

Article



# **Biodegradable PCL-b-PLA Microspheres with Nanopores Prepared via RAFT Polymerization and UV Photodegradation of Poly(Methyl Vinyl Ketone) Blocks**

Taeyoon Kim<sup>1,2,†</sup>, Sorim Lee<sup>1,†</sup>, Soo-Yong Park<sup>1</sup> and Ildoo Chung<sup>1,\*</sup>

- <sup>1</sup> Department of Polymer Science and Engineering, Pusan National University, Busan 46241, Korea; xodbs17@skku.edu (T.K.); so173028@pusan.ac.kr (S.L.); dhd369@pusan.ac.kr (S.-Y.P.)
- <sup>2</sup> Convergence Research Center for Energy and Environmental Sciences, Sungkyunkwan University, Suwon 16419, Korea
- \* Correspondence: idchung@pusan.ac.kr
- + Taeyoon Kim and Sorim Lee contributed equally to this work.

Abstract: Biodegradable triblock copolymers based on  $poly(\epsilon$ -caprolactone) (PCL) and poly(lacticacid) (PLA) were synthesized via ring-opening polymerization of L-lactide followed by reversible addition-fragmentation chain-transfer (RAFT) polymerization of poly(methyl vinyl ketone) (PMVK) as a photodegradable block, and characterized by FT-IR and <sup>1</sup>H NMR spectroscopy for structural analyses, and by differential scanning calorimetry (DSC) and thermogravimetric analysis (TGA) for their thermal properties. Porous, biodegradable PCL-b-PLA microspheres were fabricated via the oil/water (O/W) emulsion evaporation method, followed by photodegradation of PMVK blocks by UV irradiation. The macro-chain transfer agent (CTA) synthesized by reacting a carboxylic-acidterminated CTA—S-1-dodecyl-S'-(a,a'-dimethyl-a''-acetic acid)trithiocarbonate (DDMAT)—with a hydroxyl-terminated PCL-b-PLA block copolymer was used to synthesize well-defined triblock copolymers with methyl vinyl ketone via RAFT polymerization with controlled molecular weights and narrow polydispersity. Gel permeation chromatography traces indicated that the molecular weight of the triblock copolymer decreased with UV irradiation time because of the photodegradation of the PMVK blocks. The morphology of the microspheres before and after UV irradiation was investigated using SEM and videos of three-dimensional confocal laser microscopy, showing a change in their surface texture from smooth to rough, with high porosity owing to the photodegradation of the PMVK blocks to become porous templates.

Keywords: photodegradation; block copolymer; RAFT; MVK; porous polymer

# 1. Introduction

Biodegradable aliphatic polyesters such as PCL and PLA, endowed with semicrystallinity and biodegradability due to their potentially hydrolyzable ester bonds, have been widely used as scaffolds in tissue engineering, drug delivery systems, packaging, and biomedical applications, because of their controlled biodegradability, biocompatibility, and good physical properties [1–3]. It has been reported that, compared to PLA, the degradation period of PCL can range from several months to a few years, and its biodegradability is dependent on its molecular weight, degree of crystallinity, and degradation conditions. Although PCL has been considered for use as a drug delivery matrix, owing to its favorable permeability to drugs and thermal properties, it is known as a relatively biostable polymer compared to PLA, resulting in its limited use for targeted therapeutic applications requiring a controlled degradation rate. A block copolymer composed of L-lactide and  $\varepsilon$ -caprolactone was selected in this study, with the advantages of both the degradability of PLA and the permeability of PCL to drugs [4–7]. In particular, branched polymers—including fourarmed star biodegradable polymers—have been reported to be more efficient for higher



Citation: Kim, T.; Lee, S.; Park, S.-Y.; Chung, I. Biodegradable PCL-b-PLA Microspheres with Nanopores Prepared via RAFT Polymerization and UV Photodegradation of Poly(Methyl Vinyl Ketone) Blocks. *Polymers* **2021**, *13*, 3964. https:// doi.org/10.3390/polym13223964

Academic Editor: Shin-ichi Yusa

Received: 21 October 2021 Accepted: 10 November 2021 Published: 16 November 2021

**Publisher's Note:** MDPI stays neutral with regard to jurisdictional claims in published maps and institutional affiliations.



**Copyright:** © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). drug loading and controlled drug delivery, due to their suitability for the formation of porous structures [8–10].

Considerable research has been focused on the encapsulation of drugs into polymeric carriers, which can provide several advantages over conventional formulations, such as the protection of drugs from metabolism or degradation. Several studies have investigated the effects of nanoparticle shapes and surface morphologies on controlled release as drug matrices for both spherical and non-spherical particles [11]. Spherical polymeric particles have been studied intensively and fabricated via various methods, such as spray-drying and emulsification methods. Various parameters for drug carriers—such as surface, size, and shape—should also be considered [11–14]. Several research groups have reported that surface morphology and particle shape play important roles in the interactions of particles with cells and tissues in the human body [13,15–18]. The fabrication of nonspherical particles usually takes place via two main pathways—ab initio synthesis, or post-modification from spherical particles—including a range of techniques such as lithography, microfluidics, and photopolymerization [11,19–21]. In recent years, considerable efforts have been made to develop nano/microspheres based on PCL and PLA for the transport and controlled release of various drugs [5]. Porous polymers can be designed to confer several advantages, including high surface area, well-defined porosity, and easy processability compared to inorganic materials [12]. This is due to the tailored design and controllable porosity of polymers, achieved by incorporating chemical functionalities into porous structures [22-25]. Numerous studies have been focused on the development of porous polymer-based microspheres, using techniques such as direct templating, block copolymer self-assembly, direct synthesis, high-internal-phase emulsion polymerization, and interfacial polymerization [26–31]. For example, self-assembled block copolymers (BCPs), as a sacrificial component, could be regarded as hybrid polymers consisting of two or more chemically immiscible polymers that are linked together covalently. Although phase separation is generated by self-assembly because of incompatibility between these segments, minimizing surface energy, the separation is restricted owing to segment chain connectivity [12,32]. Among the aforementioned technologies that allow for the controlled fabrication of porous polymers, direct templating is a useful method, because of the diverse templates and well-defined porous structures created by the removal of pore templates through the use of solvents, enzymes, or inorganic acids [12,14,33,34]. However, to the best of our knowledge, our previous report is the only one focusing on the fabrication of porous microsphere polymers using UV light [35], which is potentially a more advantageous method, as the porous microspheres could be formed without using additional templates. The resulting porous polymers could be used for gas storage, separation materials, encapsulation agents for the controlled release of drugs and catalysts or supports for immobilizers and cell scaffolds [36–44].

Poly(vinyl ketones), photodegraded by UV irradiation, have been used not only as components for packing materials and agricultural films, but also as functional materials in applications such as imaging, microfabrication, and sensors [45–48]. Poly(methyl vinyl ketone) (PMVK) can be degraded to its low-molecular-weight fragments by photochemical reactions through either radical or non-radical reactions, which are known as Norrish Type I and II routes [45,48]. Over the past few decades, considerable research has been conducted to develop controlled radical polymerizations (CRPs)—such as reversible deactivation radical polymerization (RDRP), reversible addition-fragmentation chain-transfer polymerization (RAFT), atom transfer radical polymerization (ATRP), and nitroxide-mediated polymerization (NMP)-to synthesize well-defined polymers with controlled structures, predetermined molecular weights, and narrow polydispersity [49]. This study aimed to overcome the limitations of conventional free radical polymerization (CFRP) resulting from irreversible bimolecular termination between propagating radical species [50–55]. Unlike NMP and ATRP, RAFT controls polymerization based on the principle of degenerative reversible chain transfer via deactivation-activation equilibrium [56,57]. Although ATRP has been proven to be a versatile tool for the synthesis of well-defined polymers, and has

been applied to a wide variety of functional monomers, unfavorable complexation between MVK monomers and metal catalysts is a major factor in the depressed polymerization rate and subsequent failure of ATRP in the polymerization of MVK [58].

In this study, we achieved the successful synthesis of triblock copolymers from the ring-opening polymerization of PLA onto PCL diol, followed by RAFT polymerization of PMVK and, finally, the fabrication of biodegradable porous microspheres via a direct templating methodology resulting from photodegradation of the PMVK block by UV irradiation. A PCL-b-PLA block copolymer was first synthesized via ring-opening polymerization and used as a macro-CTA after reacting with S-1-dodecyl-S'-(a,a'-dimethyl-a"-acetic acid)trithiocarbonate (DDMAT) for RAFT polymerization of PMVK to obtain PCL-b-PLA-b-PMVK triblock copolymers. The synthesized triblock copolymers were characterized by FT-IR and <sup>1</sup>H NMR spectroscopy. Gel-permeation chromatography (GPC) was used to evaluate the polymer's molecular weight and distribution, and to monitor the photodegradability of the triblock copolymers. The microspheres were spherical, with smooth surfaces, before UV irradiation; however, after UV irradiation, the surfaces became rough, with highly porous structures, owing to the photodegradation of the PMVK blocks. The porosity and shape of the microspheres were found to depend on both the PMVK content and the microsphere size.

# 2. Materials and Methods

## 2.1. Materials

Linear and 4-armed star poly( $\varepsilon$ -caprolactone) with molecular weights of 4 K and 1 K, respectively, were kindly received from Perstorp (Malmö, Sweden) and used without purification. Methyl vinyl ketone purchased from Sigma-Aldrich Co. (Saint Louis, USA) was passed through a column filled with basic alumina and dried by distillation over CaH<sub>2</sub> prior to use. Stannous 2-ethylhexanoate (Sn(Oct)<sub>2</sub>) was purchased from Sigma-Aldrich Co. (USA) and used without purification. L-Lactide was purchased from Tokyo Chemical Industry Co. (Tokyo, Japan) and used without purification. 4-Dimethylaminopyridine (DMAP) and N,N'-dicyclohexylcarbodiimide (DCC) were purchased from Alfa Aesar Co. (Haverhill, USA) and used without purification. 1,4-Dioxane and dichloromethane (DCM) were purchased from DaeJung Chemicals & Metals Co. (Siheung, Korea) and purified by distillation over CaH<sub>2</sub>. S-1-Dodecyl-S'-(a,a'-dimethyl-a"-acetic acid)trithiocarbonate (DDMAT) was synthesized according to a method similar to that used in our previous work [35]. All other chemicals were of analytical grade and used without further purification.

# 2.2. Characterization

<sup>1</sup>H and <sup>13</sup>C NMR spectra were recorded in CDCl<sub>3</sub> using a Varian 400 spectrometer (Agilent Technologies, Santa Clara, USA). FT-IR spectra were obtained at a resolution of 4 cm<sup>-1</sup> with an Agilent Cary-640 spectrometer (Agilent Technologies, Santa Clara, USA) in the wavenumber range of  $4000 \sim 400 \text{ cm}^{-1}$ . The powder samples were incorporated into KBr pellets for IR absorption analysis. Thermal degradation was examined using a TA Q50 thermogravimetric analyzer (TGA, TA Instruments, New Castle, USA) with a heating rate of 10  $^{\circ}$ C/min under a nitrogen atmosphere. Melting points were recorded from -50 to 100 °C in the second cycle to remove thermal hysteresis in a TA Q20 differential scanning calorimeter (DSC). The molecular weight and polydispersity index were measured via gel-permeation chromatography (GPC) with a refractive index detector. Tetrahydrofuran (THF) was used as the eluent (flow rate of 1 mL/min), and the molecular weight was calibrated using polystyrene standards. Field-emission scanning electron microscopy (FE-SEM) observations were performed using a Zeiss SUPRA 25 (Carl Zeiss, Oberkochen, Germany). Particle size measurements were performed using a Beckman Coulter LS 13 320 laser-diffraction particle size analyzer (Beckman Coulter, Brea, USA) under aqueous liquid mode, and dynamic light scattering (DLS) with a Malvern S90 (Malvern Panalytical, Malvern, UK), using water as a solvent. Multiphoton confocal microscopy images were

acquired using a ZEISS LSM 780 configuration 16 NLO microscope (Carl Zeiss, Oberkochen, Germany), with Nile red as the fluorescent agent.

# 2.3. Synthesis of Poly(*e*-caprolactone) (PCL)-b-poly(lactic Acid) (PLA) Diblock Copolymers

As shown in Scheme 1, PCL-b-PLA diblock copolymers were synthesized via ringopening polymerization of L-lactide in the presence of poly( $\varepsilon$ -caprolactone) diol, using Sn(Oct)<sub>2</sub> as a catalyst. In a representative experiment, PCL (10 g, 2.5 mmol), L-lactide (10 g, 69.4 mmol), and Sn(Oct)<sub>2</sub> (70 mg, 0.29 mmol) were added to a Schlenk flask with a magnetic stir bar, and dissolved completely at 95 °C, after which the flask was degassed by reduced pressure. After the flask was backfilled with nitrogen, polymerization was performed under a nitrogen atmosphere at 130 °C for 6 h. After the reaction mixture was diluted with 20 mL of chloroform, PCL-b-PLA diblock copolymers were recovered by precipitation from methanol, followed by drying in a vacuum oven at ambient temperature. <sup>1</sup>H NMR in CDCl<sub>3</sub> { $\delta$ , ppm}: 5.13 (<sup>1</sup>H, methine proton of PLA), 1.57 (3H, methyl protons of PLA), 1.18–1.19 (6H, methylene protons of PCL), 2.28 (2H, -COOCH<sub>2</sub>- of PCL), 4.04 (2H, -CH<sub>2</sub>COO- of PCL), 4.33 (1H,  $\omega$ -methine proton of PLA), 1.48 (3H,  $\omega$ -methyl proton of PLA; FT-IR in NaCl{ $\nu$ , cm<sup>-1</sup>}; 3000~3500(w; vs(O-H stretching of  $\omega$ -hydroxyl group)), 1758 (s; vs(C=O from PCL)), 1733 (s; vs(C=O from PLA)), 1456 (w), 1420 (m), 1359 (m), 1388 (w, vb(C-H from PCL, PLA)); *M*n, NMR = 8100 g mol<sup>-1</sup>, *M*n, SEC = 15,900 g mol<sup>-1</sup>, *D* = 1.21.



Scheme 1. Fabrication of porous microspheres based on PCL-b-PLA-b-PMVK.

#### 2.4. Synthesis of CTA Terminated PCL-b-PLA Diblock Copolymers (Macro-CTA)

To a solution of PCL-b-PLA diblock copolymer (8 g, 1.02 mmol), DDMAT (1.49 g, 4.08 mmol) in 40 mL of dichloromethane (DCM), N,N'-dicyclohexylcarbodiimide (DCC) (0.84 g, 4.08 mmol), and 4-dimethylaminopyridine (DMAP) (0.25 g, 2.04 mmol) in 20 mL of DCM were added dropwise for 1 h. The reaction mixture was then allowed to react overnight at room temperature, with continuous stirring. After the reaction was completed, the N,N'-dicyclohexylurea formed was filtered off, and the filtrate was precipitated in methanol. The precipitate was collected by filtration, washed several times with methanol and, finally, dried to a constant weight under vacuum at ambient temperature to obtain pure PCL-b-PLA diblock macro-CTA. <sup>1</sup>H NMR in CDCl<sub>3</sub> { $\delta$ , ppm}: 0.8 (2H, -SCH<sub>2</sub>- of DDMAT), (3H, -CH<sub>3</sub>- of DDMAT), 1.24 (6H, -C(CH<sub>3</sub>)<sub>2</sub>- of DDMAT), 5.13 (<sup>1</sup>H, methine proton of PLA), 1.57 (3H, methyl protons of PLA), 1.18–1.19 (6H, methylene protons of PCL), 2.28 (2H, -COOCH<sub>2</sub>- of PCL), 4.04 (2H, -CH<sub>2</sub>COO- of PCL); *M*n, NMR = 8900 g mol<sup>-1</sup>, *M*n, SEC = 20,100 g mol<sup>-1</sup>, *D* = 1.24.

The solution of PCL-b-PLA macro-CTA (3 g, 0.353 mmol), azobisisobutyronitrile (AIBN) (0.0058 g, 0.0353 mmol), and MVK (4.41 mL, 62.92 mmol) in 1,4-dioxane was added to a 100 mL Schlenk flask, and the reaction mixture was degassed via three freeze-pumpthaw cycles to remove oxygen. After the flask was backfilled with nitrogen, polymerization was carried out at 70 °C for 8 h. The solution was diluted with 1,4-dioxane to terminate the polymerization, and subsequently precipitated in methanol with vigorous stirring. The precipitate formed was collected and dried under vacuum to obtain a pure PCL-b-PLA-b-PMVK triblock copolymer. <sup>1</sup>H NMR in CDCl<sub>3</sub> { $\delta$ , ppm}: 1.70~1.56 (4H, CH<sub>2</sub>CH-, polymer main chain of PMVK), 2.1~2.50 (4H, CH<sub>2</sub>CH-, polymer main chain of PMVK), 1.24 (s, 1H), 0.8 (2H, -SCH<sub>2</sub>- of DDMAT), (3H, -CH<sub>3</sub>- of DDMAT), 1.24 (6H, -C(CH<sub>3</sub>)<sub>2</sub>- of DDMAT), 5.13 (1H, methine proton of PLA), 1.57 (3H, methyl protons of PLA), 1.18–1.19 (6H, methylene protons of PCL), 2.28 (2H, -COOCH<sub>2</sub>- of PCL), 4.04 (2H, -CH<sub>2</sub>COO- of PCL); FT-IR in NaCl{ v, cm<sup>-1</sup>}; 3000~3500(w; vs(O-H stretching of  $\omega$ -hydroxyl group)), 1758 (s; vs(C=O from PCL)), 1733 (s; vs(C=O from PLA)), 1712 (s; vs(C=O from PMVK)), 1456 (w), 1420 (m), 1359 (m), 1388 (w, vb(C-H from PCL, PLA)); Mn, NMR = 12,700 g mol<sup>-1</sup> Mn, SEC = 26,  $200 \text{ g mol}^{-1}$ , D = 1.17.

### 2.6. Fabrication of Porous Microspheres

Triblock copolymers (PCL-b-PLA-b-PMVK, 0.85 g) dissolved in DCM (2.5 mL) were added dropwise to 50 mL of polyvinyl alcohol (PVA) aqueous solution (10%, *w/v*) for 10 min. After the reaction was allowed to continue for an additional 8 h with stirring of 600 rpm, the emulsion phase formed was centrifuged at 12,000 rpm for 10 min, and the supernatant was removed. The obtained microspheres were redispersed in ethanol and centrifuged again. After five cycles of centrifugation and removal of the supernatant, the microspheres were dispersed in water and then UV-irradiated at a wavelength of 312 nm and various UV exposure times. After the UV irradiation was completed, the solution was centrifuged at 12,000 rpm for 10 min, and the supernatant was removed once more. Finally, the sedimented microspheres were dispersed in water and lyophilized for 2 days to obtain porous microspheres.

#### 3. Results and Discussion

# 3.1. Characterization of Di and Triblock PCL-b-PLA Copolymers

Various characterization techniques—including FTIR, <sup>1</sup>H NMR, GPC, TGA, DSC, FE-SEM, and confocal microscopy—were used to identify the structural and morphological changes of block copolymers and their porous microspheres. FT-IR analysis was used to investigate the chemical changes caused by the ring-opening polymerization of L-lactide initiated by poly( $\varepsilon$ -caprolactone). In the FT-IR spectrum of the PCL-b-PLA block copolymer, as shown in Figure 1b, two types of carbonyl groups arose from both PCL and PLA, exhibiting strong FT-IR peaks at approximately 1758 and 1733 cm<sup>-1</sup>, respectively.

In the <sup>1</sup>H NMR spectra, the methine peak of PLA was shifted slightly downfield, from 5.08 to 5.15 ppm, after polymerization. The methyl peak of the PLA block was also confirmed at 1.56 ppm in the <sup>1</sup>H NMR spectrum of PCL-b-PLA (Figure 2b). As shown in Table 1 and Figure 3, GPC analysis indicated that the molecular weight of PCL-b-PLA block copolymers was found to be 7500–15,900, maintaining narrow polydispersity and symmetrical monomodal GPC curves. DDMAT is a particularly suitable CTA for RAFT polymerization, since it is commercially available, possesses a carboxylic reactive end-group and, moreover, can be used to polymerize styrenes, acrylates, acrylamides, methacrylates, methacrylamides, and vinyl ketones [59]. To confer RAFT-polymerizable functionality at the chain-end of PCL-b-PLA, trithiocarbonate (TTC) was incorporated into PCL-b-PLA by reacting the hydroxyl group of PCL-b-PLA with the carboxylic acid of DDMAT.



Figure 1. FT-IR spectra of (a) PCL, (b) PCL-b-PLA, (c) PCL-b-PLA-TTC, and (d) PCL-b-PLA-b-PMVK.



**Figure 2.** <sup>1</sup>H NMR spectra of (**a**) PCL, (**b**) PCL-b-PLA, (**c**) PCL-b-PLA-TTC, and (**d**) PCL-b-PLA-b-PMVK.

Entry	PCL Type Molecular		PCL [mmol]	L-Lactide [mmol]	MVK [mmol]	PCL-b-PLA		Molecular Weigh PCL-b- PLA-TTC	PCL-b-PLA-b-PMVK	
	Jr-	Weight				GPC	<sup>1</sup> H NMR	GPC	GPC	<sup>1</sup> H NMR
1	4arm	1000	1.2	35	200	11,700 (1.13)	7500	21,600 (1.22)	58,500 (1.46)	34,300
2	Diol	4000	5	140	50	15,900 (1.22)	8100	20,100 (1.24)	25,800 (1.20)	12,700
3	Diol	4000	5	75	25	7500 (1.17)	5800	8800 (1.24)	10,600 (1.22)	6900
4	Diol	4000	5	75	50	7500 (1.17)	5800	8800 (1.24)	12,300 (1.22)	8200

Table 1. Fabrication of porous microspheres based on PCL-b-PLA-b-PMVK.



Figure 3. Molecular growth of (a) PCL-b-PLA-b-PMVK, and (b) its GPC diagrams.

In the <sup>1</sup>H NMR spectrum of PCL-b-PLA-TTC (Figure 2c), protons of the methylene group (-S-CH<sub>2</sub>-) of TTC at 3.25 ppm were observed, along with disappearance of those of the  $\omega$ -methine group (-CH-OH) of PLA at 4.04 ppm. The trithiocarbonate group of TTC was also observed at 225 ppm in the <sup>13</sup>C NMR spectrum (Supplementary Material, Figure S1), and GPC analysis also indicated that the molecular weight of macro-CTA slightly increased from that of PCL-b-PLA, as shown in Figure 3.

Good agreement of the integral ratio between the methyl proton of TTC and the methylene proton of PCL-b-PLA (-COOCH<sub>2</sub>-) with that of theoretical values, along with the complete disappearance of the  $\omega$ -methine group of PCL-b-PLA, are the supporting evidence that RAFT-polymerizable TTC was successfully incorporated into the PCL-PLA block copolymer. PCL-b-PLA-b-PMVK triblock copolymers were synthesized by the reaction of macro-CTA with MVK via RAFT polymerization.

As shown in Figure 1, in the FT-IR spectrum of PCL-b-PLA-b-PMVK, stretching peaks belonging to the carbonyl groups of the PCL, PLA, and PMVK blocks were observed at 1733, 1752, and 1712 cm<sup>-1</sup>, providing confirmation of the formation of the triblock copolymer via RAFT polymerization. In the <sup>1</sup>H NMR spectrum of PCL-b-PLA-b-PMVK (Figure 2d), protons of the methylene group (-CH<sub>2</sub>-CH-) of the PMVK block were found at 1.1~1.3 ppm and 1.8~2.1 ppm, while those of the methine (-CH<sub>2</sub>-CH-) and methyl groups in ketone were observed at 2.4 and 2.3 ppm, respectively. As shown in Figure 3, GPC traces indicated that the molecular weight and growth of PCL-b-PLA-PMVK triblock block copolymers shifted linearly to higher molecular weight as the polymerization progressed. As seen in Figures 3 and 4, all GPC chromatograms showed symmetrical curves, and shifted clearly to higher elution time, while polydispersity decreased with polymerization time, supporting the occurrence of controlled polymerization.



**Figure 4.** GPC traces of PCL, PCL-b-PLA, PCL-b-PLA-TTC, and PCL-b-PLA-b-PMVK (entry 2 in Table 1).

#### 3.2. Thermal Behaviors of Di- and Triblock PCL-b-PLA-b-PMVK Copolymers

As shown in Figure 5, compared with the thermal decomposition temperature of PCL—with initial and complete decomposition temperatures of 400 and 450 °C, respectively—the PCL-b-PLA diblock copolymer has lower initial and complete temperatures, down to 230 and 310 °C, respectively, which are also lower than those of PLA, at 310 and 410 °C, respectively [60]. This result may be attributed to the decreased crystallinity of the diblock copolymer with 50 wt% of the PCL block. Similar findings were also reported in the study of Chin et al., who prepared blends of PLA with various polymers—such as corn starch (CS), polystyrene, polyethylene, polypropylene, and polyethylene oxide—and indicated that the thermal decomposition temperature of the PLA/CS blend decreased by as much as 50% with the increase in CS content from 0 to 40 wt% [60].

More evidence of decreasing crystallinity in the block copolymers was found in the DSC curve (Figure 6). The melting temperatures of the PCL-b-PLA block copolymer were found at 42.5 and 138.5 °C, which are lower than those of the homopolymers—48 and 168 °C for PCL and PLA [61], respectively—and showed a broader range, with a decreased melting enthalpy of PCL ( $\Delta H_m$ ) (Figure S2). The thermal decomposition of PCL-b-PLA-b-PMVK occurred between 320 and 410 °C (Figure 5), which are higher temperatures compared to PCL-b-PLA, due to the incorporation of the PMVK block. As shown in Figure 6 and Figure S2, the melting temperature and crystallinity of PCL-b-PLA-b-PMVK decreased compared to the PCL homopolymer and its block copolymer with PLA. As the number of blocks in the block copolymer increased, the melting and crystallization peaks became smaller, and those of PCL in the triblock copolymer nearly disappeared in the DSC thermogram, indicating that the incorporation of the PMVK block led to a decrease in the crystallinity of the triblock copolymer.



Figure 5. TGA thermogram of PCL, PCL-b-PLA, and the PCL-b-PLA-b-PMVK triblock copolymer.



Figure 6. DSC thermogram of PCL, PCL-b-PLA, PCL-b-PLA-TTC, and PCL-b-PLA-b-PMVK.

# 3.3. Photodegradation Behavior of PCL-b-PLA-b-PMVK

After PCL-b-PLA-b-PMVK triblock copolymers were successfully synthesized via both ring-opening and RAFT polymerization, their photodegradation behavior under UV light at 1700~2000  $\mu$ m/cm<sup>2</sup> was observed after dissolution in THF, and was determined by the decrease in molecular weight obtained from GPC analysis. It is commonly accepted that PMVK can be degraded by two photodegradation mechanisms: radical or non-radical reactions—known as Norrish type I and Norrish type II routes, respectively—and the Norrish type II route is the main one [48]. As shown in Figure 7, the molecular weight of the triblock copolymer decreased with UV irradiation time, reaching that of the macro-CTA PCLA-DDMAT, which means that most of the PMVK block was photodegraded after 6 h of UV irradiation, together with the production of low-molecular-weight degraded residues. These results are consistent with our previous study on the synthesis and photodegradation behaviors of PCL-PMVK block copolymers [35]. GPC analysis also indicated that the amount of photodegraded residues produced, and their molecular weight, decreased with UV irradiation time.



**Figure 7.** Molecular weight loss of PCL-b-PLA-b-PMVK according to UV irradiation time (entry 2 in Table 1).

#### 3.4. Fabrication of Porous Microspheres

Various etching reagents and conditions were used for the removal of sacrificial components, including NaOH, UV light, and acidic agents. Porous microspheres based on the PCL-b-PLA-b-PMVK triblock copolymer were successfully fabricated via an oil/water (O/W) single emulsion–evaporation method, using polyvinyl alcohol as an emulsifier, followed by UV irradiation to sacrifice the photodegradable PMVK blocks. As shown in Figures 8 and S3, the size of the microspheres was found to be approximately 1  $\mu$ m when fabricated with 6% PVA as an emulsifier and a stirring rate of 600 rpm. The microspheres were spherical, and had smooth surfaces, before UV irradiation; however, the microspheres developed rough surfaces and porous structures after UV irradiation, due to the sacrifice of the photodegradable PMVK blocks with UV light as a porous template. In addition, for microspheres larger than 10  $\mu$ m in diameter, the shapes were also changed from microspherical to flat disk shapes with increasing UV irradiation time, due to the collapse of the PMVK blocks by UV light, leading to decreased shape stability with high porosity, as reported in our previous paper [35].

Confocal laser microscopy images taken by Z-stack, with a slice thickness of  $0.2 \mu m$ , also showed internal porosity in the porous microspheres (Figure 9), as can be clearly observed in the three-dimensional confocal laser microscopy videos, provided as supporting ESI information.



**Figure 8.** FE-SEM images of microspheres using PCL-b-PLA-b-PMVK (entry 2) according to UV irradiation time: (**a**) 0 h; (**b**) 2 h; (**c**) 4 h; (**d**) 6 h.



**Figure 9.** Confocal microscopy images of PCL-b-PLA porous microspheres: (**a**) top view; (**b**) internal view; (**c**) single view; (**d**) 3D view; (**e**) slice view; and (**f**) ortho view.

# 4. Conclusions

Biodegradable triblock copolymers were successfully synthesized via ring-opening polymerization of L-lactide onto PCL, followed by RAFT polymerization of methyl vinyl ketone (MVK), and used to fabricate porous, biodegradable microspheres via the oil/water single emulsion–evaporation method and photodegradation of PMVK blocks by UV irradiation at a wavelength of 312 nm. The linear relationship between molecular weight and polymerization time, along with the narrow polydispersity of the resulting PMVK initiated by PCL-b-PLA-based macro-CTA, are clear indicators of a controlled RAFT polymerization mechanism. The photodegradability of the PMVK block was proven by experiments showing both a decrease in the molecular weight of the triblock copolymers and the formation of porosity in the microspheres after UV irradiation. On the basis of these results, porous biodegradable microspheres can be considered to be potential matrices for controlled drug release, because of their high porosity and nontoxic biodegradation behavior.

**Supplementary Materials:** The following are available online at https://www.mdpi.com/article/10 .3390/polym13223964/s1: Additional experimental details and characterization data (DOCX): <sup>13</sup>C NMR, DSCm and DLS. Four additional videos of PCL-b-PLA porous microspheres (AVI). Figure S1: 13C NMR spectrum of PCL-b-PLA-TTC. Figure S2: DSC cooling curve of PCL, PCL-b-PLA, PCL-b-PLA-b-PMVK. Figure S3: DLS measurements of PCL-b-PLA-b-PMVK microspheres.

Author Contributions: Conceptualization, I.C.; methodology, T.K. and S.L.; validation, T.K. and S.L.; formal analysis, S.L.; investigation, T.K., S.L., and S.-Y.P.; writing—original draft preparation, T.K.; writing—review and editing, I.C.; visualization, T.K., S.L., and S.-Y.P.; supervision, I.C.; project administration, I.C.; funding acquisition, I.C. All authors have read and agreed to the published version of the manuscript.

**Funding:** This work was supported by the Technology Innovation Program (20011422, Elastic material for flexible display substrates with excellent repeated fatigue), funded by the Ministry of Trade, Industry, and Energy (MOTIE, Korea); and the Basic Science Research Program through the National Research Foundation of Korea (NRF), funded by the Ministry of Education (NRF-2017R1D1A1B04032465).

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

Data Availability Statement: Not applicable.

Conflicts of Interest: The authors declare no conflict of interest.

#### References

- 1. Nair, L.S.; Laurencin, C.T. Biodegradable polymers as biomaterials. Prog. Polym. Sci. 2007, 313, 762–798. [CrossRef]
- 2. Labet, M.; Thielemans, W. Synthesis of polycaprolactone: A review. Chem. Soc. Rev. 2009, 38, 3484–3504. [CrossRef]
- Yoon, S.K.; Yang, J.H.; Lim, H.T.; Chang, Y.-W.; Ayyoob, M.; Yang, X.; Kim, Y.J.; Ko, H.-S.; Jho, J.Y.; Chung, D.J. In vitro and in vivo biosafety analysis of resorbable polyglycolic acid-polylactic acid block copolymer composites for spinal fixation. *Polymers* 2021, 13, 29. [CrossRef] [PubMed]
- 4. Park, T.G.; Lee, H.Y.; Nam, Y.S. A new preparation method for protein loaded poly(d,l-lactic-co-glycolic acid) microspheres and protein release mechanism study. *J. Control. Release* **1998**, *55*, 181–191. [CrossRef]
- 5. Lassalle, V.; Ferreira, M.L. Pla nano-and microparticles for drug delivery: An overview of the methods of preparation. *Macromol. Biosci.* 2007, 7, 767–783. [CrossRef] [PubMed]
- Huang, M.-H.; Li, S.; Vert, M. Synthesis and degradation of PLA–PCL–PLA triblock copolymer prepared by successive polymerization of ε-caprolactone and DL-lactide. *Polymers* 2004, 45, 8675–8681. [CrossRef]
- 7. Papagiannopoulos, A.; Pippa, N.; Demetzos, C.; Pispas, S.; Radulescu, A. Formation of Uni-Lamellar Vesicles in Mixtures of DPPC with PEO-b-PCL Amphiphilic Diblock Copolymers. *Polymer* **2021**, *13*, 4. [CrossRef]
- Panja, S.; Nayak, S.; Ghosh, S.K.; Selvakumar, M.; Chattopadhyay, S. Self-assembly of a biodegradable branched PE-PCL-b-PEC amphiphilic polymer: Synthesis, characterization and targeted delivery of doxorubicin to cancer cells. *RSC Adv.* 2014, 4, 51766–51775. [CrossRef]
- Panja, S.; Saha, B.; Ghosh, S.K.; Chattopadhyay, S. Synthesis of Novel Four Armed PE-PCL Grafted Superparamagnetic and Biocompatible Nanoparticles. *Langmuir* 2013, 29, 12530–12540. [CrossRef]
- Panja, S.; Dey, G.; Bharti, R.; Mandal, P.; Mandal, M.; Chattopadhyay, S. Metal Ion Ornamented Ultrafast Light-Sensitive Nanogel for Potential in Vivo Cancer Therapy. *Chem. Mater.* 2016, 28, 8598–8610. [CrossRef]
- 11. Champion, J.A.; Katare, Y.K.; Mitragotri, S. Particle shape: A new design parameter for micro- and nanoscale drug delivery carriers. *J. Control. Release* 2007, 121, 3–9. [CrossRef]
- 12. Wu, D.; Xu, F.; Sun, B.; Fu, R.; He, H.; Matyjaszewski, K. Design and preparation of porous polymers. *Chem. Rev.* **2012**, *112*, 3959–4015. [CrossRef] [PubMed]
- Barua, S.; Yoo, J.-W.; Kolhar, P.; Wakankar, A.; Gokarn, Y.R.; Mitragotri, S. Particle shape enhances specificity of antibodydisplaying nanoparticles. *Proc. Natl. Acad. Sci. USA* 2013, 110, 3270–3275. [CrossRef] [PubMed]
- 14. Venkataraman, S.; Hedrick, J.L.; Ong, Z.Y.; Yang, C.; Ee, P.L.R.; Hammond, P.T.; Yang, Y.Y. The effects of polymeric nanostructure shape on drug delivery. *Adv. Drug. Del. Rev.* 2011, *63*, 1228–1246. [CrossRef] [PubMed]
- 15. Rolland, J.P.; Maynor, B.W.; Euliss, L.E.; Exner, A.E.; Dension, G.M.; Desiomone, J.M. Direct fabrication and harvesting of monodisperse, shape-specific nanobiomaterials. *J. Am. Chem. Soc.* **2005**, *127*, 10096–100100. [CrossRef] [PubMed]
- 16. Zhang, H.; Wu, Y.; Xu, X.; Chen, C.; Xue, X.; Xu, B.; Li, T.; Chen, Z. Synthesis characterization of platinum (IV) complex curcumin backboned polyprodurgs: In vitro drug release anticancer activity. *Polymers* **2021**, *13*, 67. [CrossRef]
- 17. Crucho, C.I.C.; Barros, M.T. Formulation of functionalized PLGA polymeric nanoparticles for targeted drug delivery. *Polymer* **2015**, *68*, 41–46. [CrossRef]

- Guo, M.; Wei, M.; Li, W.; Guo, M.; Guo, C.; Ma, M.; Wang, Y.; Yang, Z.; Li, M.; Fu, Q.; et al. Impacts of particle shapes on the oral delivery of drug nanocrystals: Mucus permeation, transepithelial transport and bioavailability. *J. Control. Release* 2019, 307, 64–75. [CrossRef]
- 19. Wang, X.; Luo, Y.; Li, B.; Zhu, S. Ab initio batch emulsion raft polymerization of styrene mediated by poly(acrylic acid-b-styrene) trithiocarbonate. *Macromolecules* **2009**, *42*, 6414–6421. [CrossRef]
- Chao, C.-C.; Wang, T.-C.; Ho, R.-M.; Georgopanos, P.; Avgeropoulos, A.; Thomas, E.L. Robust block copolymer mask for nanopatterning polymer films. ACS Nano 2010, 4, 2088–2094. [CrossRef]
- 21. Petersen, R.S.; Boisen, A.; Keller, S.S. Micromechanical Punching: A Versatile Method for Non-Spherical Microparticle Fabrication. *Polymers* **2021**, *13*, 83. [CrossRef]
- 22. Jiang, J.-X.; Trewin, A.; Su, F.; Wood, C.D.; Niu, H.; Jones, J.T.A.; Khimyak, Y.Z.; Cooper, A.I. Microporous poly(tri(4-ethynylphenyl)amine) networks: Synthesis, properties, and atomistic simulation. *Macromolecules* **2009**, *42*, 2658–2666. [CrossRef]
- Du, N.; Robertson, G.P.; Song, J.; Pinnau, I.; Thomas, S.; Guiver, M.D. Polymers of intrinsic microporosity containing trifluoromethyl and phenylsulfone groups as materials for membrane gas separation. *Macromolecules* 2008, 41, 9656–9662. [CrossRef]
- Hu, X.; An, Q.; Li, G.; Tao, S.; Liu, J. Imprinted photonic polymers for chiral recognition. *Angew. Chem. Int. Ed.* 2006, 45, 8145–8148. [CrossRef]
- Rzayev, J.; Hillmyer, M.A. Nanoporous polystyrene containing hydrophilic pores from an abc triblock copolymer precursor. *Macromolecules* 2005, *38*, 3–5. [CrossRef]
- 26. Yang, H.; Jiang, P. Large-Scale Colloidal Self-Assembly by Doctor Blade Coating. Langmuir 2010, 26, 13173–13182. [CrossRef]
- 27. Olson, D.A.; Chen, L.; Hillmyer, M.A. Templating Nanoporous Polymers with Ordered Block Copolymers. *Chem. Mater.* 2008, 20, 869–890. [CrossRef]
- 28. McKeown, N.B.; Budd, P.M. Exploitation of Intrinsic Microporosity in Polymer-Based Materials. *Macromolecules* **2010**, *43*, 5163–5176. [CrossRef]
- Kimmins, S.D.; Cameron, N.R. Functional Porous Polymers by Emulsion Templating: Recent Advances. *Adv. Funct. Mater.* 2011, 21, 211–225. [CrossRef]
- Li, W.; Yoon, J.A.; Matyjaszewski, K. Dual-Reactive Surfactant Used for Synthesis of Functional Nanocapsules in Miniemulsion. J. Am. Chem. Soc. 2010, 132, 7823–7825. [CrossRef]
- 31. Baek, K.; Hwang, I.; Roy, I.; Shetty, D.; Kim, K. Self-Assembly of Nanostructured Materials through Irreversible Covalent Bond Formation. *Acc. Chem. Res.* 2015, *48*, 2221–2229. [CrossRef]
- 32. Kim, J.K.; Yang, S.Y.; Lee, Y.; Kim, Y. Functional nanomaterials based on block copolymer self-assembly. *Prog. Polym. Sci.* 2010, 35, 1325–1349. [CrossRef]
- Santos-Rosales, V.; Ardao, I.; Goimil, L.; Gomez-Amoze, J.L.; García-González, C.A. Solvent-Free Processing of Drug-Loaded Poly(ε-Caprolactone) Scaffolds with Tunable Macroporosity by Combination of Supercritical Foaming and Thermal Porogen Leaching. *Polymers* 2021, 13, 159. [CrossRef]
- 34. Yang, B.; Raza, S.; Li, S.; Deng, J. Ring opening precipitation polymerization for preparing polylactide particles with tunable size and porous structure and their application as chiral material. *Polymer* **2017**, *127*, 214–219. [CrossRef]
- Kim, T.; Mays, J.; Chung, I. Porous poly(ε-caprolactone) microspheres via UV photodegradation of block copolymers prepared by raft polymerization. *Polymer* 2018, 158, 198–203. [CrossRef]
- 36. Zhang, Y.; Wang, S.; Eghtedari, M.; Motamedi, M.; Kotove, N.A. Inverted-colloidal-crystal hydrogel matrices as three-dimensional cell scaffolds. *Adv. Funct. Mater.* **2005**, *15*, 725–731. [CrossRef]
- 37. Pierre, S.J.; Thies, J.C.; Dureault, A.; Cameron, N.R.; van Hest, J.C.M.; Carette, N.; Michon, T.; Weberskirch, R. Covalent enzyme immobilization onto photopolymerized highly porous monoliths. *Adv. Mater.* **2006**, *18*, 1822–1826. [CrossRef]
- 38. Feral-Martin, C.; Birot, M.; Deleuze, H.; Desforges, A.; Backov, R. Integrative chemistry toward the first spontaneous generation of gold nanoparticles within macrocellular polyhipe supports (au@polyhipe) and their application to eosin reduction. *React. Funct. Polym.* **2007**, *67*, 1072–1082. [CrossRef]
- 39. Zhang, Y.; Zhao, L.; Patra, P.K.; Ying, J.Y. Synthesis and catalytic applications of mesoporous polymer colloids in olefin hydrosilylation. *Adv. Synth. Catal.* **2008**, *350*, 662–666. [CrossRef]
- 40. Chan-Thaw, C.E.; Villa, A.; Katekomol, P.; Su, D.; Thomas, A.; Prati, L. Covalent triazine framework as catalytic support for liquid phase reaction. *Nano. Lett.* **2010**, *10*, 537–541. [CrossRef] [PubMed]
- 41. Zhao, C.; Danish, E.; Cameron, N.R.; Kataky, R. Emulsion-templated porous materials (polyhipes) for selective ion and molecular recognition and transport: Applications in electrochemical sensing. *J. Mater. Chem.* **2007**, *17*, 2446–2453. [CrossRef]
- Silverstein, M.S.; Tai, H.; Sergienko, A.; Lumelsky, Y.; Pavlovsky, S. Polyhipe: IPNs, hybrids, nanoscale porosity, silica monoliths and icp-based sensors. *Polymer* 2005, 46, 6682–6694. [CrossRef]
- 43. Lee, J.J.; Noh, W.; Huh, T.-H.; Kwark, Y.-J.; Lee, T.S. Synthesis of conjugated microporous polymer and its embedding in porous nanofibers for visible-light-driven photocatalysis with reusability. *Polymer* **2020**, *211*, 123060. [CrossRef]
- 44. Tiwari, M.; Kumar, A.; Prakash, R. Nano-porous network of DMTD-Ag coordination polymer for the ultra trace detection of anticholinergic drug. *Polymer* **2016**, *82*, 66–74. [CrossRef]
- 45. Golemba, F.J.; Guillet, J.E. Photochemistry of ketone polymers. Vii. Polymers and copolymers of phenyl vinyl ketone. *Macro-molecules* **1972**, *5*, 212–216. [CrossRef]

- 46. Sugita, K. Application of photodegradable polymers to imaging and microfabrication technologies: A review of recent research papers in the last 4 years. *Prog. Org. Coat.* **1997**, *31*, 87–95. [CrossRef]
- 47. Green, O.; Smith, N.A.; Ellis, A.B.; Burstyn, J.N. Agbf4-impregnated poly(vinyl phenyl ketone): An ethylene sensing film. *J. Am. Chem. Soc.* 2004, *126*, 5952–5953. [CrossRef]
- 48. Norrish, R.; Bamford, C. Photo-decomposition of aldehydes and ketones. Nature 1937, 140, 195–196. [CrossRef]
- 49. Luo, J.; Durante, C.; Gennaro, A.; Isse, A.A. Electrochemical study of the effect of Al3+ on the stability and performance of Cu-based ATRP catalysts in organic media. *Electrochim. Acta* 2021, *388*, 138589. [CrossRef]
- 50. Matyjaszewski, K. Atom transfer radical polymerization (ATRP): Current status and future perspectives. *Macromolecules* **2012**, *45*, 4015–4039. [CrossRef]
- 51. Hawker, C.J.; Bosman, A.W.; Harth, E. New polymer synthesis by nitroxide mediated living radical polymerizations. *Chem. Rev.* **2001**, *101*, 3661–3688. [CrossRef] [PubMed]
- 52. Moad, G.; Rizzardo, E.; Thang, S.H. Radical addition–fragmentation chemistry in polymer synthesis. *Polymer* **2008**, *49*, 1079–1131. [CrossRef]
- 53. Song, Z.; He, X.; Gao, C.; Khan, H.; Shi, P.; Zhang, W. Asymmetrical vesicles: Convenient in situ raft synthesis and controllable structure determination. *Polym. Chem.* **2015**, *6*, 6563–6572. [CrossRef]
- 54. Zayas, H.A.; Truong, N.P.; Valade, D.; Jia, Z.; Monteiro, M.J. Narrow molecular weight and particle size distributions of polystyrene 4-arm stars synthesized by raft-mediated miniemulsions. *Polym. Chem.* **2013**, *4*, 592–599. [CrossRef]
- 55. Zhang, B.; Hu, N.; Wang, Y.; Wang, Z.; Wang, Y.; Kong, E.S.; Zhang, Y. Poly(glycidyl methacrylates)-grafted zinc oxide nanowire by surface-initiated atom transfer radical polymerization. *Nanomicro. Lett.* **2010**, *2*, 285–289. [CrossRef]
- 56. Tsuruta, T.; Fujio, R.; Furukawa, J. Anionic polymerization of vinyl ketones. Makromol. Chem. 1964, 80, 172–184. [CrossRef]
- 57. Matsuzaki, K.; Ohshima, S. Thermal degradation of isotactic and atactic poly (methyl vinyl ketones). *Makromol. Chem.* **1973**, 164, 265–271. [CrossRef]
- 58. Mittal, A.; Sivaram, S.; Baskaran, D. Unfavorable coordination of copper with methyl vinyl ketone in atom transfer radical polymerization. *Macromolecules* **2006**, *39*, 5555–5558. [CrossRef]
- 59. Cheng, C.; Sung, G.; Khoshdel, E.; Wooley, K.L. Well-defined vinyl ketone-based polymers by reversible addition-fragmentation chain transfer polymerization. *J. Am. Chem. Soc.* 2007, 127, 10086. [CrossRef]
- 60. Kim, S.H.; Chin, I.J.; Yoon, J.S.; Kim, S.H.; Jung, J.S. Mechanical properties of biodegradable blends of poly(l-lactic acid) and starch. *Korea Polym. J.* **1998**, *6*, 422–427.
- 61. Yin, H.-Y.; Wei, X.-F.; Bao, R.-Y.; Dong, Q.-X.; Liu, Z.-Y.; Yang, W.; Xie, B.-H.; Yang, M.-B. Enantiomeric poly(d-lactide) with a higher melting point served as a significant nucleating agent for poly(l-lactide). *CrystEngComm* **2015**, *17*, 4334–4342. [CrossRef]