$, $[Pt(cyim)_2]^{2+}$, and $[Pt(meim)(cyim)]^{2+}$ emit a band at 375 nm (3.31 eV), 369 nm (3.36 eV), and 372 nm (3.33 eV), respectively.



Figure 3. Simulated absorption spectra of topic complexes compared to the experimental spectra: (a) experimental absorption spectra obtained from Refs. [10,11], (b) calculated absorption spectra.

From the emission wavelength point of view, complexes in this class are good candidates for dopants in the deep blue region. However, the quantum yield of $[Pt(cyim)_2]^{2+}$ is fairly low $([Pt(meim)_2]^{2+}$, at 0.45; for $[Pt(meim)(cyim)]^{2+}$, it is 0.39, but for $[Pt(cyim)_2]^{2+}$, it is 0.06). PLQYs are determined by both the radiative decay rate constant (k_r) and the nonradiative decay rate constant (k_{nr}) . It is a competitive process in general. Importantly, the k_r from the T_m state to the S₀ state can be expressed as follows within the Born–Oppenheimer approximation and perturbation theory and frozen core calculation [15,21,22]:

$$k_r^{\alpha}(T_m \to S_0) = \frac{\eta^2}{1.5} E(T_m)^3 \left\{ \sum_n \frac{\langle T_m^{\alpha} | H_{SOC} | S_n \rangle}{E(S_n) - E(T_m)} \left(\frac{f_n}{E(S_n)} \right)^{1/2} \right\}^2 \tag{1}$$

$$k_r = \frac{1}{3} \sum_{\alpha} k_r^{\alpha} \tag{2}$$

where η is the refractive index of the medium, and energy levels $E(T_m)$ and $E(S_n)$ are the energy of *m*th triplet excited state and *n*th singlet excited state, respectively. It is worth noting that the $\langle T_m^{\alpha} | H_{SOC} | S_n \rangle$ is the spin-orbit coupling (SOC) matrix elements between the *m*th triplet excited state and the *n*th singlet excited state, and the superscript α is the spin sublevel of the triplet excited state T_m ($\alpha = x, y, \text{ or } z$). Finally, the f_n is the oscillator strength of the excited state transitions. Based on Equation (2), the value of k_r is overall determined by the SOC matrix elements $\langle T_m^{\alpha} | H_{SOC} | S_n \rangle$, the oscillator strength f_n , and the energy gap between the coupled S_n and T_m . The calculated average k_r values of the $T_1 \rightarrow S_0$ transition [Equation (2)] are 7.72, 4.95, $5.83 \times 10^5 \text{ s}^{-1}$ for $[Pt(meim)_2]^{2+}$, $[Pt(meim)(cyim)]^{2+}$, and [Pt(cyim)₂]²⁺, respectively. From the index of radiation deactivation rate of the low-lying ³MLCT excited state, there is little difference between the three complexes, indicating that the radiation deactivation path is not the key reason affecting the PLQYs. Extensive studies of transition metal complexes reveal that such thermal quenching of the emissive state can be ascribed to deactivation via a metal-centered (d-d) excited state [23–26]. The calculated geometrical parameters for the d-d state are given in Table 1. It indicates that this state is populated through the absorption of light, then the molecule undergoes a significant distortion upon the formation of the excited state and the increase in Pt-C bond lengths.

To obtain insights into the deactivation process and analyze the vibration relaxation process of the phosphorescent state, the potential energy curves (PECs) [27-32] were calculated. Along with the symmetric stretching vibration route of the Pt-C bond ($[Pt(meim)_2]^{2+}$, 1121.77 cm⁻¹; $[Pt(cyim)_2]^{2+}$, 1104.42 cm⁻¹), the PECs were computed as a function of the Pt-C (carbene) distance. T₁-PECs and S₀-PECs are shown in Figure 4. The bond length of Pt-C in the lowest d-d state is considerably as long as 2.3 Å, while lengths and angles of other bonds are almost the same as those in the ground state. Dihedral angles between imidazole planes are outspread with structural relaxation (Figure 5). The change in dihedral angles in $[Pt(cyim)_2]^{2+}$ with bulky substituents is sharper than that of $[Pt(meim)_2]^{2+}$. As seen from Figure 5, when the Pt-C bond length extends over 2.2 Å, the dihedral angle has an abrupt increase, and the dihedral angle reaches the peak value when the lowest d-d state arrives.

The PECs for $[Pt(meim)_2]^{2+}$ and $[Pt(cyim)_2]^{2+}$ are illustrative for understanding the thermal deactivation of the phosphorescent states in transition metal complexes. There are three important reasons contributing to the reason that the d-d state of $[Pt(cyim)_2]^{2+}$ is induced more easily than that of $[Pt(meim)_2]^{2+}$. First, when the complex is excited, the resultant Franck–Condon state is relaxed into the T_{1-MLCT} potential minima. Under the fitting temperature, the excited state has sufficient thermal energy to go beyond the humps and reaches the d-d state. The activation energy barrier of $[Pt(cyim)_2]^{2+}$ is smaller than that in $[Pt(meim)_2]^{2+}$; the difference is about 0.11 eV in the T₁ state. Second, the bond lengths of Pt-C for $[Pt(cyim)_2]^{2+}$ in T_{1-MLCT} are longer than that of $[Pt(meim)_2]^{2+}$, and in the d-d state, they have almost similar Pt-C bond lengths. Therefore, the geometry of $[Pt(cyim)_2]^{2+}$ undergoes less change (0.24 Å vs. 0.25 Å in $[Pt(meim)_2]^{2+}$) from T_{1-MLCT} to T_{1-dd}. Finally, from the calculated reorganization energies [33–35], it is found that d-d states for both $[Pt(meim)_2]^{2+}$ and $[Pt(cyim)_2]^{2+}$ remain at a high level, and the energy level of $[Pt(meim)_2]^{2+}$ is higher than that of $[Pt(cyim)_2]^{2+}$, which is about 0.037 eV. In the excited state, the higher activation energy and larger structural difference upon vibration relaxation and the higher d-d state reorganization energy for [Pt(meim)₂]²⁺ enhanced the excited state stability, so [Pt(meim)₂]²⁺ has a good quantum yield. Comparing [Pt(cyim)₂]²⁺ with [Pt(meim)₂]²⁺, the bulky substituents induce a more out-of-plane deformation. From Table 2, we can see that the d orbital components of central metal in the frontier orbitals of $[Pt(cyim)_2]^{2+}$ are less than those in $[Pt(meim)_2]^{2+}$, and there is an obvious difference between d-d states. The increase in the twist angle of the carbene plane reduces the metal components in the frontier MOs and decreases the d-d state energy, which makes the d-d state easier to achieve. This situation could be avoided in future experiments.



Figure 4. Potential energy curves of the ground state (S_0) and the lowest triplet state (T_1) involving the spin density (\blacksquare : [Pt(meim)₂]²⁺; •: [Pt(cyim)₂]²⁺).



Figure 5. The change in dihedral angles of C1–C4–Pt–C7 in T₁ (\blacksquare : [Pt(meim)₂]²⁺; •: [Pt(cyim)₂]²⁺).

We have also studied the charge transfer reorganization energies at the molecular level. In the case of solid-state optoelectronic devices, the external contribution reorganization energy (λ_e) is negligible. Therefore, the inner reorganization energy (λ_i) is approximate to the reorganization energy (λ). The reorganization energies of $[Pt(meim)_2]^{2+}$ and $[Pt(cyim)_2]^{2+}$ are listed in Table 2. For each molecule, reorganization energies for the hole transport are smaller than those of the electron transport, in general. This is determined by the fundamental characteristics of the particle of the electron and the hole. Compared with the classical benchmark of hole-transport material 4,4'-bis(phenyl-m-tolylamino)biphenyl (TPD) [36], of which $\lambda_{i(h)}$ is 0.27 eV and $\lambda_{i(e)}$ is 0.69 eV at the B3LYP/6-31G* level, both of the complexes have smaller $\lambda_{i(h)}$. This means that the hole-transporting performance of these complexes is better than the electron-transporting performance.

		[Pt(meim) ₂] ²⁺	$[Pt(cyim)_2]^{2+}$	TPD [36]
S ₀	LUMO	0.2%	0.3%	
	HOMO	35.3%	33.1%	
T _{1-MLCT}	HSOMO	0.3%	0.1%	
	HSOMO-1	20.9%	19.8%	
T _{1-dd}	HSOMO	34.2%	31.3%	
	HSOMO-1	10.3%	8.7%	
$\lambda_{(d-d)}/eV$		1.456	1.419	
$\lambda_{i(h)}/eV$		0.127	0.140	0.270
$\lambda_{i(e)}/eV$		0.326	0.284	0.690

Table 2. The metal d orbital components in the frontier orbitals of $[Pt(cyim)_2]^{2+}$ and $[Pt(meim)_2]^{2+}$ in different states and MC state reorganization energies ($\lambda_{(d-d)}$) and inner reorganization energies ($\lambda_{i(h)}$ and $\lambda_{i(e)}$) (h: hole; e: electron). (HSOMO: the highest singly occupied molecular orbital).

The results presented in this work demonstrate that photophysical properties, especially the excited-state non-radiative deactivation pathways, can be strongly affected by different ligands. Access to such information, especially the structure-property relationships based on the characteristics of excited electronic states, is fundamental for a rational design of new metal complexes with tunable photochemical features. Photophysical measurements with emerging capabilities show advantages in investigating the excited-state potential energy surfaces. Hence, when combining photophysical measurements and quantum chemical calculations, it is helpful to provide deep information about such effects. This is also based on a precise understanding of electronic structural information and chemical bonds. The $[Pt(meim)_2]^{2+}$ and $[Pt(cyim)_2]^{2+}$ systems also have a large effect on the excitation state energy surface only because of the small difference in the M-C interaction caused by the coordination environment, which leads to a large difference in the non-radiative deactivation characteristics. The introduction of advantageous structural variations on photoactivable metal species can only be guided by the correct description of orbital energies and shapes, binding features, and a full comprehension holding of different excited states in light-caused electronic transitions.

3. Computational Details

All calculations here were performed with the Gaussian 09 program package [37], employing the various density functional theory (DFT) methods. Among Becke's 3-parameter hybrid method, the long-range corrected functional CAM-B3LYP was set [38–42]. The effective core potential (ECP) basis set of the LanL2DZ [43–45] type with an additional f-polarization function (f = 0.18) for the transition metal platinum atom and 6-31G** basis set for the other atoms were used to optimize the ground state (S₀) geometries [46,47]. To avoid the underestimation of the lowest-lying triplet state (T₁) energy and some relaxation error in the optimized geometry [48] from time-dependent CAM-B3LYP calculations, the T₁ geometries for the three complexes were calculated in the gas phase by using the un-

restricted CAM-B3LYP functional. Based on the crystal data (distance Pt-I > 5 Å, the two iodide anions are non-coordinating), the calculation model corresponds to the complexes. By using the respective optimized equilibrium geometry, time-dependent density functional theory (TD-DFT) at the CAM-B3LYP level was employed and examined to predict their absorption and emission transitions and features. CAM-B3LYP yields atomization energies of similar quality to those from B3LYP while also performing well for charge transfer excitations, which B3LYP underestimates enormously. The Δ SCF approach [49] at the same level was also used for evaluating the emission energies of the complexes.

In order to determine the geometry of the metal-centered state (MC state or d-d state) [23], which is the most important state evidently involved in the deactivation process, preliminary geometrical optimizations were performed with constraints conditions based on strengthening M-C bonds. In detail, four metal-to-ligand bond lengths were elongated by 30% of the S_0 geometry. It is found that most of the highest singly occupied orbitals are $d\sigma^*$ of the Pt-C bond in the resulting geometry. To obtain insights into the deactivation process and analyze the vibration relaxation process of the phosphorescent state, the potential energy curves (PECs) were established. Along with the symmetric stretching vibration route ([Pt(meim)₂]²⁺, 1121.77 cm⁻¹; [Pt(cyim)₂]²⁺, 1104.42 cm⁻¹), the PECs were computed as a function of the distance between Pt and the C atom of carbene by 0.05 Å increments. Hence, the Pt-C bond was frozen every 0.05 Å, and the geometry of the molecule was relaxed to a stationary point. From the view of the Franck-Condon principle, the S_0 -PECs were produced based on every T_1 state geometry. That is to say, the PECs of the ground state have been emendated by the same method used in the excited state PECs calculation. Each stretching geometry was then employed to calculate the triplet excited state by TD-DFT at the CAM-B3LYP level. Except for the geometry optimization, the energy calculations in the PECs and TD-DFT calculations were performed with a large basis set. The 18-VE (valence electron) quasi-relativistic pseudo-potential and basis set of Andrae [50] with an additional f-polarization function (f = 0.14) are used for the Pt atom, and the 6-31G (3 df, 3 pd) basis set is used for all other atoms.

The Arrhenius equations:

$$k_{\rm d}(T) = A_1 \exp(-E_{\rm a1}/kT) + A_2 \exp(-E_{\rm a2}/kT)$$

where $k_d(T)$ is the temperature-dependent decay rate, and the pre-exponential A_1 and energy level E_{a1} are the frequency factor and activation energy for the thermal deactivation through the higher-lying excited state, respectively. Similarly, the A_2 and E_{a2} are those for the deactivation directly from the emitting state to the ground state contrastively.

The rate of intermolecular charge transfer (K_{et}) can be estimated by using the semiclassical MARCUS theory [51,52], which is described as follows:

$$K_{\rm et} = A \exp(-\lambda/4K_{\rm B}T)$$

where *A* is a prefactor related to the electronic coupling between adjacent molecules, and λ is the reorganization energy between the starting state and the final state. Classically, *K*_B is the Boltzmann constant, and *T* is the temperature. From this equation, it can be concluded that the reorganization energy is dominant in the charge transport process at constant temperature. Generally, the λ value is determined by fast changes in intramolecular geometry (the inner reorganization energy λ_i) and slow variations in the molecular skeleton in solvent polarization of the surrounding medium (the external contribution λ_e). However, the λ_e is negligible in most cases of solid-state optoelectronic devices, such as LEDs. Hence, the λ_i value is approximate to the total λ . Furthermore, the inner reorganization energy λ_i is caused by the change in the internal nuclear coordinates, which is from state A to state B (Figure 6), and the conventional reorganization energy λ is the sum of λ_{AB} and λ_{BA} .



Figure 6. Schematic description of inner reorganization energy calculation between state A and B.

Supplementary Materials: The following supporting information can be downloaded at: https: //www.mdpi.com/article/10.3390/molecules29020524/s1, Table S1. Molecular orbital compositions (%) in the ground state for $[Pt(meim)_2]^{2+}$. Table S2. Absorptions of $[Pt(meim)_2]^{2+}$ according to the TD-DFT calculations. Table S3. Molecular orbital compositions (%) in the lowest-lying triplet excited state for $[Pt(meim)_2]^{2+}$. Table S4. Molecular orbital compositions (%) in the dd excited state for $[Pt(meim)_2]^{2+}$. Figure S1. Several important molecular orbitals of $[Pt(meim)_2]^{2+}$ in the ground state. Figure S2. Potential energy curves of ground state (S0) and the lowest triplet state (T1) involving the spin density. Figure S3. The HSOMO and HSOMO-1 molecular orbitals of $[Pt(meim)_2]^{2+}$ and $[pt(cyim)_2]^{2+}$ in the T1-dd state.

Author Contributions: B.-H.X. and F.-Q.B.: conceptualization and investigation, B.-H.X. and Y.-S.M.: data curation, B.-H.X.: computation and formal analysis, F.-Q.B.: project administration and supervision, B.-H.X., Y.-S.M. and F.-Q.B.: writing—original draft preparation, F.-Q.B.: writing—review and editing. All authors have read and agreed to the published version of the manuscript.

Funding: This work was funded by the '14th Five-Year' science and technology project of the education department of Jilin province (Grant No. JJKH20220966KJ) and the open fund of the state key laboratory of luminescent materials and devices of South China University of Technology (No. 2022-skllmd-09).

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

Data Availability Statement: Data are contained within the article and Supplementary Materials.

Conflicts of Interest: The authors declare no conflicts of interest.

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